

**UNITED STATES PATENT AND TRADEMARK OFFICE**

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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SAMSUNG ELECTRONICS CO., LTD.,

Petitioner,

v.

NETLIST, INC.,

Patent Owner

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IPR2026-00017

Patent 9,824,035

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**PETITIONER'S UPDATED EXHIBIT LIST**

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## EXHIBIT LIST

Exhibit #	Description
1001	U.S. Patent No. 9,824,035 (“’035 Patent”)
1002	File History of U.S. Patent No. 9,824,035
1003	Declaration of Dr. Robert Wedig
1004	Curriculum Vitae of Dr. Robert Wedig
1005	U.S. Patent Pub. No. 2010/0312925 to Osanai (“ <u>Osanai</u> ”) (Exhibit 1005 in IPR2022-00236, and Exhibit 2004 in IPR2023-00847)
1006	U.S. Patent No. 8,020,022 to Tokuhiro (“ <u>Tokuhiro</u> ”)
1007	U.S. Patent Pub. No. 2006/0277355 by Ellsberry (“ <u>Ellsberry</u> ”)
1008	U.S. Patent No. 6,184,701 to Kim (“ <u>Kim</u> ”)
1009	U.S. Patent No. 8,566,516 to Schakel
1010	File History of U.S. Patent No. 9,128,632
1011	File History of U.S. Patent No. 9,563,587
1012	<i>SK Hynix Inc. et al. v. Netlist, Inc.</i> , IPR2017-00730, Paper No. 1 (PTAB January 20, 2017) (632 Petition)
1013	<i>SK Hynix Inc. et al. v. Netlist, Inc.</i> , IPR2017-00730, Paper No. 1 (PTAB January 20, 2017) (632 Patent Owner Preliminary Response)
1014	<i>SK Hynix Inc. et al. v. Netlist, Inc.</i> , IPR2017-00730, Paper No. 8 (PTAB July 21, 2017) (632 Decision Denying Institution)
1015	<i>SK Hynix Inc. et al. v. Netlist, Inc.</i> , IPR2018-00362, Paper No. 29 (PTAB June 27, 2019) (907 Final Written Decision)
1016	<i>Netlist, Inc. v. Micron Tech., Inc., et al.</i> , No. 6:21-cv-00431 (W.D. Tex. Apr. 28, 2021) (Netlist Complaint)
1017	Declaration of Julie Carlson for JEDEC Standard 21-C
1018	JEDEC Standard 21-C, DDR SDRAM Registered DIMM Design Specification (January 2002)
1019	Declaration of Julie Carlson for JESD79-3C
1020	JEDEC DDR3 SDRAM Standard, JESD79-3C (April 2008)
1021	Bruce Jacob et al., <u>Memory Systems: Cache, DRAM, Disk</u> (2008) (excerpts)
1022	Harold S. Stone, <u>Microcomputer Interfacing</u> (1982)

Exhibit #	Description
1023	Microsoft Computer Dictionary (5th ed. 2002)
1024	U.S. Patent No. 9,263,103 to Giovannini
1025	U.S. App. No. 61/676,883 (provisional application to '632 Patent)
1026	U.S. Patent No. 8,565,033 to Manohararajah
1027	U.S. Patent No. 7,808,849 to Swain
1028	U.S. Patent No. 9,536,579 to Iijima
1029	U.S. Patent Pub. No. 2007/0008791 to Butt (“ <u>Butt</u> ”)
1030	U.S. Patent Pub. No. 2010/0309706 to Saito (“ <u>Saito</u> ”)
1031	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00639, Paper 45 (PTAB Oct. 18, 2023)
1032	Decision Denying Patent Owner’s Request on Rehearing of Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00639, Paper 48 (PTAB Feb. 9, 2024)
1033	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00615, Paper 96 (PTAB Apr. 17, 2024)
1034	Decision Denying Patent Owner’s Request for Director Review of Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00615, Paper 103 (PTAB July 10, 2024)
1035	[omitted]
1036	[omitted]
1037	Joint Claim Construction Statement, <i>Netlist, Inc. v. Micron Technology, Inc. et al.</i> , No. 1:22-cv-00136 (W.D. Tex. Apr. 14, 2022)
1038	[omitted]
1039	[omitted]
1040	[omitted]
1041	[omitted]
1042	[omitted]
1043	[omitted]
1044	[omitted]
1045	Declaration of Dr. Robert Wedig Regarding U.S. Patent No. 10,860,506 (Exhibit 1003 in IPR2022-00711)

