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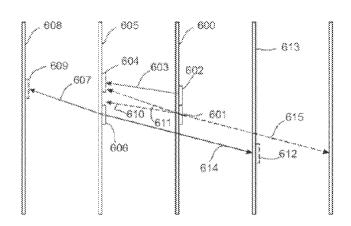
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# (54) Title: METHOD FOR VIDEO CODING AND AN APPARATUS



(57) Abstract: The invention relates to a method for encoding, a method for decoding, an apparatus, computer program products, an encoder and a decoder for video information. The motion vector for a block in a video image is predicted from a set of motion vector prediction candidates determined based on previously-coded motion vectors. A motion vector prediction candidate is included in the set based on the location of the block associated with the first spatial motion vector prediction candidate and in comparison with motion vector prediction candidates already in the set.

Fig. 6b

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TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW,  $\_$  ML, MR, NE, SN, TD, TG).

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# METHOD FOR VIDEO CODING AND APPARATUS

#### **TECHNICAL FIELD**

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There is provided a method for encoding, a method for decoding, an apparatus, computer program products, an encoder and a decoder.

## **BACKGROUND INFORMATION**

This section is intended to provide a background or context to the invention that is recited in the claims. The description herein may include concepts that could be pursued, but are not necessarily ones that have been previously conceived or pursued. Therefore, unless otherwise indicated herein, what is described in this section is not prior art to the description and claims in this application and is not admitted to be prior art by inclusion in this section

A video codec may comprise an encoder which transforms input video into a compressed representation suitable for storage and/or transmission and a decoder that can uncompress the compressed video representation back into a viewable form, or either one of them. The encoder may discard some information in the original video sequence in order to represent the video in a more compact form, for example at a lower bit rate.

Many hybrid video codecs, operating for example according to the International Telecommunication Union's ITU-T H.263 and H.264 coding standards, encode video information in two phases. In the first phase, pixel values in a certain picture area or "block" are predicted. These pixel values can be predicted, for example, by motion compensation mechanisms, which involve finding and indicating an area in one of the previously encoded video frames (or a later coded video frame) that corresponds closely to the block being coded. Additionally, pixel values can be predicted by spatial mechanisms which involve finding and indicating a spatial region relationship, for example by using pixel values around the block to be coded in a specified manner.

Prediction approaches using image information from a previous (or a later) image can also be called as Inter prediction methods, and prediction approaches using image information within the same image can also be called as Intra prediction methods.

The second phase is one of coding the error between the predicted block of pixels and the original block of pixels. This may be accomplished by transforming the difference in pixel values using a specified transform. This transform may be e.g. a Discrete Cosine Transform (DCT) or a variant thereof. After transforming the difference, the transformed difference may be quantized and entropy encoded.

By varying the fidelity of the quantization process, the encoder can control the balance between the accuracy of the pixel representation, (in other words, the quality of the pixture) and the size of the resulting encoded video representation (in other words, the file size or transmission bit rate).

The decoder reconstructs the output video by applying a prediction mechanism similar to that used by the encoder in order to form a predicted representation of the pixel blocks (using the motion or spatial information created by the encoder and stored in the compressed representation of the image) and

prediction error decoding (the inverse operation of the prediction error coding to recover the quantized prediction error signal in the spatial domain).

After applying pixel prediction and error decoding processes the decoder combines the prediction and the prediction error signals (the pixel values) to form the output video frame.

The decoder (and encoder) may also apply additional filtering processes in order to improve the quality of the output video before passing it for display and/or storing as a prediction reference for the forthcoming frames in the video sequence.

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In some video codecs, such as High Efficiency Video Coding Working Draft 4, video pictures may be divided into coding units (CU) covering the area of a picture. A coding unit consists of one or more prediction units (PU) defining the prediction process for the samples within the coding unit and one or more transform units (TU) defining the prediction error coding process for the samples in the coding unit. A coding unit may consist of a square block of samples with a size selectable from a predefined set of possible coding unit sizes. A coding unit with the maximum allowed size can be named as a largest coding unit (LCU) and the video picture may be divided into non-overlapping largest coding units. A largest coding unit can further be split into a combination of smaller coding units, e.g. by recursively splitting the largest coding unit and resultant coding units. Each resulting coding unit may have at least one prediction unit and at least one transform unit associated with it. Each prediction unit and transform unit can further be split into smaller prediction units and transform units in order to increase granularity of the prediction and prediction error coding processes, respectively. Each prediction unit may have prediction information associated with it defining what kind of a prediction is to be applied for the pixels within that prediction unit (e.g. motion vector information for inter predicted prediction units and intra prediction directionality information for intra predicted prediction units). Similarly, each transform unit may be associated with information describing the prediction error decoding process for samples within the transform unit (including e.g. discrete cosine transform (DCT) coefficient information). It may be signalled at coding unit level whether prediction error coding is applied or not for each coding unit. In the case there is no prediction error residual associated with the coding unit, it can be considered there are no transform units for the coding unit. The division of the image into coding units, and division of coding units into prediction units and transform units may be signalled in the bitstream allowing the decoder to reproduce the intended structure of these units.

In some video codecs, motion information is indicated by motion vectors associated with each motion compensated image block. These motion vectors represent the displacement of the image block in the picture to be coded (in the encoder) or decoded (at the decoder) and the prediction source block in one of the previously coded or decoded images (or pictures). In order to represent motion vectors efficiently, motion vectors may be coded differentially with respect to block specific predicted motion vector. In some video codecs, the predicted motion vectors are created in a predefined way, for example by calculating the median of the encoded or decoded motion vectors of the adjacent blocks.

Another way to create motion vector predictions is to generate a list or a set of candidate predictions from blocks in the current frame and/or co-located or other blocks in temporal reference

pictures and signalling the chosen candidate as the motion vector prediction. A spatial motion vector prediction is a prediction obtained only on the basis of information of one or more blocks of the same frame than the current frame whereas temporal motion vector prediction is a prediction obtained on the basis of information of one or more blocks of a frame different from the current frame. It may also be possible to obtain motion vector predictions by combining both spatial and temporal prediction information of one or more encoded blocks. These kinds of motion vector predictions are called as spatio-temporal motion vector predictions.

In addition to predicting the motion vector values, the reference index in the reference picture list can be predicted. The reference index may be predicted from blocks in the current frame and/or colocated or other blocks in a temporal reference picture. Moreover, some high efficiency video codecs employ an additional motion information coding/decoding mechanism, often called merging/merge mode, where all the motion field information, which includes motion vector and corresponding reference picture index for each available reference picture list, may be predicted and used without any modification or correction. Similarly, predicting the motion field information may be carried out using the motion field information of blocks in the current frame and/or co-located or other blocks in temporal reference pictures and the used motion field information is signalled among a list of motion field candidate list filled with motion field information of available blocks in the current frame and/or co-located or other blocks in temporal reference pictures.

In some video codecs the prediction residual after motion compensation is first transformed with a transform kernel (like DCT) and then coded. The reason for this is that often there still exists some correlation among the residual and transform can in many cases help reduce this correlation and provide more efficient coding.

Some video encoders utilize Lagrangian cost functions to find optimal coding modes, e.g. the desired Macroblock mode and associated motion vectors. This kind of cost function uses a weighting factor  $\lambda$  to tie together the (exact or estimated) image distortion due to lossy coding methods and the (exact or estimated) amount of information that is required to represent the pixel values in an image area:

 $C = D + \lambda R \qquad (1)$ 

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where C is the Lagrangian cost to be minimized, D is the image distortion (e.g. Mean Squared Error) with the mode and motion vectors considered, and R the number of bits needed to represent the required data to reconstruct the image block in the decoder (including the amount of data to represent the candidate motion vectors).

Some video codecs such as hybrid video codecs may generate a list of motion vector predictions (MVP) consisting of motion vectors of spatial adjacent blocks (spatial MVP) and/or motion vectors of blocks in a previously decoded frame (temporal MVP). One of the candidate motion vectors in the list is signalled to be used as the motion vector prediction of the current block. After the list is generated, some of the motion vector prediction candidates may have the same motion information. In this case, the

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identical motion vector prediction candidates may be removed to reduce redundancy. During the decoding, if the temporal motion vector prediction information is unavailable due to e.g. loss of reference frame, the decoder may not know if the temporal motion vector prediction candidate in the list is to be removed. This may lead to uncertainty for mapping the decoded candidate index to the candidates whose removal decision is based on comparing motion information with the temporal motion vector prediction. As a result, false assignment of motion vector prediction candidates may occur which may lead to degradation in the picture quality and drift of false motion information throughout the decoding process.

#### **SUMMARY**

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The present invention introduces a method for generating a motion vector prediction list for an image block. In some embodiments video codecs employ in a motion prediction candidate list construction a way to reduce the complexity of the implementation. This can be achieved by performing a limited number of motion information comparisons between candidate pairs to remove the redundant candidates rather than comparing every available candidate pair. The decision of whether comparing two candidates may depend on the order of the candidates to be considered for the list and/or coding/prediction mode and/or location of the blocks associated with the candidates. In some embodiments a video codec employs a merge process for motion information coding and creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding or prediction unit. The motion prediction candidates may consist of several spatial motion predictions and a temporal motion prediction. The spatial candidates are obtained from the motion information of e.g. spatial neighbour blocks.

According to a first aspect of the present invention there is provided a method comprising:
receiving a block of pixels including a prediction unit; determining a set of spatial motion
vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being
provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a second aspect of the present invention there is provided a method comprising: receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of another spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a third aspect of the present invention there is provided an apparatus comprising a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receive a block of pixels

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including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a fourth aspect of the present invention there is provided an apparatus comprising a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receive an encoded block of pixels

including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of another spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a fifth aspect of the present invention there is provided a storage medium having stored thereon a computer executable program code for use by an encoder, said program code comprises instructions for:

receiving a block of pixels including a prediction unit;

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determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

select a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determine a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

compare motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

exclude the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other

According to a sixth aspect of the present invention there is provided a storage medium having stored thereon a computer executable program code for use by a decoder, said program code comprises instructions for:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

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if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a seventh aspect of the present invention there is provided an apparatus comprising: means for receiving a block of pixels including a prediction unit;

means for determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to an eighth aspect of the present invention there is provided an apparatus comprising: means for receiving an encoded block of pixels including a prediction unit;

means for determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

## **DESCRIPTION OF THE DRAWINGS**

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For better understanding of the present invention, reference will now be made by way of example to the accompanying drawings in which:

Figure 1 shows schematically an electronic device employing some embodiments of the invention;

Figure 2 shows schematically a user equipment suitable for employing some embodiments of the invention;

Figure 3 further shows schematically electronic devices employing embodiments of the invention connected using wireless and wired network connections;

Figure 4a shows schematically an embodiment of the invention as incorporated within an encoder;

Figure 4b shows schematically an embodiment of a prediction reference list generation and modification according to some embodiments of the invention;

Figures 5a and 5b show a flow diagram showing the operation of an embodiment of the invention with respect to the encoder as shown in figure 4a;

Figure 6a illustrates an example of spatial and temporal prediction of a prediction unit;

Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit;

Figure 7 shows schematically an embodiment of the invention as incorporated within a decoder;

Figures 8a and 8b show a flow diagram of showing the operation of an embodiment of the invention with respect to the decoder shown in figure 7;

Figure 9 illustrates an example of a coding unit and some neighbour blocks of the coding unit;

Figure 10a illustrates an example of a horizontal division of the coding unit;

Figure 10b illustrates an example of a vertical division of the coding unit;

Figure 11a illustrates locations of five spatial neighbours A0, A1, B0, B1, B2 for a prediction unit generated as the second prediction unit of a horizontally divided coding unit;

Figure 11b illustrates locations of five spatial neighbours for a prediction unit generated as the second prediction unit of a vertically divided coding unit; and

Figure 12 illustrates an example of blocks between some spatial neighbours of a coding unit.

## DETAILED DESCRIPTION OF SOME EXAMPLE EMBODIMENTS

The following describes in further detail suitable apparatus and possible mechanisms for the provision of improving the prediction accuracy and hence possibly reducing information to be transmitted in video coding systems. In this regard reference is first made to Figure 1 which shows a schematic block diagram of an exemplary apparatus or electronic device 50, which may incorporate a codec according to an embodiment of the invention.

The electronic device 50 may for example be a mobile terminal or user equipment of a wireless communication system. However, it would be appreciated that embodiments of the invention may be

implemented within any electronic device or apparatus which may require encoding and decoding or encoding or decoding video images.

The apparatus 50 may comprise a housing 30 for incorporating and protecting the device. The apparatus 50 further may comprise a display 32 in the form of a liquid crystal display. In other embodiments of the invention the display may be any suitable display technology suitable to display an image or video. The apparatus 50 may further comprise a keypad 34. In other embodiments of the invention any suitable data or user interface mechanism may be employed. For example the user interface may be implemented as a virtual keyboard or data entry system as part of a touch-sensitive display. The apparatus may comprise a microphone 36 or any suitable audio input which may be a digital or analogue signal input. The apparatus 50 may further comprise an audio output device which in embodiments of the invention may be any one of: an earpiece 38, speaker, or an analogue audio or digital audio output connection. The apparatus 50 may also comprise a battery 40 (or in other embodiments of the invention the device may be powered by any suitable mobile energy device such as solar cell, fuel cell or clockwork generator). The apparatus may further comprise an infrared port 42 for short range line of sight communication to other devices. In other embodiments the apparatus 50 may further comprise any suitable short range communication solution such as for example a Bluetooth wireless connection or a USB/firewire wired connection.

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The apparatus 50 may comprise a controller 56 or processor for controlling the apparatus 50. The controller 56 may be connected to memory 58 which in embodiments of the invention may store both data in the form of image and audio data and/or may also store instructions for implementation on the controller 56. The controller 56 may further be connected to codec circuitry 54 suitable for carrying out coding and decoding of audio and/or video data or assisting in coding and decoding carried out by the controller 56.

The apparatus 50 may further comprise a card reader 48 and a smart card 46, for example a UICC and UICC reader for providing user information and being suitable for providing authentication information for authentication and authorization of the user at a network.

The apparatus 50 may comprise radio interface circuitry 52 connected to the controller and suitable for generating wireless communication signals for example for communication with a cellular communications network, a wireless communications system or a wireless local area network. The apparatus 50 may further comprise an antenna 44 connected to the radio interface circuitry 52 for transmitting radio frequency signals generated at the radio interface circuitry 52 to other apparatus(es) and for receiving radio frequency signals from other apparatus(es).

In some embodiments of the invention, the apparatus 50 comprises a camera capable of recording or detecting individual frames which are then passed to the codec 54 or controller for processing. In some embodiments of the invention, the apparatus may receive the video image data for processing from another device prior to transmission and/or storage. In some embodiments of the invention, the apparatus 50 may receive either wirelessly or by a wired connection the image for coding/decoding.

With respect to Figure 3, an example of a system within which embodiments of the present invention can be utilized is shown. The system 10 comprises multiple communication devices which can communicate through one or more networks. The system 10 may comprise any combination of wired or wireless networks including, but not limited to a wireless cellular telephone network (such as a GSM, UMTS, CDMA network etc.), a wireless local area network (WLAN) such as defined by any of the IEEE 802.x standards, a Bluetooth personal area network, an Ethernet local area network, a token ring local area network, a wide area network, and the Internet.

The system 10 may include both wired and wireless communication devices or apparatus 50 suitable for implementing embodiments of the invention.

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For example, the system shown in Figure 3 shows a mobile telephone network 11 and a representation of the internet 28. Connectivity to the internet 28 may include, but is not limited to, long range wireless connections, short range wireless connections, and various wired connections including, but not limited to, telephone lines, cable lines, power lines, and similar communication pathways.

The example communication devices shown in the system 10 may include, but are not limited to, an electronic device or apparatus 50, a combination of a personal digital assistant (PDA) and a mobile telephone 14, a PDA 16, an integrated messaging device (IMD) 18, a desktop computer 20, a notebook computer 22. The apparatus 50 may be stationary or mobile when carried by an individual who is moving. The apparatus 50 may also be located in a mode of transport including, but not limited to, a car, a truck, a taxi, a bus, a train, a boat, an airplane, a bicycle, a motorcycle or any similar suitable mode of transport.

Some or further apparatuses may send and receive calls and messages and communicate with service providers through a wireless connection 25 to a base station 24. The base station 24 may be connected to a network server 26 that allows communication between the mobile telephone network 11 and the internet 28. The system may include additional communication devices and communication devices of various types.

The communication devices may communicate using various transmission technologies including, but not limited to, code division multiple access (CDMA), global systems for mobile communications (GSM), universal mobile telecommunications system (UMTS), time divisional multiple access (TDMA), frequency division multiple access (FDMA), transmission control protocol-internet protocol (TCP-IP), short messaging service (SMS), multimedia messaging service (MMS), email, instant messaging service (IMS), Bluetooth, IEEE 802.11 and any similar wireless communication technology. A communications device involved in implementing various embodiments of the present invention may communicate using various media including, but not limited to, radio, infrared, laser, cable connections, and any suitable connection.

With respect to Figure 4a, a block diagram of a video encoder suitable for carrying out embodiments of the invention is shown. Furthermore, with respect to Figures 5a and 5b, the operation of the encoder exemplifying embodiments of the invention specifically with respect to construction of the list of candidate predictions is shown as a flow diagram.

Figure 4a shows the encoder as comprising a pixel predictor 302, prediction error encoder 303 and prediction error decoder 304. Figure 4a also shows an embodiment of the pixel predictor 302 as comprising an inter-predictor 306, an intra-predictor 308, a mode selector 310, a filter 316, and a reference frame memory 318. In this embodiment the mode selector 310 comprises a block processor 381 and a cost evaluator 382. The encoder may further comprise an entropy encoder 330 for entropy encoding the bit stream.

Figure 4b depicts an embodiment of the inter predictor 306. The inter predictor 306 comprises a reference frame selector 360 for selecting reference frame or frames, a motion vector definer 361, a prediction list modifier 363 and a motion vector selector 364. These elements or some of them may be part of a prediction processor 362 or they may be implemented by using other means.

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The pixel predictor 302 receives the image 300 to be encoded at both the inter-predictor 306 (which determines the difference between the image and a motion compensated reference frame 318) and the intra-predictor 308 (which determines a prediction for an image block based only on the already processed parts of the current frame or picture). The output of both the inter-predictor and the intra-predictor may be passed to the mode selector 310. The intra-prediction and provide the predicted signal to the mode selector 310. The mode selector 310 also receives a copy of the image 300.

The mode selector 310 determines which encoding mode to use to encode the current block. If the mode selector 310 decides to use an inter-prediction mode it will pass the output of the inter-predictor 306 to the output of the mode selector 310. If the mode selector 310 decides to use an intra-prediction mode it will pass the output of one of the intra-predictor modes to the output of the mode selector 310.

The output of the mode selector is passed to a first summing device 321. The first summing device may subtract the pixel predictor 302 output from the image 300 to produce a first prediction error signal 320 which is input to the prediction error encoder 303.

The pixel predictor 302 further receives from a preliminary reconstructor 339 the combination of the prediction representation of the image block 312 and the output 338 of the prediction error decoder 304. The preliminary reconstructed image 314 may be passed to the intra-predictor 308 and to a filter 316. The filter 316 receiving the preliminary representation may filter the preliminary representation and output a final reconstructed image 340 which may be saved in a reference frame memory 318. The reference frame memory 318 may be connected to the inter-predictor 306 to be used as the reference image against which the future image 300 is compared in inter-prediction operations.

The operation of the pixel predictor 302 may be configured to carry out any known pixel prediction algorithm known in the art.

The pixel predictor 302 may also comprise a filter 385 to filter the predicted values before outputting them from the pixel predictor 302.

The operation of the prediction error encoder 302 and prediction error decoder 304 will be described hereafter in further detail. In the following examples the encoder generates images in terms of 16x16 pixel macroblocks which go to form the full image or picture. Thus, for the following examples

the pixel predictor 302 outputs a series of predicted macroblocks of size 16x16 pixels and the first summing device 321 outputs a series of 16x16 pixel residual data macroblocks which may represent the difference between a first macro-block in the image 300 against a predicted macro-block (output of pixel predictor 302). It would be appreciated that other size macro blocks may be used.

The prediction error encoder 303 comprises a transform block 342 and a quantizer 344. The transform block 342 transforms the first prediction error signal 320 to a transform domain. The transform is, for example, the DCT transform. The quantizer 344 quantizes the transform domain signal, e.g. the DCT coefficients, to form quantized coefficients.

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The prediction error decoder 304 receives the output from the prediction error encoder 303 and performs the opposite processes of the prediction error encoder 303 to produce a decoded prediction error signal 338 which when combined with the prediction representation of the image block 312 at the second summing device 339 produces the preliminary reconstructed image 314. The prediction error decoder may be considered to comprise a dequantizer 346, which dequantizes the quantized coefficient values, e.g. DCT coefficients, to reconstruct the transform signal and an inverse transformation block 348, which performs the inverse transformation to the reconstructed transform signal wherein the output of the inverse transformation block 348 contains reconstructed block(s). The prediction error decoder may also comprise a macroblock filter (not shown) which may filter the reconstructed macroblock according to further decoded information and filter parameters.

In the following the operation of an example embodiment of the inter predictor 306 will be described in more detail. The inter predictor 306 receives the current block for inter prediction. It is assumed that for the current block there already exists one or more neighbouring blocks which have been encoded and motion vectors have been defined for them. For example, the block on the left side and/or the block above the current block may be such blocks. Spatial motion vector predictions for the current block can be formed e.g. by using the motion vectors of the encoded neighbouring blocks and/or of non-neighbour blocks in the same slice or frame, using linear or non-linear functions of spatial motion vector predictions, using a combination of various spatial motion vector predictors with linear or non-linear operations, or by any other appropriate means that do not make use of temporal reference information. It may also be possible to obtain motion vector predictors by combining both spatial and temporal prediction information of one or more encoded blocks. These kinds of motion vector predictors may also be called as spatio-temporal motion vector predictors.

Reference frames used in encoding the neighbouring blocks have been stored to the reference frame memory 404. The reference frames may be short term references or long term references and each reference frame may have a unique index indicative of the location of the reference frame in the reference frame memory. When a reference frame is no longer used as a reference frame it may be removed from the reference frame memory or marked as a non-reference frame wherein the storage location of that reference frame may be occupied for a new reference frame. In addition to the reference frames of the neighbouring blocks the reference frame selector 360 may also select one or more other frames as potential reference frames and store them to the reference frame memory.

Motion vector information of encoded blocks is also stored into the memory so that the inter predictor 306 is able to retrieve the motion vector information when processing motion vector candidates for the current block.

In some embodiments the motion vectors are stored into one or more lists. For example, motion vectors of uni-directionally predicted frames (e.g. P-frames) may be stored to a list called as list 0. For bidirectionally predicted frames (e.g. B-frames) there may be two lists (list 0 and list 1) and for multipredicted frames there may be more than two lists. Reference frame indices possibly associated with the motion vectors may also be stored in one or more lists.

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In some embodiments there may be two or more motion vector prediction procedures and each procedure may have its own candidate set creation process. In one procedure, only the motion vector values are used. In another procedure, which may be called as a Merge Mode, each candidate element may comprise 1) The information whether 'block was uni-predicted using only list0' or 'block was uni-predicted using only list1' or 'block was bi-predicted using list0 and list1' 2) motion vector value for list0 3) Reference picture index in list0 4) motion vector value for list1 5) Reference picture index list1. Therefore, whenever two prediction candidates are to be compared, not only the motion vector values are compared, but also the five values mentioned above may be compared to determine whether they correspond with each other or not. On the other hand, if any of the comparisons indicate that the prediction candidates do not have equal motion information, no further comparisons need be performed.

The motion vector definer 361 defines candidate motion vectors for the current frame by using one or more of the motion vectors of one or more neighbour blocks and/or other blocks of the current block in the same frame and/or co-located blocks and/or other blocks of the current block in one or more other frames. These candidate motion vectors can be called as a set of candidate predictors or a predictor set. Each candidate predictor thus represents the motion vector of one or more already encoded block. In some embodiments the motion vector of the candidate predictor is set equal to the motion vector of a neighbour block for the same list if the current block and the neighbour block refer to the same reference frames for that list. Also for temporal prediction there may be one or more previously encoded frames wherein motion vectors of a co-located block or other blocks in a previously encoded frame can be selected as candidate predictors for the current block. The temporal motion vector predictor candidate can be generated by any means that make use of the frames other than the current frame.

The candidate motion vectors can also be obtained by using more than one motion vector of one or more other blocks such as neighbour blocks of the current block and/or co-located blocks in one or more other frames. As an example, any combination of the motion vector of the block to the left of the current block, the motion vector of the block above the current block, and the motion vector of the block at the up-right corner of the current block may be used (i.e. the block to the right of the block above the current block). The combination may be a median of the motion vectors or calculated by using other formulas. For example, one or more of the motion vectors to be used in the combination may be scaled by a scaling factor, an offset may be added, and/or a constant motion vector may be added. In some embodiments the combined motion vector is based on both temporal and spatial motion vectors, e.g. the

motion vector of one or more of the neighbour block or other block of the current block and the motion vector of a co-located block or other block in another frame.

If a neighbour block does not have any motion vector information a default motion vector such as a zero motion vector may be used instead.

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Figure 9 illustrates an example of a coding unit 900 and some neighbour blocks 901—905 of the coding unit. As can be seen from Figure 9, if the coding unit 900 represents the current block, the neighbouring blocks 901—905 labelled A0, A1, B0, B1 and B2 could be such neighbour blocks which may be used when obtaining the candidate motion vectors.

Creating additional or extra motion vector predictions based on previously added predictors may be needed when the current number of candidates is limited or insufficient. This kind of creating additional candidates can be performed by combining previous two predictions and/or processing one previous candidate by scaling or adding offset and/or adding a zero motion vector with various reference indices. Hence, the motion vector definer 361 may examine how many motion vector candidates can be defined and how many potential candidate motion vectors exist for the current block. If the number of potential motion vector candidates is smaller than a threshold, the motion vector definer 361 may create additional motion vector predictions.

In some embodiments the combined motion vector can be based on motion vectors in different lists. For example, one motion vector may be defined by combining one motion vector from the list 0 and one motion vector from the list 1 e.g. when the neighbouring or co-located block is a bi-directionally predicted block and there exists one motion vector in the list 0 and one motion vector in the list 1 for the bi-directionally predicted block.

To distinguish the current block from the encoded/decoded blocks the motion vectors of which are used as candidate motion vectors, those encoded/decoded blocks are also called as reference blocks in this application.

In some embodiments not only the motion vector information of the reference block(s) is obtained (e.g. by copying) but also a reference index of the reference block in the reference picture list may be copied to the candidate list. The information whether the block was uni-predicted using only list0 or the block was uni-predicted using only list1 or the block was bi-predicted using list0 and list1 may also be copied. The candidate list may also be called as a candidate set or a set of motion vector prediction candidates.

Figure 6a illustrates an example of spatial and temporal prediction of a prediction unit. There is depicted the current block 601 in the frame 600 and a neighbour block 602 which already has been encoded. The motion vector definer 361 has defined a motion vector 603 for the neighbour block 602 which points to a block 604 in the previous frame 605. This motion vector can be used as a potential spatial motion vector prediction 610 for the current block. Figure 6a depicts that a co-located block 606 in the previous frame 605, i.e. the block at the same location than the current block but in the previous frame, has a motion vector 607 pointing to a block 609 in another frame 608. This motion vector 607 can be used as a potential temporal motion vector prediction-611 for the current frame.

Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit. In this example the block 606 of the previous frame 605 uses bi-directional prediction based on the block 609 of the frame preceding the frame 605 and on the block 612 succeeding the current frame 600. The temporal motion vector prediction for the current block 601 may be formed by using both the motion vectors 607, 614 or either of them.

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The operation of the prediction list modifier 363 will now be described in more detail with reference to the flow diagram of Figures 5a and 5b. The prediction list modifier 363 initializes a motion vector prediction list to default values in block 500 of Figure 5a. The prediction list modifier 363 may also initialize a list index to an initial value such as zero. Then, in block 501 the prediction list modifier checks whether there are any motion vector candidates to process. If there is at least one motion vector candidate in the predictor set for processing, the prediction list modifier 363 generates the next motion vector candidate which may be a temporal motion vector or a spatial motion vector. The comparison can be an identicality/equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

In the following, a merge process for motion information coding according to an example embodiment will be described in more detail. The encoder creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding unit or prediction unit. The motion prediction candidates may consist of several spatial motion predictions and a temporal motion prediction. The spatial candidates can be obtained from the motion information of e.g. the spatial neighbour blocks A0, A1, B0, B1, B2, whose motion information is used as spatial candidate motion predictions. The temporal motion prediction candidate may be obtained by processing the motion of a block in a frame other than the current frame. In this example embodiment, the encoder operations to construct the merge list for the spatial candidates may include the following. The operations may be carried out by the prediction list modifier 363, for example.

A maximum number of spatial motion prediction candidates to be included in the merge list may be defined. This maximum number may have been stored, for example, to the memory 58 of the apparatus 50, or to another appropriate place. It is also possible to determine the maximum number by using other means, or it may be determined in the software of the encoder of the apparatus 50.

In some embodiments the maximum number of spatial motion prediction candidates to be included in the merge list is four but in some embodiments the maximum number may be less than four or greater than four.

In this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2. The spatial motion vector prediction candidate A1 is located on the left side of the prediction unit when the encoding/decoding order is from left to right and from top to bottom of the frame, slice or another entity to be encoded/decoded. Respectively, the spatial motion vector prediction candidate B1 is located above the prediction unit. third; the spatial motion vector prediction candidate B0 is on the right side of the spatial motion vector prediction candidate A1; the spatial motion vector prediction candidate A0 is below the spatial motion vector prediction candidate A1; and the spatial motion vector prediction

candidate B2 is located on the same column than spatial motion vector prediction candidate A1 and on the same row than the spatial motion vector prediction candidate B1. In other words, the spatial motion vector prediction candidate B2 is cornerwise neighbouring the prediction unit as can be seen e.g. from Figure 9.

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These spatial motion prediction candidates can be processed in a predetermined order, for example, A1, B1, B0, A0 and B2. The first spatial motion prediction candidate to be selected for further examination is thus A1. Before further examination is performed for the selected spatial motion prediction candidate, it may be determined whether the merge list already contains a maximum number of spatial motion prediction candidates. Hence, the prediction list modifier 363 compares 502 the number of spatial motion prediction candidates in the merge list with the maximum number, and if the number of spatial motion prediction candidates in the merge list is not less than the maximum number, the selected spatial motion prediction candidate is not included in the merge list and the process of constructing the merge list can be stopped 526. On the other hand, if the number of spatial motion prediction candidates in the merge list is less than the maximum number, a further analyses of the selected spatial motion prediction candidate is performed (blocks 504-522).

For all the spatial motion prediction candidates for which the further analyses is to be performed, some or all of the following conditions below may be tested for determining whether to include the spatial motion prediction candidate in the merge list.

The prediction list modifier 363 examines 504 if the prediction unit or block covering the spatial motion prediction candidate block is not available for motion prediction. If so, the candidate is not included in the merge list. The reason that the block is not available may be that the block is either coded in intra mode or resides in a different slice or outside of the picture area.

In addition to the common conditions above, for each spatial motion prediction candidate, if any of the following conditions holds, then the candidate is not included in the merge list, otherwise, it is included.

The prediction list modifier 363 determines 506 which spatial motion prediction candidate of the set of spatial motion prediction candidates is in question. If the spatial motion prediction candidate is the block A1, one or more of the following conditions may be examined 508, 510 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is vertically split into two rectangle prediction units 103, 104 as depicted in Figure 10b and the current prediction unit is the second prediction unit 104 in the coding/decoding order (508), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not vertically split into two rectangle prediction units but it is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit in the coding/decoding order and the block A1 has the same motion information as the block B1 (510), this spatial motion prediction candidate (block A1) is not included in the merge list. In the example of Figure 10a the second prediction unit is the lower prediction unit 102 of the coding unit 100 and in the example of Figure 10b the second prediction unit is the rightmost prediction unit 104 of the coding unit 100. If

none of the conditions above is fulfilled the block A1 is included in the merge list as a spatial motion prediction candidate (524).

If the spatial motion prediction candidate is the block B1, one or more of the following conditions may be examined 512, 514 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit 104 in the coding/decoding order (512), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not horizontally split into two rectangle prediction units and if the block B1 has the same motion information than the block A1 (514), this spatial motion prediction candidate (block B1) is not included in the merge list. If none of the conditions above is fulfilled the block B1 is included in the merge list as a spatial motion prediction candidate (524).

