

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CITDADEL SECURITIES LLC
Petitioner,

v.

HFT SOLUTIONS, LLC,
Patent Owner.

Case IPR2026-00151
Patent 11,575,381

**HFT SOLUTIONS, LLC'S
MANDATORY NOTICES**

Patent Owner HFT Solutions, LLC hereby provides its mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

I. REAL PARTY-IN-INTEREST

The Patent Owner and real party-in-interest in this *inter partes* review is HFT Solutions, LLC.

II. RELATED MATTERS

Patent Owner notifies the Board of the following related proceedings involving the '381 Patent:

- *HFT Solutions, LLC v Optiver US LLC et al*, Case No. 7:25-cv-00415 (W.D. Tex.)
- *HFT Solutions, LLC v Citadel Securities, LLC*, Case No. 1:24-cv-13213 (N.D. Il.)
- *HFT Solutions, LLC v Jump Trading, LLC*, Case No. 1:24-cv-13214 (N.D. Il.).

III. LEAD AND BACKUP COUNSEL

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IV. SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by e-mail to all of the e-mail addresses provided above.

Date: January 13, 2026

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CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e)(1))

The undersigned hereby certifies that the above document was served on January 13, 2026, by filing this document through the Patent Trial and Appeal Case Tracking System (P-TACTS) as well as delivering a copy via electronic mail upon the following attorneys of record for the Petitioner:

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