<b>Exhibit #</b>	<b>Description</b>
1046	U.S. Patent No. 10,860,506
1047	[omitted]
1048	Joint 4-3 Claim Construction and Prehearing Statement, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:21-cv-00463 (E.D. Tex. Aug. 19, 2022 and Aug. 23, 2022)
1049	Joint Claim Construction Chart Pursuant to P.R. 4-5(d), <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:21-cv-00463 (E.D. Tex. Sept. 30, 2022)
1050	Claim Construction Order, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:21-cv-00463 (E.D. Tex. Dec. 14, 2022)
1051	JEDEC LRDIMM DDR3 Memory Initialization Proposal (2009)
1052	Declaration of Julie Carlson for Exhibit 1051
1053	JEDEC AMB standard (March 2007) (Exhibit 1053 in IPR2022-00711)
1054	JEDEC DDR4 SDRAM Standard, JESD79-4 (Sept. 2012)
1055	[omitted]
1056	U.S. Patent Pub. No. 2010/0312956 to Hiraishi (“ <u>Hiraishi</u> ”) (Exhibit 1005 in IPR2023-00847)
1057	U.S. Pat No. 10,268,608
1058	Declaration of Dr. Robert Wedig Regarding U.S. Patent No. 10,268,608 (Exhibit 1003 in IPR2023-00847)
1059	Petitioner's demonstratives for U.S. Patent No. 10,268,608 (Exhibit 1089 in IPR2023-00847)
1060	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2023-00847, Paper 42 (PTAB Dec. 10, 2024) (U.S. Patent No. 10,268,608)
1061	Notice of Docketing, <i>Samsung Electronics Co., Ltd. v Netlist, Inc.</i> , No. 2025-1378 (Fed. Cir. Jan. 21, 2025) (U.S. Patent No. 10,268,608)
1062	Appellant’s Principal Brief, <i>Samsung Electronics Co., Ltd v Netlist, Inc.</i> , No. 2025-1378 (Fed. Cir. Apr. 18, 2025) (U.S. Patent No. 10,268,608)

Exhibit #	Description
1063	Netlist's Response Brief, <i>Samsung Electronics Co., Ltd. v Netlist, Inc.</i> , No. 2025-1378 (Fed. Cir. July 28, 2025) (U.S. Patent No. 10,268,608)
1064	Appellant's Reply Brief, <i>Samsung Electronics Co., Ltd v Netlist, Inc.</i> , No. 2025-1378 (Fed. Cir. Sept. 15, 2025) (U.S. Patent No. 10,268,608)
1065	[omitted]
1066	Final Written Decision, <i>Micron Technology, Inc. et al. v. Netlist, Inc.</i> , IPR2022-00236, Paper 34 (PTAB June 20, 2023) (U.S. Patent No. 9,824,035)
1067	Decision Granting Petitioner's Request on Rehearing of Final Written Decision, <i>Micron Technology, Inc. et al. v. Netlist, Inc.</i> , IPR2022-00236, Paper 36 (PTAB August 16, 2023) (U.S. Patent No. 9,824,035)
1068	Final Written Decision, <i>Samsung Electronics Co., Ltd. et al. v. Netlist, Inc.</i> , IPR2022-00711, Paper 42 (PTAB Oct. 17, 2023) (U.S. Patent No. 10,860,506)
1069	Denying Patent Owner's Request on Rehearing of Final Written Decision, <i>Samsung Electronics Co., Ltd. et al. v. Netlist, Inc.</i> , IPR2022-00711, Paper 44 (PTAB Dec. 20, 2023) (U.S. Patent No. 10,860,506)
1070	[omitted]
1071	[omitted]
1072	[omitted]
1073	[omitted]
1074	Transcript of Deposition of Dr. William Mangione-Smith (June 4, 2024) (Exhibit 1074 in IPR2023-00847)
1075	Transcript of Deposition of Dr. William Mangione-Smith on May 4, 2023 (Exhibit 1046 in IPR2022-00711 and Exhibit 1075 in IPR2023-00847)
1076	[omitted]
1077	Netlist's Technology Tutorial (Exhibit 1047 in IPR2022-00711 and Exhibit 1077 in IPR2023-00847)
1078	[omitted]