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If the spatial motion prediction candidate is the block B0, this spatial motion prediction candidate is not included in the merge list if the block B0 has the same motion information than the block B1 (516). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block B0) is included in the merge list (524).

If the spatial motion prediction candidate is the block A0, this spatial motion prediction candidate is not included in the merge list if the block A0 has the same motion information than the block A1 (518). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block A0) is included in the merge list (524).

If the spatial motion prediction candidate is the block B2, this spatial motion prediction candidate is not included in the merge list if the maximum number of spatial motion prediction candidates is four and the other blocks A0, A1, B0, and B1 are all decided to be included in the merge list (520). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, the block B2 is not included in the merge list if the block B2 has the same motion information than the block B1 or the block A1 (522).

Then, after processing the blocks A1, B1, B0, A0 and B2 and including a subset of them in the merge list based on the above described conditions, no more redundancy check between these candidates are performed and remaining temporal motion prediction candidate and/or other possible additional candidates may be processed.

Comparing two blocks whether they have the same motion may be performed by comparing all the elements of the motion information, namely 1) The information whether 'the prediction unit is unipredicted using only reference picture list0' or 'the prediction unit is unipredicted using only reference picture list1' or 'the prediction unit is bi-predicted using both reference picture list0 and list1' 2) Motion vector value corresponding to the reference picture list0 3) Reference picture index in the reference picture list0 4) Motion vector value corresponding to the reference picture list1 5) Reference picture index in the reference picture list1.

In some embodiments similar restrictions for comparing candidate pairs can be applied if the current coding unit is coded/decoded by splitting into four or any number of prediction units.

The maximum number of merge list candidates can be any non-zero value. In the example above the merger list candidates were the spatial neighbour blocks A0, A1, B0, B1, B2 and the temporal motion prediction candidate, but there may be more than one temporal motion prediction candidate and also other spatial motion prediction candidates than the spatial neighbour blocks. In some embodiments there may also be other spatial neighbour blocks than the blocks A0, A1, B0, B1, B2.

It is also possible that the maximum number of spatial motion prediction candidates included in the list can be different than four.

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In some embodiments the maximum number of merge list candidates and maximum number of spatial motion prediction candidates included in the list can depend on whether a temporal motion vector candidate is included in the list or not.

A different number of spatial motion prediction candidates located at various locations in the current frame can be processed. The locations can be the same as or different than A1, B1, B0, A0 and B2.

The decision of including which spatial motion prediction candidates in the list can be realized in two steps. In the first step, some of the candidates are eliminated by checking whether the candidate block is available and/or the candidate block's prediction mode is intra and/or whether the current block is a second prediction unit of a coding unit coded with two prediction units and the candidate has the same motion with the first prediction unit. In the second step, remaining candidates are examined and some or all of them are included in the merge list. The examination in the second step does not include comparing motion information of each possible candidate pair but includes a subset of the possible comparison combinations.

The decisions for the candidates can be taken in any order of A1, B1, B0, A0 and B2 or independently in parallel.

For each candidate and/or a subset of the candidates, the following conditions may also be checked: Whether the candidate block has the same motion as the first prediction unit of the current coding unit when the current coding unit is split into two rectangle prediction units and the current prediction unit is the second prediction unit in the coding/decoding order.

Additional conditions related to various properties of current and/or previous slices and/or current and/or neighbour blocks can be utilized for determining whether to include a candidate in the list.

Motion comparison can be realized by comparing a subset of the whole motion information. For example, only the motion vector values for some or all reference picture lists and/or reference indices for some or all reference picture lists and/or an identifier value assigned to each block to represent its motion information can be compared. The comparison can be an identicality or an equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

Conditions for deciding whether a candidate is to be included in the list can include motion information comparison with any subset of the candidates as long as not all possible candidate pairs are compared eventually.

Deciding whether a temporal motion vector candidate is to be included in the list can be based on comparing its motion information with motion information of a subset of the spatial motion vector prediction candidates.

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When comparing motion information of two blocks, motion information of additional blocks can be considered too. For example, when comparing the block B2 and the block A1, all the blocks between the block B2 and the block A1 (illustrated in Figure 12) are checked whether they have the same motion; and when comparing the block B2 and the block B1, all the blocks between the block B2 and the block B1 (illustrated in Figure 12) are checked whether they have the same motion. This embodiment can be implemented so that the right-most block of each prediction unit or all blocks of each prediction unit may store the information of how many consecutive blocks to the above have the same motion information. Also the bottom-most block of each prediction unit or all blocks of each prediction unit may store the information of how many consecutive blocks to the left have the same motion information. Using this information the condition for not including B0 in the list can be realized by checking if the number of consecutive blocks with the same motion to the left of B0 is greater than 0. The condition for not including A0 in the list can be realized by checking if the number of consecutive blocks with same motion to the above of A0 is greater than 0. The conditions for not including B2 can be modified as follows:

It is not examined whether the block B2 has same motion as the block B1 or whether the block B2 has same motion as the block A1, but how many consecutive blocks exists to the left of the block B1 with the same motion than the block B1 and/or how many consecutive blocks exist above the block A1 with the same motion. If the number of consecutive blocks with the same motion to the left of the block B1 is greater than the number of blocks between B2 and B1, or if the number of consecutive blocks with the same motion above the block A1 is greater than the number of blocks between the block B2 and the block A1, the block B2 is not included in the merge list.

If the above implementation is used, the value of how many consecutive blocks to the left/above have the same motion information can be determined by direct comparison of motion information or checking the prediction mode and/or the merge index if the block employs a merge process.

When coding/decoding the selected merge index, the information whether the merge process is employed for coding/decoding a Skip mode coding unit or an Inter Merge mode prediction unit can be taken into account. For example, if a context adaptive binary arithmetic coder (CABAC) is used for entropy coding/decoding, different contexts can be used for the bins depending on the coding mode (Skip mode or inter merge mode) of the current block. Furthermore, assigning two contexts depending on whether the merge process is employed in a Skip mode coding unit or an inter Merge mode prediction unit can be applied for only the most significant bin of the merge index.

During the process of removal of redundant candidates, comparison between motion vector predictor candidates can also be based on any other information than the motion vector values. For example, it can be based on linear or non-linear functions of motion vector values, coding or prediction types of the blocks used to obtain the motion information, block size, the spatial location in the frame/(largest) coding unit/macroblock, the information whether blocks share the same motion with a block, the information whether blocks are in the same coding/prediction unit, etc.

The following pseudo code illustrates an example embodiment of the invention for constructing the merging list.

Inputs to this process are

- 10 a luma location (xP, yP) specifying the top-left luma sample of the current prediction unit relative to the top-left sample of the current picture;
  - variables specifying the width and the height of the prediction unit for luma, nPSW and nPSH; and
  - a variable PartIdx specifying the index of the current prediction unit within the current coding unit. Outputs of this process are (with N being replaced by A<sub>0</sub>, A<sub>1</sub>, B<sub>0</sub>, B<sub>1</sub> or B<sub>2</sub> and with X being replaced by 0 or 1)
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- the availability flags availableFlagN of the neighbouring prediction units,
- the reference indices refldxLXN of the neighbouring prediction units,
- the prediction list utilization flags predFlagLXN of the neighbouring prediction units,
- the motion vectors mvLXN of the neighbouring prediction units.
- For the derivation of availableFlagN, with N being A<sub>0</sub>, A<sub>1</sub>, B<sub>0</sub>, B<sub>1</sub> or B<sub>2</sub> and (xN, yN) being (xP-1, yP + 20 nPSH), (xP-1, yP + nPSH-1), (xP + nPSW, yP-1), (xP+nPSW-1, yP-1) or (xP-1, yP-1), the following applies.
  - If one of the following conditions is true, the availableFlagN is set equal to 0, both components mvLXN are set equal to 0, refldxLXN and predFlagLX[xN, yN] of the prediction unit covering luma location (xN, yN) are assigned respectively to mvLXN, refldxLXN and predFlagLXN.
    - N is equal to B<sub>2</sub> and availableFlagA<sub>0</sub> + availableFlagA<sub>1</sub> + availableFlagB<sub>0</sub> + availableFlagB<sub>1</sub> is equal to 4.
    - The prediction unit covering luma location (xN, yN) is not available or PredMode is MODE INTRA.
- 30 N is equal to A1 and PartMode of the current prediction unit is PART Nx2N or PART nLx2N or PART nRx2N and PartIdx is equal to 1.
  - N is equal to A1 and PartMode of the current prediction unit is PART 2NxN or PART 2NxnU or PART 2NxnD and PartIdx is equal to 1 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
    - mvLX[xP+nPSW-1, yP-1] = = mvLX[xN, yN]
    - refIdxLX[xP+nPSW-1, yP-1] = = refIdxLX[xN, yN]
    - predFlagLX[xP+nPSW-1, yP-1] = = predFlagLX[xN, yN]

 N is equal to B1 and PartMode of the current prediction unit is 2NxN or PART\_2NxnU or PART\_2NxnD and PartIdx is equal to 1.

- N is equal to B1 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N=A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- 5 mvLX[xP-1, yP+nPSH-1] = mvLX[xN, yN]
  - refIdxLX[xP-1, yP+nPSH-1] = = refIdxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] = = predFlagLX[xN, yN]
  - N is equal to B0 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1)
     and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP+nPSW-1, yP-1] == mvLX[xN, yN]
  - refIdxLX[xP+nPSW-1, yP-1] == refIdxLX[xN, yN]
  - predFlagLX[xP+nPSW-1, yP-1] = = predFlagLX[xN, yN]
  - N is equal to A0 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N = A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP-1, yP+nPSH-1] = mvLX[xN, yN]
  - refIdxLX[xP-1, yP+nPSH-1] == refIdxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] == predFlagLX[xN, yN]
  - N is equal to B2 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- 20 mvLX[xP+nPSW-1, yP-1] = = mvLX[xN, yN]
  - refIdxLX[xP+nPSW-1, yP-1] = refIdxLX[xN, yN]
  - predFlagLX[xP+nPSW-1, yP-1] == predFlagLX[xN, yN]
  - N is equal to B2 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N = A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- 25 mvLX[xP-1, yP+nPSH-1] = mvLX[xN, yN]
  - refIdxLX[xP-1, yP+nPSH-1] = refIdxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] == predFlagLX[xN, yN]
  - PartMode of the current prediction unit is PART\_NxN and PartIdx is equal to 3 and the
    prediction units covering luma location (xP-1, yP) (PartIdx = 2) and luma location (xP-1,
- 30 yP-1) (PartIdx = 0) have identical motion parameters:
  - $\quad mvLX[xP-1, yP] == mvLX[xP-1, yP-1]$
  - refIdxLX[xP-1, yP] = refIdxLX[xP-1, yP-1]
  - predFlagLX[xP-1, yP] = predFlagLX[xP-1, yP-1]

and the prediction units covering luma location (xP, yP-1) (PartIdx = 1) and luma location (xN, yN) (Cand. N) have identical motion parameters:

 $- \quad mvLX[xP, yP-1] == mvLX[xN, yN]$ 

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- refIdxLX[xP, yP-1] = = refIdxLX[xN, yN]
- predFlagLX[xP, yP-1] = predFlagLX[xN, yN]

PartMode of the current prediction unit is PART\_NxN and PartIdx is equal to 3 and the prediction units covering luma location (xP, yP-1) (PartIdx = 1) and luma location (xP-1, yP-1) (PartIdx = 0) have identical motion parameters:

- mvLX[xP, yP-1] = mvLX[xP-1, yP-1]
- refIdxLX[xP, yP-1] = = refIdxLX[xP-1, yP-1]
  - predFlagLX[xP, yP-1] = predFlagLX[xP-1, yP-1]

and the prediction units covering luma location (xP-1, yP) (PartIdx = 2) and luma location (xN, yN) (Cand. N) have identical motion parameters:

- mvLX[xP-1, yP] = mvLX[xN, yN]
- 10 refldxLX[xP-1, yP] = = refldxLX[xN, yN]
  - predFlagLX[xP-1, yP] == predFlagLX[xN, yN]
  - Otherwise, availableFlagN is set equal to 1 and the variables mvLX[xN, yN], refldxLX[xN, yN] and predFlagLX[xN, yN] of the prediction unit covering luma location (xN, yN) are assigned respectively to mvLXN, refldxLXN and predFlagLXN.

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For the motion vector predictor candidate list generation process, each list candidate can include more information than the motion vector value, such as the reference lists used, the reference frames used in each list and motion vector for each list.

When all motion vector candidates have been examined, one motion vector is selected to be used as the motion vector for the current block. The motion vector selector 364 may examine different motion vectors in the list and determine which motion vector provides the most efficient encoding result, or the selection of the motion vector may be based on to other criteria as well. Information of the selected motion vector is provided for the mode selector for encoding and transmission to the decoder or for storage when the mode selector determines to use inter prediction for the current block. The information may include the index of the motion vector in the list, and/or motion vector parameters or other appropriate information.

The selected motion vector and the block relating to the motion vector is used to generate the prediction representation of the image block 312 which is provided as the output of the mode selector. The output may be used by the first summing device 321 to produce the first prediction error signal 320, as was described above.

The selected motion vector predictor candidate can be modified by adding a motion vector difference or can be used directly as the motion vector of the block. Moreover, after the motion compensation is performed by using the selected motion vector predictor candidate, the residual signal of the block can be transform coded or skipped to be coded.

Although the embodiments above have been described with respect to the size of the macroblock being 16x16 pixels, it would be appreciated that the methods and apparatus described may be configured to handle macroblocks of different pixel sizes.

In the following the operation of an example embodiment of the decoder 600 is depicted in more detail with reference to Figure 7.

At the decoder side similar operations are performed to reconstruct the image blocks. Figure 7 shows a block diagram of a video decoder 700 suitable for employing embodiments of the invention and Figures 8a and 8b show a flow diagram of an example of a method in the video decoder. The bitstream to be decoded may be received from the encoder, from a network element, from a storage medium or from another source. The decoder is aware of the structure of the bitstream so that it can determine the meaning of the entropy coded codewords and may decode the bitstream by an entropy decoder 701 which performs entropy decoding on the received signal. The entropy decoder thus performs the inverse operation to the entropy encoder 330 of the encoder described above. The entropy decoder 701 outputs the results of the entropy decoding to a prediction error decoder 702 and a pixel predictor 704.

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In some embodiments the entropy coding may not be used but another channel encoding may be in use, or the encoded bitstream may be provided to the decoder 700 without channel encoding. The decoder 700 may comprise a corresponding channel decoder to obtain the encoded codewords from the received signal.

The pixel predictor 704 receives the output of the entropy decoder 701. The output of the entropy decoder 701 may include an indication on the prediction mode used in encoding the current block. A predictor selector 714 within the pixel predictor 704 determines that an intra-prediction or an interprediction is to be carried out. The predictor selector 714 may furthermore output a predicted representation of an image block 716 to a first combiner 713. The predicted representation of the image block 716 is used in conjunction with the reconstructed prediction error signal 712 to generate a preliminary reconstructed image 718. The preliminary reconstructed image 718 may be used in the predictor 714 or may be passed to a filter 720. The filter 720, if used, applies a filtering which outputs a final reconstructed signal 722. The final reconstructed signal 722 may be stored in a reference frame memory 724, the reference frame memory 724 further being connected to the predictor 714 for prediction operations.

Also the prediction error decoder 702 receives the output of the entropy decoder 701. A dequantizer 792 of the prediction error decoder 702 may dequantize the output of the entropy decoder 701 and the inverse transform block 793 may perform an inverse transform operation to the dequantized signal output by the dequantizer 792. The output of the entropy decoder 701 may also indicate that prediction error signal is not to be applied and in this case the prediction error decoder produces an all zero output signal.

The decoder selects the 16x16 pixel residual macroblock to reconstruct. This residual macroblock is also called as a current block.

The decoder may receive information on the encoding mode used in encoding of the current block. The indication is decoded, when necessary, and provided to the reconstruction processor 791 of the prediction selector 714. The reconstruction processor 791 examines the indication and selects one of the intra-prediction mode(s), if the indication indicates that the block has been encoded using intra-

prediction, or the inter-prediction mode, if the indication indicates that the block has been encoded using inter-prediction.

For inter-prediction mode the reconstruction processor 791 may comprise one or more elements corresponding to the prediction processor 362 of the encoder, such as a motion vector definer, a prediction list modifier and/or a motion vector selector.

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The reconstruction processor 791 initializes a motion vector prediction list to default values in block 800. As was the case in the encoding part, in this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2 and these spatial motion prediction candidates are processed in the same predetermined order than in the encoder: A1, B1, B0, A0 and B2. The first spatial motion prediction candidate to be selected for further examination is thus A1. Before further examination is performed for the selected spatial motion prediction candidate, it is examined whether the merge list already contains a maximum number of spatial motion prediction candidates. If the number of spatial motion prediction candidates in the merge list is not less than the maximum number, the selected spatial motion prediction candidate is not included in the merge list and the process of constructing the merge list can be stopped 826. On the other hand, if the number of spatial motion prediction candidates in the merge list is less than the maximum number, a further analyses of the selected spatial motion prediction candidate is performed (blocks 804-822).

The decoder examines 804 if the prediction unit or block covering the spatial motion prediction candidate block is not available for motion prediction. If so, the candidate is not included in the merge list. The reason that the block is not available may be that the block is either coded in intra mode or resides in a different slice or outside of the picture area.

In addition to the common conditions above, for each spatial motion prediction candidate, if any of the following conditions holds, then the candidate is not included in the merge list, otherwise, it is included.

The decoder determines 806 which spatial motion prediction candidate of the set of spatial motion prediction candidates is in question. If the spatial motion prediction candidate is the block A1, one or more of the following conditions may be examined 808, 810 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is vertically split into two rectangle prediction units 103, 104 as depicted in Figure 10b and the current prediction unit is the second prediction unit 104 in the coding/decoding order (808), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not vertically split into two rectangle prediction units but it is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit in the coding/decoding order and the block A1 has the same motion information as the block B1 (810), this spatial motion prediction candidate (block A1) is not included in the merge list. In the example of Figure 10a the second prediction unit is the lower prediction unit 102 of the coding unit 100 and in the example of Figure 10b the second prediction unit is the rightmost prediction unit 104 of the coding unit 100. If none of the conditions above is fulfilled the block A1 is included in the merge list as a spatial motion prediction candidate (824).

If the spatial motion prediction candidate is the block B1, one or more of the following conditions may be examined 812, 814 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit 104 in the coding/decoding order (812), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not horizontally split into two rectangle prediction units and if the block B1 has the same motion information than the block A1 (814), this spatial motion prediction candidate (block B1) is not included in the merge list. If none of the conditions above is fulfilled the block B1 is included in the merge list as a spatial motion prediction candidate (824).

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If the spatial motion prediction candidate is the block B0, this spatial motion prediction candidate is not included in the merge list if the block B0 has the same motion information than the block B1 (816). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block B0) is included in the merge list (824).

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If the spatial motion prediction candidate is the block A0, this spatial motion prediction candidate is not included in the merge list if the block A0 has the same motion information than the block A1 (818). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block A0) is included in the merge list (824).

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If the spatial motion prediction candidate is the block B2, this spatial motion prediction candidate is not included in the merge list if the maximum number of spatial motion prediction candidates is four and the other blocks A0, A1, B0, and B1 are all decided to be included in the merge list (820). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, the block B2 is not included in the merge list if the block B2 has the same motion information than the block B1 or the block A1 (822).

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Then, after processing the blocks A1, B1, B0, A0 and B2 and including a subset of them in the merge list based on the above described conditions, no more redundancy check between these candidates are performed and remaining temporal motion prediction candidate and/or other possible additional candidates may be processed.

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When the merge list has been constructed the decoder may use 828 the indication of the motion vector received from the encoder to select the motion vector for decoding the current block. The indication may be, for example, an index to the merge list.

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Basically, after the reconstruction processor 791 has constructed the merge list, it would correspond with the merge list constructed by the encoder if the reconstruction processor 791 has the same information available than the encoder had. If some information has been lost during transmission the information from the encoder to the decoder, it may affect the generation of the merge list in the decoder 700.

The above examples describe the operation mainly in the merge mode but the encoder and decoder may also operate in other modes.

The embodiments of the invention described above describe the codec in terms of separate encoder and decoder apparatus in order to assist the understanding of the processes involved. However, it would be appreciated that the apparatus, structures and operations may be implemented as a single encoder-decoder apparatus/structure/operation. Furthermore in some embodiments of the invention the coder and decoder may share some or all common elements.

Although the above examples describe embodiments of the invention operating within a codec within an electronic device, it would be appreciated that the invention as described below may be implemented as part of any video codec. Thus, for example, embodiments of the invention may be implemented in a video codec which may implement video coding over fixed or wired communication paths.

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Thus, user equipment may comprise a video codec such as those described in embodiments of the invention above.

It shall be appreciated that the term user equipment is intended to cover any suitable type of wireless user equipment, such as mobile telephones, portable data processing devices or portable web browsers.

Furthermore elements of a public land mobile network (PLMN) may also comprise video codecs as described above.

In general, the various embodiments of the invention may be implemented in hardware or special purpose circuits, software, logic or any combination thereof. For example, some aspects may be implemented in hardware, while other aspects may be implemented in firmware or software which may be executed by a controller, microprocessor or other computing device, although the invention is not limited thereto. While various aspects of the invention may be illustrated and described as block diagrams, flow charts, or using some other pictorial representation, it is well understood that these blocks, apparatus, systems, techniques or methods described herein may be implemented in, as non-limiting examples, hardware, software, firmware, special purpose circuits or logic, general purpose hardware or controller or other computing devices, or some combination thereof.

The embodiments of this invention may be implemented by computer software executable by a data processor of the mobile device, such as in the processor entity, or by hardware, or by a combination of software and hardware. Further in this regard it should be noted that any blocks of the logic flow as in the Figures may represent program steps, or interconnected logic circuits, blocks and functions, or a combination of program steps and logic circuits, blocks and functions. The software may be stored on such physical media as memory chips, or memory blocks implemented within the processor, magnetic media such as hard disk or floppy disks, and optical media such as for example DVD and the data variants thereof. CD.

The memory may be of any type suitable to the local technical environment and may be implemented using any suitable data storage technology, such as semiconductor based memory devices, magnetic memory devices and systems, optical memory devices and systems, fixed memory and

removable memory. The data processors may be of any type suitable to the local technical environment, and may include one or more of general purpose computers, special purpose computers, microprocessors, digital signal processors (DSPs) and processors based on multi core processor architecture, as non limiting examples.

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Embodiments of the inventions may be practiced in various components such as integrated circuit modules. The design of integrated circuits is by and large a highly automated process. Complex and powerful software tools are available for converting a logic level design into a semiconductor circuit design ready to be etched and formed on a semiconductor substrate.

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Programs, such as those provided by Synopsys, Inc. of Mountain View, California and Cadence Design, of San Jose, California automatically route conductors and locate components on a semiconductor chip using well established rules of design as well as libraries of pre stored design modules. Once the design for a semiconductor circuit has been completed, the resultant design, in a standardized electronic format (e.g., Opus, GDSII, or the like) may be transmitted to a semiconductor fabrication facility or "fab" for fabrication.

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The foregoing description has provided by way of exemplary and non-limiting examples a full and informative description of the exemplary embodiment of this invention. However, various modifications and adaptations may become apparent to those skilled in the relevant arts in view of the foregoing description, when read in conjunction with the accompanying drawings and the appended claims. However, all such and similar modifications of the teachings of this invention will still fall within the scope of this invention.

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In the following some examples will be provided.

In some embodiments a method comprises:

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receiving a block of pixels including a prediction unit; determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

motion vector prediction candidates;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block

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associated with the first spatial motion vector prediction candidate; comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial

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if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments the method comprises including neighbouring blocks of the received block of pixels in the set of spatial motion vector prediction candidates.

In some embodiments the method comprises constructing the set of spatial motion vector predictions by using motion vectors of one or more encoded blocks in a same frame than the block of pixels.

In some embodiments the method comprises selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.

In some embodiments the method comprises comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidates.

In some embodiments the method comprises prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.

In some embodiments the method comprises

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determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number .

In some embodiments the method comprises

examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received block of pixels is horizontally divided into a first prediction unit and a
  second prediction unit, and if the prediction unit is the second prediction unit, and the
  potential spatial motion vector prediction candidate has essentially similar motion
  information than the spatial motion vector prediction candidate above the prediction
  unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

 the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;

 the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

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for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit.

In some embodiments the method comprises including a temporal motion prediction candidate into the merge list.

In some embodiments the method comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels.

In some embodiments a method according to the second aspect comprises:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of another spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments the method comprises including neighbouring blocks of the received encoded block of pixels in the set of spatial motion vector prediction candidates.

In some embodiments the method comprises constructing the set of spatial motion vector predictions by using motion vectors of one or more decoded blocks in a same frame than the received encoded block of pixels.

In some embodiments the method comprises selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.

In some embodiments the method comprises comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidates of the set of spatial motion vector prediction candidates.

In some embodiments the method comprises examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.

In some embodiments the method comprises

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determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

In some embodiments the method comprises

examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

 the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;

- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

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- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit.

In some embodiments the method comprises including a temporal motion prediction candidate into the merge list.

In some embodiments the method comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels.

In some embodiments an apparatus according to the third aspect comprises a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receive a block of pixels

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including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments an apparatus according to the fourth aspect comprises a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receive an encoded block of pixels including a prediction unit;

determine a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

select a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determine a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

compare motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

exclude the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other

In some embodiments a storage medium having stored thereon a computer program code a computer executable program code for use by an encoder, said program codes comprise instructions for use by an encoder, said program code comprises instructions for:

receiving a block of pixels including a prediction unit;

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determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments a storage medium having stored thereon a computer program code a computer executable program code for use by an encoder, said program codes comprise instructions for use by an encoder, said program code comprises instructions for:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments an apparatus comprises:

means for receiving a block of pixels including a prediction unit;

means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

In some embodiments an apparatus comprises:

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means for receiving an encoded block of pixels including a prediction unit;

means for determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

#### Claims:

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1. A method comprising:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

- 2. The method according to claim 1 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- 3. The method according to claim 1 or 2, comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 4. The method according to any of the claims 1 to 3 comprising examining whether the received block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
- 5. The method according to any of the claims 1 to 4, further comprising determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and
- limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number .
  - 6. The method according to any of the claims 1 to 5 comprising:

examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

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for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;

the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

 the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;

 the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

 all the other potential spatial motion vector prediction candidates have been included in the merge list;

- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit.
- 7. The method according to any of the claims 1 to 6 further comprising including a temporal motion prediction candidate into the merge list.
- 8. The method according to any of the claims 1 to 7 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels.
  - 9. A method comprising:

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receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of another spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

- 30 10. The method according to claim 9 comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- The method according to claim 9 or 10 comprising examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.

12. The method according to any of the claims 9 to 11, further comprising determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

13. The method according to any of the claims 9 to 12 comprising:

examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

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for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

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for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

 all the other potential spatial motion vector prediction candidates have been included in the merge list;

 the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

 the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit.

- 14. The method according to any of the claims 9 to 13 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels.
- 15. An apparatus comprising a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to: receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

16. An apparatus comprising a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to: receive an encoded block of pixels including a prediction unit;

determine a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

select a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determine a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

compare motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

exclude the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other

17. A storage medium having stored thereon a computer executable program code for use by an encoder, said program codes comprise instructions for use by an encoder, said program code comprises instructions for:

receiving a block of pixels including a prediction unit;

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determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

18. A storage medium having stored thereon a computer executable program code for use by an encoder, said program codes comprise instructions for use by an encoder, said program code comprises instructions for:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

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## 19. An apparatus comprising:

means for receiving a block of pixels including a prediction unit;

means for determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

## 20. An apparatus comprising:

means for receiving an encoded block of pixels including a prediction unit;

means for determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

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means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

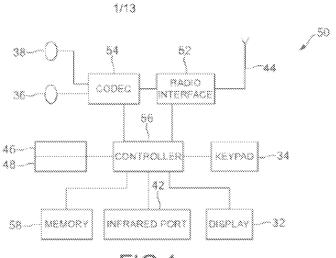
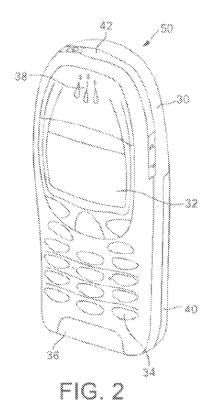
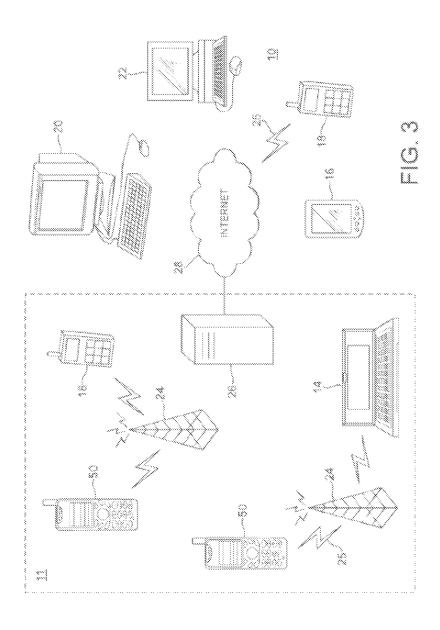
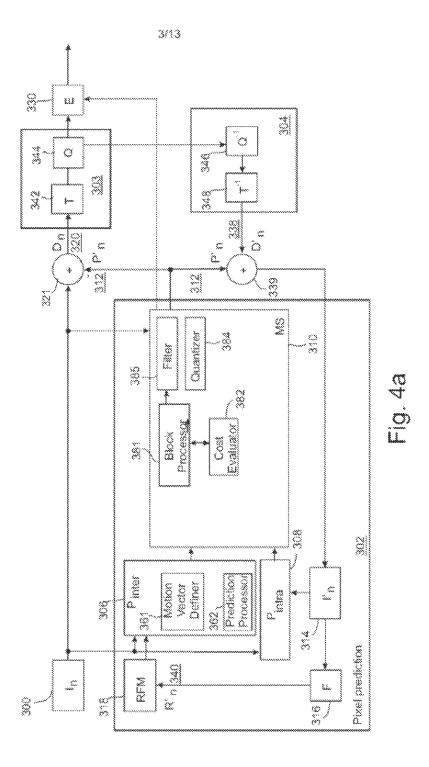


FIG.1

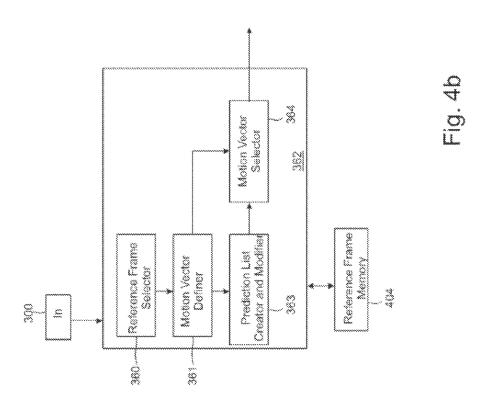


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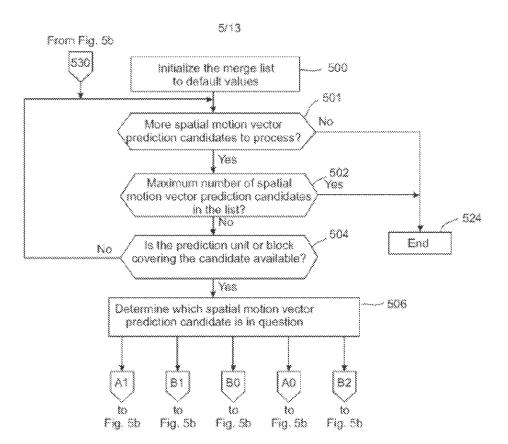
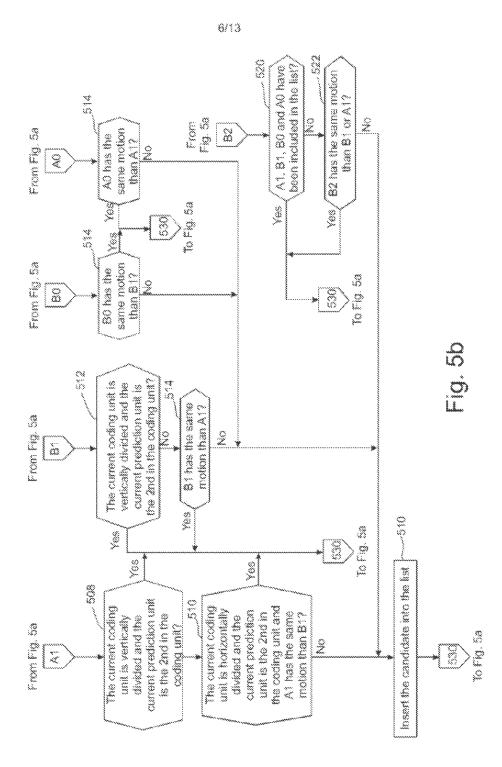


