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(54) **METHOD AND APPARATUS FOR CONTENT BASED AUTHENTICATION FOR NETWORK ACCESS**

(52) **U.S. Cl. .... 370/400; 709/224; 455/410**

(57) **ABSTRACT**

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A method and apparatus are provided for authenticating the contents of a device requesting access to a first network, such as an enterprise network. If a device has connected to at least one other network then the content of the device is evaluated prior to obtaining access. The scope of the content evaluation may be based, for example, on properties of the other network or on one or more defined content authentication rules. If a device attempts to access a network, the content of the device is evaluated and the device may be restricted to accessing only one or more restoration services if the content fails to satisfy one or more predefined criteria, such as a content item that is out of date or a determination that the device connected to one or more external networks. The restoration service(s) can update a content item that is out of date, reinstall one or more programs or return configuration settings to default values.

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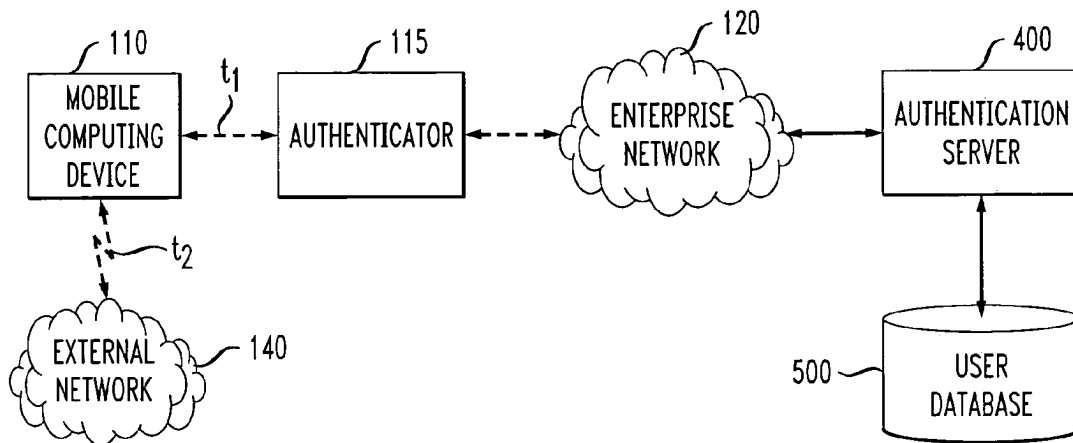