<b>Exhibit #</b>	<b>Description</b>
1079	[omitted]
1080	[omitted]
1081	[omitted]
1082	[omitted]
1083	[omitted]
1084	U.S. Patent No. 7,215,584 to Butt et al. (incorporated by reference in [0047] of Exhibit 1029)
1085	Presentation on read leveling and write leveling for DDR3 memory modules (2008)
1086	Complaint, <i>In the Matter of Certain Dynamic Random Access Memory (DRAM) Devices, Products Containing the Same, and Components Thereof</i> , Inv. No. 337-TA-3854 (USITC filed Sept. 30, 2025) (U.S. Patent Nos. 9,824,035 and 10,268,608)
1087 [NEW]	Netlist Form 10-K (Annual Report) for 2023, <i>available at</i> < <a href="https://investors.netlist.com/filings-financials/sec-filings">https://investors.netlist.com/filings-financials/sec-filings</a> >.
1088 [NEW]	Netlist Form 10-K (Annual Report) for 2024, <i>available at</i> < <a href="https://investors.netlist.com/filings-financials/sec-filings">https://investors.netlist.com/filings-financials/sec-filings</a> >.
1089 [NEW]	Netlist Form 10-Q (Quarterly Report) through September 2025, <i>available at</i> < <a href="https://investors.netlist.com/filings-financials/sec-filings">https://investors.netlist.com/filings-financials/sec-filings</a> >.
1090 [NEW]	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00999, Paper 51 (PTAB Dec. 5, 2023) (U.S. Patent No. 11,232,054)
1091 [NEW]	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00996, Paper 49 (PTAB Dec. 6, 2023) (U.S. Patent No. 11,016,918)
1092 [NEW]	Order Denying Director Review, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00996 and -00999, Papers 53 & 56 (PTAB Mar. 18, 2024) (U.S. Patent Nos. 11,016,918 and 11,232,054)
1093 [NEW]	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-01428, Paper 48 (PTAB Apr. 1, 2024) (U.S. Patent No. 8,787,060)

Exhibit #	Description
1094 [NEW]	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-01427, Paper 48 (PTAB Apr. 1, 2024) (U.S. Patent No. 9,318,160)
1095 [NEW]	Order Denying Director Review, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-01427 and -01428, Paper 52 (PTAB June 17, 2024)
1096 [NEW]	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2023-00454, Paper 45 (PTAB July 30, 2024) (U.S. Patent No. 11,093,417)
1097 [NEW]	Order Denying Director Review, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2023-00454, Paper 47 (PTAB Oct. 8, 2024) (U.S. Patent No. 11,093,417)
1098 [NEW]	Order Granting Motion to Stay, <i>Samsung Electronics Co., Ltd. et al. v. Netlist, Inc.</i> , No. 1:21-cv-01453 (D. Del. Dec. 1, 2023) (U.S. Patent No. 10,217,523)
1099 [NEW]	Order Granting Request for <i>Ex Parte</i> Reexamination, No. 90/015,449 (Nov. 21, 2025) (U.S. Patent No. 10,268,608)
1100 [NEW]	Complaint, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:21-cv-00463 (E.D. Tex. filed Dec. 20, 2021)
1101 [NEW]	Judgment Affirming Final Written Decision in IPR2022-00711, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2024-1521 (Fed. Cir. Dec. 9, 2025) (U.S. Patent No. 10,860,506)
1102 [NEW]	Notice of Institution of Investigation No. 337-TA-1472, 91 Fed. Reg. 155 (Jan. 2, 2026), available at <a href="https://www.federalregister.gov/documents/2026/01/02/2025-24146/certain-dynamic-random-access-memory-dram-devices-products-containing-the-same-and-components">https://www.federalregister.gov/documents/2026/01/02/2025-24146/certain-dynamic-random-access-memory-dram-devices-products-containing-the-same-and-components</a>
1103 [NEW]	Order No. 11: Initial Determination Amending the Target Date, <i>In the Matter of Certain Dynamic Random Access Memory (DRAM) Devices, Products Containing the Same, and Components Thereof</i> , Inv. No. 337-TA-1472 (USITC Jan. 27, 2026), available at <a href="https://edis.usitc.gov/external/search/advanced.html?invId=8817">https://edis.usitc.gov/external/search/advanced.html?invId=8817</a>
1104 [NEW]	Memorandum re: Director Institution of AIA Trial Proceedings (Oct. 17, 2025), available at <a href="https://www.uspto.gov/subscription-center/2025/director-institution-aia-trial-proceedings">https://www.uspto.gov/subscription-center/2025/director-institution-aia-trial-proceedings</a>