Fig. 5a



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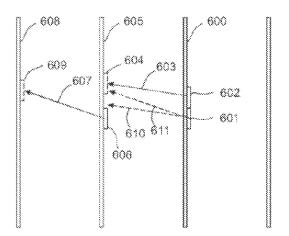


Fig. 6a

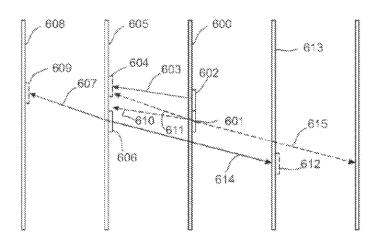
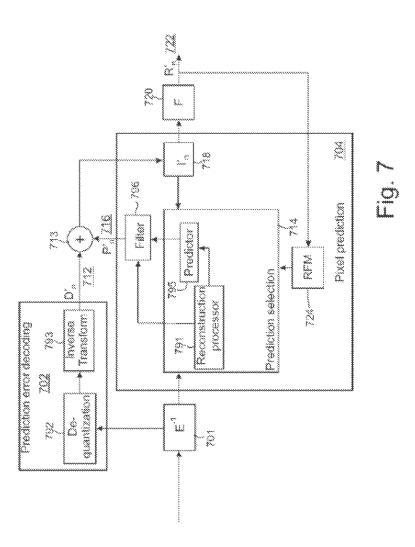


Fig. 6b

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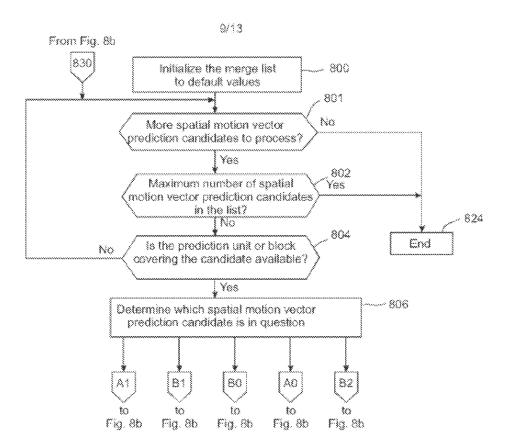
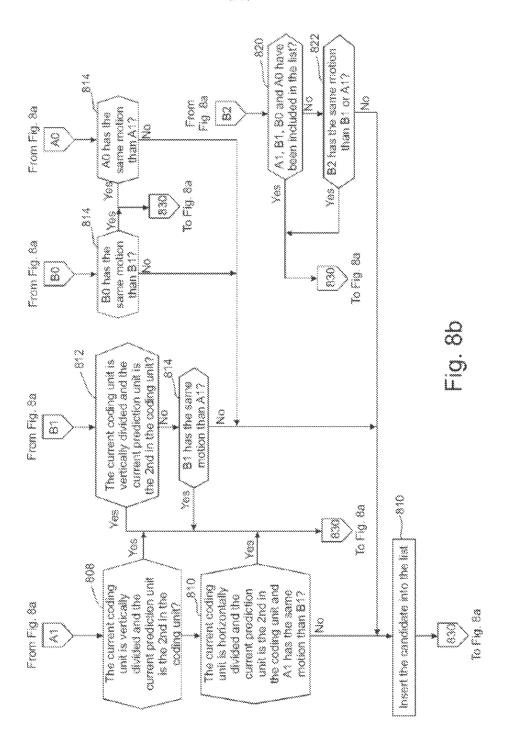


Fig. 8a





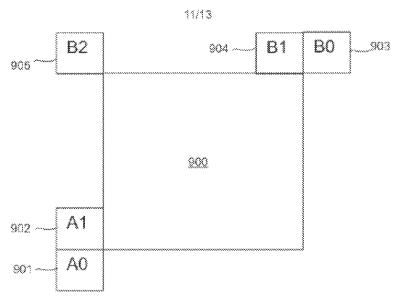
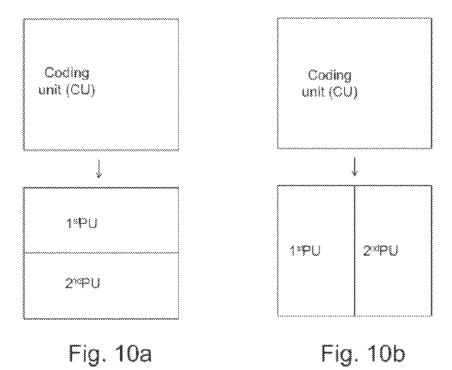


Fig. 9



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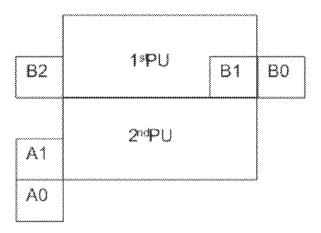


Fig. 11a

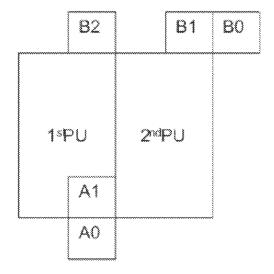


Fig. 11b

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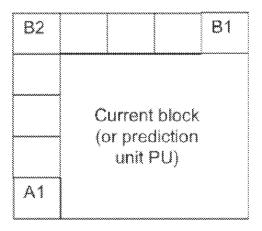


Fig. 12

## INTERNATIONAL SEARCH REPORT

International application No.

## PCT/FI2012/051070

		P	CT/FI2012/051070	
A. CLA	ASSIFICATION OF SUBJECT MATTER	<u> </u>		
See extra	sheet			
	o International Patent Classification (IPC) or to both n	ational classification and IPC		
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IPC: G06	I, 1704IN			
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Electronic d	lata base consulted during the international search (nan	ne of data base and, where practicable	le, search terms used)	
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C. DOC	CUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.	
A	WO 2011062392 A2 (SK TELECOM CO L- 26 May 2011 (26.05.2011) abstract, claim 1 & US 2012307905 A1 (KIM SUNYEON et a (06.12.2012)		1-20	
A	US 2011170602 A1 (LEE TAMMY et al.) 14 the whole document	1-20		
A	OUDIN, S. et al.: "Block merging for quadtree-based video coding", IEEE Int. Conf. on Multimedia and Expo, 11-15 July 2011, 6p the whole document		1-20	
Т	SULLIVAN, G. J.: "Overview of the High Ef Standard", IEEE Trans. on Circuits and System 22, no. 12, Dec. 2012, pp. 1649-1668 the whole document		· I	
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Name and mailing address of the ISA/FI  National Board of Patents and Registration of Finland  P.O. Box 1160, FI-00101 HELSINKI, Finland		Authorized officer Timo Laakso		
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## INTERNATIONAL SEARCH REPORT

International application No. PCT/FI2012/051070

CLASSIFICATION OF SUBJECT MATTER
Int.Cl. H04N 7/26 (2006.01) H04N 7/50 (2006.01) H04N 7/34 (2006.01) G06T 9/00 (2006.01)

Form PCT/ISA/210 (extra sheet)

## INTERNATIONAL SEARCH REPORT Information on patent family members

International application No. PCT/FI2012/051070

Patent document cited in search report	Publication date	Patent family members(s)	Publication date
WO 2011062392 A2	26/05/2011	US 2012307905 A1	06/12/2012
		CN 102714720 A KR 20110054592 A	03/10/2012 25/05/2011
US 2011170602 A1	14/07/2011	US 2013044815 A1	21/02/2013
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International filing date: 02 November 2012 (02.11.2012)

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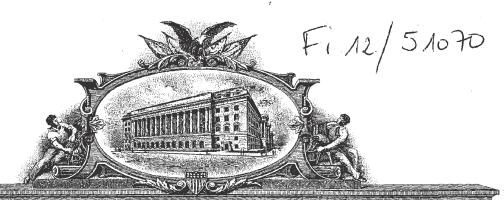
Filing date: 04 November 2011 (04.11.2011)

Date of receipt at the International Bureau: 04 December 2012 (04.12.2012)

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APPLICATION NUMBER: 61/555,703 FILING DATE: November 04, 2011

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EFS ID:	11339430
Application Number:	61555703
International Application Number:	
Confirmation Number:	9276
Title of Invention:	METHOD FOR CODING AND AN APPARATUS
First Named Inventor/Applicant Name:	Mehmet Oguz Bici
Customer Number:	73658
Filer:	Ragip Kurceren/Denise Wilson
Filer Authorized By:	Ragip Kurceren
Attorney Docket Number:	NC77198US-PSP
Receipt Date:	04-NOV-2011
Filing Date:	
Time Stamp:	14:51:51
Application Type:	Provisional

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and a second	Specification		1	46	
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## METHOD FOR CODING AND AN APPARATUS

## TECHNICAL FIELD

There is provided a method for encoding, a method for decoding, an apparatus, computer program products, an encoder and a decoder.

## **BACKGROUND INFORMATION**

This section is intended to provide a background or context to the invention that is recited in the claims. The description herein may include concepts that could be pursued, but are not necessarily ones that have been previously conceived or pursued. Therefore, unless otherwise indicated herein, what is described in this section is not prior art to the description and claims in this application and is not admitted to be prior art by inclusion in this section

A video codec may comprise an encoder which transforms input video into a compressed representation suitable for storage and/or transmission and a decoder that can uncompress the compressed video representation back into a viewable form, or either one of them. The encoder may discard some information in the original video sequence in order to represent the video in a more compact form, for example at a lower bit rate.

Many hybrid video codecs, operating for example according to the International Telecommunication Union's ITU-T H.263 and H.264 coding standards, encode video information in two phases. In the first phase, pixel values in a certain picture area or "block" are predicted. These pixel values can be predicted, for example, by motion compensation mechanisms, which involve finding and indicating an area in one of the previously encoded video frames (or a later coded video frame) that corresponds closely to the block being coded. Additionally, pixel values can be predicted by spatial mechanisms which involve finding and indicating a spatial region relationship, for example by using pixel values around the block to be coded in a specified manner.

Prediction approaches using image information from a previous (or a later) image can also be called as Inter prediction methods, and prediction approaches using image information within the same image can also be called as Intra prediction methods.

The second phase is one of coding the error between the predicted block of pixels and the original block of pixels. This may be accomplished by transforming the difference in pixel values using a specified transform. This transform may be e.g. a Discrete Cosine Transform (DCT) or a variant thereof. After transforming the difference, the transformed difference may be quantized and entropy encoded.

By varying the fidelity of the quantization process, the encoder can control the balance between the accuracy of the pixel representation, (in other words, the quality of the picture) and the size of the resulting encoded video representation (in other words, the file size or transmission bit rate).

The decoder reconstructs the output video by applying a prediction mechanism similar to that used by the encoder in order to form a predicted representation of the pixel blocks (using the motion or spatial information created by the encoder and stored in the compressed representation of the image) and prediction error decoding (the inverse operation of the prediction error coding to recover the quantized prediction error signal in the spatial domain).

After applying pixel prediction and error decoding processes the decoder combines the prediction and the prediction error signals (the pixel values) to form the output video frame.

The decoder (and encoder) may also apply additional filtering processes in order to improve the quality of the output video before passing it for display and/or storing as a prediction reference for the forthcoming frames in the video sequence.

In some video codecs, such as High Efficiency Video Coding Working Draft 4, video pictures may be divided into coding units (CU) covering the area of a picture. A coding unit consists of one or more prediction units (PU) defining the prediction process for the samples within the coding unit and one or more transform units (TU) defining the prediction error coding process for the samples in the coding unit. A coding unit may consist of a square block of samples with a size selectable from a predefined set of possible coding unit sizes. A coding unit with the maximum allowed size can be named as a largest coding unit (LCU) and the video picture may be divided into non-overlapping largest coding units. A largest coding unit can further be split into a combination of smaller coding units, e.g. by recursively splitting the largest coding unit and resultant coding units. Each resulting coding unit may have at least one prediction unit and at least one transform unit associated with it. Each prediction unit and

transform unit can further be split into smaller prediction units and transform units in order to increase granularity of the prediction and prediction error coding processes, respectively. Each prediction unit may have prediction information associated with it defining what kind of a prediction is to be applied for the pixels within that prediction unit (e.g. motion vector information for inter predicted prediction units and intra prediction directionality information for intra predicted prediction units). Similarly, each transform unit may be associated with information describing the prediction error decoding process for samples within the transform unit (including e.g. discrete cosine transform (DCT) coefficient information). It may be signalled at coding unit level whether prediction error coding is applied or not for each coding unit. In the case there is no prediction error residual associated with the coding unit, it can be considered there are no transform units for the coding unit. The division of the image into coding units, and division of coding units into prediction units and transform units may be signalled in the bitstream allowing the decoder to reproduce the intended structure of these units.

In some video codecs, motion information is indicated by motion vectors associated with each motion compensated image block. These motion vectors represent the displacement of the image block in the picture to be coded (in the encoder) or decoded (at the decoder) and the prediction source block in one of the previously coded or decoded images (or pictures). In order to represent motion vectors efficiently, motion vectors may be coded differentially with respect to block specific predicted motion vector. In some video codecs, the predicted motion vectors are created in a predefined way, for example by calculating the median of the encoded or decoded motion vectors of the adjacent blocks.

Another way to create motion vector predictions is to generate a list or a set of candidate predictions from blocks in the current frame and/or co-located or other blocks in temporal reference pictures and signalling the chosen candidate as the motion vector prediction. A spatial motion vector prediction is a prediction obtained only on the basis of information of one or more blocks of the same frame than the current frame whereas temporal motion vector prediction is a prediction obtained on the basis of information of one or more blocks of a frame different from the current frame. It may also be possible to obtain motion vector predictions by combining both spatial and temporal prediction information of one or more encoded blocks. These kinds of motion vector predictions are called as spatio-temporal motion vector predictions.

In addition to predicting the motion vector values, the reference index in the reference picture list can be predicted. The reference index may be predicted from blocks in the current frame and/or co-located or other blocks in a temporal reference picture. Moreover, some high efficiency video codecs employ an additional motion information coding/decoding mechanism, often called merging/merge mode, where all the motion field information, which includes motion vector and corresponding reference picture index for each available reference picture list, may be predicted and used without any modification or correction. Similarly, predicting the motion field information may be carried out using the motion field information of blocks in the current frame and/or co-located or other blocks in temporal reference pictures and the used motion field information is signalled among a list of motion field candidate list filled with motion field information of available blocks in the current frame and/or co-located or other blocks in temporal reference pictures.

In some video codecs the prediction residual after motion compensation is first transformed with a transform kernel (like DCT) and then coded. The reason for this is that often there still exists some correlation among the residual and transform can in many cases help reduce this correlation and provide more efficient coding.

Some video encoders utilize Lagrangian cost functions to find optimal coding modes, e.g. the desired Macroblock mode and associated motion vectors. This kind of cost function uses a weighting factor  $\square$  to tie together the (exact or estimated) image distortion due to lossy coding methods and the (exact or estimated) amount of information that is required to represent the pixel values in an image area:

$$C = D + \Box R \quad (1)$$

where C is the Lagrangian cost to be minimized, D is the image distortion (e.g. Mean Squared Error) with the mode and motion vectors considered, and R the number of bits needed to represent the required data to reconstruct the image block in the decoder (including the amount of data to represent the candidate motion vectors).

Some video codecs such as hybrid video codecs may generate a list of motion vector predictions (MVP) consisting of motion vectors of spatial adjacent blocks (spatial MVP) and/or

motion vectors of blocks in a previously decoded frame (temporal MVP). One of the candidate motion vectors in the list is signalled to be used as the motion vector prediction of the current block. After the list is generated, some of the motion vector prediction candidates may have the same motion information. In this case, the identical motion vector prediction candidates may be removed to reduce redundancy. During the decoding, if the temporal motion vector prediction information is unavailable due to e.g. loss of reference frame, the decoder may not know if the temporal motion vector prediction candidate in the list is to be removed. This may lead to uncertainty for mapping the decoded candidate index to the candidates whose removal decision is based on comparing motion information with the temporal motion vector prediction. As a result, false assignment of motion vector prediction candidates may occur which may lead to degradation in the picture quality and drift of false motion information throughout the decoding process.

## **SUMMARY**

The present invention introduces a method for generating a motion vector prediction list for an image block. In some embodiments video codecs employ in a motion prediction candidate list construction a way to reduce the complexity of the implementation. This can be achieved by performing a limited number of motion information comparisons between candidate pairs to remove the redundant candidates rather than comparing every available candidate pair. The decision of whether comparing two candidates may depend on the order of the candidates to be considered for the list and/or coding/prediction mode and/or location of the blocks associated with the candidates. In some embodiments a video codec employs a merge process for motion information coding and creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding or prediction unit. The motion prediction candidates may consist of several spatial motion predictions and a temporal motion prediction. The spatial candidates are obtained from the motion information of e.g. spatial neighbour blocks.

According to a first aspect of the present invention there is provided a method comprising:

receiving a block of pixels including a prediction unit; determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a second aspect of the present invention there is provided a method comprising:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of another spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a third aspect of the present invention there is provided an apparatus comprising a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receive a block of pixels

including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a fourth aspect of the present invention there is provided an apparatus comprising a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receive an encoded block of pixels

including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of another spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a fifth aspect of the present invention there is provided a storage medium having stored thereon a computer executable program code for use by an encoder, said program code comprises instructions for:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

select a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determine a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

compare motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

exclude the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other

According to a sixth aspect of the present invention there is provided a storage medium having stored thereon a computer executable program code for use by a decoder, said program code comprises instructions for:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a seventh aspect of the present invention there is provided an apparatus comprising:

means for receiving a block of pixels including a prediction unit;

means for determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information:

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to an eighth aspect of the present invention there is provided an apparatus comprising:

means for receiving an encoded block of pixels including a prediction unit;

means for determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with

motion information;

means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

## **DESCRIPTION OF THE DRAWINGS**

For better understanding of the present invention, reference will now be made by way of example to the accompanying drawings in which:

Figure 1 shows schematically an electronic device employing some embodiments of the invention;

Figure 2 shows schematically a user equipment suitable for employing some embodiments of the invention;

Figure 3 further shows schematically electronic devices employing embodiments of the invention connected using wireless and wired network connections;

Figure 4a shows schematically an embodiment of the invention as incorporated within an encoder;

Figure 4b shows schematically an embodiment of a prediction reference list generation and modification according to some embodiments of the invention;

Figures 5a and 5b show a flow diagram showing the operation of an embodiment of the invention with respect to the encoder as shown in figure 4a;

Figure 6a illustrates an example of spatial and temporal prediction of a prediction unit; Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit:

Figure 7 shows schematically an embodiment of the invention as incorporated within a decoder:

Figures 8a and 8b show a flow diagram of showing the operation of an embodiment of the invention with respect to the decoder shown in figure 7;

Figure 9 illustrates an example of a coding unit and some neighbour blocks of the coding unit;

Figure 10a illustrates an example of a horizontal division of the coding unit;

Figure 10b illustrates an example of a vertical division of the coding unit;

Figure 11a illustrates locations of five spatial neighbours A0, A1, B0, B1, B2 for a prediction unit generated as the second prediction unit of a horizontally divided coding unit;

Figure 11b illustrates locations of five spatial neighbours for a prediction unit generated as the second prediction unit of a vertically divided coding unit; and

Figure 12 illustrates an example of blocks between some spatial neighbours of a coding unit.

## DETAILED DESCRIPTION OF SOME EXAMPLE EMBODIMENTS

The following describes in further detail suitable apparatus and possible mechanisms for the provision of improving the prediction accuracy and hence possibly reducing information to be transmitted in video coding systems. In this regard reference is first made to Figure 1 which shows a schematic block diagram of an exemplary apparatus or electronic device 50, which may incorporate a codec according to an embodiment of the invention.

The electronic device 50 may for example be a mobile terminal or user equipment of a wireless communication system. However, it would be appreciated that embodiments of the invention may be implemented within any electronic device or apparatus which may require encoding and decoding or encoding or decoding video images.

The apparatus 50 may comprise a housing 30 for incorporating and protecting the device. The apparatus 50 further may comprise a display 32 in the form of a liquid crystal display. In other embodiments of the invention the display may be any suitable display technology suitable to display an image or video. The apparatus 50 may further comprise a keypad 34. In other embodiments of the invention any suitable data or user interface mechanism may be employed. For example the user interface may be implemented as a virtual keyboard or data entry system as part of a touch-sensitive display. The apparatus may comprise a microphone 36 or any suitable audio input which may be a digital or analogue signal input. The apparatus 50 may further comprise an audio output device which in embodiments of the invention may be any one of: an earpiece 38, speaker, or an analogue audio or digital audio output connection. The apparatus 50 may also comprise a battery 40 (or in other embodiments of the invention the device may be powered by any suitable mobile energy device such as solar cell, fuel cell or clockwork generator). The apparatus may further comprise an infrared port 42 for short range line of sight communication to other devices. In other embodiments the apparatus 50 may further comprise any suitable short range communication solution such as for example a Bluetooth wireless connection or a USB/firewire wired connection.

The apparatus 50 may comprise a controller 56 or processor for controlling the apparatus 50. The controller 56 may be connected to memory 58 which in embodiments of the invention may store both data in the form of image and audio data and/or may also store instructions for implementation on the controller 56. The controller 56 may further be connected to codec

circuitry 54 suitable for carrying out coding and decoding of audio and/or video data or assisting in coding and decoding carried out by the controller 56.

The apparatus 50 may further comprise a card reader 48 and a smart card 46, for example a UICC and UICC reader for providing user information and being suitable for providing authentication information for authentication and authorization of the user at a network.

The apparatus 50 may comprise radio interface circuitry 52 connected to the controller and suitable for generating wireless communication signals for example for communication with a cellular communications network, a wireless communications system or a wireless local area network. The apparatus 50 may further comprise an antenna 44 connected to the radio interface circuitry 52 for transmitting radio frequency signals generated at the radio interface circuitry 52 to other apparatus(es) and for receiving radio frequency signals from other apparatus(es).

In some embodiments of the invention, the apparatus 50 comprises a camera capable of recording or detecting individual frames which are then passed to the codec 54 or controller for processing. In some embodiments of the invention, the apparatus may receive the video image data for processing from another device prior to transmission and/or storage. In some embodiments of the invention, the apparatus 50 may receive either wirelessly or by a wired connection the image for coding/decoding.

With respect to Figure 3, an example of a system within which embodiments of the present invention can be utilized is shown. The system 10 comprises multiple communication devices which can communicate through one or more networks. The system 10 may comprise any combination of wired or wireless networks including, but not limited to a wireless cellular telephone network (such as a GSM, UMTS, CDMA network etc.), a wireless local area network (WLAN) such as defined by any of the IEEE 802.x standards, a Bluetooth personal area network, an Ethernet local area network, a token ring local area network, a wide area network, and the Internet.

The system 10 may include both wired and wireless communication devices or apparatus 50 suitable for implementing embodiments of the invention.

For example, the system shown in Figure 3 shows a mobile telephone network 11 and a representation of the internet 28. Connectivity to the internet 28 may include, but is not limited to, long range wireless connections, short range wireless connections, and various wired

connections including, but not limited to, telephone lines, cable lines, power lines, and similar communication pathways.

The example communication devices shown in the system 10 may include, but are not limited to, an electronic device or apparatus 50, a combination of a personal digital assistant (PDA) and a mobile telephone 14, a PDA 16, an integrated messaging device (IMD) 18, a desktop computer 20, a notebook computer 22. The apparatus 50 may be stationary or mobile when carried by an individual who is moving. The apparatus 50 may also be located in a mode of transport including, but not limited to, a car, a truck, a taxi, a bus, a train, a boat, an airplane, a bicycle, a motorcycle or any similar suitable mode of transport.

Some or further apparatuses may send and receive calls and messages and communicate with service providers through a wireless connection 25 to a base station 24. The base station 24 may be connected to a network server 26 that allows communication between the mobile telephone network 11 and the internet 28. The system may include additional communication devices and communication devices of various types.

The communication devices may communicate using various transmission technologies including, but not limited to, code division multiple access (CDMA), global systems for mobile communications (GSM), universal mobile telecommunications system (UMTS), time divisional multiple access (TDMA), frequency division multiple access (FDMA), transmission control protocol-internet protocol (TCP-IP), short messaging service (SMS), multimedia messaging service (MMS), email, instant messaging service (IMS), Bluetooth, IEEE 802.11 and any similar wireless communication technology. A communications device involved in implementing various embodiments of the present invention may communicate using various media including, but not limited to, radio, infrared, laser, cable connections, and any suitable connection.

With respect to Figure 4a, a block diagram of a video encoder suitable for carrying out embodiments of the invention is shown. Furthermore, with respect to Figures 5a and 5b, the operation of the encoder exemplifying embodiments of the invention specifically with respect to construction of the list of candidate predictions is shown as a flow diagram.

Figure 4a shows the encoder as comprising a pixel predictor 302, prediction error encoder 303 and prediction error decoder 304. Figure 4a also shows an embodiment of the pixel predictor 302 as comprising an inter-predictor 306, an intra-predictor 308, a mode selector 310, a filter

316, and a reference frame memory 318. In this embodiment the mode selector 310 comprises a block processor 381 and a cost evaluator 382. The encoder may further comprise an entropy encoder 330 for entropy encoding the bit stream.

Figure 4b depicts an embodiment of the inter predictor 306. The inter predictor 306 comprises a reference frame selector 360 for selecting reference frame or frames, a motion vector definer 361, a prediction list modifier 363 and a motion vector selector 364. These elements or some of them may be part of a prediction processor 362 or they may be implemented by using other means.

The pixel predictor 302 receives the image 300 to be encoded at both the inter-predictor 306 (which determines the difference between the image and a motion compensated reference frame 318) and the intra-predictor 308 (which determines a prediction for an image block based only on the already processed parts of the current frame or picture). The output of both the interpredictor and the intra-predictor may be passed to the mode selector 310. The intra-predictor 308 may have more than one intra-prediction modes. Hence, each mode may perform the intra-prediction and provide the predicted signal to the mode selector 310. The mode selector 310 also receives a copy of the image 300.

The mode selector 310 determines which encoding mode to use to encode the current block. If the mode selector 310 decides to use an inter-prediction mode it will pass the output of the inter-predictor 306 to the output of the mode selector 310. If the mode selector 310 decides to use an intra-prediction mode it will pass the output of one of the intra-predictor modes to the output of the mode selector 310.

The output of the mode selector is passed to a first summing device 321. The first summing device may subtract the pixel predictor 302 output from the image 300 to produce a first prediction error signal 320 which is input to the prediction error encoder 303.

The pixel predictor 302 further receives from a preliminary reconstructor 339 the combination of the prediction representation of the image block 312 and the output 338 of the prediction error decoder 304. The preliminary reconstructed image 314 may be passed to the intra-predictor 308 and to a filter 316. The filter 316 receiving the preliminary representation may filter the preliminary representation and output a final reconstructed image 340 which may be saved in a reference frame memory 318. The reference frame memory 318 may be connected

to the inter-predictor 306 to be used as the reference image against which the future image 300 is compared in inter-prediction operations.

The operation of the pixel predictor 302 may be configured to carry out any known pixel prediction algorithm known in the art.

The pixel predictor 302 may also comprise a filter 385 to filter the predicted values before outputting them from the pixel predictor 302.

The operation of the prediction error encoder 302 and prediction error decoder 304 will be described hereafter in further detail. In the following examples the encoder generates images in terms of 16x16 pixel macroblocks which go to form the full image or picture. Thus, for the following examples the pixel predictor 302 outputs a series of predicted macroblocks of size 16x16 pixels and the first summing device 321 outputs a series of 16x16 pixel residual data macroblocks which may represent the difference between a first macro-block in the image 300 against a predicted macro-block (output of pixel predictor 302). It would be appreciated that other size macro blocks may be used.

The prediction error encoder 303 comprises a transform block 342 and a quantizer 344. The transform block 342 transforms the first prediction error signal 320 to a transform domain. The transform is, for example, the DCT transform. The quantizer 344 quantizes the transform domain signal, e.g. the DCT coefficients, to form quantized coefficients.

The prediction error decoder 304 receives the output from the prediction error encoder 303 and performs the opposite processes of the prediction error encoder 303 to produce a decoded prediction error signal 338 which when combined with the prediction representation of the image block 312 at the second summing device 339 produces the preliminary reconstructed image 314. The prediction error decoder may be considered to comprise a dequantizer 346, which dequantizes the quantized coefficient values, e.g. DCT coefficients, to reconstruct the transform signal and an inverse transformation block 348, which performs the inverse transformation to the reconstructed transform signal wherein the output of the inverse transformation block 348 contains reconstructed block(s). The prediction error decoder may also comprise a macroblock filter (not shown) which may filter the reconstructed macroblock according to further decoded information and filter parameters.

In the following the operation of an example embodiment of the inter predictor 306 will be described in more detail. The inter predictor 306 receives the current block for inter prediction. It is assumed that for the current block there already exists one or more neighbouring blocks which have been encoded and motion vectors have been defined for them. For example, the block on the left side and/or the block above the current block may be such blocks. Spatial motion vector predictions for the current block can be formed e.g. by using the motion vectors of the encoded neighbouring blocks and/or of non-neighbour blocks in the same slice or frame, using linear or non-linear functions of spatial motion vector predictions, using a combination of various spatial motion vector predictors with linear or non-linear operations, or by any other appropriate means that do not make use of temporal reference information. It may also be possible to obtain motion vector predictors by combining both spatial and temporal prediction information of one or more encoded blocks. These kinds of motion vector predictors may also be called as spatio-temporal motion vector predictors.

Reference frames used in encoding the neighbouring blocks have been stored to the reference frame memory 404. The reference frames may be short term references or long term references and each reference frame may have a unique index indicative of the location of the reference frame in the reference frame memory. When a reference frame is no longer used as a reference frame it may be removed from the reference frame memory or marked as a non-reference frame wherein the storage location of that reference frame may be occupied for a new reference frame. In addition to the reference frames of the neighbouring blocks the reference frame selector 360 may also select one or more other frames as potential reference frames and store them to the reference frame memory.

Motion vector information of encoded blocks is also stored into the memory so that the inter predictor 306 is able to retrieve the motion vector information when processing motion vector candidates for the current block.

In some embodiments the motion vectors are stored into one or more lists. For example, motion vectors of uni-directionally predicted frames (e.g. P-frames) may be stored to a list called as list 0. For bi-directionally predicted frames (e.g. B-frames) there may be two lists (list 0 and list 1) and for multi-predicted frames there may be more than two lists. Reference frame indices possibly associated with the motion vectors may also be stored in one or more lists.

In some embodiments there may be two or more motion vector prediction procedures and each procedure may have its own candidate set creation process. In one procedure, only the motion vector values are used. In another procedure, which may be called as a Merge Mode, each candidate element may comprise 1) The information whether 'block was uni-predicted using only list0' or 'block was uni-predicted using only list1' or 'block was bi-predicted using list0 and list1' 2) motion vector value for list0 3) Reference picture index in list0 4) motion vector value for list1 5) Reference picture index list1. Therefore, whenever two prediction candidates are to be compared, not only the motion vector values are compared, but also the five values mentioned above may be compared to determine whether they correspond with each other or not. On the other hand, if any of the comparisons indicate that the prediction candidates do not have equal motion information, no further comparisons need be performed.

The motion vector definer 361 defines candidate motion vectors for the current frame by using one or more of the motion vectors of one or more neighbour blocks and/or other blocks of the current block in the same frame and/or co-located blocks and/or other blocks of the current block in one or more other frames. These candidate motion vectors can be called as a set of candidate predictors or a predictor set. Each candidate predictor thus represents the motion vector of one or more already encoded block. In some embodiments the motion vector of the candidate predictor is set equal to the motion vector of a neighbour block for the same list if the current block and the neighbour block refer to the same reference frames for that list. Also for temporal prediction there may be one or more previously encoded frames wherein motion vectors of a co-located block or other blocks in a previously encoded frame can be selected as candidate predictors for the current block. The temporal motion vector predictor candidate can be generated by any means that make use of the frames other than the current frame.