FIG. 1

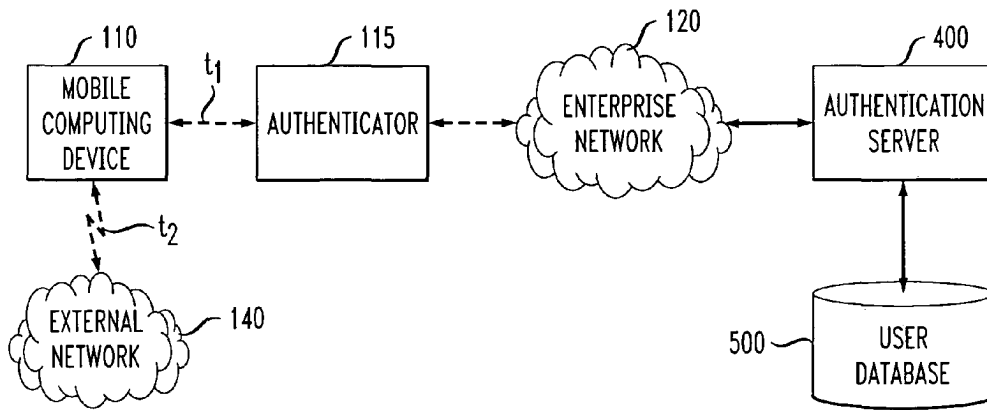


FIG. 2

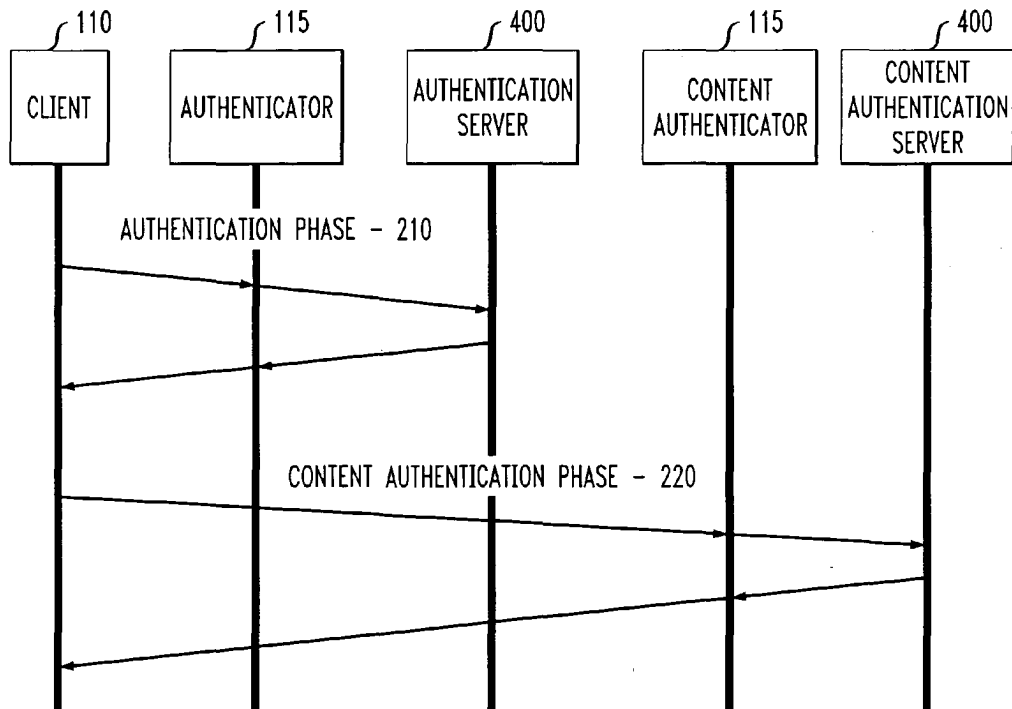


FIG. 3

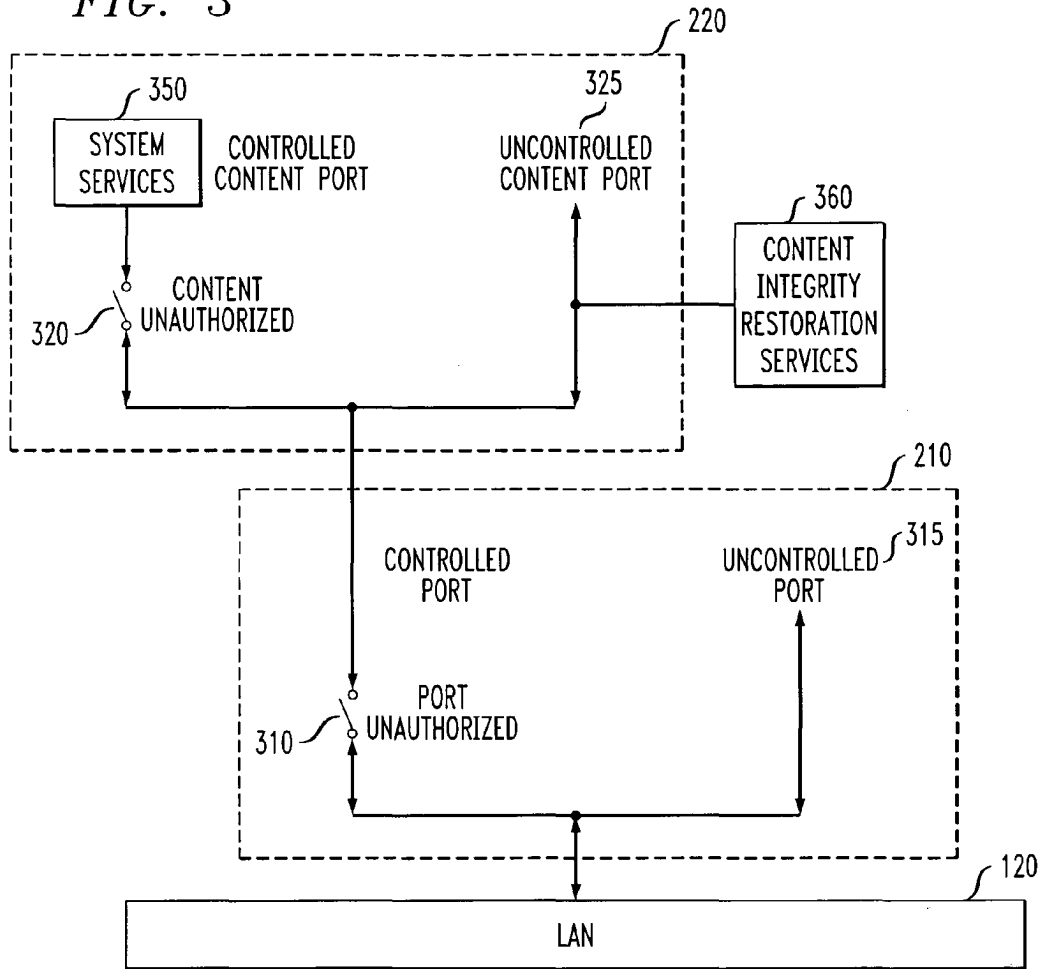


FIG. 4

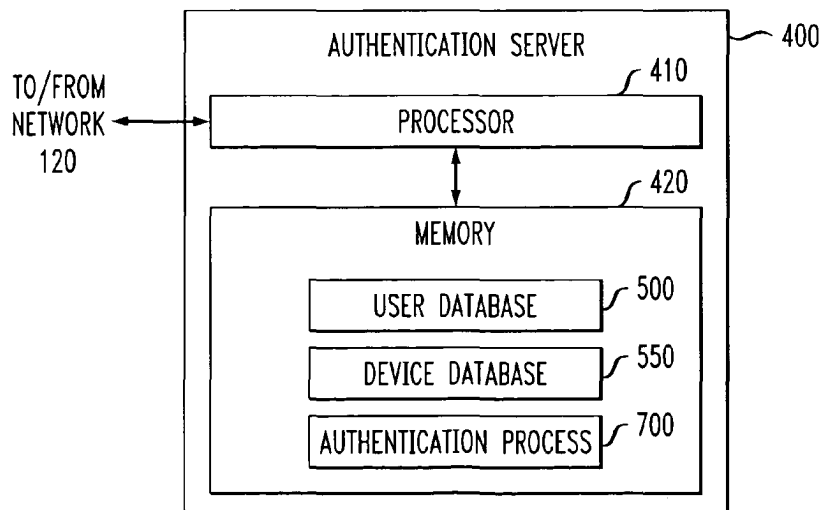


FIG. 5A

USER DATABASE - 500

	530	540
	USER NAME	ENCRYPTED PASSWORD
505	JOHN SMITH	251625...
510	⋮	⋮
515	BILL FRANKS	391432...

