

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

AMAZON.COM SERVICES LLC and AMAZON WEB SERVICES, INC.,
Petitioners,

v.

HEADWATER RESEARCH LLC,
Patent Owner.

IPR2026-00106
Patent No. 10,321,320

**PATENT OWNER'S MANDATORY NOTICES
PURSUANT TO 37 C.F.R. §42.8(a)(2)**

Pursuant to 37 C.F.R. § 42.8, Patent Owner Headwater Research LLC submits these Mandatory Notices to satisfy the requirements of 37 C.F.R. § 42.8. Patent Owner reserves its rights to supplement or modify these Notices.

1. **37 C.F.R. § 42.8(b)(1) - Real Party-In-Interest**

Pursuant to 37 C.F.R. § 42.8(b)(1), Patent Owner identifies Headwater Research LLC as the real party in interest.

2. **37 C.F.R. § 42.8(b)(2) - Related Matters**

A decision in this proceeding may affect, or be affected by, the following matter:

- *Headwater Research LLC v. Walmart Inc.*, No. 2:25-cv-00961 (E.D. Tex.)
- *Headwater Research LLC v. Uber Technologies, Inc. et al*, No. 2:25-cv-00962 (E.D. Tex.)
- *Headwater Research LLC v. Target Corporation*, No. 2:25-cv-00963 (E.D. Tex.)
- *Headwater Research LLC v. Supercell Oy*, No. 2:25-cv-00964 (E.D. Tex.)
- *Headwater Research LLC v. Tencent Holdings Limited*, No. 2:25-cv-00965 (E.D. Tex.)
- *Headwater Research LLC v. Amazon.com Inc. et al*, No. 7:25-cv-00286 (W.D. Tex.)
- *Headwater Research LLC v. Google LLC*, No. 7:25-cv-00231 (W.D. Tex.)

- *Target Corporation v. Headwater Research LLC*, IPR2026-00153 (PTAB)

3. **37 C.F.R. § 42.8(b)(3) - Lead and Back-Up Counsel**

Pursuant to 37 C.F.R. § 42.8(b)(3), Patent Owner identifies the following as lead counsel in the proceeding:

Reza Mirzaie, Reg. No. 69,138
Russ, August & Kabat
12424 Wilshire Blvd. 12th Floor
Los Angeles, CA 90025
Tel.: 310-826-7474
Fax: (310) 979-8268
rmirzaie@raklaw.com
rak_headwater@raklaw.com

The backup counsel for Patent Owner are:

Marc A. Fenster (*pro hac vice* forthcoming)
Dale Chang, Reg. No. 61,476
James A. Milkey, Reg. No. 79,503
Neil Rubin, Reg. No. 67,030
Philip X. Wang, Reg. No. 74,621
Russ, August & Kabat
12424 Wilshire Blvd. 12th Floor
Los Angeles, CA 90025
Tel.: 310-826-7474
Fax: (310) 979-8268
mfenster@raklaw.com
dchang@raklaw.com
jmilkey@raklaw.com
nrubin@raklaw.com
pwang@raklaw.com
rak_headwater@raklaw.com

4. **37 C.F.R. § 42.8(b)(4) - Service Information**

Please address all correspondence to the lead and backup counsel as shown above. Patent Owner consents to electronic service by email at rak_headwater@raklaw.com.

Date: January 14, 2026

Respectfully submitted,

/Reza Mirzaie/
Reza Mirzaie, Reg. No. 69,138
Attorney for Patent Owner

CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))

The undersigned hereby certifies that the above document was served on January 14, 2026 by filing this document through the Patent Trial and Appeal Case Tracking System (P-TACTS) as well as delivering a copy via electronic mail upon the following attorneys of record for Petitioner:

Jessica Kaiser (Reg. No. 58,937)
PERKINS COIE LLP
1900 Sixteenth Street, Suite 1400
Denver, CO 80202-5255
kaiser-ptab@perkinscoie.com

Christopher Marando (Reg. No. 67,898)
PERKINS COIE LLP
700 Thirteenth Street N.W.
Suite 800
Washington, DC 20005-3960
Marando-ptab@perkinscoie.com

Thomas Millikan (Reg. No. 72,316)
PERKINS COIE LLP
11452 El Camino Real
Suite 300
San Diego, CA 92130-2080
Millikan-ptab@perkinscoie.com

Matthew A. Lembo (Reg. No. 75,633)
PERKINS COIE LLP
1155 Avenue of the Americas 22nd Floor
New York, NY 10036-2711
Lembo-ptab@perkinscoie.com

Amazon-Headwater-IPR@perkinscoie.com

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RUSS AUGUST & KABAT
12424 Wilshire Blvd., 12th Fl.
Los Angeles, CA 90025
Phone: (310) 826-7474

/Reza Mirzaie/
Reza Mirzaie
Reg. No. 69,138
Attorney for Patent Owner