The candidate motion vectors can also be obtained by using more than one motion vector of one or more other blocks such as neighbour blocks of the current block and/or co-located blocks in one or more other frames. As an example, any combination of the motion vector of the block to the left of the current block, the motion vector of the block above the current block, and the motion vector of the block at the up-right corner of the current block may be used (i.e. the block to the right of the block above the current block). The combination may be a median of the motion vectors or calculated by using other formulas. For example, one or more of the motion

vectors to be used in the combination may be scaled by a scaling factor, an offset may be added, and/or a constant motion vector may be added. In some embodiments the combined motion vector is based on both temporal and spatial motion vectors, e.g. the motion vector of one or more of the neighbour block or other block of the current block and the motion vector of a colocated block or other block in another frame.

If a neighbour block does not have any motion vector information a default motion vector such as a zero motion vector may be used instead.

Figure 9 illustrates an example of a coding unit 900 and some neighbour blocks 901—905 of the coding unit. As can be seen from Figure 9, if the coding unit 900 represents the current block, the neighbouring blocks 901—905 labelled A0, A1, B0, B1 and B2 could be such neighbour blocks which may be used when obtaining the candidate motion vectors.

Creating additional or extra motion vector predictions based on previously added predictors may be needed when the current number of candidates is limited or insufficient. This kind of creating additional candidates can be performed by combining previous two predictions and/or processing one previous candidate by scaling or adding offset and/or adding a zero motion vector with various reference indices. Hence, the motion vector definer 361 may examine how many motion vector candidates can be defined and how many potential candidate motion vectors exist for the current block. If the number of potential motion vector candidates is smaller than a threshold, the motion vector definer 361 may create additional motion vector predictions.

In some embodiments the combined motion vector can be based on motion vectors in different lists. For example, one motion vector may be defined by combining one motion vector from the list 0 and one motion vector from the list 1 e.g. when the neighbouring or co-located block is a bi-directionally predicted block and there exists one motion vector in the list 0 and one motion vector in the list 1 for the bi-directionally predicted block.

To distinguish the current block from the encoded/decoded blocks the motion vectors of which are used as candidate motion vectors, those encoded/decoded blocks are also called as reference blocks in this application.

In some embodiments not only the motion vector information of the reference block(s) is obtained (e.g. by copying) but also a reference index of the reference block in the reference picture list may be copied to the candidate list. The information whether the block was uni-

predicted using only list0 or the block was uni-predicted using only list1 or the block was bipredicted using list0 and list1 may also be copied. The candidate list may also be called as a candidate set or a set of motion vector prediction candidates.

Figure 6a illustrates an example of spatial and temporal prediction of a prediction unit. There is depicted the current block 601 in the frame 600 and a neighbour block 602 which already has been encoded. The motion vector definer 361 has defined a motion vector 603 for the neighbour block 602 which points to a block 604 in the previous frame 605. This motion vector can be used as a potential spatial motion vector prediction 610 for the current block. Figure 6a depicts that a co-located block 606 in the previous frame 605, i.e. the block at the same location than the current block but in the previous frame, has a motion vector 607 pointing to a block 609 in another frame 608. This motion vector 607 can be used as a potential temporal motion vector prediction-611 for the current frame.

Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit. In this example the block 606 of the previous frame 605 uses bi-directional prediction based on the block 609 of the frame preceding the frame 605 and on the block 612 succeeding the current frame 600. The temporal motion vector prediction for the current block 601 may be formed by using both the motion vectors 607, 614 or either of them.

The operation of the prediction list modifier 363 will now be described in more detail with reference to the flow diagram of Figures 5a and 5b. The prediction list modifier 363 initializes a motion vector prediction list to default values in block 500 of Figure 5a. The prediction list modifier 363 may also initialize a list index to an initial value such as zero. Then, in block 501 the prediction list modifier checks whether there are any motion vector candidates to process. If there is at least one motion vector candidate in the predictor set for processing, the prediction list modifier 363 generates the next motion vector candidate which may be a temporal motion vector or a spatial motion vector. The comparison can be an identicality/equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

In the following, a merge process for motion information coding according to an example embodiment will be described in more detail. The encoder creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding unit or prediction unit. The motion prediction candidates may consist of several

spatial motion predictions and a temporal motion prediction. The spatial candidates can be obtained from the motion information of e.g. the spatial neighbour blocks A0, A1, B0, B1, B2, whose motion information is used as spatial candidate motion predictions. The temporal motion prediction candidate may be obtained by processing the motion of a block in a frame other than the current frame. In this example embodiment, the encoder operations to construct the merge list for the spatial candidates may include the following. The operations may be carried out by the prediction list modifier 363, for example.

A maximum number of spatial motion prediction candidates to be included in the merge list may be defined. This maximum number may have been stored, for example, to the memory 58 of the apparatus 50, or to another appropriate place. It is also possible to determine the maximum number by using other means, or it may be determined in the software of the encoder of the apparatus 50.

In some embodiments the maximum number of spatial motion prediction candidates to be included in the merge list is four but in some embodiments the maximum number may be less than four or greater than four.

In this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2. The spatial motion vector prediction candidate A1 is located on the left side of the prediction unit when the encoding/decoding order is from left to right and from top to bottom of the frame, slice or another entity to be encoded/decoded. Respectively, the spatial motion vector prediction candidate B1 is located above the prediction unit. third; the spatial motion vector prediction candidate B0 is on the right side of the spatial motion vector prediction candidate B1; the spatial motion vector prediction candidate A0 is below the spatial motion vector prediction candidate B2 is located on the same column than spatial motion vector prediction candidate A1 and on the same row than the spatial motion vector prediction candidate B1. In other words, the spatial motion vector prediction candidate B2 is cornerwise neighbouring the prediction unit as can be seen e.g. from Figure 9.

These spatial motion prediction candidates can be processed in a predetermined order, for example, A1, B1, B0, A0 and B2. The first spatial motion prediction candidate to be selected for further examination is thus A1. Before further examination is performed for the selected spatial

motion prediction candidate, it may be determined whether the merge list already contains a maximum number of spatial motion prediction candidates. Hence, the prediction list modifier 363 compares 502 the number of spatial motion prediction candidates in the merge list with the maximum number, and if the number of spatial motion prediction candidates in the merge list is not less than the maximum number, the selected spatial motion prediction candidate is not included in the merge list and the process of constructing the merge list can be stopped 526. On the other hand, if the number of spatial motion prediction candidates in the merge list is less than the maximum number, a further analyses of the selected spatial motion prediction candidate is performed (blocks 504-522).

For all the spatial motion prediction candidates for which the further analyses is to be performed, some or all of the following conditions below may be tested for determining whether to include the spatial motion prediction candidate in the merge list.

The prediction list modifier 363 examines 504 if the prediction unit or block covering the spatial motion prediction candidate block is not available for motion prediction. If so, the candidate is not included in the merge list. The reason that the block is not available may be that the block is either coded in intra mode or resides in a different slice or outside of the picture area.

In addition to the common conditions above, for each spatial motion prediction candidate, if any of the following conditions holds, then the candidate is not included in the merge list, otherwise, it is included.

The prediction list modifier 363 determines 506 which spatial motion prediction candidate of the set of spatial motion prediction candidates is in question. If the spatial motion prediction candidate is the block A1, one or more of the following conditions may be examined 508, 510 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is vertically split into two rectangle prediction units 103, 104 as depicted in Figure 10b and the current prediction unit is the second prediction unit 104 in the coding/decoding order (508), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not vertically split into two rectangle prediction units but it is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit in the coding/decoding order and the block A1 has the same motion information as the block B1 (510), this spatial motion prediction

candidate (block A1) is not included in the merge list. In the example of Figure 10a the second prediction unit is the lower prediction unit 102 of the coding unit 100 and in the example of Figure 10b the second prediction unit is the rightmost prediction unit 104 of the coding unit 100. If none of the conditions above is fulfilled the block A1 is included in the merge list as a spatial motion prediction candidate (524).

If the spatial motion prediction candidate is the block B1, one or more of the following conditions may be examined 512, 514 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit 104 in the coding/decoding order (512), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not horizontally split into two rectangle prediction units and if the block B1 has the same motion information than the block A1 (514), this spatial motion prediction candidate (block B1) is not included in the merge list. If none of the conditions above is fulfilled the block B1 is included in the merge list as a spatial motion prediction candidate (524).

If the spatial motion prediction candidate is the block B0, this spatial motion prediction candidate is not included in the merge list if the block B0 has the same motion information than the block B1 (516). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block B0) is included in the merge list (524).

If the spatial motion prediction candidate is the block A0, this spatial motion prediction candidate is not included in the merge list if the block A0 has the same motion information than the block A1 (518). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block A0) is included in the merge list (524).

If the spatial motion prediction candidate is the block B2, this spatial motion prediction candidate is not included in the merge list if the maximum number of spatial motion prediction candidates is four and the other blocks A0, A1, B0, and B1 are all decided to be included in the merge list (520). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, the block B2 is not

included in the merge list if the block B2 has the same motion information than the block B1 or the block A1 (522).

Then, after processing the blocks A1, B1, B0, A0 and B2 and including a subset of them in the merge list based on the above described conditions, no more redundancy check between these candidates are performed and remaining temporal motion prediction candidate and/or other possible additional candidates may be processed.

Comparing two blocks whether they have the same motion may be performed by comparing all the elements of the motion information, namely 1) The information whether 'the prediction unit is uni-predicted using only reference picture list0' or 'the prediction unit is uni-predicted using only reference picture list1' or 'the prediction unit is bi-predicted using both reference picture list0 and list1' 2) Motion vector value corresponding to the reference picture list0 3) Reference picture index in the reference picture list0 4) Motion vector value corresponding to the reference picture list1 5) Reference picture index in the reference picture list1.

In some embodiments similar restrictions for comparing candidate pairs can be applied if the current coding unit is coded/decoded by splitting into four or any number of prediction units.

The maximum number of merge list candidates can be any non-zero value. In the example above the merger list candidates were the spatial neighbour blocks A0, A1, B0, B1, B2 and the temporal motion prediction candidate, but there may be more than one temporal motion prediction candidate and also other spatial motion prediction candidates than the spatial neighbour blocks. In some embodiments there may also be other spatial neighbour blocks than the blocks A0, A1, B0, B1, B2.

It is also possible that the maximum number of spatial motion prediction candidates included in the list can be different than four.

In some embodiments the maximum number of merge list candidates and maximum number of spatial motion prediction candidates included in the list can depend on whether a temporal motion vector candidate is included in the list or not.

A different number of spatial motion prediction candidates located at various locations in the current frame can be processed. The locations can be the same as or different than A1, B1, B0, A0 and B2.

The decision of including which spatial motion prediction candidates in the list can be realized in two steps. In the first step, some of the candidates are eliminated by checking whether the candidate block is available and/or the candidate block's prediction mode is intra and/or whether the current block is a second prediction unit of a coding unit coded with two prediction units and the candidate has the same motion with the first prediction unit. In the second step, remaining candidates are examined and some or all of them are included in the merge list. The examination in the second step does not include comparing motion information of each possible candidate pair but includes a subset of the possible comparison combinations.

The decisions for the candidates can be taken in any order of A1, B1, B0, A0 and B2 or independently in parallel.

For each candidate and/or a subset of the candidates, the following conditions may also be checked: Whether the candidate block has the same motion as the first prediction unit of the current coding unit when the current coding unit is split into two rectangle prediction units and the current prediction unit is the second prediction unit in the coding/decoding order.

Additional conditions related to various properties of current and/or previous slices and/or current and/or neighbour blocks can be utilized for determining whether to include a candidate in the list.

Motion comparison can be realized by comparing a subset of the whole motion information. For example, only the motion vector values for some or all reference picture lists and/or reference indices for some or all reference picture lists and/or an identifier value assigned to each block to represent its motion information can be compared. The comparison can be an identicality or an equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

Conditions for deciding whether a candidate is to be included in the list can include motion information comparison with any subset of the candidates as long as not all possible candidate pairs are compared eventually.

Deciding whether a temporal motion vector candidate is to be included in the list can be based on comparing its motion information with motion information of a subset of the spatial motion vector prediction candidates.

When comparing motion information of two blocks, motion information of additional blocks can be considered too. For example, when comparing the block B2 and the block A1, all the blocks between the block B2 and the block A1 (illustrated in Figure 12) are checked whether they have the same motion; and when comparing the block B2 and the block B1, all the blocks between the block B2 and the block B1 (illustrated in Figure 12) are checked whether they have the same motion. This embodiment can be implemented so that the right-most block of each prediction unit or all blocks of each prediction unit may store the information of how many consecutive blocks to the above have the same motion information. Also the bottom-most block of each prediction unit or all blocks of each prediction unit may store the information of how many consecutive blocks to the left have the same motion information. Using this information the condition for not including B0 in the list can be realized by checking if the number of consecutive blocks with the same motion to the left of B0 is greater than 0. The condition for not including B2 can be modified as follows:

It is not examined whether the block B2 has same motion as the block B1 or whether the block B2 has same motion as the block A1, but how many consecutive blocks exists to the left of the block B1 with the same motion than the block B1 and/or how many consecutive blocks exist above the block A1 with the same motion. If the number of consecutive blocks with the same motion to the left of the block B1 is greater than the number of blocks between B2 and B1, or if the number of consecutive blocks with the same motion above the block A1 is greater than the number of blocks between the block B2 and the block A1, the block B2 is not included in the merge list.

If the above implementation is used, the value of how many consecutive blocks to the left/above have the same motion information can be determined by direct comparison of motion information or checking the prediction mode and/or the merge index if the block employs a merge process.

When coding/decoding the selected merge index, the information whether the merge process is employed for coding/decoding a Skip mode coding unit or an Inter Merge mode prediction unit can be taken into account. For example, if a context adaptive binary arithmetic

coder (CABAC) is used for entropy coding/decoding, different contexts can be used for the bins depending on the coding mode (Skip mode or inter merge mode) of the current block. Furthermore, assigning two contexts depending on whether the merge process is employed in a Skip mode coding unit or an inter Merge mode prediction unit can be applied for only the most significant bin of the merge index.

During the process of removal of redundant candidates, comparison between motion vector predictor candidates can also be based on any other information than the motion vector values. For example, it can be based on linear or non-linear functions of motion vector values, coding or prediction types of the blocks used to obtain the motion information, block size, the spatial location in the frame/(largest) coding unit/macroblock, the information whether blocks share the same motion with a block, the information whether blocks are in the same coding/prediction unit, etc.

The following pseudo code illustrates an example embodiment of the invention for constructing the merging list.

- Inputs to this process are
- a luma location (xP, yP) specifying the top-left luma sample of the current prediction unit relative to the top-left sample of the current picture;
- variables specifying the width and the height of the prediction unit for luma, nPSW and nPSH; and
- a variable PartIdx specifying the index of the current prediction unit within the current coding unit.

Outputs of this process are (with N being replaced by  $A_0$ ,  $A_1$ ,  $B_0$ ,  $B_1$  or  $B_2$  and with X being replaced by 0 or 1)

- the availability flags availableFlagN of the neighbouring prediction units,
- the reference indices refIdxLXN of the neighbouring prediction units,
- the prediction list utilization flags predFlagLXN of the neighbouring prediction units,
- the motion vectors mvLXN of the neighbouring prediction units.

For the derivation of availableFlagN, with N being  $A_0$ ,  $A_1$ ,  $B_0$ ,  $B_1$  or  $B_2$  and (xN, yN) being (xP-1, yP+nPSH), (xP+nPSH-1), (xP+nPSW-1, yP-1), (xP+nPSW-1, yP-1) or (xP-1, yP-1), the following applies.

- If one of the following conditions is true, the availableFlagN is set equal to 0, both components mvLXN are set equal to 0, refIdxLXN and predFlagLX[xN, yN] of the prediction unit covering luma location (xN, yN) are assigned respectively to mvLXN, refIdxLXN and predFlagLXN.
  - N is equal to B<sub>2</sub> and availableFlagA<sub>0</sub> + availableFlagA<sub>1</sub> + availableFlagB<sub>0</sub> + availableFlagB<sub>1</sub> is equal to 4.
  - The prediction unit covering luma location (xN, yN) is not available or PredMode is MODE INTRA.
  - N is equal to A1 and PartMode of the current prediction unit is PART\_Nx2N or PART\_nLx2N or PART\_nRx2N and PartIdx is equal to 1.
  - N is equal to A1 and PartMode of the current prediction unit is PART\_2NxN or PART\_2NxnU or PART\_2NxnD and PartIdx is equal to 1 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
    - mvLX[xP+nPSW-1, yP-1] == mvLX[xN, yN]
    - refIdxLX[xP+nPSW-1, yP-1] = = refIdxLX[xN, yN]
    - predFlagLX[xP+nPSW-1, yP-1] = predFlagLX[xN, yN]
  - N is equal to B1 and PartMode of the current prediction unit is 2NxN or PART\_2NxnU
     or PART\_2NxnD and PartIdx is equal to 1.
  - N is equal to B1 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N = A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
    - mvLX[xP-1, yP+nPSH-1] == mvLX[xN, yN]
    - refIdxLX[xP-1, yP+nPSH-1] = = refIdxLX[xN, yN]
    - predFlagLX[xP-1, yP+nPSH-1] = = predFlagLX[xN, yN]
  - N is equal to B0 and the prediction units covering luma location (xP+nPSW-1, yP-1)
     (N = B1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
    - mvLX[xP+nPSW-1, yP-1] = mvLX[xN, yN]
    - refIdxLX[xP+nPSW-1, yP-1] = = refIdxLX[xN, yN]
    - predFlagLX[xP+nPSW-1, yP-1] == predFlagLX[xN, yN]

- N is equal to A0 and the prediction units covering luma location (xP-1, yP+nPSH-1)
   (N = A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
  - mvLX[xP-1, yP+nPSH-1] == mvLX[xN, yN]
  - refIdxLX[xP-1, yP+nPSH-1] = refIdxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] = = predFlagLX[xN, yN]
- N is equal to B2 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
  - mvLX[xP+nPSW-1, yP-1] == mvLX[xN, yN]
  - refIdxLX[xP+nPSW-1, yP-1] = refIdxLX[xN, yN]
  - predFlagLX[xP+nPSW-1, yP-1] = predFlagLX[xN, yN]
- N is equal to B2 and the prediction units covering luma location (xP-1, yP+nPSH-1)
   (N = A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
  - mvLX[xP-1, yP+nPSH-1] == mvLX[xN, yN]
  - refIdxLX[xP-1, yP+nPSH-1] = = refIdxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] = = predFlagLX[xN, yN]
- PartMode of the current prediction unit is PART\_NxN and PartIdx is equal to 3 and the prediction units covering luma location (xP-1, yP) (PartIdx = 2) and luma location (xP-1, yP-1) (PartIdx = 0) have identical motion parameters:
  - mvLX[xP-1, yP] == mvLX[xP-1, yP-1]
  - refldxLX[xP-1, yP] = refldxLX[xP-1, yP-1]
  - predFlagLX[xP-1, yP] == predFlagLX[xP-1, yP-1]

and the prediction units covering luma location (xP, yP-1) (PartIdx = 1) and luma location (xN, yN) (Cand. N) have identical motion parameters:

- mvLX[xP, yP-1] == mvLX[xN, yN]
- refIdxLX[xP, yP-1] = refIdxLX[xN, yN]
- predFlagLX[xP, yP-1] == predFlagLX[xN, yN]
- PartMode of the current prediction unit is PART\_NxN and PartIdx is equal to 3 and the prediction units covering luma location (xP, yP-1) (PartIdx = 1) and luma location (xP-1, yP-1) (PartIdx = 0) have identical motion parameters:
  - mvLX[xP, vP-1] = mvLX[xP-1, vP-1]

- refIdxLX[xP, yP-1] = = refIdxLX[xP-1, yP-1]
- predFlagLX[xP, yP-1] = predFlagLX[xP-1, yP-1]

and the prediction units covering luma location (xP-1, yP) (PartIdx = 2) and luma location (xN, yN) (Cand. N) have identical motion parameters:

- mvLX[xP-1, yP] == mvLX[xN, yN]
- refIdxLX[xP-1, yP] == refIdxLX[xN, yN]
- predFlagLX[xP-1, yP] = = predFlagLX[xN, yN]
- Otherwise, availableFlagN is set equal to 1 and the variables mvLX[xN, yN], refIdxLX[xN, yN] and predFlagLX[xN, yN] of the prediction unit covering luma location (xN, yN) are assigned respectively to mvLXN, refIdxLXN and predFlagLXN.

For the motion vector predictor candidate list generation process, each list candidate can include more information than the motion vector value, such as the reference lists used, the reference frames used in each list and motion vector for each list.

When all motion vector candidates have been examined, one motion vector is selected to be used as the motion vector for the current block. The motion vector selector 364 may examine different motion vectors in the list and determine which motion vector provides the most efficient encoding result, or the selection of the motion vector may be based on to other criteria as well. Information of the selected motion vector is provided for the mode selector for encoding and transmission to the decoder or for storage when the mode selector determines to use inter prediction for the current block. The information may include the index of the motion vector in the list, and/or motion vector parameters or other appropriate information.

The selected motion vector and the block relating to the motion vector is used to generate the prediction representation of the image block 312 which is provided as the output of the mode selector. The output may be used by the first summing device 321 to produce the first prediction error signal 320, as was described above.

The selected motion vector predictor candidate can be modified by adding a motion vector difference or can be used directly as the motion vector of the block. Moreover, after the motion compensation is performed by using the selected motion vector predictor candidate, the residual signal of the block can be transform coded or skipped to be coded.

Although the embodiments above have been described with respect to the size of the macroblock being 16x16 pixels, it would be appreciated that the methods and apparatus described may be configured to handle macroblocks of different pixel sizes.

In the following the operation of an example embodiment of the decoder 600 is depicted in more detail with reference to Figure 7.

At the decoder side similar operations are performed to reconstruct the image blocks. Figure 7 shows a block diagram of a video decoder 700 suitable for employing embodiments of the invention and Figures 8a and 8b show a flow diagram of an example of a method in the video decoder. The bitstream to be decoded may be received from the encoder, from a network element, from a storage medium or from another source. The decoder is aware of the structure of the bitstream so that it can determine the meaning of the entropy coded codewords and may decode the bitstream by an entropy decoder 701 which performs entropy decoding on the received signal. The entropy decoder thus performs the inverse operation to the entropy encoder 330 of the encoder described above. The entropy decoder 701 outputs the results of the entropy decoding to a prediction error decoder 702 and a pixel predictor 704.

In some embodiments the entropy coding may not be used but another channel encoding may be in use, or the encoded bitstream may be provided to the decoder 700 without channel encoding. The decoder 700 may comprise a corresponding channel decoder to obtain the encoded codewords from the received signal.

The pixel predictor 704 receives the output of the entropy decoder 701. The output of the entropy decoder 701 may include an indication on the prediction mode used in encoding the current block. A predictor selector 714 within the pixel predictor 704 determines that an intraprediction or an inter-prediction is to be carried out. The predictor selector 714 may furthermore output a predicted representation of an image block 716 to a first combiner 713. The predicted representation of the image block 716 is used in conjunction with the reconstructed prediction error signal 712 to generate a preliminary reconstructed image 718. The preliminary reconstructed image 718 may be used in the predictor 714 or may be passed to a filter 720. The filter 720, if used, applies a filtering which outputs a final reconstructed signal 722. The final reconstructed signal 722 may be stored in a reference frame memory 724, the reference frame memory 724 further being connected to the predictor 714 for prediction operations.

Also the prediction error decoder 702 receives the output of the entropy decoder 701. A dequantizer 792 of the prediction error decoder 702 may dequantize the output of the entropy decoder 701 and the inverse transform block 793 may perform an inverse transform operation to the dequantized signal output by the dequantizer 792. The output of the entropy decoder 701 may also indicate that prediction error signal is not to be applied and in this case the prediction error decoder produces an all zero output signal.

The decoder selects the 16x16 pixel residual macroblock to reconstruct. This residual macroblock is also called as a current block.

The decoder may receive information on the encoding mode used in encoding of the current block. The indication is decoded, when necessary, and provided to the reconstruction processor 791 of the prediction selector 714. The reconstruction processor 791 examines the indication and selects one of the intra-prediction mode(s), if the indication indicates that the block has been encoded using intra-prediction, or the inter-prediction mode, if the indication indicates that the block has been encoded using inter-prediction.

For inter-prediction mode the reconstruction processor 791 may comprise one or more elements corresponding to the prediction processor 362 of the encoder, such as a motion vector definer, a prediction list modifier and/or a motion vector selector.

The reconstruction processor 791 initializes a motion vector prediction list to default values in block 800. As was the case in the encoding part, in this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2 and these spatial motion prediction candidates are processed in the same predetermined order than in the encoder: A1, B1, B0, A0 and B2. The first spatial motion prediction candidate to be selected for further examination is thus A1. Before further examination is performed for the selected spatial motion prediction candidate, it is examined whether the merge list already contains a maximum number of spatial motion prediction candidates. If the number of spatial motion prediction candidates in the merge list is not less than the maximum number, the selected spatial motion prediction candidate is not included in the merge list and the process of constructing the merge list can be stopped 826. On the other hand, if the number of spatial motion prediction candidates in the merge list is less than the maximum number, a further analyses of the selected spatial motion prediction candidate is performed (blocks 804-822).

The decoder examines 804 if the prediction unit or block covering the spatial motion prediction candidate block is not available for motion prediction. If so, the candidate is not included in the merge list. The reason that the block is not available may be that the block is either coded in intra mode or resides in a different slice or outside of the picture area.

In addition to the common conditions above, for each spatial motion prediction candidate, if any of the following conditions holds, then the candidate is not included in the merge list, otherwise, it is included.

The decoder determines 806 which spatial motion prediction candidate of the set of spatial motion prediction candidates is in question. If the spatial motion prediction candidate is the block A1, one or more of the following conditions may be examined 808, 810 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is vertically split into two rectangle prediction units 103, 104 as depicted in Figure 10b and the current prediction unit is the second prediction unit 104 in the coding/decoding order (808), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not vertically split into two rectangle prediction units but it is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit in the coding/decoding order and the block A1 has the same motion information as the block B1 (810), this spatial motion prediction candidate (block A1) is not included in the merge list. In the example of Figure 10a the second prediction unit is the lower prediction unit 102 of the coding unit 100 and in the example of Figure 10b the second prediction unit is the rightmost prediction unit 104 of the coding unit 100. If none of the conditions above is fulfilled the block A1 is included in the merge list as a spatial motion prediction candidate (824).

If the spatial motion prediction candidate is the block B1, one or more of the following conditions may be examined 812, 814 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit 104 in the coding/decoding order (812), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not horizontally split into two rectangle prediction units and if the block B1 has the same motion

information than the block A1 (814), this spatial motion prediction candidate (block B1) is not included in the merge list. If none of the conditions above is fulfilled the block B1 is included in the merge list as a spatial motion prediction candidate (824).

If the spatial motion prediction candidate is the block B0, this spatial motion prediction candidate is not included in the merge list if the block B0 has the same motion information than the block B1 (816). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block B0) is included in the merge list (824).

If the spatial motion prediction candidate is the block A0, this spatial motion prediction candidate is not included in the merge list if the block A0 has the same motion information than the block A1 (818). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block A0) is included in the merge list (824).

If the spatial motion prediction candidate is the block B2, this spatial motion prediction candidate is not included in the merge list if the maximum number of spatial motion prediction candidates is four and the other blocks A0, A1, B0, and B1 are all decided to be included in the merge list (820). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, the block B2 is not included in the merge list if the block B2 has the same motion information than the block B1 or the block A1 (822).

Then, after processing the blocks A1, B1, B0, A0 and B2 and including a subset of them in the merge list based on the above described conditions, no more redundancy check between these candidates are performed and remaining temporal motion prediction candidate and/or other possible additional candidates may be processed.

When the merge list has been constructed the decoder may use 828 the indication of the motion vector received from the encoder to select the motion vector for decoding the current block. The indication may be, for example, an index to the merge list.

Basically, after the reconstruction processor 791 has constructed the merge list, it would correspond with the merge list constructed by the encoder if the reconstruction processor 791 has the same information available than the encoder had. If some information has been lost during

transmission the information from the encoder to the decoder, it may affect the generation of the merge list in the decoder 700.

The above examples describe the operation mainly in the merge mode but the encoder and decoder may also operate in other modes.

The embodiments of the invention described above describe the codec in terms of separate encoder and decoder apparatus in order to assist the understanding of the processes involved. However, it would be appreciated that the apparatus, structures and operations may be implemented as a single encoder-decoder apparatus/structure/operation. Furthermore in some embodiments of the invention the coder and decoder may share some or all common elements.

Although the above examples describe embodiments of the invention operating within a codec within an electronic device, it would be appreciated that the invention as described below may be implemented as part of any video codec. Thus, for example, embodiments of the invention may be implemented in a video codec which may implement video coding over fixed or wired communication paths.

Thus, user equipment may comprise a video codec such as those described in embodiments of the invention above.

It shall be appreciated that the term user equipment is intended to cover any suitable type of wireless user equipment, such as mobile telephones, portable data processing devices or portable web browsers.

Furthermore elements of a public land mobile network (PLMN) may also comprise video codecs as described above.

In general, the various embodiments of the invention may be implemented in hardware or special purpose circuits, software, logic or any combination thereof. For example, some aspects may be implemented in hardware, while other aspects may be implemented in firmware or software which may be executed by a controller, microprocessor or other computing device, although the invention is not limited thereto. While various aspects of the invention may be illustrated and described as block diagrams, flow charts, or using some other pictorial representation, it is well understood that these blocks, apparatus, systems, techniques or methods described herein may be implemented in, as non-limiting examples, hardware, software,

firmware, special purpose circuits or logic, general purpose hardware or controller or other computing devices, or some combination thereof.

The embodiments of this invention may be implemented by computer software executable by a data processor of the mobile device, such as in the processor entity, or by hardware, or by a combination of software and hardware. Further in this regard it should be noted that any blocks of the logic flow as in the Figures may represent program steps, or interconnected logic circuits, blocks and functions, or a combination of program steps and logic circuits, blocks and functions. The software may be stored on such physical media as memory chips, or memory blocks implemented within the processor, magnetic media such as hard disk or floppy disks, and optical media such as for example DVD and the data variants thereof, CD.

The memory may be of any type suitable to the local technical environment and may be implemented using any suitable data storage technology, such as semiconductor based memory devices, magnetic memory devices and systems, optical memory devices and systems, fixed memory and removable memory. The data processors may be of any type suitable to the local technical environment, and may include one or more of general purpose computers, special purpose computers, microprocessors, digital signal processors (DSPs) and processors based on multi core processor architecture, as non limiting examples.

Embodiments of the inventions may be practiced in various components such as integrated circuit modules. The design of integrated circuits is by and large a highly automated process. Complex and powerful software tools are available for converting a logic level design into a semiconductor circuit design ready to be etched and formed on a semiconductor substrate.

Programs, such as those provided by Synopsys, Inc. of Mountain View, California and Cadence Design, of San Jose, California automatically route conductors and locate components on a semiconductor chip using well established rules of design as well as libraries of pre stored design modules. Once the design for a semiconductor circuit has been completed, the resultant design, in a standardized electronic format (e.g., Opus, GDSII, or the like) may be transmitted to a semiconductor fabrication facility or "fab" for fabrication.

The foregoing description has provided by way of exemplary and non-limiting examples a full and informative description of the exemplary embodiment of this invention. However, various modifications and adaptations may become apparent to those skilled in the relevant arts

in view of the foregoing description, when read in conjunction with the accompanying drawings and the appended claims. However, all such and similar modifications of the teachings of this invention will still fall within the scope of this invention.

In the following some examples will be provided.

In some embodiments a method comprises:

receiving a block of pixels including a prediction unit; determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments the method comprises including neighbouring blocks of the received block of pixels in the set of spatial motion vector prediction candidates.

In some embodiments the method comprises constructing the set of spatial motion vector predictions by using motion vectors of one or more encoded blocks in a same frame than the block of pixels.

In some embodiments the method comprises selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.

In some embodiments the method comprises comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other

spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.

In some embodiments the method comprises prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.

In some embodiments the method comprises

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number .

In some embodiments the method comprises

examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit.

In some embodiments the method comprises including a temporal motion prediction candidate into the merge list.

In some embodiments the method comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels.

In some embodiments a method according to the second aspect comprises:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of another spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments the method comprises including neighbouring blocks of the received encoded block of pixels in the set of spatial motion vector prediction candidates.

In some embodiments the method comprises constructing the set of spatial motion vector predictions by using motion vectors of one or more decoded blocks in a same frame than the received encoded block of pixels.

In some embodiments the method comprises selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.

In some embodiments the method comprises comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.