FIG. 5B

DEVICE DATABASE - 550

	570	580	590
	DEVICE IDENTIFIER	CONTENT AUTHENTICATION TOKEN	CONTENT AUTHENTICATION POLICY
555	JSMITH-LAPTOP	264765...	STRICT
560	⋮	⋮	⋮
565	LOBBY-PC5	422179...	DEFAULT

FIG. 6

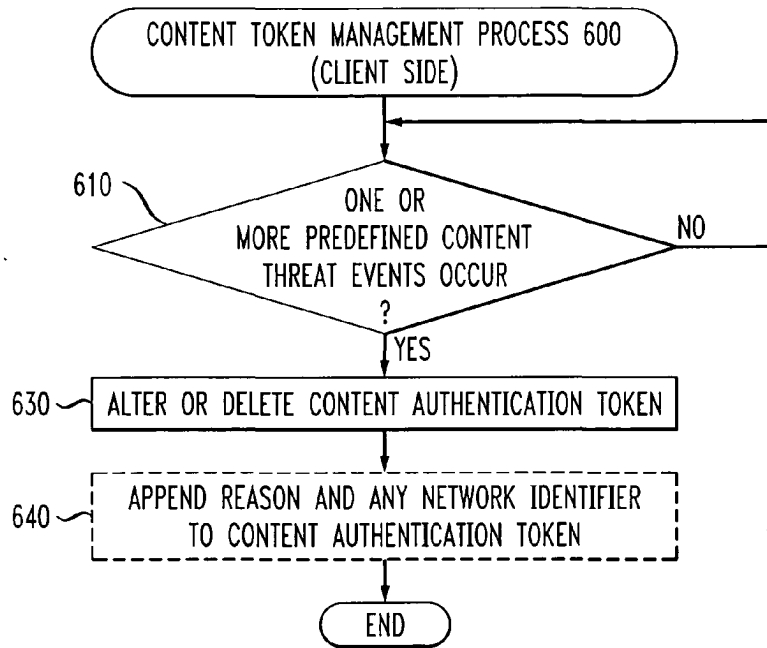
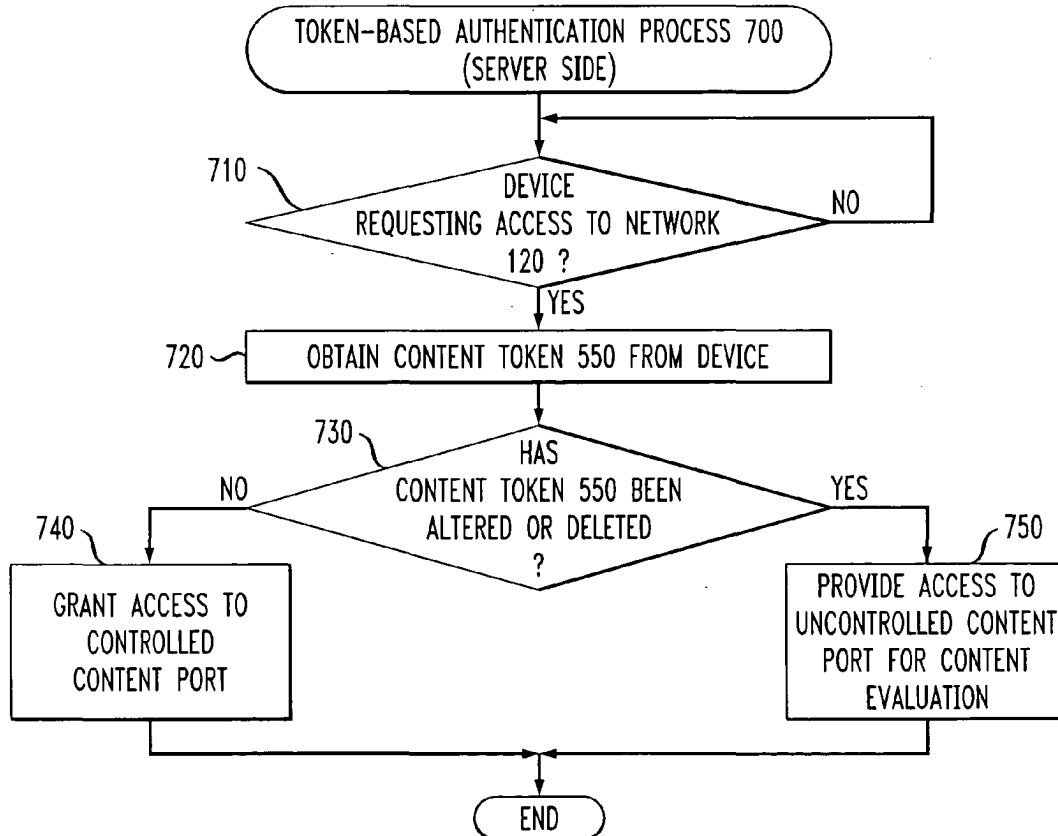


FIG. 7



**METHOD AND APPARATUS FOR CONTENT  
BASED AUTHENTICATION FOR NETWORK  
ACCESS**

**FIELD OF THE INVENTION**

[0001] The present invention relates generally to authentication techniques and more particularly, to methods and apparatus for authenticating a user or device using a content based authentication procedure.

**BACKGROUND OF THE INVENTION**

[0002] A number of security issues arise when computers or other resources are connected over a network. As networks and networked devices become increasingly popular, the security of such networks and network devices becomes even more important. Network designers and system administrators must establish security policies that provide a balance between ease-of-use for users while also protecting the networks and network devices from undesirable events. Most networks and network devices incorporate computer security techniques, such as access control mechanisms, to prevent unauthorized users from accessing the networks or network devices. User authentication is the process of verifying the identity of a user in a computer system, often as a prerequisite to allowing access to resources in the system.

[0003] A number of authentication protocols have been proposed or suggested to prevent unauthorized access to networks and networked devices. For example, in many network environments, a user must provide an appropriate password, to prove his or her authority. In addition, one-time, challenge-response passwords have been proposed as a mechanism for further increasing security. Generally, users are assigned a secret key, presumably known only to the user and the authentication host. The secret key may be stored, for example, on a pocket token or a computer-readable card. Upon attempting to access a desired resource, a random value, known as a "challenge," is issued to the user. The user then generates an appropriate "response" to the challenge by encrypting the received challenge with the user's secret key (read from the pocket token or computer-readable card), using a known encryption algorithm, such as the data encryption standard (DES). The user transmits the calculated response to the desired remote resource, and obtains access to the requested resource if the response is accurate. In order to ensure that the pocket token or computer-readable card is being utilized by the associated authorized user, the security may be supplemented by requiring the user to enter a memorized PIN (personal identification number) or password.