Exhibit #	Description
1105 [NEW]	Memorandum re: Interim Processes for PTAB Workload Management (Mar. 26, 2025), <i>available at</i> < <a href="https://www.uspto.gov/subscription-center/2025/uspto-issues-new-interim-process-concerning-institution-aia-proceedings">https://www.uspto.gov/subscription-center/2025/uspto-issues-new-interim-process-concerning-institution-aia-proceedings</a> >
1106 [NEW]	Memorandum re: Final Written Decision Procedures for AIA Trial Proceedings (July 29, 2025), <i>available at</i> < <a href="https://www.uspto.gov/subscription-center/2025/ptab-issued-memorandum-aia-trials">https://www.uspto.gov/subscription-center/2025/ptab-issued-memorandum-aia-trials</a> >
1107 [NEW]	Memorandum re: Guidance on USPTO’s recission of “Interim Procedure for Discretionary Denials in AIA Post-Grant Proceedings with Parallel District Court Litigation” (Mar. 24, 2025), <i>available at</i> < <a href="https://www.uspto.gov/patents/ptab/resources">https://www.uspto.gov/patents/ptab/resources</a> >
1108 [NEW]	Declaration of James Kiczek (SEA), <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:25-cv-00557 (E.D. Tex. Sept. 11, 2025)
1109 [NEW]	Declaration of Danny Kim (SSI), <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:25-cv-00557 (E.D. Tex. Sept. 11, 2025)
1110 [NEW]	Order determining Google is not a Real Party-in-Interest or Privy of Samsung, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00615, Paper 64 (PTAB July 13, 2023)
1111 [NEW]	Complaint for Declaratory Judgment, <i>Samsung Electronics Co., Ltd. and Samsung Semiconductor, Inc. v. Netlist, Inc.</i> , No. 1:25-cv-01453 (D. Del. filed Oct. 15, 2021)
1112 [NEW]	Samsung Semiconductor, About Us, <i>available at</i> < <a href="https://semiconductor.samsung.com/about-us/locations/us/">https://semiconductor.samsung.com/about-us/locations/us/</a> >
1113 [NEW]	Samsung Semiconductor, Inc., Articles of Incorporation in California (July 13, 1992), <i>available at</i> < <a href="https://bizfileonline.sos.ca.gov/search/business">https://bizfileonline.sos.ca.gov/search/business</a> >
1114 [NEW]	Samsung Semiconductor, Inc., California Statement of Information (Apr. 15, 2025), <i>available at</i> < <a href="https://bizfileonline.sos.ca.gov/search/business">https://bizfileonline.sos.ca.gov/search/business</a> >
1115 [NEW]	Samsung investments in the United States, <i>available at</i> < <a href="https://semiconductor.samsung.com/sas/company/taylor/">https://semiconductor.samsung.com/sas/company/taylor/</a> >
1116 [NEW]	U.S. Patent No. 10,025,731

Exhibit #	Description
1117 [NEW]	Samsung Electronics America, Inc. (“SEA”)’s Motion to Dismiss for Improper Venue, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:25-cv-00557 (E.D. Tex. Nov. 24, 2025)

Dated: January 29, 2026

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**CERTIFICATE OF SERVICE**

Pursuant to 37 C.F.R. § 42.6(e), I certify that on this 29th day of January, 2026, **Petitioner’s Updated Exhibit List and Exhibits 1087–1117** were served by email on the following counsel for Patent Owner:

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