In some embodiments the method comprises examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.

In some embodiments the method comprises

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

In some embodiments the method comprises

examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received encoded block of pixels is horizontally divided into a first prediction
  unit and a second prediction unit, and if the prediction unit is the second
  prediction unit, and the potential spatial motion vector prediction candidate has
  essentially similar motion information than the spatial motion vector prediction
  candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

 the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;

 the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit.

In some embodiments the method comprises including a temporal motion prediction candidate into the merge list.

In some embodiments the method comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels.

In some embodiments an apparatus according to the third aspect comprises a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receive a block of pixels

including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments an apparatus according to the fourth aspect comprises a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receive an encoded block of pixels including a prediction unit;

determine a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

select a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determine a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

compare motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

exclude the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other

In some embodiments a storage medium having stored thereon a computer program code a computer executable program code for use by an encoder, said program codes comprise instructions for use by an encoder, said program code comprises instructions for:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments a storage medium having stored thereon a computer program code a computer executable program code for use by an encoder, said program codes comprise instructions for use by an encoder, said program code comprises instructions for:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

In some embodiments an apparatus comprises:

means for receiving a block of pixels including a prediction unit;

means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

In some embodiments an apparatus comprises:

means for receiving an encoded block of pixels including a prediction unit;

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means for determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

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#### Claims:

1. A method comprising:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

- The method according to claim 1, the determining comprising including neighbouring blocks of the received block of pixels in the set of spatial motion vector prediction candidates.
- 3. The method according to claim 1 or 2 comprising constructing the set of spatial motion vector predictions by using motion vectors of one or more encoded blocks in a same frame than the block of pixels.
- 4. The method according to any of the claims 1 to 3 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.

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5. The method according to any of the claims 1 to 4, comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.

- 6. The method according to any of the claims 1 to 5 comprising examining whether the received block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
- 7. The method according to any of the claims 1 to 6, further comprising determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number .

8. The method according to any of the claims 1 to 7 comprising:
examining, if the number of spatial motion vector prediction candidates in the merge list
smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is vertically divided into a first
   prediction unit and a second prediction unit, and the prediction unit is the second
   prediction unit;
- the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the

second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is horizontally divided into a first
   prediction unit and a second prediction unit, and the prediction unit is the second
   prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate above the prediction unit;

the potential spatial motion vector prediction candidate has
 essentially similar motion information than the spatial motion vector prediction
 candidate on the left side of the prediction unit.

- 9. The method according to any of the claims 1 to 8 further comprising including a temporal motion prediction candidate into the merge list.
- 10. The method according to any of the claims 1 to 9 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels.

#### 11. A method comprising:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of another spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

12. The method according to claim 11, the determining comprising including neighbouring blocks of the received encoded block of pixels in the set of spatial motion vector prediction candidates.

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13. The method according to claim 11 or 12 comprising constructing the set of spatial motion vector predictions by using motion vectors of one or more decoded blocks in a same frame than the received encoded block of pixels.

- 14. The method according to any of the claims 11 to 13 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- 15. The method according to any of the claims 11 to 14, comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 16. The method according to any of the claims 11 to 15 comprising examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
- 17. The method according to any of the claims 11 to 16, further comprising determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

18. The method according to any of the claims 11 to 17 comprising: examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

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for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit.
- 19. The method according to any of the claims 11 to 18 further comprising including a temporal motion prediction candidate into the merge list.
- 20. The method according to any of the claims 11 to 19 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels.
- 21. An apparatus comprising a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

- 22. The apparatus according to claim 21, comprising computer program code configured to, with the processor, cause the apparatus to include neighbouring blocks of the received block of pixels in the set of spatial motion vector prediction candidates.
- 23. The apparatus according to claim 21 or 22 comprising computer program code configured to, with the processor, cause the apparatus to construct the set of spatial motion vector predictions by using motion vectors of one or more encoded blocks in a same frame than the block of pixels.
- 24. The apparatus according to any of the claims 21 to 23 comprising computer program code configured to, with the processor, cause the apparatus to select spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- 25. The apparatus according to any of the claims 21 to 24, comprising computer program code configured to, with the processor, cause the apparatus to compare motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 26. The apparatus according to any of the claims 21 to 25 comprising computer program code configured to, with the processor, cause the apparatus to examine whether the received block of pixels is divided into a first prediction unit and a second prediction unit; and if

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so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.

27. The apparatus according to any of the claims 21 to 26, further comprising computer program code configured to, with the processor, cause the apparatus to

determine a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limit the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

28. The apparatus according to any of the claims 21 to 27 comprising computer program code configured to, with the processor, cause the apparatus:

to examine, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, to examine whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, to perform at least one of the following:

for the potential spatial motion vector prediction candidate on the left side of the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is horizontally divided into a first
   prediction unit and a second prediction unit, and the prediction unit is the second
   prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit.

29. The apparatus according to any of the claims 21 to 28 further comprising computer program code configured to, with the processor, cause the apparatus to include a temporal motion prediction candidate into the merge list.

- 30. The apparatus according to any of the claims 21 to 29 comprising computer program code configured to, with the processor, cause the apparatus to select one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels.
- 31. An apparatus comprising a processor and a memory including computer program code, the memory and the computer program code configured to, with the processor, cause the apparatus to:

receive an encoded block of pixels including a prediction unit;

determine a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

select a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determine a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

compare motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

exclude the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other

32. The apparatus according to claim 31, comprising computer program code configured to, with the processor, cause the apparatus to include neighbouring blocks of the received encoded block of pixels in the set of spatial motion vector prediction candidates.

33. The apparatus according to claim 31 or 32 comprising computer program code configured to, with the processor, cause the apparatus to construct the set of spatial motion vector predictions by using motion vectors of one or more encoded blocks in a same frame than the received encoded block of pixels.

- 34. The apparatus according to any of the claims 31 to 33 comprising computer program code configured to, with the processor, cause the apparatus to select spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- 35. The apparatus according to any of the claims 31 to 34, comprising computer program code configured to, with the processor, cause the apparatus to compare motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 36. The apparatus according to any of the claims 31 to 35 comprising computer program code configured to, with the processor, cause the apparatus to examine whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
- 37. The apparatus according to any of the claims 31 to 36, further comprising computer program code configured to, with the processor, cause the apparatus to

determine a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limit the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

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38. The apparatus according to any of the claims 31 to 37 comprising computer program code configured to, with the processor, cause the apparatus:

to examine, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, to examine whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, to perform at least one of the following:

for the potential spatial motion vector prediction candidate on the left side of the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if the potential spatial

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motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, to exclude the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit.
- 39. The apparatus according to any of the claims 31 to 38 further comprising computer program code configured to, with the processor, cause the apparatus to include a temporal motion prediction candidate into the merge list.
- 40. The apparatus according to any of the claims 31 to 39 comprising computer program code configured to, with the processor, cause the apparatus to select one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels.

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41. A storage medium having stored thereon a computer program code a computer executable program code for use by an encoder, said program codes comprise instructions for use by an encoder, said program code comprises instructions for:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

42. A storage medium having stored thereon a computer program code a computer executable program code for use by an encoder, said program codes comprise instructions for use by an encoder, said program code comprises instructions for:

receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

## 43. An apparatus comprising:

means for receiving a block of pixels including a prediction unit;

means for determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

# 44. An apparatus comprising:

means for receiving an encoded block of pixels including a prediction unit;
means for determining a set of spatial motion vector prediction candidates for the
encoded block of pixels; the spatial motion vector prediction candidates being provided with
motion information;

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means for selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

means for determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

means for comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

means for excluding the first spatial motion vector prediction candidate from the merge list, if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other.

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### **ABSTRACT**

The invention relates to a method comprising receiving a block of pixels including a prediction unit. In the method a set of spatial motion vector prediction candidates is determined for the block of pixels, which includes a prediction unit. The spatial motion vector prediction candidates are provided with motion information. A first spatial motion vector prediction candidate is selected from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit. A subset of spatial motion vector predictions is determined based on the location of the block associated with the first spatial motion vector prediction candidate. Motion information of the first spatial motion vector prediction candidate is compared with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates. If at least one of the comparison indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, the potential spatial motion vector prediction candidate is excluded from the merge list. The number of spatial motion vector prediction candidates in the merge list may be limited to be smaller than the number of spatial motion vector prediction candidates in the set of spatial motion vector prediction candidates.

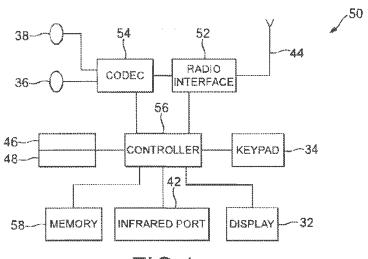


FIG.1

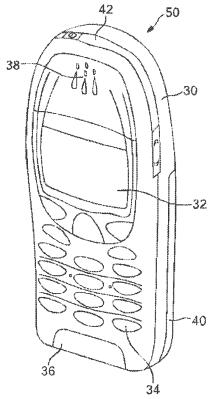
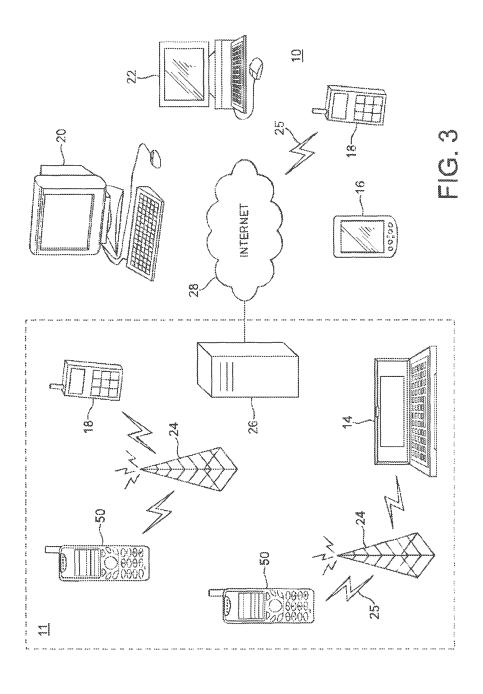
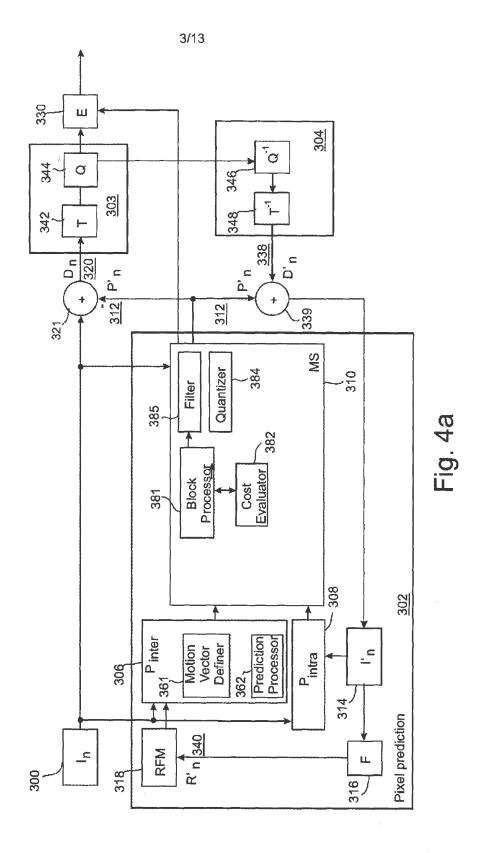
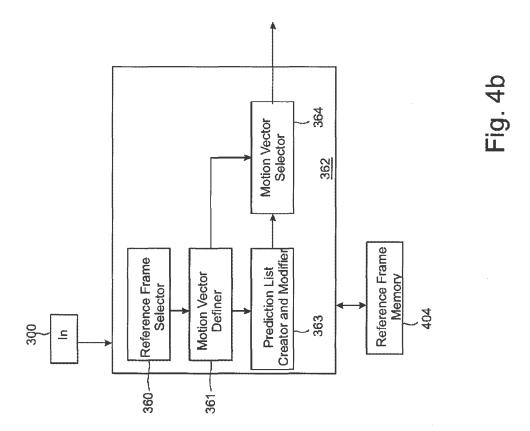


FIG. 2







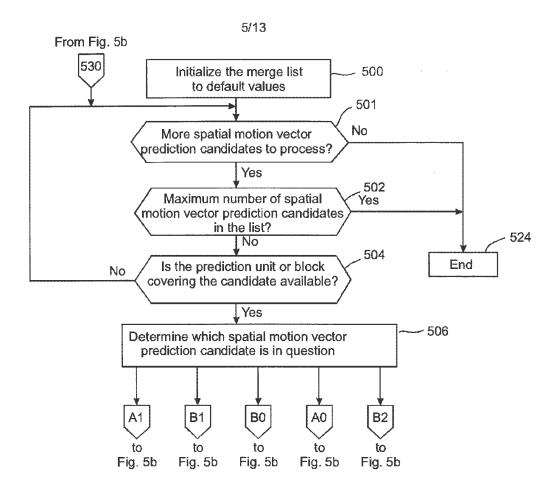
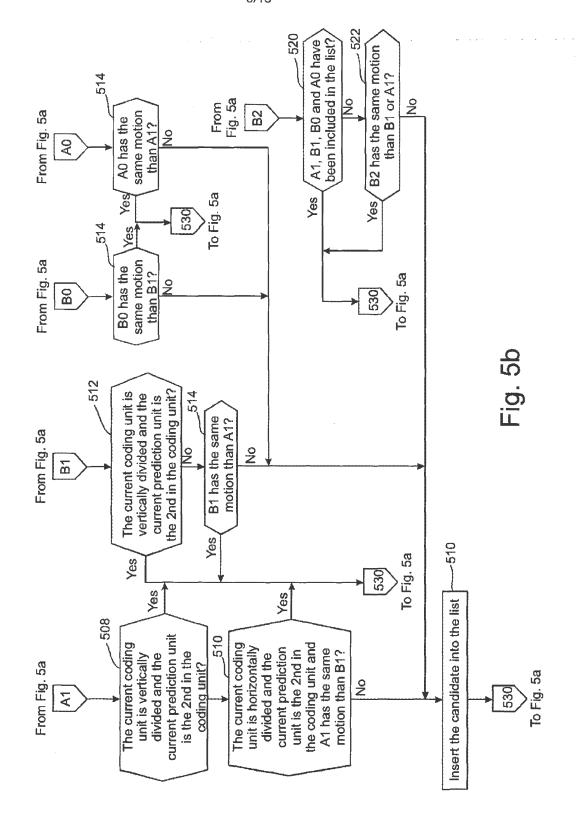


Fig. 5a



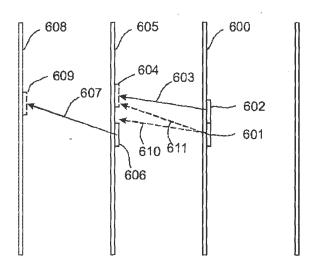


Fig. 6a

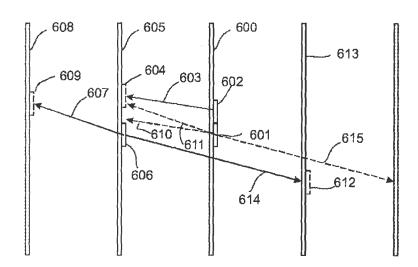
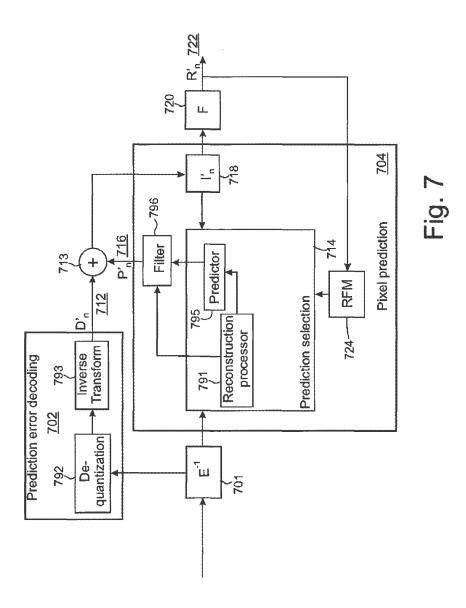


Fig. 6b



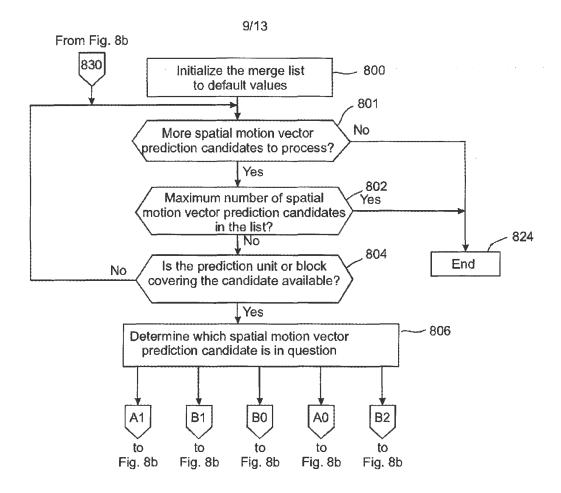
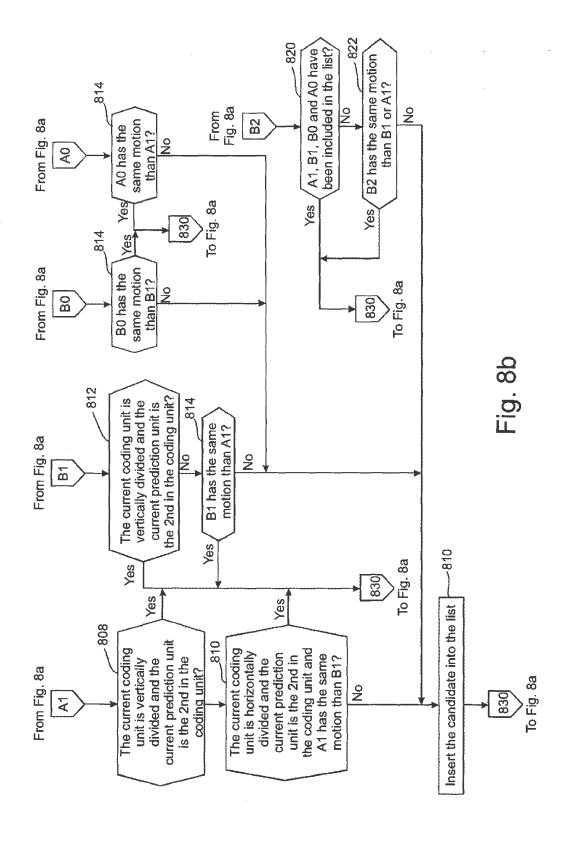


Fig. 8a



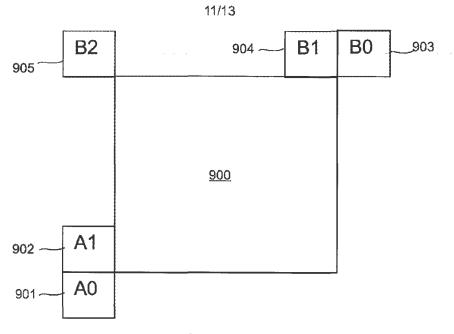


Fig. 9

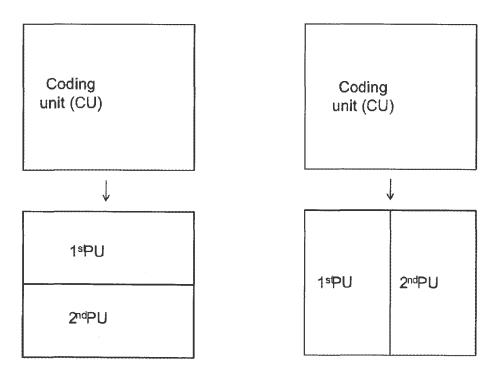


Fig. 10a

Fig. 10b

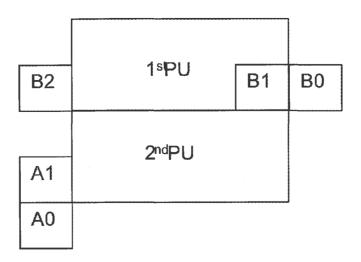


Fig. 11a

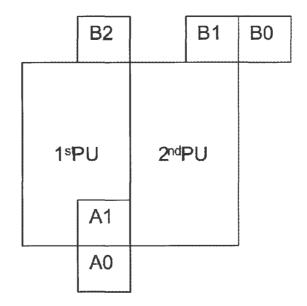


Fig. 11b

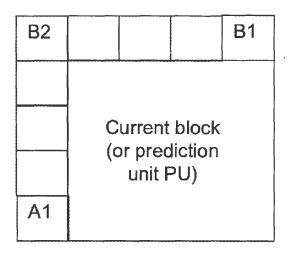


Fig. 12

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Title of Invention	Meth	od for Coding and an Ap	oparatus								
Citizenship unde	r 37 CI	FR 1.41(b)   TR			- <u>-</u>						
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Title of the Inven	tion	Method for Coding	Method for Coding and an Apparatus								
Attorney Docket	Numb	r NC77198US-PSP Small Entity Status Claimed									
Application Type	:	Provisional									
Subject Matter		Utility	Utility								
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Signature	/Ragij	Kurceren/			Date (YYYY-MM-DD)	2011-11-04
First Name	Rag	ip	Last Name	Kurceren	Registration Number	60158

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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Date		
	14.03.14	

	Application No /Patent No. 12845839.5 - 1907 PCT/FI2012051070
Applicant/Proprietor	
Nokia Corporation	

#### Entry into the European phase before the European Patent Office

The following information describes the procedural steps required for entry into the European phase before the European Patent Office (EPO). You are advised to read it carefully because failure to take the necessary action in due time can lead to a loss of rights.

- The above mentioned international patent application has been given the European application No. 12845839.5.
- 2. Applicants without a residence or their principal place of business in an EPC Contracting State may themselves initiate European processing of their international applications, provided they do so before expiry of the 31st month from the priority date.

During the European phase before the EPO as designated or elected Office, however, such applicants must be represented by a professional representative (Art. 133(2) and Art. 134(1) and (8) EPC).

Where, at the expiry of the time period laid down in Rule 163(5) EPC, the requirements of Article 133(2) EPC have not been complied with, the European patent application will be **refused**, pursuant to Rule 163(6) EPC.

Please note that a professional representative authorised to act before the EPO and who acted for the applicant during the international phase does not automatically become the representative for the European phase. Applicants are therefore strongly advised to appoint in good time any representative they wish to initiate the European phase for them; otherwise the EPO has to send all communications directly to the applicant.

- 3. Applicants with a residence or their principal place of business in an EPC Contracting State are not obliged to appoint for the European phase a professional representative authorised to act before the EPO. However, in view of the complexity of the procedure it is recommended that they do so.
- 4. Applicants and professional representatives are also strongly advised to initiate the European phase using EPO Form 1200. It is available free of charge from the EPO or via the EPO website at www.epo.org. Similarly, it can be or generated with the Online Filing software, obtainable free of charge from the EPO (www.epoline.org) The use of the form is not compulsory.

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- 5. Where the EPO acts as designated or elected Office (Art. 22(1) and (3) and 39(1) PCT), to enter the European phase before the EPO, the following acts must be performed by the applicant within 31 months from the date of filing of the international application or (where applicable) the earliest priority date:
  - a) Supply a translation of the international application into an EPO official language, if the International Bureau did not publish the application in such language (Art. 22(1) PCT and R. 159(1)(a) EPC);
  - b) Specify the application documents, as originally filed or as amended, on which the European grant procedure is to be based (R. 159(1)(b) EPC);
  - Pay the filing fee and, where applicable, the additional fee for a European patent application comprising more than 35 pages (R. 159(1)(c) EPC, Art. 2, items 1, 1a Rules relating to Fees);
  - Pay the search fee where a supplementary European search report has to be drawn up (R. 159(1)(e) EPC);
  - Pay the designation fee if the time limit laid down in Rule 39(1) EPC (i.e. six months after publication of the international search report) has expired before the 31-month period pursuant to Rule 159(1) EPC (R. 159(1)(d) EPC);
  - f) File the written request for examination and pay the examination fee if the time limit laid down in Rule 70(1) EPC has expired before the 31-month period pursuant to Rule 159(1) EPC (R. 159(1)(f) EPC);
  - g) Pay the renewal fee in respect of the third year, if the fee has fallen due (see Rule 51(1) EPC) before expiry of the 31-month period pursuant to Rule 159(1) EPC (R. 159(1)(g) EPC);
  - h) File, where applicable, the certificate of exhibition referred to in Article 55(2) and Rule 25 EPC (R. 159(1)(h) EPC);
  - i) Pay the claims fees for the sixteenth and each subsequent claim when the application documents on which the European grant procedure is to be based comprise more than fifteen claims (R. 162(1) EPC). For applications entering the European phase on or after 1 April 2009, a higher amount is payable for the 51st and each subsequent claim (Decision of the Administrative Council of 14 December 2007 amending the Rules relating to Fees, OJ EPO 2008, 10).

If either the translation of the international application or the request for examination is not filed in time, or if the filing fee, the additional fee, the search fee, the designation fee or the examination fee is not paid in due time, the application shall be deemed to be withdrawn (R. 160(1) EPC).

### 6. Payment of fees

An up-to-date guidance for the payment of fees, expenses and prices and a list of the euro accounts of the European Patent Organisation are published in each issue of the Official Journal of the EPO. The guidance includes inter alia a reference to the latest version of the Schedule of fees and expenses where the amounts of fees are set out.

The Schedule of fees and expenses, published as a Supplement to the Official Journal of the EPO, is also available on the EPO website (www.epo.org) and can be found under www.epo.org/schedule-of-fees, which allows the viewing, downloading and searching for individual fee amounts, both current and previous.

Applicants should always check the fee amounts applying at the time of payment.

Payments can be validly made by any person. Permissible methods of payment are laid down in Article 5 Rules relating to Fees. Please note that payment cannot be made by cheque sent to the EPO.

For information on the calculation of the additional fee for applications comprising more than 35 pages, see Notice from the European Patent Office dated 26 January 2009 concerning the 2009 fee structure (OJ EPO 2009, 118), Notice supplementing the notice from the European Patent Office dated 26 January 2009 concerning the 2009 fee structure (OJ EPO 2009, 338) and Guidelines for Examination in the EPO, April 2010, A-III, 13.2.

For an overview of search and examination fees, see Notice from the European Patent Office dated 6 February 2012 (OJ EPO 2012, 212). Fee information is also published on the EPO website under www.epo.org/fees.

#### 7. Restoration of priority right

Where the international application contains a priority claim to an earlier application and it has been filed within two months from the expiration of the 12-month priority period, a request for restoration before the EPO as designated Office (R. 49ter.2 PCT) applies under the following circumstances:

- No request for restoration filed before the receiving Office (RO) during the international phase (R. 26bis.3 PCT)
- b) Negative decision by the RO irrespective of the criterion applied (due care/ unintentionality)
- c) Positive decision by the RO based on the unintentionality criterion.

For a request to be admissible, it must be filed and the requisite fee must be paid (R. 49ter.2(b)(iii) and R. 49ter.2(d) PCT) within one month from the applicable time limit under Article 22 PCT for entering the regional phase (R. 49ter.2(b)(i) PCT). The request for restoration also needs to state the reasons for the failure to file the international application within the priority period (Rule 49ter.2(b)(ii) PCT).

- 8. If the applicant had appointed a representative during the application's international phase, the present Form will be sent to the representative, asking him to inform the applicant accordingly. All subsequent communications will be sent to the applicant, or - if the EPO is informed of his appointment in time - to the applicant's European representative.
- 9. For more details about time limits and procedural acts before the EPO as designated or elected Office, see the EPO brochure "How to get an European patent", Guide for applicants - Part 2, PCT procedure before the EPO - "Euro-PCT" (EPO PCT Guide). This brochure, the list of professional representatives before the EPO, Form 1200 and details of the latest fees are available on the Internet under http://www.epo.org.

### 10. Programme for accelerated prosecution of European patent applications - "PACE"

Applicants wishing to accelerate proceedings before the EPO as designated or elected Office may request accelerated search and/or examination under the PACE programme (Notice from the European Patent Office dated 4 May 2010 concerning the programme for accelerated prosecution of European patent applications - "PACE", OJ EPO 2010, 352). To be as effective as possible, this should preferably be requested immediately on entry into the European phase.

#### **Receiving Section**



EPO Form 1201 04.13 NFS

# NOKIA

28.04.2014

Intellectual Property Rights

European Patent Office Dir. 524 Legal Division 80298 Munich Germany Fax. +49 89 2399 5148

Dear Sirs,

Change of address - Association No. 383

We wish to inform you that the new address of our Association is:

Nokia Corporation Intellectual Property Department Karakaari 7 FI-02160 Espoo Finland

Tel: +358 7180 08000 Fax: +358 10 448 1001

Please confirm receipt. √

Yours faithfully,

Virp Tognetty
Nokia Corporation

Director, Patenting Operations

 $\bigcirc$  0 5. 05. 14

9/7/14

J.

S FRES

PERSUINULA



# Entry into the European phase (EPO as designated or elected Office)

To the European Patent Office

	EP12845839.5
PCT application number	PCT/FI2012/051070
PCT publication number	WO2013064749
Applicant's or representative's reference	NC77198EP
International Filing Date	02.11.2012
International Searching Authority (ISA)	FI
International Preliminary Examining Authority (IPEA)	not applicable
1. Applicant	
Indications concerning the applicant(s) are contained in the international publication or were recorded by the International Bureau after the international publication.	
Changes which have not yet been recorded by the International Bureau are set out here:	
2. Representative	
This is the representative who will be listed in the Register of European Patents and to whom notifications will be made  Representative 1	
Name:	Nokia Corporation
Department:	IPR Department
Association No.:	383 Nokia Corporation
Address of place of business:	Karakaari 7
	02610 Espoo,
	Finland
Telephone:	+358 10 448 8000
Fax:	+358 10 448 1001
e-mail:	ipr.inhouse@nokia.com
3. Authorisation	
An individual authorisation is attached.	
All individual authorisation is attached.	
A general authorisation has been registered under No:	
A general authorisation has been filed, but not yet registered.	
The authorisation filed with the EPO as PCT receiving Office expressly includes the European phase.	
4. Request for examination	
Examination of the application under Art. 94 EPC is hereby requested. The examination fee is being (has been, will be) paid.	$\boxtimes$
Request for examination in an admissible non-EPO language:	
The applicant waives his right to be asked under Rule 70(2) EPC whether he wishes to proceed further with the application.	
The/Each applicant hereby declares that he is an entity or a natural person under Rule 6(4) EPC.	
5. Copies	
Additional copies of the documents cited in the supplementary European search report are requested.	
Number of additional sets of copies	
6. Documents intended for proceedings before the EPO  Number of claims on entry into the European phase:	40
realition of claims on entry into the European phase.	18
6.1 Proceedings before the EPO as designated Office (PCT I) are to be based on the following documents:	

NC77198EP

the application documents published by the International Bureau (with all claims, description and drawings), where applicable with amended claims under Art. 19 PCT	
unless replaced by the amendments attached.	oxtimes
Where necessary, clarifications should be attached as 'Other documents'	
6.2 Proceedings before the EPO as elected Office (PCT II) are to be based on the following documents:	
the documents on which the international preliminary examination report is based, including any annexes	
unless replaced by the amendments attached.	
Where necessary, clarifications should be attached as `Other documents`	
If the EPO as International Preliminary Examining Authority has been supplied with test reports, these may be used as the basis of proceedings before the EPO.	
6.3 A copy of the results of the search carried out by the authority with which the previous application(s) whose priority is claimed was (were) filed is attached (R. 141(1) EPC).	
6.4 The applicant waives his right to the communication under Rules 161(1) or (2) and 162 EPC.	
7. Translations	
Translations in one of the official languages of the EPO (English, French, German) are attached as crossed below:	
* In proceedings before the EPO as designated or elected Office (PCT I + II):	
Translation of the international application (description, claims, any text in the drawings) as originally filed, of the abstract as published and of any indication under Rule 13bis.3 and 13bis.4 PCT regarding biological material	
Translation of the priority application(s) (to be filed only at the EPO's request, Rule 53(3) EPC)	
It is hereby declared that the international application as originally filed is a complete translation of the previous application (Rule 53(3) EPC)	
* In addition, in proceedings before the EPO as designated Office (PCT I):	
Translation of amended claims and any statement under Art. 19 PCT, if the claims as amended are to form the basis for the proceedings before the EPO (see Section 6).	
* In addition, in proceedings before the EPO as elected Office (PCT II):	
Translation of annexes to the international preliminary examination report	
8. Biological material	
The invention uses and/or relates to biological material deposited under Rule 31 EPC.	
The particulars referred to in Rule 31(1)(c) EPC (if not yet known, the depositary institution and the identification reference(s)) [number, symbols, etc.] of the depositor) are given in the international publication or in the translation submitted in Section 7 on:	
page(s) / line(s)	
The receipt(s) of deposit issued by the depositary institution is (are) enclosed.	
will be filed later.	
Waiver of the right to an undertaking from the requester pursuant to Rule 33(2) EPC attached.	
9. Nucleotide and amino acid sequences	
The international application discloses nucleotide and/or amino acid sequences.	
9.1 The sequence listing was filed under Rule 5.2(a) PCT, or furnished to the EPO as ISA under Rule 13ter.1(a) PCT, or is otherwise available to the EPO, in computer-readable format in accordance with WIPO ST.25. 9.2	
The sequence listing is attached in computer-readable format in accordance with WIPO Standard ST.25	
The sequence listing is attached in PDF format.	