[0004] Typically, an enterprise network is considered to be the portion of the network that is "inside" the enterprise, i.e., the portion of the network that is protected from "outside" of the enterprise by firewalls and similar security applications. Mobile users (including users connecting through Virtual Private Network (VPN) connections into the enterprise) are fundamentally changing this paradigm. Future threats to an enterprise network will likely come from inside the network and specifically from the mobile devices and users that roam outside the enterprise network. Any network that a mobile device connects to has the potential of becoming the weak link in the enterprise security chain. An enterprise network manager must therefore be concerned

with a security lapse resulting in a few compromised devices that provide a hole or conduit for continued unauthorized access from outside of the enterprise network. Such compromised devices could, for example, deliberately open a connection to the outside world and allow the connection to be hijacked. It is not practical to check every connection originating from inside the enterprise, and restricting such connections excessively would impair ease-of-use principles. Further, strong security techniques to prevent man-in-the-middle attacks have the effect of making it difficult to monitor the content of communication.

[0005] A need therefore exists for a method and apparatus for authenticating the contents of a device requesting access to a network, optionally in addition to traditional authentication of the user or device.

**SUMMARY OF THE INVENTION**

[0006] Generally, a method and apparatus are provided for authenticating the contents of a device requesting access to a first network, such as an enterprise network. If a device has connected to at least one other network then the content of the device is evaluated prior to obtaining access. For example, the content may be evaluated if the device connected to at least one untrusted or unknown network. A prior connection to another network may be detected, for example, by determining if a token on the device has been altered or by logging an address of each network that the device accesses.

[0007] The scope of the content evaluation may be based, for example, on properties of the other network or on one or more defined content authentication rules. For example, the integrity of the content of a device may be ensured by performing a virus scan. In another variation, the integrity of the content of a device may be restored by reinstalling one or more programs or returning configuration settings to default values.

[0008] According to another aspect of the invention, a method and apparatus are provided for evaluating a device connecting to a network. If a device attempts to access a network, the content of the device is evaluated and the device may be restricted to accessing only one or more restoration services if the content fails to satisfy one or more predefined criteria. For example, the predefined criteria can include (i) a content item that is out of date; or (ii) a determination that the device connected to one or more external networks. The restoration service(s) can update a content item that is out of date, reinstall one or more programs or return configuration settings to default values.

[0009] A more complete understanding of the present invention, as well as further features and advantages of the present invention, will be obtained by reference to the following detailed description and drawings.

**BRIEF DESCRIPTION OF THE DRAWINGS**

[0010] **FIG. 1** illustrates a network environment in which the present invention can operate;

[0011] **FIG. 2** illustrates an exchange of messages between the entities of **FIG. 1** in accordance with the content authentication framework of the present invention;

[0012] **FIG. 3** illustrates a logical process of content authentication phase in accordance with the present invention following a conventional authentication phase;

[0013] FIG. 4 is a schematic block diagram illustrating the authentication server of FIG. 1 in further detail;

[0014] FIGS. 5A and 5B are sample tables from an exemplary user database and device database, respectively, of FIG. 4;

[0015] FIG. 6 is a flow chart describing an exemplary implementation of a content token management process performed by the client device of FIG. 1 and incorporating features of the present invention; and

[0016] FIG. 7 is a flow chart describing an exemplary implementation of an authentication process of FIG. 4 incorporating features of the present invention.

#### DETAILED DESCRIPTION

[0017] FIG. 1 illustrates the network environment in which the present invention can operate. As shown in FIG. 1, a user employing a mobile computing device 110 attempts to access a network 120, such as an enterprise network, or a device or other resource connected to the network 120. According to one aspect of the invention, the user employing the mobile computing device 110 is challenged by an authentication server 400, discussed further below in conjunction with FIG. 4. The authentication server 400 may be associated, for example, with an enterprise or another network where network security is provided. While the present invention is illustrated in the context of an exemplary enterprise network 120, the present invention applies to many network environments where a network security policy is administered. Furthermore, while the user of a mobile device 110 will more likely take advantage of a wireless local area network than a wired network, the content authentication techniques of the present invention are beneficial in both wired and wireless networks.

#### Security of Wireless Networks

[0018] Mobile users increasingly rely on wireless local area networks. The most popular standard for wireless local area networks is IEEE 802.11. It is noted that the emphasis in wireless network security has been on making such networks at least as secure as wired networks, in particular, protecting against man-in-the-middle attacks. This is due to the nature of the wireless medium that allows a hacker to easily monitor and inject traffic.

[0019] The original IEEE 802.11 standard, described in IEEE 802.11, "IEEE Standards for Information Technology—Telecommunications and Information Exchange between Systems—Local and Metropolitan Area Network—Specific Requirements—Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications," <http://standards.ieee.org/getieee802/802.11.html> (2001), only provided elementary support for authentication and privacy. For authentication, two modes were defined, namely Open System and Shared Key modes. Generally, the Open System mode allows any client to connect to the network and hence provides no authentication at all. The Shared Key mode authenticates a station if this station and the access point share a secret key (the WEP key). As for privacy, the 802.11 standard defined an encryption mechanism called Wired Equivalent Privacy (WEP) that relied on using the RC4 encryption algorithm (Ron's Code 4—RSA Variable-Key-Size Encryption Algorithm by Ron Rivest).

[0020] The mechanisms provided by the IEEE 802.11 standard for authentication and privacy, however, fall short in many respects. First, the standard assumed that the shared key needed for both authentication and privacy were distributed through some secure channel that was not part of the standard. While in theory such mechanisms exist, most if not all 802.11 drivers would require this key to be entered manually. Moreover, the shared key of all stations in such a network was identical. These factors made administration and management of such a network extremely difficult. Furthermore, significant flaws in the WEP encryption algorithm were detected allowing attackers to decipher encrypted frames and eavesdrop, to disrupt the operation of such a network and to gain unauthorized access into a wireless network.

[0021] In response to these challenges, the standards body formed a working group, 802.11i that is currently developing a specification for enhanced security. In addition, several companies have developed proprietary solutions countering the security threats of wireless networks.

