

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CITADEL SECURITIES LLC
Petitioner,

v.

HFT SOLUTIONS, LLC,
Patent Owner.

Case IPR2026-00151
Patent 11,575,381

**HFT SOLUTIONS, LLC'S
UPDATED MANDATORY NOTICES**

Patent Owner HFT Solutions, LLC hereby provides its updated mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

I. REAL PARTY-IN-INTEREST

The Patent Owner and real party-in-interest in this *inter partes* review is HFT Solutions, LLC.

II. RELATED MATTERS

Patent Owner notifies the Board of the following related proceedings involving the '381 Patent:

- *HFT Solutions, LLC v Optiver US LLC et al*, Case No. 7:25-cv-00415 (W.D. Tex.)
- *HFT Solutions, LLC v Citadel Securities, LLC*, Case No. 1:24-cv-13213 (N.D. Ill.)
- *HFT Solutions, LLC v Jump Trading, LLC*, Case No. 1:24-cv-13214 (N.D. Ill.)

III. LEAD AND BACKUP COUNSEL

Lead Counsel	Back-Up Counsel
Dale Chang (Reg. No. 61,476) Russ August & Kabat 12424 Wilshire Blvd., 12th Fl. Los Angeles, CA 90025 Phone: (310) 826-7474 Fax: (310) 826-6991	Susan Tull Reg. No. 61,551 Josh Scheufler (PHV to be filed) Russ, August & Kabat 12424 Wilshire Blvd. 12th Floor Los Angeles, CA 90025 Tel.: 310-826-7474

dchang@raklaw.com rak_hft@raklaw.com	Fax: 310-826-6991 stull@raklaw.com jscheufler@raklaw.com rak_hft@raklaw.com
------------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------

IV. SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by e-mail to all of the e-mail addresses provided above.

Date: March 13, 2026

/ Dale Chang /
Dale Chang (Reg. No. 61,476)
Russ August & Kabat
12424 Wilshire Blvd., 12th Fl.
Los Angeles, CA 90025
Phone: (310) 826-7474
Fax: (310) 826-6991

Counsel for Patent Owner

CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e)(1))

The undersigned hereby certifies that the above document was served on March 13, 2026, by filing this document through the Patent Trial and Appeal Case Tracking System (P-TACTS) as well as delivering a copy via electronic mail upon the following attorneys of record for the Petitioner:

Meg E. Fasulo
BARTLIT BECK LLP
54 W. Hubbard Street
Suite 300
Chicago, IL 60654
Tel: (312) 494-4400
Fax: (866) 381-7456
meg.fasulo@bartlitbeck.com
Registration No.: 75,820

Matthew R. Ford
BARTLIT BECK LLP
54 W. Hubbard Street
Suite 300
Chicago, IL 60654
Tel: (312) 494-4400
Fax: (866) 381-7456
matthew.ford@bartlitbeck.com
(pro hac vice application to be submitted)

Date: March 13, 2026

/ Dale Chang /
Dale Chang (Reg. No. 61,476)
Russ August & Kabat
12424 Wilshire Blvd., 12th Fl.
Los Angeles, CA 90025
Phone: (310) 826-7474
Fax: (310) 826-6991

Counsel for Patent Owner