

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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GOOGLE LLC,  
Petitioner,

v.

HEADWATER RESEARCH LLC,  
Patent Owner.

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IPR2026-00203  
Patent No. 9,232,403

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**PATENT OWNER'S MANDATORY NOTICES  
PURSUANT TO 37 C.F.R. §42.8(a)(2)**

Pursuant to 37 C.F.R. § 42.8, Patent Owner Headwater Research LLC submits these Mandatory Notices to satisfy the requirements of 37 C.F.R. § 42.8. Patent Owner reserves its rights to supplement or modify these Notices.

1. **37 C.F.R. § 42.8(b)(1) - Real Party-In-Interest**

Pursuant to 37 C.F.R. § 42.8(b)(1), Patent Owner identifies Headwater Research LLC as the real party in interest.

2. **37 C.F.R. § 42.8(b)(2) - Related Matters**

A decision in this proceeding may affect, or be affected by, the following matter:

- *Google LLC v. Headwater Research LLC*, No. 5:25-cv-07453 (NDCA)

3. **37 C.F.R. § 42.8(b)(3) - Lead and Back-Up Counsel**

Pursuant to 37 C.F.R. § 42.8(b)(3), Patent Owner identifies the following as lead counsel in the proceeding:

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4. **37 C.F.R. § 42.8(b)(4) - Service Information**

Please address all correspondence to the lead and backup counsel as shown above. Patent Owner consents to electronic service by email at rak\_headwater@raklaw.com.

Date: March 24, 2026

Respectfully submitted,

/Reza Mirzaie/  
Reza Mirzaie, Reg. No. 69,138  
Attorney for Patent Owner

**CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))**

The undersigned hereby certifies that the above document was served on March 24, 2026 by filing this document through the Patent Trial and Appeal Case Tracking System (P-TACTS) as well as delivering a copy via electronic mail upon the following attorneys of record for Petitioner:

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