[0022] While other mechanisms, such as Virtual Private Networks (VPNs) on top of the current 802.11 standard, are sometimes used as well, the working group and, in anticipation of the emerging standard, many vendors, have focused on mechanisms for authentication that are based on the IEEE 802.1x port-based access control mechanisms standard. See, IEEE 802.1X-2001, "IEEE Standards for Local and Metropolitan Area Networks: Port-Based Network Access Control," <http://standards.ieee.org/getieee802/802.1.html> (2001). The 802.1x standard itself does not specify an authentication mechanism but allows for the use of any such mechanism that uses the Extensible Authentication Protocol (EAP).

[0023] In addition, some provisions need to be made in addition to 802.1x in order to deal with the specifics of the wireless shared medium. A few new EAP types have been suggested for wireless local networks, such as EAP-TTLS, EAP-LEAP and EAP-PEAP. Most of the new EAP types use Transport Layer Security (TLS) in one way or another. These authentication mechanisms are also used to automatically derive per-user session keys for encryption of frames transmitted over the wireless medium. Using these keys in conjunction with new encryption algorithms, such as the advanced encryption standard (AES), Advanced Encryption Standard (AES), Federal Information Processing Standard 197, <http://csrc.nist.gov/publications/fips/fips-197/fips-197.pdf> (Nov. 26, 2001), and mechanisms to prevent message forging, replays and other forms of attacks, the security of wireless local area networks can be appropriately ensured.

[0024] Once these standards are adopted, clients and APs can mutually authenticate each other. Furthermore, since the wireless communication can be protected from eavesdropping and other risks, wireless local area networks can be used in enterprises without significant additional security risks.

[0025] Typically, wired machines and wired (ethernet) jacks in an enterprise, collectively referred to as "open jacks," are considered secure for access purposes. Although mobile (wired) machines could be connected to open jacks, there is an element of physical security, since a user needs physical access to the open jack to connect the mobile device. Technically, an unauthorized router (or network

address translation router) could be connected to such jacks; however, providing open access at the other end of such an unauthorized router in a wired way is difficult to arrange. With the increasing use of cheap wireless devices and wireless routers, such open jacks are becoming a security issue. The problem is being exacerbated when the routing devices present an open wireless access with weak or no encryption and authentication to devices and route data to the intranet. Currently, wireless sniffing devices are being used to detect such unauthorized wireless access points. Protocols such as 802.1X can also be implemented to authenticate devices such as routers and switches.

[0026] As previously indicated, a number of problems arise from the mobility of client devices, such as mobile computing device 110. Mobile devices 110 passing the boundary of the enterprise network 120 and connecting to a network 140 that is "external" to the enterprise network 120 have essentially left the realm of network administration in the enterprise and are therefore no longer protected by measures taken in the enterprise network 120 to prevent attacks such as, e.g., a firewall or the blocking of certain web pages. While only operating the device 110 in a VPN-tunnel mode to the enterprise network 120 would mitigate some of these problems, this approach is not always feasible as it may increase response times or as VPN traffic may not be allowed in the external network 140 that the mobile device 110 uses. Moreover, the device 110 is prone to attacks before and after the tunnel is established. Thus, the mobile device 110 is dependent on its own protection measures as well as the measures taken by the operator of the external network 140. It is noted that the mobile device 110 connects to the enterprise network 120 and external network 140 at different times,  $t_1$  and  $t_2$ .

[0027] While a mobile device 110 could be restricted to connecting only to Access Points (APs) that can present a certificate proving a trusted network provider operates them or a certificate proving that a trusted auditor has audited the network to meet certain security standards, it is not very likely that such certificates will be available in all networks. For example, consider a conference or trade show with free wireless network access for everyone and no authentication mechanism set up or consider a network that uses 802.11i mechanisms but does not present a certificate signed by a trusted certificate authority (CA). Connecting to such a network may pose dangers, for instance, because other users in this network have malicious intentions. It is also conceivable that a malicious access point could be set up in a public environment that would allow connecting to the Internet while attempts to hack into connected devices are made. The use of MAC-layer encryption to protect privacy in such cases also falls short with respect to protecting against eavesdropping as all frames are decrypted in the AP and the traffic may be snooped in the wired part of the network.

[0028] In short, when connecting to an external network 140, it cannot be assumed that the network is safe to connect to. For user convenience, connectivity should not be limited to trusted networks or VPN connections only as this would severely constrain usability. If restricting network connectivity to trusted networks only is not an option, then precautions must be taken to mitigate the consequences of potential security breaches and hacks into mobile devices. In

particular, a client-sided firewall and a virus scanner should be active at all times that the machine is connected to these untrusted networks 140.

[0029] Different configurations of such tools may be used in different network regions, allowing for a range of levels of connectivity (e.g., from full networking to just using HTTP) depending on the threat posed by the external network 140. However, these measures may only mitigate risks to a certain extent. Devices 110 can still get compromised in untrusted areas. Moreover, a device 110 may not know what risk a network 140 poses at the time the device 110 connects to the external network 140. This information may only become available after contacting to a server in the enterprise network 120 or elsewhere in the Internet.

[0030] A hacker compromising the security of a mobile client device 110 may gain access to information stored on the device and misuse this device 110 posing a severe threat. However, from an enterprise security perspective, this threat is magnified by orders of magnitude if such a compromised device 110 is allowed to connect to the enterprise network 120 (either through a VPN or through a direct connection). In this case, many of the enterprise protection mechanisms such as firewalls are bypassed and the compromised device could infect other machines in the enterprise network 120 as well.

#### Content Authentication Paradigm

[0031] The present invention provides content authentication as an additional line of defense for mobile devices 110 and enterprise networks 120. As discussed further below, when a device 110 connects to the enterprise network 120, an additional authentication mechanism is used that authenticates the contents of the device 110. This content authentication may either be direct, e.g., by running a program that verifies the content, or indirect, e.g., by proving that the device has not connected to an untrusted network. Furthermore, the device 110 may record all external networks 140 that it has connected to and unusual activities in such networks 140. The authentication server 400 may then trigger countermeasures against potential risks that can range from not connecting the device to the enterprise network 120 to admitting access without additional checks.