NC77198EP

	equence listing does not include ma plication as filed.					
10. Des	ignation fees					
patent	contracting states party to the EPC application and designated in the ir signated (see Article 79(1) EPC).					
	llowing states, which were contracti international application, are design		time of filing			
	.T BE BG CH&LI CY CZ DE DK EE IC MK MT NL NO PL PT RO RS SE		E IS IT LT LU			
11. Exte	ension/Validation					
patent non-co which applic	pplication is deemed to be a reques application and the European pater ontracting states to the EPC designa extension or validation agreements ation was filed. However, the reques the validation fee, whichever is app mit.	nt granted in respect of it to ated in the international app were in force on the date of the deemed withdrawn if the	all olication with on which the ne extension			
11.1 It	is intended to pay the extension fee	e(s) for the following state(s	3):			
11.2 It	is intended to pay the validation fee	e(s) for the following state(s	s):			
Not ap	pplicable					
will be	Under the automatic debiting proced debited only for states indicated he vise before expiry of the period for p	re unless the EPO is instru				
12. List	of enclosed documents  Description of document	Original file nam	е	Assigned f	île name	
1 Coi	mbined Amendments	NC77198EP-Amended	claims.pdf	AMSPECEPO-1.pdf		
2 Pre	Conversion Archive	NC77198EP-Amended	claims.zip	OLF-ARC	HIVE.zip	
13. Mod	le of payment: Debit from deposi	it account		$\boxtimes$		
Curre	ncy		EUR			
	uropean Patent Office is hereby aut					
	nt with the EPO any fees and costs		0045000			
Deposit account number				28150026		
Accou	nt holder			Nokia Corporat	ion	
	ed execution date / total amount to submission date is after the deferred					
	ant information:	execution date you have i	ilulcateu)			
• This	functionality allows you to indicate e					
	ecuted at a later date than the subm vill be deemed to be the deferred ex-					
suffici	ent funds on your deposit account o	n that date.	_			
	se choose the deferred execution da d on a future date, as otherwise the					
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	deferred execution date can be a ma	•				
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	er and account holder			Nokia Corporat	ion, 28150026	
15. Fee	S		Factor/reducti	Fee schedule	Amount to be noid	
			on applied	1 66 SCHEGGIE	Amount to be paid	
15-1	002 Fee for a European search - App 01.07.2005	olications filed on/after	-1100	1 285.00	185.00	
15-2	005e Designation fee - For all contra applications filed on/after 01.04.2009		1	580.00	580.00	
15-3	006 Examination fee - For application	ns filed on/after 01.07.2005	1	1 620.00	1 620.00	
15-4	5-4 015 Claims fee - For the 16th to the 50th claim					
	015 Claims fee - For the 16th to the	50th claim	3	235.00	705.00	
15-5	015 Claims fee - For the 16th to the 5 15e Claims fee - For the 51st and ea		3	235.00 580.00	705.00 0.00	

NC77198**EP** 

16. Ann	otations			
	Total:		EUR	3 480.00
15-7	520 Additional filing fee for the 36th and each subsequent page - entry into EP phase	15.00	270.00	
15-6	020 Filing fee - entry EP phase - online	1	120.00	120.00

# 17. Signature(s) of applicant(s) or representative

Place: Espoo

Date: 07 May 2014

Signed by: /Virpi Tognetty/

Association No.: Nokia Corporation

Capacity: (Representative)

## Table for section 6 of Form 1200.3

In accordance with the Notice from the European Patent Office dated 26 January 2009 concerning the 2009 fee structure (OJ EPO 2009, 118, and Guidelines for Examination in the EPO, April 2009, A-III, 13.2), the amount of the additional fee (Art. 2, item 1a, Rules relating to Fees) for the pages of this European patent application is calculated as follows:

Documents intended for proceedings before the EPO (R. 159 (1) (b) EPC) and for calculating the additional fee (Art. 2, item 1a, RFees):

		Page(s) from to	Number of pages
Description:	International application as published	1 to 34	34
Claims:	Amendments filed on entry into European phase	35 to 39	5
Drawings:	International application as published	1 to 13	13
Abstract:	Default count: one page		1
Total number of pag	es		53
Fee-exempt pages (	Art. 2, item 1a, RFees)		-35
Number of pages to be paid for			
			(x 15 EUR per page)
Total amount payabl	e	EUR	270

1(1)

## NOKIA

Intellectual Property Rights

7 May 2014

European Patent Office Bob-van-Benthem-Platz 1 80469 Munich GERMANY

Change of Address of the Applicant
European patent applications in the name of Nokia Corporation

Dear Sirs

The address of Nokia Corporation has changed to Karakaari 7, 02610 Espoo, Finland.

Please record the change of address of the applicant in  $\underline{\mathsf{all}}$  pending European patent applications in which the sole or co-applicant presently is Nokia Corporation.

The new address for Nokia Corporation in all such pending files should be recorded as:

Nokia Corporation Karakaari 7 02610 Espoo FINLAND

Please acknowledge the receipt of this letter by returning the enclosed EPO Form 1037.

Yours faithfully

**Nokia Corporation, Intellectual Property Rights** 

Virp#Tognetty

**European Patent Attorney** 

**ENCL** 

EPO Form 1037



# **Posted**

Einsender 1/Sender 1/Expéditeur 1 (bitte ausfüllen/please complete/veuillez compléter) Nokia Corporation Karakaari 7 02610 Espoo **FINLAND** Fax. +358 10 448 1001

Empfangsbescheinigung<sup>2</sup> für beim Europäischen Patentamt nachgereichte Unterlagen zu Patentanmeldungen/Patenten

Deturn und Ort des Eingangs sind aus dem Eingengssternpel bzw. der Perforation dieser Empfengsbescheinigung ersichtlich. (M + Datum = Einreichungsort München: H + Datum = Einrelchungsort Den Haag; Datum + B = Einrelchungsort Bertin)

Acknowledgement of receipt<sup>2</sup> by the European Patent Office of subsequently filed items relating to patent applications/patents

The date and place of receipt are indicated by the stamp or perforation on this receipt. (M + date = Munich as place of receipt; H + date = The Hague as place of receipt; date + B = Borlin as place of receipt)

80298 Munich Germany Tel. +49 (0) 89 2399-0 | Fax -4465

P.O. Box 5818 2280 HV Rijswijk Netherlands

Tel. +31 (0)70 340-2040 I Fax -3016

10958 Berlin Germany Tel. +49(0)30 25901-01 Fax -840

Accusé de réception<sup>2</sup> de pièces envoyées à l'Office européen des brevets postérieurement au dépôt d'une demande de brevet/à la délivrance d'un brevet européen

La date et le lieu de réception sont indiqués par le cachet de réception ou la perforation du présent accusé de réception. (M + date = pièces reçues à Munich; H + date = pièces reçues à La Haye; date + B = piècas reçues à Berlin)

### Eingereichte Unterlagen

## Items filed

### Pièces produites

Anmalderummar/Patenthummer Application Number/Patent Number Numèro de la demande/numèro du brevet	ifir Zeichen Your reference Votre référence	ggfs. Art und Datum der Unterlagen <sup>a</sup> Nature and date of Items (optional) <sup>2</sup> Nature et date das pièces (facultatif) <sup>2</sup>
1.		Request for change of address
2.		
3.		
4.		
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6.		
7.		
8.		

Nachgereichte Unterlagen können auch online eingereicht werden; siehe www.epoline.org Items may also be filed subsequently online; please see www.epoline.org Les pièces produites ultérieurement peuvent être aussi déposées en ligne; voir www.epoline.org

- Bitte Adressfeld ausfüllen
   Bitte 2-fach einreichen
   Der Eingeng der engegebenen Unterlagen wird bestätigt. Enthält diese Spalte keinc Eintragungen, so wird lediglich bestätigt, dass eine Sendung zu dem angegebenen Aktenzeichen eingegangen ist.

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- Veuillez complèter le champ ci-dessous.
   Asoumettre en 2 exemplaires.
   La réception des pièces indiquées est confirmée. Faute de mention dans cette colonne, le présent accusé de réception se rapporte à une pièce quelconque envoyée sous la référence indiquée.

Empfangsbescheinigung für Einsender 1 Acknowledgement of receipt for sender 1 Accusé de réception à l'intention de l'expéditeur

## PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference NC77198WO	FOR FURTHER ACTION	See item 4 below			
	International filing date (day/month/year) 02 November 2012 (02.11.2012)	Priority date (day/month/year) 04 November 2011 (04.11.2011)			
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237					
Applicant NOKIA CORPORATION					

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).				
2.	In the at	tached sheets, any refe	erence to the written opinion of the International Searching Anthority should be read as a oreliminary report on patentability (Chapter I) instead.		
3.	This rep	ort contains indication	s relating to the following items:		
	$\times$	Box No. I	Basis of the report		
		Box No. II	Priority		
		Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability		
		Box No. IV	Lack of unity of invention		
	X	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
		Box No. VI	Certain documents cited		
	$\times$	Box No. VII	Certain defects in the international application		
		Box No. VIII	Certain observations on the international application		
4.	bnt not,		communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 icant makes an express request under Article 23(2), before the expiration of 30 months from 2).		

_	
	Date of issuance of this report 06 May 2014 (06.05.2014)
The International Bureau of WIPO 34, chemin des Colombettes	Authorized officer
1211 Geneva 20, Switzerland	Philippe Bécamel
Facsimile No. +41 22 338 82 70	e-mail: pt03.pct@wipo.int

Form PCT/IB/373 (January 2004)

## PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

IPR Keil FI- (	Depa alahd	rporation artment Jussi entie 4 Espoo	i Jaatinen			INTERNATI	UTTEN IONAL : (PCT	OPINION OF THE SEARCHING AUTHORITY Rule 43bis.1)  vear) 2013 (27.03.2013)
App	licant's	or agent's file	reference			FOR FURTHER		,
		١	NC77198WC	)		IONTONIEN		agraph 2 below
Inter		l application No CT/FI2012/05				day/month/year) 12 (02.11.2012)	1	date (day/month/year) November 2011 (04.11.2011)
Inter	nationa	l Patent Classif	ication (IPC) or	both national class	_	n and IPC emental box		
App	licant			NOK	(IA CO	RPORATION		
1.	This	pinion contains	s indications re	lating to the follo	wing ite	ms:		
	X	Box No. I	Basis of the o	pinion				
		Box No. II	. II Priority					
		Box No. III	ox No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability					
		Box No. IV	IV Lack of unity of invention					
	Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step and industrial applicability citations and explanations supporting such statement							
		Box No. VI Certain documents cited						
	Box No. VII Certain defects in the international application							
	Box No. VIII Certain observations on the international application							
2.	FUR'	THER ACTIO	N					
	Intern other	ational Preliming than this one to	nary Exammin be the IPEA a	g Authority ("IPI	EA") exc EA has n	ept that this does not a otified the Internation	apply who	ed to be a written opinion of the ere the applicant chooses an Authority a under Rule 66.1 bis(b) that written
	a writ	ten reply togeth	ner, where appr	opriate, with ame	endments		of 3 mo	licant is invited to submit to the IPEA nths from the date of mailing of Form s later.
	For ft	rther options, s	ee Form PCT/l	(SA/220.				
Mas	o or 4	noiling eddae-	of the ICA/ET		Dot-	Faamulation - £41-1	dala-	Authorized officer
Na	tional E	nailing address o Board of Patents	s and Registrati			f completion of this of 6 March 2013 (26.03.2		Authorized officer Timo Laakso
P.O. Box 1160, FI-00101 HELSINKI, Finland Facsimile No. +358 9 6939 5328					·	-	Telephone No. +358 9 6939 500	

Form PCT/ISA/237 (cover sheet) (July 2011)

International application No. PCT/FI2012/051070

Box	No. I	Basis of this opinion
1.	With	the international application in the language in which it was filed.  a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the <b>rectification of an obvious mistake</b> authorized by or notified to this Authority under Rule 91 (Rule 43 <i>bis</i> .1(a))
3.		n regard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been olished on the basis of a sequence listing filed or furnished:  neans)  on paper  in electronic form
	b. (ti	in the international application as filed together with the international application in electronic form subsequently to this Authority for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
5.	Λdd	itional comments:

Form PCT/ISA/237 (Box No. I) (July 2011)

International application No. PCT/FI2012/051070

Bo		ement under Rule 43bis.1(a)(i) with regard to novelty explanations supporting such statement	, inventive step or industrial applicability;
1.	Statement		
	Novelty (N)	Claims 1-20	YES
		Claims	NO NO
	Inventive step (IS)	Claims 1-20	YES
		Claims	NO
	Industrial applicability (IA	Claims 1-20	YES
		Claims	NO
		Claims	N

#### 2. Citations and explanations:

### 2.1 Documents cited in the International Search Report

- D1: WO 2011062392 A2 (SK TELECOM CO LTD et al.) 26 May 2011 (26.05.2011)
- D2: US 2011170602 A1 (LEE TAMMY et al.) 14 July 2011 (14.07.2011)
- D3: OUDIN, S. et al.: "Block merging for quadtree-based video coding", IEEE Int. Conf. on Multimedia and Expo, 11-15 July 2011, 6p.
- D4: SULLIVAN, G. J.: "Overview of the High Efficiency Video Coding (HEVC) Standard", IEEE Trans. on Circuits and Systems for Video technology, vol. 22, no. 12, Dec. 2012, pp. 1649-1668.

It is assumed that the contents of WO2011062392 correspond to those of US2012307905 which belongs to the same patent family.

#### 2.2 Claimed invention

As described in claim 1, the invention relates to a method for video coding, comprising:

- (F1) receiving a block of pixels including a prediction unit;
- (F2) determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;
- (F3) selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;
- (F4) determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;
- (F5) comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;
- (F6) if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.
- (F1)...(F6) denote the technical features of the invention. Independent claims 9 and 15-20 define another method, two apparatuses, two storage mediums and two apparatuses with the corresponding technical features.

In addition, dependent claims 2-8 and 10-14 specify preferred embodiments of the invention.

The objective of the invention is to provide an improved arrangement for video coding for mobile communications systems.

Continued to next page

Form PCT/ISA/237 (Box No. V) (July 2011)

International application No. PCT/FI2012/051070

Supplemental Box

Continuation of: Box V (1 / 2)

#### 2.3 Novelty under PCT Article 33(2)

D1 (abstract, claim 1) discloses a method of encoding a motion vector that includes: selecting one of a plurality of predicted candidate motion vector sets by using motion information of neighboring blocks of a current block; selecting one of predicted candidate motion vectors within a selected predicted candidate motion vector set, as a predicted motion vector; encoding a differential motion vector representing a difference between a current motion vector or motion vector of the current block and a selected predicted motion vector; and encoding a predicted motion vector index indicating the selected predicted motion vector. As a motion vector is encoded after selecting an efficient predicted candidate motion vector set, the size of a differential vector to be encoded can be reduced without necessarily encoding additional information to indicate which set of predicted candidate motion vectors has been selected, resulting in improved compression efficiency of motion vectors and in turn the improved video compression efficiency.

D2 introduces methods and apparatuses for encoding and decoding a motion vector. The method of encoding a motion vector includes: selecting a mode from among a first mode in which information indicating a motion vector predictor of at least one motion vector predictor is encoded and a second mode in which information indicating generation of a motion vector predictor based on pixels included in a previously encoded area adjacent to a current block is encoded; determining a motion vector predictor of the current block according to the selected mode and encoding information about the motion vector predictor of the current block; and encoding a difference vector between a motion vector of the current block and the motion vector predictor of the current block.

D3 presents an approach for block merging that removes redundancies by using a single parameter for a whole motion-compensated region of contiguous blocks. The proposed technique provides improvement over the specified H.264/AVC video coding standard and is included in the novel High Efficiency Video Coding (HEVC) standard.

D4 is a tutorial overview article of the novel HEVC video coding standard.

Documents D1-D3 represent the most relevant prior art. D4 is a later document not in conflict with the application that is cited to understand the theory underlying the invention.

#### 2.3.1 Independent claims

Document D1 is regarded as the prior art closest to the subject matter of independent claim 1.

Document D1 (abstract, claim 1) discloses a methods for encoding a motion vector by selecting a set of predicted candidate motion vectors by using motion information of neighboring blocks of a current block. The subject matter of claim 1 differs from this in that merging of spatial motion vectors is not considered in D1 as in feature (F6) of claim 1. The subject matter of claim 1 is therefore novel. The same applies to independent claims 9 and 15-20 with the corresponding technical features.

#### 2.3.2 Dependent claims

Because independent claims 1 and 9 are novel, also dependent claims 2-8 and 10-14 are novel.

Continued to next page

International application No. PCT/FI2012/051070

Supplemental Box	
Continuation of: Box V (2 / 2)	
2.4 Inventive step under PCT Article 33(3)	
2.4.1 Independent claims	
The solution presented in claim 1 is neither described in nor made Thus, claim 1 involves an inventive step. The same applies to indecorresponding technical features.	
2.4.2 Dependent claims	
Because independent claims 1 and 9 involve an inventive step, also involve an inventive step.	o dependent claims 2-8 and 10-14
2.5 Industrial applicability under PCT Article 33(4)	
Claims 1-20 meet the requirement of industrial applicability becaus made or used in industry.	e the claimed subject matter can be

Form PCT/ISA/237 (Supplemental Box) (July 2011)

International application No. PCT/FI2012/051070

Box No. VII Certain defects in the international application
The following defects in the form or contents of the international application have been noted:
Contrary to the requirements of PCT Rule 5.1(a)(ii), no relevant prior art is indicated in the description, nor are the documents reflecting such art identified therein.
Claims are not drafted in a two-part form in accordance with PCT Rule 6.3(b), which in the present case would be appropriate, with those features known from the prior art placed in the preamble (PCT Rule 6.3(b)(i)) and the remaining features included in the characterising part (PCT Rule 6.3(b)(ii)).
The features of the claims are not provided with reference signs placed in parentheses (PCT Rule 6.2(b)).

Form PCT/ISA/237 (Box No. VII) (July 2011)

International application No. PCT/FI2012/051070

Supplemental Box	
In case the space in any of the preceding boxes is not sufficient.  Continuation of: International Patent Classification (IPC)  Int.Cl.  H04N 7/26 (2006.01)  H04N 7/50 (2006.01)  H04N 7/34 (2006.01)  G06T 9/00 (2006.01)	

Form PCT/ISA/237 (Supplemental box) (July 2011)

#### Claims:

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1. A method comprising:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

- 2. The method according to claim 1 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
  - 3. The method according to claim 1 or 2, comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- The method according to any of the claims 1 to 3 comprising examining whether
  the received block of pixels is divided into a first prediction unit and a second prediction unit; and
  if so, excluding the potential spatial motion vector prediction candidate from the merge list if the
  prediction unit is the second prediction unit.
  - The method according to any of the claims 1 to 4, further comprising determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and
- 35 limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.
  - 6. The method according to any of the claims 1 to 5 comprising:

examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

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for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is vertically divided into a first
   prediction unit and a second prediction unit, and the prediction unit is the second
   prediction unit;
- the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit.
- The method according to any of the claims 1 to 6 further comprising including a temporal motion prediction candidate into the merge list.
- 8. The method according to any of the claims 1 to 7 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels.
  - 9. A method comprising:

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receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of another spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

- 10. The method according to claim 9 comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidates of the set of spatial motion vector prediction candidates.
- 11. The method according to claim 9 or 10 comprising examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit; and if so,

excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.

12. The method according to any of the claims 9 to 11, further comprising determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

10 13. The method according to any of the claims 9 to 12 comprising: examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

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for the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit;

for the potential spatial motion vector prediction candidate, which is on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial

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motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for the potential spatial motion vector prediction candidate, which is below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

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for the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has
   essentially similar motion information than the spatial motion vector prediction
   candidate on the left side of the prediction unit.
- 20 14. The method according to any of the claims 9 to 13 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels.
- 15. An apparatus comprising means for performing a method according to any one of claims 1 to 8.
  - 16. An apparatus comprising means for performing a method according to any one of claims 9 to 14.
- 30 17. A computer-readable media having computer-readable instructions thereon which, when executed by one or more processors, cause one or more processors to perform a method of any one of claims 1 to 8.
- 18. A computer-readable media having computer-readable instructions thereon which,35 when executed by one or more processors, cause one or more processors to perform a method of any one of claims 9 to 14.



# Acknowledgement of receipt

We hereby acknowledge rec		

Submission number	2747124		
PCT application number	PCT/FI2012/051070		
EP application number	12845839.5		
Date of receipt	07 May 2014		
Receiving Office	European Patent Office, The Hague		
Your reference	NC77198EP		
Applicant			
Country			
Documents submitted	package-data.xml	ep-euro-pct.xml	
	application-body.xml	epf1200.pdf (5 p.)	
	AMSPECEPO-1.pdf\NC77198EP-Ame nded claims.pdf (5 p.)	OLF-ARCHIVE.zip\NC77198EP-Amen ded claims.zip	
Submitted by	CN=Marjaana Saddok 15305		
Method of submission	Online		
Date and time receipt generated	07 May 2014, 12:11 (CEST)		
Message Digest	ED:B9:27:9F:BE:62:E0:B5:EC:18:40:8F:28:EF:08:98:1E:FE:85:88		

## Correction by the EPO of errors in debit instructions filed by eOLF

Errors in debit instructions filed by eOLF that are caused by the editing of Form 1038E entries or the continued use of outdated software (all forms) may be corrected automatically by the EPO, leaving the payment date unchanged (see decision T 152/82, OJ EPO 1984, 301 and point 6.3 ff ADA, Supplement to OJ EPO 10/2007).

/European Patent Office/



European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



Nokia Corporation Karakaari 7 02610 Espoo FINLANDE

		Date 13.05.14
Reference	Application No./Patent No. 12845839.5 - 1907	
Applicant/Proprietor Nokia Corporation		

#### Communication

concerning the registration of amendments relating to

a transfer (R. 22 and 85 EPC)

entries pertaining to the applicant / the proprietor (R. 143(1)(f) EPC)

As requested, the entries pertaining to the applicant of the above-mentioned European patent application / to the proprietor of the above-mentioned European patent have been amended to the following:

> AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR Nokia Corporation Karakaari 7 02610 Espoo/FI

The registration of the changes has taken effect on 07.05.14.

In the case of a published application / a patent, the change will be recorded in the Register of European Patents and published in the European Patent Bulletin (Section I.12 / II.12).

Your attention is drawn to the fact that, in the case of the registration of a transfer, any automatic debit order only ceases to be effective from the date of its express revocation (cf. point 14(c) of the Arrangements for the automatic debiting procedure, Supplementary publication 4 - OJ EPO 2014).

Receiving Section / For the Examining Division / For the Opposition Division / For the Legal Division \*)



EB21171

#### Note

This communication is issued by/for the department with whom responsibility lies. The Legal Division is responsible for the registration of transfers, changes of name (Articles 71, 72 and 74 EPC and Rules 22 and 85 EPC) as well as for the rectification of the designation of the inventor (Rule 21 EPC) (see Decision of the President of the EPO, OJ EPO 2013, 600). In all other cases, the Receiving Section, the Examining Division or the Opposition Division is responsible, depending on the stage in proceedings.



European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



LAINEMA, Jani Kisakentänkatu 12 B 6 33230 Tampere **FINLANDE** 

Date		
10.06.14		

1	Application No./Patent No. 12845839.5 - 1907 PCT/FI2012051070
Applicant/Proprietor	
Nokia Corporation	

## Notification of the data mentioned in Rule 19(3) EPC

In the above-identified patent application you are designated as inventor/co-inventor. Pursuant to Rule 19(3) EPC the following data are notified herewith:

DATE OF FILING : 02.11.12

**PRIORITY** : US/04.11.11/ USP201161555703

: METHOD FOR VIDEO CODING AND AN APPARATUS TITLE

: AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE **DESIGNATED STATES** 

IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM

## **Receiving Section**





European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



BICI, Mehmet Oguz Tammelan puistokatu 1-3 D 46 33500 Tampere **FINLANDE** 

Date		
10.06.14		

1	Application No./Patent No. 12845839.5 - 1907 PCT/FI2012051070
Applicant/Proprietor	
Nokia Corporation	

## Notification of the data mentioned in Rule 19(3) EPC

In the above-identified patent application you are designated as inventor/co-inventor. Pursuant to Rule 19(3) EPC the following data are notified herewith:

DATE OF FILING : 02.11.12

**PRIORITY** : US/04.11.11/ USP201161555703

TITLE : METHOD FOR VIDEO CODING AND AN APPARATUS

**DESIGNATED STATES** : AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE

IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM

**Receiving Section** 









Lapintie 6D 25 33100 Tampere FINLANDE

Questions about this communication? Contact Customer Services at www.epo.org/contact

10.06.14

	Reference	Application No./Patent No. 12845839.5 - 1907 PCT/FI2012051070
ı	Applicant/Proprietor	
	Nokia Corporation	

## Notification of the data mentioned in Rule 19(3) EPC

In the above-identified patent application you are designated as inventor/co-inventor. Pursuant to Rule 19(3) EPC the following data are notified herewith:

DATE OF FILING : 02.11.12

**PRIORITY** : US/04.11.11/ USP201161555703

TITLE : METHOD FOR VIDEO CODING AND AN APPARATUS

: AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM **DESIGNATED STATES** 

## **Receiving Section**









Nokia Corporation Intellectual Property Department Karakaari 7 02610 Espoo FINLANDE Questions about this communication?
Contact Customer Services at www.epo.org/contact

Date	
	23.06.14

Reference NC77198EP	Application No./Patent No. 12845839.5 - 1907 PCT/FI2012051070
Applicant/Proprietor Nokia Corporation	

## Communication pursuant to Rules 161(2) and 162 EPC

## 1. Amendment of the application (R. 161(2) EPC)

The above-mentioned international (Euro-PCT) application has entered the European phase.

Under Articles 28, 41 PCT and Rules 52, 78 PCT the application may be amended before a designated or elected Office.

In accordance with Rule 161(2) EPC, you may amend your application once within a **non-extendable period of six months** after notification of the present communication.

If filing amendments, you must identify them and indicate the basis for them in the application as filed. Failure to meet either requirement may lead to a communication from the Examining Division requesting that you correct this deficiency (R. 137(4) EPC).

The claims applicable on expiry of this period, i.e. those filed on entry into the European phase or in response to the present communication, will form the basis for the calculation of any claims fee to be paid (see page 2).

#### 2 Claims fees under Rule 162 EPC

If the application documents on which the European grant procedure is to be based comprise more than fifteen claims, a claims fee shall be payable for the sixteenth and each subsequent claim within the period provided for in Rule 159(1) EPC.

M	Based on the application documents currently on file, all necessary claims fees have already been paid (or the documents do not comprise more than 15 claims).
	All necessary fees will be/have been debited automatically according to the automatic debit order.
	The claims fees due for the claims to were not paid within the above-mentioned period.

Any outstanding claims fee, either based on the current set of claims or on any amended claims to be filed pursuant to Rule 161 EPC (see page 1), may still be validly paid within a **non-extendable period of six months** after notification of this communication (R. 162(2) EPC).

If a payment is made for only some of the claims, you must indicate for which claims it is intended. If a claims fee is not paid in due time, the claim concerned is deemed to be abandoned (R. 162(4) EPC).

If claims fees have already been paid, but on expiry of the above-mentioned period there is a new set of claims containing fewer fee-incurring claims than before, the claims fees in excess of those due under Rule 162(2), second sentence EPC will be refunded (R. 162(3) EPC).

You are reminded that the supplementary search carried out according to Article 153(7) EPC will relate only to the last set of claims applicable on expiry of the above period AND will be confined to those fee-incurring claims for which fees have been paid in due time.

#### The claims fee is currently

EUR 235 for the 16th and each subsequent claim up to the limit of 50 EUR 580 for the 51st and each subsequent claim

#### Note to users of the automatic debiting procedure

Unless the EPO receives prior instructions to the contrary, the fees for all claims incurring fees will be debited on the last day of the period for payment. For further details see the Arrangements for the automatic debiting procedure, Supplementary publication 4 - OJ EPO 2014.

### Important information concerning fee amounts

Following any amendment to the Rules relating to Fees, the amount(s) mentioned in this communication may be different from the amount(s) actually due on the date of payment. The latest version of the Schedule of fees and expenses, published as a Supplement to the Official Journal of the EPO, is also available on the EPO website (www.epo.org) and can be found under www.epo.org/schedule-of-fees, which allows the viewing, downloading and searching for individual fee amounts, both current and previous.

Please note that procedural fees are usually adjusted every two years, on even years, with effect from 1 April.

Payments by cheque delivered or sent direct to the EPO are no longer accepted as from 1 April 2008 (see OJ EPO 2007, 626).





European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



Nokia Corporation Intellectual Property Department Karakaari 7 02610 Espoo FINLANDE

Date		
	13.08.14	

Reference NC77198EP	Application No./Patent No. 12845839.5 - 1907 / 2774375 PCT/FI2012051070
Applicant/Proprietor Nokia Corporation	

#### Communication of European publication number and information on the application of Article 67(3) EPC

The provisional protection under Article 67(1) and (2) EPC in the individual Contracting States becomes effective only when the conditions referred to in Article 67(3) EPC have been fulfilled (for further details, see information brochure of the European Patent Office "National Law relating to the EPC" and additional information in the Official Journal of the European Patent Office).

Pursuant to Article 153(3) EPC the publication under Article 21 PCT of an international application for which the European Patent Office is a designated or elected Office takes the place of the publication of a European patent application.

The bibliographic data of the above-mentioned Euro-PCT application will be published on 10.09.14 in Section I.1 of the European Patent Bulletin. The European publication number is 2774375.

In all future communications to the European Patent Office, please quote the application number plus Directorate number.

#### **Receiving Section**





European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



BICI, Mehmet Oguz Tammelan puistokatu 1-3 D 46 33500 Tampere **FINLANDE** 

Date		
10.06.14		

Reference	Application No /Patent No. 12845839.5 - 1907 PCT/FI2012051070	
Applicant/Proprietor Nokia Corporation		

### Notification of the data mentioned in Rule 19(3) EPC

In the above-identified patent application you are designated as inventor/co-inventor. Pursuant to Rule 19(3) EPC the following data are notified herewith:

DATE OF FILING

: 02.11.12

**PRIORITY** 

: US/04.11.11/ USP201161555703

TITLE

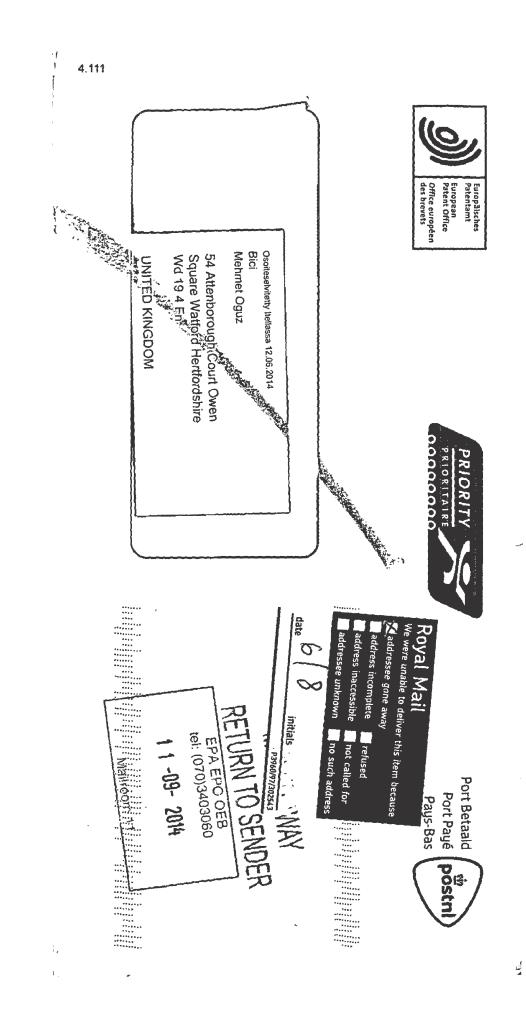
: METHOD FOR VIDEO CODING AND AN APPARATUS

**DESIGNATED STATES** 

: AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM

**Receiving Section** 











Nokia Corporation Intellectual Property Department Karakaari 7 02610 Espoo FINLANDE

Questions about this communication ? Contact Customer Services at www.epo.org/contact

24.09.14

	Reference NC77198EP	Application No./Patent No. 12845839.5 - 1907 / 2774375 PCT/FI2012051070
ı	Applicant/Proprietor	
	Nokia Corporation	

## Communication pursuant to Rule 19(1) EPC

The communication issued pursuant to Rule 19(3) EPC, sent to the inventor designated below, has been returned by the postal services.