[0032] Content Authentication Framework

[0033] FIG. 2 illustrates an exchange of messages between the various entities shown in FIG. 1 in accordance with the content authentication framework of the present invention. As shown in FIG. 2, during an initial authentication phase 210, conventional authentication mechanisms, such as an EAP-scheme in the IEEE 802.1x-framework, are employed. If authentication is successful, a second content authentication phase 220 is entered. Analogously to the authentication phase 210, the content authentication phase 220 happens between the client 110 and a content authenticator 115, such as the enterprise associated with the enterprise network 120, which in turn uses the services of a content authentication server 400 in order to verify that the content of the client machine 110 is not compromised.

[0034] While the exemplary embodiment performs both the conventional authentication phase 210 and the content authentication phase 220 using the same authentication server 400, discussed below in conjunction with FIG. 4, two

or more independent servers could be employed. Furthermore, the authenticator **115** for the conventional authentication phase **210** and the content authenticator phase **220** may reside on different network entities or on the same network device. In addition, while the authentication and content authentication tasks are split into two different phases **210**, **220** in the exemplary implementation shown in **FIG. 2**, it is also possible that these two phases are combined in a single phase authenticating a client **110** and its content. It is further noted that a conventional authentication phase **210** is not a prerequisite for a content authentication phase **220** in accordance with the present invention.

[0035] **FIG. 3** illustrates the logical process of content authentication **220** after a conventional authentication **210**, such as an authentication in accordance with the 802.1X standard. As shown in **FIG. 3**, the first authentication phase **210** authenticates the client **110**. The authentication phase **210** includes a logical port switch **310** that determines whether or not a user or device can access the network **120**. Until a user or device is authenticated, the logical port switch **310** only provides access to an uncontrolled port **315**. After a successful authentication, the controlled port switch **310** closes and the client **110** has access to the controlled content port.

[0036] The content authentication phase **220** includes a logical port switch **320** that determines whether or not a user or device can access the network **120**. Until the content of a device **110** is authenticated, the logical port switch **320** only provides access to an uncontrolled content port **325**. After a successful content authentication, the content authentication switch **320** closes and system services **350** associated with the controlled content port can be used.

[0037] Intuitively, there is a difference between a failed authentication and a failed content authentication. If authentication fails, the client machine **110** failed to present credentials to verify that the mobile device **110** should gain access to the network. Apart from debugging or initial set-up, it is clear that a device **110** failing to authenticate in this phase **210** should not be granted access to “fix” this problem as excluding it from the network **120** was a deliberate act. If the second phase **220** fails, however, it is clear that the client device **110** is in general welcome to use system services **350**. Yet, as there is some problem with the content of the device **110**, the device **110** cannot be granted access right away but additional measures have to be taken by a content integrity restoration service **360** to ensure the integrity of the content of the device **110**.

[0038] If a client device **110** was compromised, restoring the content of the machine into a state such that the device **110** can be authenticated again (if possible at all) may require a “cleanup-operation” that may require the interaction of the client **110** with some server in the network **120**. Hence, even if the content authentication phase **220** fails, some content integrity restoration services **360** may be available to the client **110**, as shown in **FIG. 3** and discussed further below in conjunction with **FIG. 7**. It is noted that only frames necessary for content restoration can be exchanged until the content of the client device **110** is cleaned and the client device **110** is authenticated; standard packet filtering techniques can ensure that only such frames are admitted into the network **120**.

[0039] **FIG. 4** is a schematic block diagram of an exemplary authentication server **400** incorporating features of the

present invention. The authentication server **400** may be any computing device, such as a personal computer, work station or server. As shown in **FIG. 4**, the exemplary authentication server **400** includes a processor **410** and a memory **420**, in addition to other conventional elements (not shown). The processor **410** operates in conjunction with the memory **420** to execute one or more software programs. Such programs may be stored in memory **420** or another storage device accessible to the authentication server **400** and executed by the processor **410** in a conventional manner.

[0040] For example, as discussed below in conjunction with **FIGS. 5A**, **5B** and **6**, the memory **420** may store a user database **500**, a device database **550** and a token-based authentication process **700**. Generally, the user database **500** records authentication information for each authorized user and the device database **550** records authentication information for each authorized device. The authentication process **700** employs a content-based authentication protocol incorporating features of the present invention to authenticate a user or device.

[0041] **FIG. 5A** is a sample table from an exemplary user database of **FIGS. 1** and **4**. The user database **500** records authentication information for each authorized user. As shown in **FIG. 5A**, the user database **500** consists of a plurality of records, such as records **505-515**, each associated with a different authorized user. For each authorized user, the user database **500** identifies the user in field **530**, and the corresponding password (or alternate response to a challenge) in field **540**.

[0042] **FIG. 5B** is a sample table from an exemplary device database of **FIG. 4**. The device database **550** records authentication information for each authorized device. As shown in **FIG. 5B**, the device database **550** consists of a plurality of records, such as records **555-565**, each associated with a different authorized device. For each authorized device, the device database **550** identifies the device in field **570**, and a corresponding content authentication token in field **580**, discussed further below in a section entitled “Token Scheme for Triggering Content Authentication.” In addition, the device database **550** optionally includes a field for identifying a content authentication policy for the corresponding device in field **590**. For example, the content authentication policy identified in field **590** may be a label, such as “strict,” “default” or “less restrictive,” that identifies a set of applicable content authentication rules that evaluate the content of a device to varying degrees. The applicable content authentication rules may also vary, for example, based on the perceived risk associated with various external networks that a given device accessed.

[0043] Token Scheme for Triggering Content Authentication

[0044] In one implementation, the content authentication performed during the content authentication phase **220** employs an uncompromised token approach (UTA) that uses an indirect method of authenticating the contents of a device **110**. Suppose that the device **110** and the authentication server **400** share a secret that was established after the last successful content authentication with the server **400**. In the following, this secret is referred to as a content authentication token. When a security alert event on the device **110** occurs, this content authentication token is deleted or altered on the device **110**. During the next content authentication

with the server 400, the server 400 will detect the absence of the content authentication token as it is used in a challenge-response scheme. The absence of the content authentication token indicates that the device 110 was potentially compromised. In other words, the presence of the content authentication token is a signal that no security flags on the device have been raised.

[0045] Client-Side Content Token Processing

[0046] FIG. 6 is a flow chart describing an exemplary implementation of a content token management process 600 performed by each client device 110 of FIG. 1 in order to maintain the content token 550 in accordance with one embodiment of the present invention. For example, the following exemplary security alert may trigger an alteration or deletion of the content authentication token 550 associated with a given device 110. The first example is the operation of the device 110 in an external network 140 that is untrusted, referred to herein as an untrusted network zone. Generally, the content token management process 600 continuously monitors the environment of the device 110 to determine if one or more predefined conditions occur that require the alteration or deletion of the content authentication token 550. If the content authentication token 550 is altered or deleted by a given device 110, the altered or deleted token will be detected by the authentication process 700, discussed below in conjunction with FIG. 7, performed by the authentication server 400 the next time the device 110 attempts to access the home network 120.

[0047] In the exemplary embodiment, one or more predefined conditions can trigger the alteration or deletion of the content authentication token 550, such as the connection of the device 110 to an unknown or untrusted network 140, or a virus alert or the disabling or expiration of a virus scanner on the device 110. As shown in FIG. 6, a test is performed during step 610 until one or more predefined conditions are detected to trigger the alteration or deletion of the content authentication token 550. For example, when a device 110 attempts to connect to a new network zone, the content token management process 600 on the device 110 detects the new network connection during step 610 and determines whether a trusted network provider operates this zone. If a trusted network provider does not operate the zone, or if another predefined condition is detected, such as a virus alert, disabling or expiration of a virus scanning program, the content authentication token is altered or deleted during step 630. If the content authentication token is altered during step 630, the alteration may optionally include a reason for the alteration signed by using the content authentication token, as well as an identifier of the network, that can be recorded by the content token management process 600 in a tamper-proof way during step 640.

[0048] As discussed further below in conjunction with FIG. 7, after being connected to the home network 120, the device 110 ships the signed ID of the network back to the enterprise content authentication server 400, where the ID can be checked against an extensive list of trusted networks. Therefore, each device 110 need not have an extensive local database of trusted networks, and the content authenticator may reissue a content authentication token without forcing content authentication. This scheme can be extended so that the client 10 can record the ID of multiple networks by morphing its content authentication token in a deterministic

way so that the server 400 can recreate the morphed content authentication token and verify the validity of the networks that the client connected to.

[0049] The content authentication token framework can and the token scheme be implemented with a trusted program (or a set of trusted programs) running on the client device 110. The trusted program can be provided, for example, on a Smart Card, driver or run inside a secure portion of the device 110. See, for example, The Trusted Computing Platform Alliance, <http://www.trustedcomputing.org>. This trusted program may require both hardware and software methods to ensure that it cannot be compromised, and can use existing techniques for its implementation. This secure program can participate in the challenge/response protocol for content authentication. A challenge could, for example, be a list of files and one-time chosen start and end segments within these files. The program could generate, for example, a Message Digest 5 (MD5) signature out of the challenged file segments and send an encrypted version of this signature to authenticate its contents. Various optimizations can be done that, for example, check files based on their time of update. The one-time challenge/response nature of the content authentication process in conjunction with the trusted nature of the verification program ensures it from attacks including replays and infections.

[0050] Server-Side Content Token Processing

[0051] FIG. 7 is a flow chart describing an exemplary implementation of an exemplary token based authentication process 700 that is performed by the authentication server 400 of FIG. 4. As previously indicated, the exemplary authentication process 700 employs a content-based authentication protocol incorporating features of the present invention to authenticate a device 110. As shown in FIG. 7, the authentication process 700 performs a test during step 710 until a device 110 requesting to access the network 120 is detected. Initially, when a device 110 connects back to its home network 120, the authentication process 700 checks the device 110 for the content authentication token 550 during step 720. A test is performed during step 730 to determine if the content authentication token 550 is value. If it is determined during step 730 that the device 110 presents a valid content authentication token, then the device 110 is allowed to access the network 120 during step 740.

[0052] If, however, it is determined during step 730 that the device 110 presents an altered content authentication token or cannot present the content authentication token at all, then the device 110 has been in a network zone that was not deemed trustworthy (or there has been a problem with the virus checker in the exemplary embodiment) and steps to ensure or restore the integrity of the content of the device must be taken during step 750. For example, if it is determined during the 730 that one or more installed software programs, such as a virus scan product, are not up to date, the device 110 may be limited to only accessing the restoration service 360 until the programs are updated.

[0053] For example, the integrity of the content may be ensured during step 750 by performing a virus scan. The scope or degree of the virus scan may optionally be varied based on information that may be known about the external network(s) 140 to which the device 110 connected. For example, if a device 110 connected to a network 140 that is known to be a significant risk, the device 110 may be

required to undergo an extensive virus scan or even a scan to identify all files that have been altered. In another variation, the integrity of the content may be restored during step 750, for example, by reinstalling one or more programs or returning configuration settings to default values.

[0054] In yet another variation, the scope or degree of the steps undertaken to ensure or restore the integrity of the content may vary dependent upon patterns of behavior of the user or device 110. For example, if a given user frequently connects to a network at his or her residence, then perhaps a minimal virus scan is performed, if any. If unusual behavior is detected, for example, for a user or device that normally does not connect to external networks 140, then a more rigorous evaluation and restoration procedure may be appropriate.