You are requested to indicate the correct address of the inventor (R. 19(1) EPC).

Inventor: BICI, Mehmet Oguz

Tammelan puistokatu 1-3 D 46

FI / 33500 Tampere

## **Receiving Section**



NOKIA 1 (1)

**Intellectual Property Rights** 

16 June 2015

European Patent Office Erhardtstrasse 27 D-80298 Munich Germany

EPO - Munich 41 18. Juni 2015

**Dear Sirs** 

We hereby request that the patent applications listed in the attached assignment be assigned from Nokia Corporation to

Nokia Technologies Oy Karaportti 3 02610 Espoo Finland

The fee for the transfer has been paid as a batch payment with the online payment tool.

Yours faithfully

**Nokia Corporation, Intellectual Property Rights** 

Markku Kiviluoma

**European Patent Attorney** 

Encl Copy of signed assignment

#### PATENT ASSIGNMENT

THIS Assignment is effective as of 31 December 2014 between

Assignor **NOKIA CORPORATION** of Karaportti 3, FI – 02610 Espoo, Finland, a corporation organized under the laws of Finland (hereinafter "Nokia Corporation") and Assignee **NOKIA TECHNOLOGIES OY** of Karaportti 3, FI – 02610 Espoo, Finland, a corporation organized under the laws of Finland (hereinafter "Nokia Technologies")

WHEREAS, Nokia Corporation is the owner of certain patents and patent applications, as more particularly described in <u>Attachment 1</u> hereto (hereinafter "the Patents"), incorporated herein by reference;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and in accordance with that certain Business Purchase Agreement between Nokia Corporation and Nokia Technologies having an effective date of 31 December 2014 (hereinafter "Agreement"):

Nokia Corporation sells, assigns, transfers and conveys to Nokia Technologies, and its successors and assigns, all right, title, and interest in and to each of the Patents and patent applications listed in <a href="https://doi.org/10.1001/journal

This sale, assignment, transfer, and conveyance to Nokia Technologies, and its successors and assigns, is made subject to certain retained rights in favor of Nokia Corporation for the Patents and patent applications listed in <a href="Attachment">Attachment 1</a>, as are set forth in the Agreement.

IN WITNESS WHEREOF, the parties have caused their respective corporate names to be affixed hereto and this instrument to be signed by their duly authorized officers as of the day and year written below.

NOKIA CORPORATION By: Name: Esa Niinimäki Authorized signatory Title: Title: Legal Counsel Date: Januar 16 January **NOKIA TECHNOLOGIES OY** By: Name: Sami Name: Title: Heal Title: CF Finosco and corrations Jyri Lassi Date: Vice President, Legal & IP Date: Januari

# Attachment 1

Case reference	Filing Date	Filing Number
04168-EP-EPA	09 Jun 1999	99111181.6
04177-EP-EPA	04 Mar 2000	00104761.2
04216-EP-EPT	12 Nov 2002	02783372.2
04314-EP-EPA	11 Dec 2000	00311003.8
04326-EP-EPA	31 Jan 2001	01300868.5
04592-EP-EPT	03 Jun 2002	02733109.9
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07500-EP-EPT	25 Mar 1998	98910779.2
07540-EP-ETD	11 Nov 1997	04009648.9
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13281-EP-EPD	29 Jan 2007	07001826.2
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14113-EP-ETD	30 Sep 2010	10184320.9
14232-EP-EPT	26 Mar 1999	99911835.9
14273-EP-ETD	12 Mar 2008	08152633.7
14321-EP-ETD	23 Sep 2004	04022633.4
14417-EP-ETD	05 Oct 1998	07106258.2
14440-EP-ETD	19 Jun 2008	08158552.3
14610-EP-ETD	10 Jun 2005	05012558.2
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14779-EP-ETD[2]	15 Jun 2010	10165938.1
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15074-EP-ETD[4]	31 Aug 2010	10174747.5
15074-EP-ETD[5]	31 Aug 2010	10174756.6
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15172-EP-EPT	28 Jun 2001	01951748.1
15194-EP-EPT	22 May 2001	01938502.0
15273-EP-EPT	02 May 2001	01931747.8
15439-EP-ETD	13 Aug 2008	08162338.1
15547-EP-EPT	28 May 2001	01938276.1
15695-EP-ETD[1]	20 Dec 2001	06076819.9
15695-EP-ETD[2]	20 Dec 2001	06076820.7
15808-EP-EPT	22 Feb 2002	02727325.9
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16383-EP-EPT 16509-EP-EPT	25 Jan 2002	02714117.5
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16886-EP-EPT	17 Dec 2003	
16887-EP-EPT	20 Sep 2002	
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75802-EP-EPT         27 Jun 2012         12805007.7           75804-EP-EPT         12 Jun 2012         12804488.0           75808-EP-EPT         08 May 2012         12724352.5           75825-EP-EPT         28 Jun 2011         11868778.9           75827-EP-EPT         24 Jul 2012         12819907.2           75833-EP-EPT         30 Nov 2011         11876853.0           75838-EP-EPT         21 Jun 2011         11868150.1           75843-EP-EPT         15 May 2012         12802962.6           75865-EP-EPT         14 Aug 2012         12824459.7           75874-EP-EPT         08 May 2012         12785693.8           75874-EP-EPT         08 May 2012         12782408.4           75885-EP-EPT         08 May 2012         12788041.6           75886-EP-EPT         10 Oct 2012         12852852.8           75896-EP-EPT         10 Oct 2012         12814568.7           75902-EP-EPT         13 Nov 2011         11876215.2           75906-EP-EPT         15 May 2012         12785666.4           75913-EP-EPT         16 Jun 2012         12801284.6           75915-EP-EPT         17 Jun 2011         11746622.7           75918-EP-EPT         17 Jun 2011         11867306.0           75930-EP-	75798-EP-EPT	06 Jul 2011	11869124.5
75804-EP-EPT         12 Jun 2012         12804488.0           75808-EP-EPT         08 May 2012         12724352.5           75825-EP-EPT         28 Jun 2011         11868778.9           75827-EP-EPT         24 Jul 2012         12819907.2           75833-EP-EPT         30 Nov 2011         11876853.0           75833-EP-EPT         21 Jun 2011         11868150.1           75843-EP-EPT         15 May 2012         12802962.6           75865-EP-EPT         14 Aug 2012         12824459.7           75874-EP-EPT         08 May 2012         12785693.8           75883-EP-EPT         08 May 2012         12785693.8           75885-EP-EPT         06 Jul 2012         1278408.4           75885-EP-EPT         06 Jul 2012         12784041.6           75886-EP-EPT         10 Oct 2012         12852852.8           75896-EP-EPT         17 Jul 2012         12814568.7           75902-EP-EPT         15 May 2012         128814568.7           75903-EP-EPT         15 May 2012         12785666.4           75913-EP-EPT         15 May 2012         12786792.7           75913-EP-EPT         07 Jul 2011         11746622.7           75920-EP-EPT         07 Jul 2011         11867306.0           75930-EP-	75800-EP-EPT	28 Jun 2011	11868576.7
75808-EP-EPT         08 May 2012         12724352.5           75825-EP-EPT         28 Jun 2011         11868778.9           75827-EP-EPT         24 Jul 2012         12819907.2           75833-EP-EPT         30 Nov 2011         11876853.0           75838-EP-EPT         21 Jun 2011         11868150.1           75843-EP-EPT         15 May 2012         12802962.6           75865-EP-EPT         14 Aug 2012         12824459.7           75874-EP-EPT         08 May 2012         12785693.8           75883-EP-EPT         08 May 2012         12782408.4           75885-EP-EPT         06 Jul 2012         12784041.6           75886-EP-EPT         10 Oct 2012         12852852.8           75896-EP-EPT         10 Oct 2012         12814568.7           75902-EP-EPT         17 Jul 2012         12814568.7           75903-EP-EPT         15 May 2012         12785666.4           75913-EP-EPT         24 Apr 2012         12788981.4           75915-EP-EPT         29 Mar 2012         12786792.7           75918-EP-EPT         07 Jul 2011         11746622.7           75923-EP-EPT         07 Jun 2011         11867306.0           75930-EP-EPT         15 Jul 2011         1186941.4           75941-EP-E	75802-EP-EPT		
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75827-EP-EPT         24 Jul 2012         12819907.2           75833-EP-EPT         30 Nov 2011         11876853.0           75838-EP-EPT         21 Jun 2011         11868150.1           75843-EP-EPT         15 May 2012         12802962.6           75865-EP-EPT         14 Aug 2012         12824459.7           75874-EP-EPT         08 May 2012         12785693.8           75883-EP-EPT         20 Apr 2012         12782408.4           75885-EP-EPT         06 Jul 2012         12748041.6           75886-EP-EPT         10 Oct 2012         12852852.8           75896-EP-EPT         17 Jul 2012         12814568.7           75902-EP-EPT         23 Nov 2011         11876215.2           75906-EP-EPT         06 Jun 2012         12801284.6           75913-EP-EPT         15 May 2012         12786981.4           75913-EP-EPT         24 Apr 2012         12788981.4           75913-EP-EPT         29 Mar 2012         12786792.7           75918-EP-EPT         07 Jul 2011         11746622.7           75920-EP-EPT         07 Jun 2011         11867306.0           75930-EP-EPT         15 Jul 2011         118667306.0           75940-EP-EPT         29 May 2012         12803830.4           75940-EP	75825-EP-EPT	28 Jun 2011	11868778.9
75838-EP-EPT	75827-EP-EPT		
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75883-EP-EPT         20 Apr 2012         12782408.4           75885-EP-EPT         06 Jul 2012         12748041.6           75886-EP-EPT         10 Oct 2012         12852852.8           75896-EP-EPT         17 Jul 2012         12814568.7           75902-EP-EPT         23 Nov 2011         11876215.2           75906-EP-EPT         06 Jun 2012         12801284.6           75909-EP-EPT         15 May 2012         12785666.4           75913-EP-EPT         24 Apr 2012         12786792.7           75918-EP-EPT         29 Mar 2012         12786792.7           75918-EP-EPT         07 Jul 2011         11746622.7           75920-EP-EPT         03 Aug 2012         12821847.6           75923-EP-EPT         07 Jun 2011         11867306.0           75930-EP-EPT         15 Jul 2011         11869681.4           75940-EP-EPT         29 May 2012         12803830.4           75941-EP-EPT         30 Aug 2011         11871557.2           75961-EP-EPT         30 Jun 2011         11868478.6           75972-EP-EPT         01 Aug 2012         12820084.7           75985-EP-EPT         08 Jul 2011         11868343.2           75989-EP-EPT         30 Jun 2011         11868497.6           75989-EP-	75874-EP-EPT	08 May 2012	12785693.8
75885-EP-EPT         06 Jul 2012         12748041.6           75886-EP-EPT         10 Oct 2012         12852852.8           75896-EP-EPT         17 Jul 2012         12814568.7           75902-EP-EPT         23 Nov 2011         11876215.2           75906-EP-EPT         06 Jun 2012         12801284.6           75909-EP-EPT         15 May 2012         12785666.4           75913-EP-EPT         24 Apr 2012         12788981.4           75915-EP-EPT         29 Mar 2012         12786792.7           75918-EP-EPT         07 Jul 2011         11746622.7           75920-EP-EPT         03 Aug 2012         12821847.6           75923-EP-EPT         07 Jun 2011         11867306.0           75930-EP-EPT         15 Jul 2011         11869681.4           75940-EP-EPT         29 May 2012         12803830.4           75941-EP-EPT         30 Aug 2011         11871557.2           75961-EP-EPT         30 Jun 2011         11868478.6           75972-EP-EPT         01 Aug 2012         12820084.7           75981-EP-EPT         08 Jul 2011         11868333.2           75985-EP-EPT         20 Jun 2011         11868497.6           75989-EP-EPT         16 Jun 2011         11867914.1           76002-EP-	75883-EP-EPT		
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75909-EP-EPT         15 May 2012         12785666.4           75913-EP-EPT         24 Apr 2012         12788981.4           75915-EP-EPT         29 Mar 2012         12786792.7           75918-EP-EPT         07 Jul 2011         11746622.7           75920-EP-EPT         03 Aug 2012         12821847.6           75923-EP-EPT         07 Jun 2011         11867306.0           75930-EP-EPT         15 Jul 2011         11869681.4           75940-EP-EPT         29 May 2012         12803830.4           75941-EP-EPT         30 Aug 2011         11871557.2           75961-EP-EPT         30 Jun 2011         11868478.6           75972-EP-EPT         01 Aug 2012         12820084.7           75981-EP-EPT         08 Jul 2011         11869332.4           75985-EP-EPT         08 Jul 2011         11868343.2           75989-EP-EPT         30 Jun 2011         11868497.6           75989-EP-EPT         16 Jun 2011         11867914.1           76002-EP-EPT         04 Aug 2011         11870333.9           76013-EP-EPT         08 Jun 2012         12817460.4           76014-EP-EPT         08 Sep 2011         11872039.0           76016-EP-EPT         08 Sep 2011         11868944.7           76035-EP-	75902-EP-EPT		
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78691-EP-EPT         11 Dec 2013         13196570.9           78697-EP-EPT         15 Aug 2012         12882913.2           78707-EP-EPT         21 May 2012         12877113.6           78717-EP-EPT         16 Jul 2013         13823286.3           78718-EP-EPT         06 May 2013         13787529.0           78724-EP-EPT         13 Apr 2012         12721570.5           78730-EP-EPT         16 Apr 2013         13778734.7           78736-EP-EPT         08 Jun 2012         12878615.9           78736-EP-EPT         04 Jun 2012         12878603.5           78739-EP-EPT         04 Jun 2012         12880603.5           78746-EP-EPT         03 Dec 2012         12880619.5           78746-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           7875-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12876517.9           78783-EP-EPT         19 Apr 2013         13778643.0           7880-EP-EPT         19 Apr 2013         13778643.0           7880-EP-EPT         19 Apr 2013         13778643.0           7880-EP-EPT<	78676-EP-EPT		
78697-EP-EPT         15 Aug 2012         12882913.2           78707-EP-EPT         21 May 2012         12877113.6           78717-EP-EPT         16 Jul 2013         13823286.3           78718-EP-EPT         06 May 2013         13787529.0           78724-EP-EPT         13 Apr 2012         12721570.5           78727-EP-EPT         16 Apr 2013         13778734.7           78730-EP-EPT         08 Jun 2012         12876615.9           78736-EP-EPA         18 Jun 2013         13172376.9           78738-EP-EPT         04 Jun 2012         12880603.5           78739-EP-EPT         03 Dec 2012         12880730.2           78746-EP-EPT         03 Aug 2012         12880730.2           78746-EP-EPT         08 Aug 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         15 May 2012         12876744.9           78775-EP-EPT         15 May 2012         12876744.9           78775-EP-EPT         31 May 2012         12876744.9           78775-EP-EPT         19 Apr 2013         13164831.3           78765-EP-EPT         19 Apr 2013         13778643.0           78806-EP-			
78707-EP-EPT         21 May 2012         12877113.6           78717-EP-EPT         16 Jul 2013         13823286.3           78718-EP-EPT         06 May 2013         13787529.0           78724-EP-EPT         13 Apr 2012         12721570.5           78727-EP-EPT         16 Apr 2013         13778734.7           78730-EP-EPT         08 Jun 2012         12878615.9           78736-EP-EPA         18 Jun 2013         13172376.9           78738-EP-EPT         04 Jun 2012         12880730.2           78739-EP-EPT         03 Dec 2012         12880730.2           78742-EP-EPT         08 Aug 2012         12880730.2           78746-EP-EPT         03 Dec 2012         12880741.6           78755-EP-EPT         08 Aug 2012         12887034.4           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         12877044.9           78770-EP-EPT         31 May 2012         12876517.9           7873-EP-EPT         08 May 2012         12876517.9           7873-EP-EPT         08 May 2012         12877966.7           7877-EP-EPT         08 May 2013         13164831.3           7876-EP-EPT         19 Apr 2013         13778643.0           7886-EP-EPT </td <td>78691-EP-EPA</td> <td></td> <td></td>	78691-EP-EPA		
78717-EP-EPT         16 Jul 2013         13823286.3           78718-EP-EPT         06 May 2013         13787529.0           78724-EP-EPT         13 Apr 2012         12721570.5           78727-EP-EPT         16 Apr 2013         13778734.7           78730-EP-EPT         08 Jun 2012         12878615.9           78736-EP-EPA         18 Jun 2013         13172376.9           78738-EP-EPT         04 Jun 2012         12878603.5           78739-EP-EPT         03 Dec 2012         12880430.2           78742-EP-EPT         03 Dec 2012         12880730.2           78742-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12876744.9           78775-EP-EPT         15 May 2012         12876744.9           78777-EP-EPT         31 May 2012         12876744.9           78777-EP-EPT         31 May 2012         12876744.9           7877-EP-EPT         31 May 2012         12876744.9           7877-EP-EPT         31 May 2012         12876517.9           78783-EP-EPT         15 May 2012         12876517.9           7877-EP-EPT         31 May 2012         12876517.9           78816-EP-EPT		15 Aug 2012	12882913.2
78718-EP-EPT         06 May 2013         13787529.0           78724-EP-EPT         13 Apr 2012         12721570.5           78727-EP-EPT         16 Apr 2013         13778734.7           78730-EP-EPT         08 Jun 2012         12878615.9           78736-EP-EPA         18 Jun 2013         13172376.9           78736-EP-EPT         04 Jun 2012         12887603.5           78739-EP-EPT         03 Dec 2012         12880730.2           78742-EP-EPT         03 Mug 2012         12880619.5           78746-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         12877044.9           78770-EP-EPT         31 May 2012         12877966.7           7877-EP-EPT         08 May 2012         12876517.9           7883-EP-EPT         19 Apr 2013         13164831.3           7876-EP-EPT         19 Apr 2013         13778643.0           78816-EP-EPT         19 Apr 2013         13780919.0           7882-EP-EPT         19 Apr 2013         13780919.0           7885-EP-EPT         27 Jul 2012         12881611.3           7886-EP-EPT <td></td> <td>21 May 2012</td> <td>12877113.6</td>		21 May 2012	12877113.6
78724-EP-EPT         13 Apr 2012         12721570.5           78727-EP-EPT         16 Apr 2013         13778734.7           78730-EP-EPT         08 Jun 2012         12878615.9           78736-EP-EPA         18 Jun 2013         13172376.9           78738-EP-EPT         04 Jun 2012         1287603.5           78739-EP-EPT         03 Dec 2012         12880630.2           78742-EP-EPT         08 Aug 2012         12880441.6           78755-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12877966.7           78775-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           7876-EP-EPT         19 Apr 2013         13778643.0           7880-EP-EPT         19 Apr 2013         13778643.0           78816-EP-EPT         19 Apr 2013         1376843.0           7882-EP-EPA         12 Apr 2013         13163482.6           7885-EP-EPT         27 Jul 2012         12879586.1           78876-EP-EPT <td>78717-EP-EPT</td> <td>16 Jul 2013</td> <td>13823286.3</td>	78717-EP-EPT	16 Jul 2013	13823286.3
78727-EP-EPT         16 Apr 2013         13778734.7           78730-EP-EPT         08 Jun 2012         12878615.9           78736-EP-EPA         18 Jun 2013         13172376.9           78738-EP-EPT         04 Jun 2012         12878603.5           78739-EP-EPT         03 Dec 2012         12880730.2           78742-EP-EPT         08 Aug 2012         12880730.2           78742-EP-EPT         08 Aug 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         1287794.9           78770-EP-EPT         31 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78766-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         19 Apr 2013         13778643.0           78816-EP-EPT         19 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         1363482.6           78854-EP-EPT         25 Apr 2013         1363482.6           7885-EP-EPT         27 Jul 2012         12879586.1           7886-EP-EPT         10 Aug 2012         12879586.1           7887-EP-EPT <td>78718-EP-EPT</td> <td>06 May 2013</td> <td>13787529.0</td>	78718-EP-EPT	06 May 2013	13787529.0
78730-EP-EPT         08 Jun 2012         12878615.9           78736-EP-EPA         18 Jun 2013         13172376.9           78738-EP-EPT         04 Jun 2012         12878603.5           78739-EP-EPT         03 Dec 2012         12880730.2           78742-EP-EPT         08 Aug 2012         12880441.6           78754-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         15 May 2012         12877966.7           78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         19 Apr 2013         1378643.0           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13780919.0           78826-EP-EPT         27 Jul 2012         1287975.8           78816-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         27 Jul 2012         12881611.3           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EP	78724-EP-EPT	13 Apr 2012	12721570.5
78730-EP-EPT         08 Jun 2012         12878615.9           78736-EP-EPA         18 Jun 2013         13172376.9           78738-EP-EPT         04 Jun 2012         12878603.5           78739-EP-EPT         03 Dec 2012         12882619.5           78742-EP-EPT         08 Aug 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12877966.7           78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         19 Apr 2013         13778643.0           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13780919.0           78826-EP-EPT         27 Jul 2012         12877975.8           78816-EP-EPT         27 Jul 2012         1287975.8           78816-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         27 Jul 2012         12881611.3           78876-EP-E	78727-EP-EPT	16 Apr 2013	13778734.7
78736-EP-EPA         18 Jun 2013         13172376.9           78738-EP-EPT         04 Jun 2012         12878603.5           78739-EP-EPT         03 Dec 2012         12880730.2           78742-EP-EPT         08 Aug 2012         12882619.5           78746-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         1287644.9           78770-EP-EPT         31 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         19 Apr 2013         13778643.0           78816-EP-EPT         19 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         17 Aug 2012         12882823.3           78886-EP-EPT         17 Aug 2012         12882823.3           78894-EP-E	78730-EP-EPT	08 Jun 2012	12878615.9
78738-EP-EPT         04 Jun 2012         12878603.5           78739-EP-EPT         03 Dec 2012         12880730.2           78742-EP-EPT         08 Aug 2012         12882619.5           78746-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12876517.9           78783-EP-EPT         31 May 2012         12876517.9           78783-EP-EPT         08 May 2012         12876517.9           78783-EP-EPT         09 Apr 2013         13164831.3           78766-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         19 Apr 2013         13778643.0           78816-EP-EPT         25 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         17 Aug 2012         12882823.3           78885-EP-EPT         07 Aug 2012         12882823.3           78894-EP-		18 Jun 2013	13172376.9
78739-EP-EPT         03 Dec 2012         12880730.2           78742-EP-EPT         08 Aug 2012         12882619.5           78746-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12876517.9           78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         01 Jun 2012         12877975.8           78816-EP-EPT         01 Jun 2012         128797975.8           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         1363482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         128879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         17 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         128828223.3           78894-E	***************************************		
78742-EP-EPT         08 Aug 2012         12882619.5           78746-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12877966.7           78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         19 Apr 2013         13778643.0           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12882823.3           78894-EP-EPT         17 Aug 2012         12751242.4           78894-EP-EPT         05 Jun 2013         13170798.6           78912-EP-		03 Dec 2012	12880730.2
78746-EP-EPT         03 Jul 2012         12880441.6           78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12877966.7           78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         19 Apr 2013         13778643.0           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13780919.0           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         27 Jul 2012         12879586.1           78876-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12751242.4           78894-EP-EPT         06 May 2013         13787874.0           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-		08 Aug 2012	12882619.5
78755-EP-EPT         16 Apr 2013         13777995.5           78763-EP-EPT         15 May 2012         12877034.4           78765-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12877966.7           78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         01 Jun 2012         12877975.8           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         27 Jul 2012         12879586.1           78876-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12882823.3           78897-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-			
78765-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12877966.7           78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         01 Jun 2012         12877975.8           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12882823.3           78887-EP-EPT         07 Aug 2012         12882823.3           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         1288187.3           7892-EP-EPT         27 Jun 2012         12883365.4           78935-EP-EP			
78765-EP-EPT         15 May 2012         12876744.9           78770-EP-EPT         31 May 2012         12877966.7           78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         01 Jun 2012         12877975.8           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12882823.3           78887-EP-EPT         07 Aug 2012         12882823.3           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         1288187.3           7892-EP-EPT         27 Jun 2012         12883365.4           78935-EP-EP		15 May 2012	12877034 4
78770-EP-EPT         31 May 2012         12877966.7           78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         01 Jun 2012         12877975.8           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12882823.3           78887-EP-EPT         07 Aug 2012         12882823.3           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         1288187.3           7892-EP-EPT         20 Aug 2012         12883365.4           78935-EP-EPT         27 Jun 2012         12880158.6           78952-EP-EP		15 May 2012	12876744 9
78777-EP-EPT         08 May 2012         12876517.9           78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         01 Jun 2012         12877975.8           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12751242.4           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         1289187.3           78927-EP-EPT         20 Aug 2012         12883365.4           78935-EP-EPT         27 Jun 2012         12880158.6           78952-EP-EPT         29 Jun 2012         12883937.0           78962-EP-EPT         17 Jul 2012         12881183.3           78976-EP-E			
78783-EP-EPA         23 Apr 2013         13164831.3           78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         01 Jun 2012         12877975.8           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12751242.4           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         1289187.3           78927-EP-EPT         20 Aug 2012         12883365.4           78932-EP-EPT         27 Jun 2012         12880158.6           78952-EP-EPT         29 Jun 2012         12880158.6           78952-EP-EPT         31 Aug 2012         12881183.3           78962-EP-EPT         17 Jul 2012         12881183.3           78962-EP-E			
78786-EP-EPT         19 Apr 2013         13778643.0           78806-EP-EPT         01 Jun 2012         12877975.8           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12751242.4           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         12189187.3           78927-EP-EPT         20 Aug 2012         12883365.4           78932-EP-EPT         27 Jun 2012         12880158.6           78952-EP-EPT         29 Jun 2012         12880158.6           78952-EP-EPA         24 Apr 2013         13165068.1           78958-EP-EPT         31 Aug 2012         12881183.3           78962-EP-EPT         17 Jul 2012         12881183.3           7896-EP-E		23 Apr 2012	13164831 3
78806-EP-EPT         01 Jun 2012         12877975.8           78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12751242.4           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         12189187.3           78927-EP-EPT         20 Aug 2012         12883365.4           78932-EP-EPT         27 Jun 2012         12879627.3           78935-EP-EPT         29 Jun 2012         12880158.6           78952-EP-EPA         24 Apr 2013         13165068.1           78958-EP-EPT         31 Aug 2012         1288183.3           78962-EP-EPT         17 Jul 2012         12881183.3           78976-EP-EPT         18 Jun 2013         13819800.7           78986-EP-E			
78816-EP-EPT         25 Apr 2013         13780919.0           78825-EP-EPA         12 Apr 2013         13163482.6           78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12751242.4           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         12189187.3           78927-EP-EPT         20 Aug 2012         12883365.4           78932-EP-EPT         27 Jun 2012         12879627.3           78935-EP-EPT         29 Jun 2012         12880158.6           78952-EP-EPA         24 Apr 2013         13165068.1           78958-EP-EPT         31 Aug 2012         12881183.3           78962-EP-EPT         17 Jul 2012         12881183.3           78976-EP-EPT         18 Jun 2013         13819800.7           78986-EP-EPT         27 Aug 2012         12883806.7           78992-EP-			
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78854-EP-EPT         27 Jul 2012         12881611.3           78861-EP-EPT         20 Jun 2012         12879586.1           78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12751242.4           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         12189187.3           78927-EP-EPT         20 Aug 2012         12883365.4           78932-EP-EPT         27 Jun 2012         12879627.3           78935-EP-EPT         29 Jun 2012         12880158.6           78952-EP-EPA         24 Apr 2013         13165068.1           78958-EP-EPT         31 Aug 2012         1288183.3           78962-EP-EPT         17 Jul 2012         12881183.3           78976-EP-EPT         18 Jun 2013         13819800.7           78986-EP-EPT         27 Aug 2012         12883806.7           78992-EP-EPT         27 Jul 2012         12881628.7			
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78876-EP-EPT         11 Apr 2013         13721369.0           78885-EP-EPT         07 Aug 2012         12882823.3           78887-EP-EPT         17 Aug 2012         12751242.4           78894-EP-EPT         06 May 2013         13787874.0           78900-EP-EPT         05 Jun 2013         13808721.8           78910-EP-EPA         06 Jun 2013         13170798.6           78912-EP-EPA         19 Oct 2012         12189187.3           78927-EP-EPT         20 Aug 2012         12883365.4           78932-EP-EPT         27 Jun 2012         12879627.3           78935-EP-EPT         29 Jun 2012         12880158.6           78952-EP-EPA         24 Apr 2013         13165068.1           78958-EP-EPT         31 Aug 2012         12883937.0           78962-EP-EPT         17 Jul 2012         12881183.3           78976-EP-EPT         18 Jun 2013         13819800.7           78986-EP-EPT         27 Aug 2012         12883806.7           78992-EP-EPT         24 Jun 2013         13766667.3           78994-EP-EPT         27 Jul 2012         12881628.7			
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78986-EP-EPT         27 Aug 2012         12883806.7           78992-EP-EPT         24 Jun 2013         13766667.3           78994-EP-EPT         27 Jul 2012         12881628.7			12881183.3
78992-EP-EPT 24 Jun 2013 13766667.3 78994-EP-EPT 27 Jul 2012 12881628.7	78976-EP-EPT		13819800.7
78994-EP-EPT 27 Jul 2012 12881628.7	78986-EP-EPT	27 Aug 2012	12883806.7
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Typoos-ep-ept			
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79103-EP-EPT         10 Jun 2013         13737639.8           79107-EP-EPT         27 Jun 2013         13809616.9           79108-EP-EPT         27 Jun 2013         13809720.9           79120-EP-EPT         18 Jun 2013         13815955.3           79125-EP-EPT         05 Apr 2013         13721364.1           79126-EP-EPT         05 Apr 2013         13721367.4           79176-EP-EPA         06 Jun 2013         13170800.0           79200-EP-EPT         17 Jun 2013         13817171.5           79203-EP-EPT         07 Mar 2013         13806695.6           79243-EP-EPT         07 Mar 2013         13806695.6           79243-EP-EPT         05 Oct 2012         12886105.1           79268-EP-EPT         19 Jun 2012         12879539.0           79276-EP-EPT         14 Aug 2012         12885037.7           79284-EP-EPT         18 Sep 2012         12885037.7           79284-EP-EPT         28 Aug 2012         12885037.7           79341-EP-EPT         10 Jun 2013         13813533.0           79341-EP-EPT         10 Jun 2013         13804935.8           79373-EP-EPA         31 Oct 2013         13183627.2           79377-EP-EPT         05 Jun 2013         13826009.6           79397-EP-	79084-EP-EPA	23 Jul 2013	13177557.9
79107-EP-EPT         27 Jun 2013         13809616.9           79108-EP-EPT         27 Jun 2013         13809720.9           79120-EP-EPT         18 Jun 2013         13815955.3           79125-EP-EPT         05 Apr 2013         13721364.1           79126-EP-EPT         05 Apr 2013         13721367.4           79128-EP-EPT         05 Apr 2013         13721367.4           79176-EP-EPA         06 Jun 2013         13170800.0           79200-EP-EPT         17 Jun 2013         13817171.5           79203-EP-EPT         07 Mar 2013         13806695.6           79243-EP-EPT         05 Oct 2012         12886105.1           79268-EP-EPT         22 May 2013         13800991.5           79271-EP-EPT         19 Jun 2012         12879539.0           79276-EP-EPT         19 Jun 2012         12885097.7           79283-EP-EPT         18 Sep 2012         12883540.2           79324-EP-EPT         28 Aug 2012         12883540.2           79324-EP-EPT         25 Jun 2013         13819533.0           79373-EP-EPT         10 Jun 2013         13826009.6           79377-EP-EPT         05 Jun 2013         13826009.6           79377-EP-EPT         05 Jun 2013         13826009.6           7937-EP-E	79103-EP-EPT		
79108-EP-EPT         27 Jun 2013         13809720.9           79120-EP-EPT         18 Jun 2013         13815955.3           79125-EP-EPT         05 Apr 2013         13721364.1           79126-EP-EPT         05 Apr 2013         13721363.3           79128-EP-EPT         05 Apr 2013         13721367.4           79176-EP-EPA         06 Jun 2013         13170800.0           79200-EP-EPT         17 Jun 2013         13817171.5           79203-EP-EPT         07 Mar 2013         13806695.6           79243-EP-EPT         05 Oct 2012         12886105.1           79268-EP-EPT         22 May 2013         13800991.5           79271-EP-EPT         19 Jun 2012         1288599.0           79276-EP-EPT         14 Aug 2012         12883094.0           79283-EP-EPT         18 Sep 2012         12883503.7           79284-EP-EPT         28 Aug 2012         12883540.2           79324-EP-EPT         25 Jun 2013         13813533.0           79341-EP-EPT         10 Jun 2013         13804935.8           79373-EP-EPT         10 Jun 2013         13826009.6           79377-EP-EPT         05 Jun 2013         131836627.2           79377-EP-EPT         05 Jun 2013         131826009.6           79415-EP	79107-EP-EPT		
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79271-EP-EPT         19 Jun 2012         12879539.0           79276-EP-EPT         14 Aug 2012         12883094.0           79283-EP-EPT         18 Sep 2012         12885037.7           79284-EP-EPT         28 Aug 2012         12883540.2           79324-EP-EPT         25 Jun 2013         13813533.0           79341-EP-EPT         10 Jun 2013         13804935.8           79343-EP-EPA         31 Oct 2013         13190978.0           79377-EP-EPA         10 Sep 2013         13183627.2           79377-EP-EPA         10 Sep 2013         13826009.6           79397-EP-EPT         05 Jun 2013         13826009.6           79397-EP-EPT         08 Aug 2012         12882867.0           79403-EP-EPT         19 Jul 2012         12881436.5           79415-EP-EPT         10 Jun 2013         13736934.4           79497-EP-EPA         13 Sep 2013         13184236.1           79507-EP-EPA         04 Sep 2013         13182937.6           79511-EP-EPT         15 Aug 2012         12882950.4           79526-EP-EPT         17 Jun 2013         13813696.5           79530-EP-EPA         05 Dec 2013         13195771.4           79552-EP-EPT         25 Jul 2013         13822982.8           79565-EP-			
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European Patent Office 80298 MUNICH GERMANY

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Nokia Corporation Intellectual Property Department Karakaari 7 02610 Espoo FINLANDE

	07.07.15
Reference	Application No /Patent No.
NC77198EP	12845839.5 - 1905 / 2774375

Date

NC77 Applicant/Proprietor Nokia Technologies Oy

### Communication

concerning the registration of amendments relating to

a transfer (R. 22 and 85 EPC)

entries pertaining to the applicant / the proprietor (R. 143(1)(f) EPC)

As requested, the entries pertaining to the applicant of the above-mentioned European patent application / to the proprietor of the above-mentioned European patent have been amended to the following:

> AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR Nokia Technologies Oy Karaportti 3 02610 Espoo/FI

The registration of the changes has taken effect on 18.06.15.