[0055] As alternative to the token based implementation described above, the network addresses of each of the external networks 140 accessed by a device 110 may be captured and logged by a server, such as the server 400. In this manner, when the device 110 connects to the home network 120, the logged addresses can be evaluated to determine if the device 110 connected to any suspicious or unknown networks. The address of each of the accessed external networks 140 can be obtained, for example, by requiring the client device 110 to forward the source address of each external network 140 to the server 400. It is noted that port based access control mechanisms that have authentication between peers, such as the IEEE 802.1x access control mechanism, provides a mechanism for the client to identify each network that it connects to. Again, the hardware and software mechanisms used to implement the logging of the network addresses of the external networks 140 can be implemented using tamper-resistant techniques.

[0056] Strength of the Content Authentication Token Scheme

[0057] A benign user is a user that fully complies with enterprise security policies and does not try to work around security measures that are deemed inconvenient. For such a benign user, the disclosed content authentication token scheme works reliably even if the device is not equipped with a trusted component, such as a smart card.

[0058] An authenticated renegade is a user that is authorized to use the network and who wants to use the network for legitimate purposes. For the sake of his or her convenience or adventure, however, the authenticated renegade may defy corporate security measures once in a while. For such a authenticated renegade user, the content authentication token scheme works reliably if the device 10 in question is equipped with a trusted component. If this is not the case, the user may circumvent the content authentication token-based approach by attempts to restore the content authentication token after its deletion and alteration. To prevent this, countermeasures need to be taken and the content authentication token should be stored in a way that makes restoration difficult (and less convenient as compared to going through a content-based authentication; e.g., not store the content authentication token in a simple file in the file system).

[0059] A malicious user may misuse the machine in many ways without compromising the content of the machine at all. While the content authentication token scheme may also

have some applications in this area, conventional approaches to network security such as intrusion detection appear more promising as the content authentication paradigm is intended to help with authorized users that unknowingly and unwillingly operated a device that became (potentially) compromised. In other words, the problem of protecting networks from machines that may be carriers of unauthorized content is addressed, not the problem of detecting malicious users.

[0060] Article of Manufacture and System Considerations

[0061] As is known in the art, the methods and apparatus discussed herein may be distributed as an article of manufacture that itself comprises a computer readable medium having computer readable code means embodied thereon. The computer readable program code means is operable, in conjunction with a computer system, to carry out all or some of the steps to perform the methods or create the apparatuses discussed herein. The computer readable medium may be a recordable medium (e.g., floppy disks, hard drives, compact disks, or memory cards) or may be a transmission medium (e.g., a network comprising fiber-optics, the world-wide web, cables, or a wireless channel using time-division multiple access, code-division multiple access, or other radio-frequency channel). Any medium known or developed that can store information suitable for use with a computer system may be used. The computer-readable code means is any mechanism for allowing a computer to read instructions and data, such as magnetic variations on a magnetic media or height variations on the surface of a compact disk.

[0062] The computer systems and servers described herein each contain a memory that will configure associated processors to implement the methods, steps, and functions disclosed herein. The memories could be distributed or local and the processors could be distributed or singular. The memories could be implemented as an electrical, magnetic or optical memory, or any combination of these or other types of storage devices. Moreover, the term "memory" should be construed broadly enough to encompass any information able to be read from or written to an address in the addressable space accessed by an associated processor. With this definition, information on a network is still within a memory because the associated processor can retrieve the information from the network.

[0063] It is to be understood that the embodiments and variations shown and described herein are merely illustrative of the principles of this invention and that various modifications may be implemented by those skilled in the art without departing from the scope and spirit of the invention.

We claim:

1. A method for authenticating a device connecting to a first network, comprising:

determining if said device connected to at least one other network; and

evaluating a content of said device based on whether said device connected to at least one other network.

2. The method of claim 1, wherein said determining step further comprises the step of determining if said device connected to at least one untrusted network.

3. The method of claim 1, wherein said determining step further comprises the step of determining if said device connected to at least one unknown network.

4. The method of claim 1, wherein said determining step further comprises the step of determining if a token on said device has been altered.

5. The method of claim 1, wherein said determining step further comprises the step of logging an address of each network that said device accessed.

6. The method of claim 1, wherein a scope of said evaluating step is based on properties of said at least one other network.

7. The method of claim 1, wherein a scope of said evaluating step is based on one or more defined content authentication rules.

8. The method of claim 1, wherein said evaluating step further comprises the step of performing a virus scan.

9. An apparatus for authenticating a device connecting to a first network, comprising:

a memory; and

at least one processor, coupled to the memory, operative to:

determine if said device connected to at least one other network; and

evaluate a content of said device based on whether said device connected to at least one other network.

10. The apparatus of claim 9, wherein said processor is further configured to determine if a token on said device has been altered.

11. The apparatus of claim 9, wherein said processor is further configured to evaluate a log of addresses of each network that said device accessed.

12. The apparatus of claim 9, wherein a scope of said evaluating step is based on properties of said at least one other network.

13. The apparatus of claim 9, wherein said processor is further configured to control a scope of said evaluation based on one or more defined content authentication rules.

14. A method for evaluating a device connecting to a network, comprising:

evaluating a content of said device upon a request to access said network; and

restricting access of said device to one or more restoration services if said content fails to satisfy one or more predefined criteria.

15. The method of claim 14, wherein said one or more predefined criteria includes a content item that is out of date.

16. The method of claim 14, wherein said one or more restoration service updates a content item that is out of date.

17. The method of claim 14, wherein said one or more predefined criteria includes a determination that said device connected to one or more external networks.

18. An apparatus for evaluating a device connecting to a network, comprising:

a memory; and

at least one processor, coupled to the memory, operative to:

evaluate a content of said device upon a request to access said network; and

restrict access of said device to one or more restoration services if said content fails to satisfy one or more predefined criteria.

19. The apparatus of claim 18, wherein said one or more predefined criteria includes a content item that is out of date.

20. The apparatus of claim 18, wherein said one or more restoration service updates a content item that is out of date.

21. The apparatus of claim 18, wherein said one or more predefined criteria includes a determination that said device connected to one or more external networks.

22. The apparatus of claim 18, wherein said one or more restoration service reinstalls one or more programs.

23. The apparatus of claim 18, wherein said one or more restoration service returns one or more configuration settings of said device to default values.

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