In the case of a published application / a patent, the change will be recorded in the Register of European Patents and published in the European Patent Bulletin (Section I.12 / II.12).

Your attention is drawn to the fact that, in the case of the registration of a transfer, any automatic debit order only ceases to be effective from the date of its express revocation (cf. point 14(c) of the Arrangements for the automatic debiting procedure, supplementary publication 3 - OJ EPO 2015).

Receiving Section / For the Examining Division / For the Opposition Division / For the Legal Division \*)



#### Note

This communication is issued by/for the department with whom responsibility lies. The Legal Division is responsible for the registration of transfers, changes of name (Articles 71, 72 and 74 EPC and Rules 22 and 85 EPC) as well as for the rectification of the designation of the inventor (Rule 21 EPC) (see Decision of the President of the EPO, OJ EPO 2013, 600). In all other cases, the Receiving Section, the Examining Division or the Opposition Division is responsible, depending on the stage in proceedings.



Application No.: 12845839.5

The search has started on 07.03.16 (see Notice from the European Patent Office dated 03/2013, OJ EPO 2013, 153).

**Receiving Section** 



## SUPPLEMENTARY EUROPEAN SEARCH REPORT

Application Number EP 12 84 5839

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## SUPPLEMENTARY EUROPEAN SEARCH REPORT

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- 1	of relevant pass		to claim	APPLICATION (IPC)
4	in 2NxN, Nx2N, and 97. MPEG MEETING; 1 TORINO; (MOTION PIC ISO/IEC JTC1/SC29/W	8-7-2011 - 22-7-2011; TURE EXPERT GROUP OR G11),, 2011 (2011-07-17),	4,6,11,	
,	Operations", 6. JCT-VC MEETING; 14-7-2011 - 22-7-20 COLLABORATIVE TEAM ISO/IEC JTC1/SC29/W URL: HTTP://WFTP3.ITU.IN	ding and Skip/Merge  97. MPEG MEETING; 11; TORINO; (JOINT ON VIDEO CODING OF G11 AND ITU-T SG.16 );  T/AV-ARCH/JCTVC-SITE/,, July 2011 (2011-07-22),	6,13	TECHNICAL FIELDS SEARCHED (IPC)
4	URL: HTTP://WFTP3.ITU.IN	97. MPEG MEETING; 11; TORINO; (JOINT ON VIDEO CODING OF G11 AND ITU-T SG.16 );  T/AV-ARCH/JCTVC-SITE/,, uly 2011 (2011-07-01),  *	6,13	
	Place of search	Date of completion of the search		Examiner
	The Hague	14 March 2016	May	er, Claudia
CATEGORY OF CITED DOCUMENTS  X: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background O: non-written disclosure P: intermediate document  T: theory or principle underlying the invention E: earlier patent document, but published on, or after the filing date D: document cited in the application L: document oited for other reasons  E: member of the same patent family, corresponding document		shed on, or		

page 2 of 3



# SUPPLEMENTARY **EUROPEAN SEARCH REPORT**

**Application Number** EP 12 84 5839

	DOCUMENTS CONSIDE	RED TO BE RELEVANT		
Category	Citation of document with indi of relevant passag		Relevant to claim	CLASSIFICATION OF THE APPLICATION (IPC)
X,P	BICI O ET AL: "Non- of merge mode", 7. JCT-VC MEETING; 9 21-11-2011 - 30-11-2 COLLABORATIVE TEAM O ISO/IEC JTC1/SC29/WG URL: HTTP://WFTP3.ITU.INT no. JCTVC-G593,	CE13: Simplification  8. MPEG MEETING; 011; GENEVA; (JOINT N VIDEO CODING OF 11 AND ITU-T SG.16 ); /AV-ARCH/JCTVC-SITE/,, 1-11-08), XP030110577,	1-18	TECHNICAL FIELDS SEARCHED (IPC)
	Place of search The Hague	Date of completion of the search  14 March 2016	May	Examiner rer, Claudia
C/	ATEGORY OF CITED DOCUMENTS	T: theory or principle		
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disolosure P : intermediate document		E : earliér patent doc after the filling dat r D : document cited ir L : document cited fo	ument, but publise the application or other reasons	ished on, or

Application Number

EP 12 84 5839

TITLE: METHOD FOR VIDEO CODING AND AN APPARATUS

APPLICANT: Nokia Technologies Oy

IPC CLASSIFICATION: H04N19/52

EXAMINER: Mayer, Claudia

CONSULTED DATABASES: DOSYS, EPODOC, INET, NPL, XPI3E, XPVIDEO, WPI

CLASSIFICATION SYMBOLS DEFINING EXTENT OF THE SEARCH:

IPC:

CPC: H04N19/52

FI/F-TERMS:

KEYWORDS OR OTHER ELEMENTS FEATURING THE INVENTION:

Determining a set of spatial motion vector prediction candidates being provided with motion information; selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit; determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate; comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates; if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

EPO FORM P04A42

Datum Blatt Anmelde-Nr:

cf Form 1507 Application No: 12 845 839.5 1 Date Sheet Date

Feuille Demande n°:

The examination is being carried out on the following application documents

### **Description, Pages**

1-34 as published

### Claims, Numbers

1-18 filed with entry into the regional phase before the EPO

### Drawings, Sheets

1/13-13/13 as published

Reference is made to the following documents; the numbering will be adhered to in the rest of the procedure.

- D1 NAKAMURA H ET AL: "Unification of derivation process for merge mode and MVP", 6. JCT-VC MEETING; 97. MPEG MEETING; 14-7-2011 -22-7-2011; TORINO; (JOINT COLLABORATIVE TEAM ON VIDEO CODING OF ISO/IEC JTC1/SC29/WG11 AND ITU-T SG.16 ); URL: HTTP://WFTP3.ITU.INT/AV-ARCH/JCTVC-SITE/, no. JCTVC-F419, 1 July 2011 (2011-07-01), XP030009442
- D2 WIEGAND T ET AL: "WD3: Working Draft 3 of High-Efficiency Video Coding", 5. JCT-VC MEETING; 96. MPEG MEETING; 16-3-2011 -23-3-2011; GENEVA; (JOINT COLLABORATIVE TEAM ON VIDEO CODING OF ISO/IEC JTC1/SC29/WG11 AND ITU-T SG.16 ); URL: HTTP://WFTP3.ITU.INT/AV-ARCH/JCTVC-SITE/, INTERNET ENGINEERING TASK FORCE, IETF, CH, no. JCTVC-E603, 29 March 2011 (2011-03-29), XP030009014, ISSN: 0000-0003
- D3 ZHENG Y ET AL: "Merge Candidate Selection in 2NxN, Nx2N, and NxN Mode", 97. MPEG MEETING; 18-7-2011 - 22-7-2011; TORINO; (MOTION PICTURE EXPERT GROUP OR ISO/IEC JTC1/SC29/WG11), no. m20723, 17 July 2011 (2011-07-17), XP030049286
- D4 BROSS B ET AL: "Description of Core Experiment 9: MV Coding and Skip/Merge Operations", 6. JCT-VC MEETING; 97. MPEG MEETING; 14-7-2011 - 22-7-2011; TORINO; (JOINT COLLABORATIVE TEAM ON

EPO Form 1703 01.91TRI

Datum

VIDEO CODING OF ISO/IEC JTC1/SC29/WG11 AND ITU-T SG.16 ); URL: HTTP://WFTP3.ITU.INT/AV-ARCH/JCTVC-SITE/, no. JCTVC-F909, 22 July 2011 (2011-07-22), XP030009810

- JEON Y ET AL: "On MVP list pruning process", 6. JCT-VC MEETING; 97. MPEG MEETING; 14-7-2011 22-7-2011; TORINO; (JOINT COLLABORATIVE TEAM ON VIDEO CODING OF ISO/IEC JTC1/SC29/WG11 AND ITU-T SG.16); URL: HTTP://WFTP3.ITU.INT/AV-ARCH/JCTVC-SITE/, no. JCTVC-F105, 1 July 2011 (2011-07-01), XP030009128
- The application does not meet the requirements of Article 84 EPC.
- Claims 1, 9 and 15-18 do not meet the requirements of Article 84 EPC in that the matter for which protection is sought is not defined. By defining "selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit" and "determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate" without specifying how this is actually achieved, the claims attempt to define the subject-matter in terms of the result to be achieved. Such a definition is only allowable under the conditions elaborated in the Guidelines F-IV, 4.10. In this instance, however, such a formulation is not allowable because it appears possible to define the subject-matter in more concrete terms, viz. in terms of how the effect is to be achieved.
- Claims 6 and 13 refer to "the potential spatial motion vector prediction candidate on the left side [...]", "the potential spatial motion vector prediction candidate above [...]", "the potential spatial motion vector prediction candidate, which is on the right side [...]", "the potential spatial motion vector prediction candidate, which is below [...]" and "the potential spatial motion vector prediction candidate cornerwise neighbouring" the prediction unit. Since no definition of these potential spatial motion vector prediction candidates has been previously provided, these terms used in claims 6 and 13 are vague and unclear and leave the reader in doubt as to the meaning of the technical features to which they refer, thereby rendering the definition of the subject-matter of said claims unclear (Article 84 EPC).

Datum			
Date	СÍ	Form	1507
Data			

Blatt Sheet Feuille

3

Anmelde-Nr: Application No: 12 845 839.5 Demande n°:

- The term "essentially similar motion" used in claims 6 and 13 is vague and 1.3 unclear and leaves the reader in doubt as to the meaning of the technical feature to which it refers, thereby rendering the definition of the subject-matter of said claim unclear (Article 84 EPC; see also Guidelines F-IV, 4.7).
- Document D1 explicitly refers to document D2 (see reference [1] of D1). The 2 teaching of D2 is therefore regarded as incorporated into document D1 (see Guidelines, G-IV, 8).
- 3 The present application does not meet the requirements of Article 52(1) EPC because the subject-matter of claims 1, 9 and 15-18 is not new within the meaning of Article 54(1) and (2) EPC.
- A method comprising: 3.1
  - receiving a block of pixels including a prediction unit;
  - determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;
  - selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit; determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate (D1: abstract, section 2.2.1, fig. 1(a) and 2(b));
  - comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list (D1: fig. 1(a); see also D2: sections 8.4.2.1.3 and 8.4.2.1.3; see also section 2 of this communication).

The subject-matter of claim 1 is therefore not new (Article 54(1) and (2) EPC).

3.2 The same reasoning and objection with respect to novelty as raised against claim 1 in section 3.1 of this communication also apply, mutatis mutandis, to claims 15 and 17, since their subject-matter corresponds to the subject-matter of claim 1.

The subject-matter of claims 15 and 17 is therefore not new (Article 54(1) and (2) EPC).

- Claims 9, 16 and 18 address a decoding method, apparatus and computer-3.3 readable medium that correspond to the encoding method, apparatus and computer-readable medium of claims 1, 15 and 17, respectively. The same reasoning and objection with respect to novelty as raised against claims 1, 15 and 17 in sections 3.1 and 3.2 of this communication therefore also apply. mutatis mutandis, to claims 9, 16 and 18.
  - The subject-matter of claims 9, 16 and 18 is therefore not new (Article 54(1)) and (2) EPC).
- Dependent claims 1-8 and 10-14 do not appear to contain any additional 4 features which, in combination with the features of any claim to which they refer, meet the requirements of the EPC with respect to novelty (Article 54(1) and (2) EPC) and/or inventive step (Article 56 EPC).
- 4.1 Claim 2 (lack of novelty, Article 54(1) and (2) EPC): D1: section 2, table 4, 4th row.
- Claims 3 and 10 (lack of novelty, Article 54(1) and (2) EPC): 4.2 D1: section 2, table 2, last row.
- Claims 4 and 11 (lack of novelty, Article 54(1) and (2) EPC): 4.3 D2: section 8.4.2.1.4 (see also D3: section 1, fig. 1, which provides a summary the procedure of HM3.0 in case of 2NxN, Nx2N PU mode ("According to the current HM3.0, motion information of the candidate that's located inside the left or upper neighboring PU is always not to be used [...].")); see also section 2 of this communication.
- Claims 5 and 12 (lack of novelty, Article 54(1) and (2) EPC): 4.4 D1: section 2.
- Claims 6 and 13 (lack of inventive step, Article 56 EPC): 4.5 D1 (with D2 incorporated; see also D3: section 1, fig. 1 which provides a summary the procedure of HM3.0 in case of 2NxN, Nx2N PU mode ("According to the current HM3.0, motion information of the candidate that's located inside the left or upper neighboring PU is always not to be used. Any other candidate that shares the same motion information with that candidate is also not to be used."); see also section 2 of this communication) in combination with D4: section 2.2; see also document D5 of which D4 provides a summary in section 2.2).

Date

Anmelde-Nr: Application No: 12 845 839.5

Demande n°:

The selection conditions for "the potential spatial motion vector prediction candidate on the left side of the prediction unit" and "the potential spatial motion vector prediction candidate above the prediction unit" are anticipated by D1 (with D2 incorporated) in combination with D4 (see also section 1.3 of this communication).

It is further unclear what advantage is achieved by the particular sequence of comparisons defined in claims 6 and 13 that are performed for "the potential spatial motion vector prediction candidate, which is on the right side of the prediction unit", "the potential spatial motion vector prediction candidate, which is below the prediction unit" and "the potential spatial motion vector prediction candidate cornerwise neighbouring the prediction unit". This particular sequence of comparisons therefore appears to be merely one of several straightforward possibilities which the skilled person would select, depending on the circumstances, in order to derive a subset of spatial motion vector prediction candidates.

The subject-matter of claims 6 and 13 therefore cannot be considered to involve an inventive step within the meaning of Article 56 EPC.

- 4.6 Claim 7 (lack of novelty, Article 54(1) and (2) EPC): D1: section 2.
- 4.7 Claims 8 and 14 (lack of novelty, Article 54(1) and (2) EPC):
  D2: section 8.4.2.1.3; see also section 2 of this communication.
- It is not at present apparent which part of the application could serve as a basis for a new, allowable claim.
- 5.1 Should the applicant nevertheless regard some particular matter as patentable, an independent claim should be filed taking account of Rule 43(1) EPC. When filing amended claims, the applicant is requested to comment on novelty and inventive step, in particular by explaining the invention as claimed in terms of problem-solution with regard to the closest prior art.
- The subject-matter should be defined in terms of a single independent claim in each category followed by dependent claims introducing only additional features that are merely optional (Rule 43(2-4) EPC).
- 5.3 In order to facilitate the examination of the conformity of the amended application with the requirements of Article 123(2) EPC, the applicant should clearly identify the amendments made, irrespective of whether they concern amendments by addition, replacement or deletion, and indicate the passages of the application as filed on which these amendments are based.



European Patent Office 80298 MUNICH GERMANY

Questions about this communication?
Contact Customer Services at www.epo.org/contact



Nokia Corporation Intellectual Property Department Karakaari 7 02610 Espoo FINLANDE

Date		
	21.03.16	

Reference	Application No /Patent No.		
NC77198EP	12845839.5 - 1905 / 2774375 PCT/FI2012051070		
Applicant/Proprietor Nokia Technologies Oy			

#### Communication

The extended European search report is enclosed.

The extended European search report includes, pursuant to Rule 62 EPC, the supplementary European search report (Art. 153(7) EPC) and the European search opinion.

Copies of documents cited in the European search report are attached.

o additional set(s) of copies of such documents is (are) enclosed as well.

#### Refund of the search fee

If applicable under Article 9 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.

Should you wish to further prosecute this application in the examination phase, your attention is drawn to the provisions of Rule 70a EPC. An invitation to respond to the extended European search report will be issued shortly (R. 70(2) EPC).



EPO Form 1507S 06.12



European Patent Office 80298 MUNICH GERMANY

Questions about this communication ? Contact Customer Services at www.epo.org/contact



Nokia Corporation Intellectual Property Department Karakaari 7 02610 Espoo FINLANDE

Date		
	07.04.16	

Reference	Application No./Patent No.		
NC77198EP	12845839.5 - 1905 / 2774375 PCT/FI2012051070		
Applicant/Proprietor Nokia Technologies Oy			

#### Communication pursuant to Rules 70(2) and 70a(2) EPC

A supplementary European search report has been drawn up concerning the above-identified European patent application (publication number: 2774375).

Since the request for examination has been filed (R. 70(1), 159(1)(f), Art. 94(1) EPC) prior to the transmission of the supplementary European search report, you are hereby invited to indicate within

#### six months

of notification of this communication whether you wish to proceed further with the European patent application.

If you do not indicate in due time that you wish to proceed further with the European patent application, it will be deemed to be withdrawn (R. 70(3) EPC).

You are invited, within the above-mentioned six-month period, to comment on the objections raised in the opinion accompanying the European search report and/or to file any amendments to the description, claims and drawings correcting any deficiencies noted in the opinion (R. 70a(2), R. 137(2) EPC; Guidelines for Examination in the EPO, B-XI, 8).

If filing amendments, you must identify them and indicate the basis for them in the application as filed. Failure to meet either requirement may lead to a communication from the Examining Division requesting that you correct this deficiency (R. 137(4) EPC).

Should the reply to the invitation pursuant to Rule 70a(2) EPC be filed in an admissible non-EPO language, a translation is to be submitted within one month of its filing (R. 6(2) EPC).

Should you not comply with this invitation within the time limit, the application will be deemed to be withdrawn in accordance with Rule 70a(3) EPC.

# **Receiving Section**



Registered letter

EPO Form 1224 11.12 (RTB/ESOP01=N) DMEX



## 27 July 2016 **VIA EPOLINE**

**European Patent Office** Directorate General 2 D-80298 MÜNCHEN Germany

TP109764EP Our ref.: TPU/EIP

RE: EUROPEAN PATENT APPLICATION NO. 12845839.5 in the name of NOKIA CORPORATION **Change of Representative** 

Dear Sirs,

The applicant has asked us to act as a professional representative in the above identified application in the future. Please send all future correspondence to:

Tampereen Patenttitoimisto Oy (Association No. 477.0) Visiokatu 1 FI-33720 Tampere Telephone: +358-10-227 2600

Facsimile: +358-10-227 2662

Attached is a Power of Attorney signed by the applicant.

Please record the change and send us a confirmation on the recordation.

Yours sincerely, TAMPEREEN PATENTTITOIMISTO OY

Timo Pursiainen Professional Representative

ENCL.





## Letter accompanying subsequently filed items

Representative:
Tampereen Patenttitoimisto Oy
477
Visiokatu 1
33720 Tampere

Finland

Phone: +358-10 227 2600 Fax: +358-10 227 2662

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10958 Berlin Germany

Tel. +49(0)30 25901-0 | Fax -840

 $\label{thm:commutation} The \ document(s) \ listed \ below \ is \ (are) \ subsequently \ filed \ documents \ pertaining \ to \ the \ following \ application:$ 

Application number	12845839.5
Applicant's or representative's reference	TP109764EP

	Description of document	Original file name	Assigned file name
1	Document concerning representation	Order to Patent Office (attorney)	FREP-1.pdf
		TP109764EP (ID 850837).pdf	
2	Authorisation of representative	Nokia_EP_POA_2016.pdf	1003-1.pdf

#### Signatures

Place: Tampere
Date: 27 July 2016

Signed by: Timo Pursiainen 36563

Association: Tampereen Patenttitoimisto Oy

Representative name: Timo Pursiainen
Capacity: (Representative)

TP109764EP

Please forward the original direct to the EPO, Europäisches Patentamt General authorisation Legal Division (Dir. 5.2.3) in Munich. Please read the attached notes before aisches : atentamic completing the form. Office européen des brevets 0 6. April 2016 1 General 629030.8 authorisation No. 2 1 (We) Dir. 5.2.3 (for official use only) Full name and address of authorisor(\$ Nokia Technologies Oy Karaportti 3 FI-02610 Espoo Finland L 3 do hereby authorise Tampereen Patenttitoimisto Oy (association no. 477) Full name and address Visiokatu 1 of authorisee: professional FI-33720 Tampere representative, legal practitioner, employee, association of Finland representatives - please specify 4 to represent me (us) in all proceedings established by the European Patent Convention and to act for me (us) in all patent transactions. This authorisation includes the power to receive payments on my (our) behalf. This authorisation shall also apply to the same extent to any proceedings established by the Patent Cooperation Treaty. Sub-authorisation may be given. 5 Additional representatives indicated on supplementary sheet.

7 \* The form must bear the personal signature(s) of the authorisor(s). In the case of legal persons, the signature must be that of the person empowered to sign on behalf of the company. If possible, please sign in blue.

Please return a copy, supplemented by the general authorisation number, to the authorisor.

EPO 1004 09.11

Name (printed)

Place, Date

Kari Puranen

Tampere, 9th March, 2016

Position within the company (where relevant)

Director

Signature\*



# **Acknowledgement of receipt**

We hereb	y acknowledge	receipt of the	e followina	subsequen	tlv filed	document(s):

Submission number	4526296	
Application number	EP12845839.5	
Date of receipt	27 July 2016	
Receiving Office	European Patent Office, The Hague	
Your reference	TP109764EP	
Applicant	All applicants as on file	
Documents submitted	package-data.xml	ep-sfd-request.xml
	epf1038.pdf (1 p.)	FREP-1.pdf\Order to Patent Office (attorney) TP109764EP (ID 850837).pdf (1 p.)
	1003-1.pdf\Nokia_EP_POA_2016.pdf (1 p.)	
Submitted by	ON Time Providence 00500	
Submitted by	CN=Timo Pursiainen 36563	

### Correction by the EPO of errors in debit instructions filed by eOLF

Online

27 July 2016, 16:14 (CEST)

Method of submission

Date and time

receipt generated

Message Digest

Errors in debit instructions filed by eOLF that are caused by the editing of Form 1038E entries or the continued use of outdated software (all forms) may be corrected automatically by the EPO, leaving the payment date unchanged (see decision T 152/82, OJ EPO 1984, 301 and point 6.3 ff ADA, Supplement to OJ EPO 10/2007).

BF:9A:12:F5:A3:22:29:96:35:2E:12:DE:F8:C8:20:3A:40:CE:82:83

/European Patent Office/



European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



Tampereen Patenttitoimisto Oy Visiokatu 1 33720 Tampere FINLANDE

Date	
	02.08.16

Reference TP109764EP	Application No./Patent No. 12845839.5 - 1905 / 2774375
Applicant/Proprietor	
Nokia Technologies Oy	

## Communication of amended entries concerning the representative (R. 143(1)(h) EPC)

As requested, for the above-mentioned European patent application / European patent the entries concerning the representative have been amended as follows:

> Tampereen Patenttitoimisto Oy Visiokatu 1 33720 Tampere

The amendment will be recorded in the Register of European Patents.

Receiving Section



MB03005 EPO Form 2548 08.13 (28/07/16) Page: 1 of 1



European Patent Office 80298 MUNICH GERMANY

Questions about this communication?
Contact Customer Services at www.epo.org/contact



Nokia Corporation Intellectual Property Department Karakaari 7 02610 Espoo FINLANDE

		Date 02-08-16
Reference	Application No /Patent No.	74075
NC77198EP	12845839.5 - 1905 / 27	74375
Applicant/Proprietor Nokia Technologies Oy		
Communication pursuant to Article 1(2) 12.07.2007 concerning the filing of aut		
Concerning the above-mentioned Europe appointment of a new representative for t		ent the EPO has been notified of the
🛣 applicant.		
proprietor of the patent.		
opponent		
A copy of the authorisation/the letter o	f the new representative	is enclosed.
☐ The new representative		
has referred to his general authorisation	on No	
Subsequent proceedings will be conducted	ed with the new represen	tative

Important note to the users of the automatic debiting procedure (AAD)

Attention is drawn to points 14(d) and (13) AAD.

In case of withdrawal from representation, the automatic debiting procedure does not automatically cease to be effective. It only ceases to be effective on the day on which notification is received of the representative's withdrawal and of his revocation of the automatic debit order. Thus, should you wish to also revoke automatic debit orders being made from your deposit account in relation to the present patent application, you are required to inform the EPO accordingly.

EPO Form 2572 04.14 (28/07/16) Page: 1 of 2 MB03005

Receiving Section / For the Examining Division / For the Opposition Division / For the Legal Division \*)



\*) Note
This communication is issued by/for the department with whom responsibility lies. The Legal Division is responsible for the registration of transfers, changes of name (Articles 71, 72 and 74 EPC and Rules 22 and 85 EPC) as well as for the rectification of the designation of the inventor (Rule 21 EPC) (see Decision of the President of the EPO, OJ EPO 2013, 600). In all other cases, the Receiving Section, the Examining Division or the Opposition Division is responsible, depending on the stage in proceedings.

2	Éuropäisches Patentamt European  Patent Office Office european des brevets  I (We) Full name and address of authorisor	General authorisation  Please forward the original direct to the EPO Legal Division (Dir. 5.2.3) in Munich. Please read the attached notes before completing the form.  6 29030.8
	Nokia Technologies Karaportti 3 FI-02610 Espoo Finland	Oy
	TE <sub>0</sub>	
<b>3</b>	do hereby authorise  Full name and address of authorisee: professional representative, legal practitioner, employee, association of representatives – please specify	Tampereen Patenttitoimisto Oy (association no. 477) Visiokatu 1 FI-33720 Tampere Finland
4	transactions.	lings established by the European Patent Convention and to act for me (us) in all patent
	This authorisation includes the	power to receive payments on my (our) behalf.
	This authorisation shall also a	pply to the same extent to any proceedings established by the Patent Cooperation Treaty.
5	Sub-authorisation may be give	n.
	Additional representatives ind	cated on supplementary sheet.
6	Please return a copy, supplen	ented by the general authorisation number, to the authorisor.
	Name (printed)	Position within the company (where relevant)

7 \* The form must bear the personal signature(s) of the authorisor(s). In the case of legal persons, the signature must be that of the person empowered to sign on behalf of the company. If possible, please sign in blue.

Kari Puranen

Tampere, 9th March, 2016

EPO 1004 09.11

Place, Date

Signature\*

Director



# 14 October 2016 **VIA EPOLINE**

**European Patent Office** Directorate General 2 D-80298 MÜNCHEN Germany

Our ref.: TP109764EP/TPU

Response to the Communication pursuant to Rules 70(2) and 70a(2) EPC **European Patent Application No.** 12845839.5 **Applicant:** Nokia Corporation

Dear Sirs,

In response to the official communication dated 7.4.2016, The applicant hereby informs that he wishes to proceed further with the application.

The reply to the search opinion will be filed later on with request for further proceeding.

Yours faithfully,

Timo Pursiainen Professional representative Tampereen Patenttitoimisto Oy, Association 477





# Letter accompanying subsequently filed items

_ '	esentative: pereen Patenttitoimisto Oy			80298 Munich Germany Tel. +49(0)89 2399-0   Fax -4465
	okatu 1 20 Tampere and			P.O. Box 5818 NL-2280 HV Rijswijk Netherlands Tel. +31(0)70 340-2040   Fax -3016
Fax:	ne: +358-10 227 2600 +358-10 227 2662 ail: mailbox@tampereenpatenttit	coimisto.fi		10958 Berlin Germany Tel. +49(0)30 25901-0   Fax -840
The do	ocument(s) listed below is (are) subsequently	filed documents	pertaining to the following	gapplication:
Applica	ation number			12845839.
Applica	ant's or representative's reference			TP109764EF
	Description of document	Origir	nal file name	Assigned file name
1	Reply to search opinion/written	OA 20160407 letter to Patent Office		WOREPLY-1.pdf
	opinion/IPER	TP109764EP (ID 868435).pdf		

### Signatures

Place:

Capacity:

Date: 14 October 2016
Signed by: Timo Pursiainen 36563
Association: Tampereen Patenttitoimisto Oy
Representative name: Timo Pursiainen

Tampere

(Representative)

TP109764EP



# **Acknowledgement of receipt**

We hereby acknowledge receipt of the following subsequently filed document(s):

Submission number	4721344		
Application number	EP12845839.5		
Date of receipt	14 October 2016		
Receiving Office	European Patent Office, The Hague		
Your reference	TP109764EP		
Applicant	All applicants as on file		
Documents submitted	package-data.xml epf1038.pdf (1 p.)	ep-sfd-request.xml  WOREPLY-1.pdf\OA 20160407 letter to Patent Office TP109764EP (ID 868435).pdf (1 p.)	
Submitted by	CN=Timo Pursiainen 36563		
Method of submission	Online		
Date and time receipt generated	14 October 2016, 16:06 (CEST)		
Message Digest	7D:54:EB:89:95:01:47:57:2F:57:FF:0D:8C:30:04:9D:CC:C2:70:A2		

#### Correction by the EPO of errors in debit instructions filed by eOLF

Errors in debit instructions filed by eOLF that are caused by the editing of Form 1038E entries or the continued use of outdated software (all forms) may be corrected automatically by the EPO, leaving the payment date unchanged (see decision T 152/82, OJ EPO 1984, 301 and point 6.3 ff ADA, Supplement to OJ EPO 10/2007).

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European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



Tampereen Patenttitoimisto Oy Visiokatu 1 33720 Tampere **FINLANDE** 

Date		
	23.11.2016	

Reference TP109764EP	Application No /Patent No. 12845839.5 - 1905 / 2774375
Applicant/Proprietor	
Nokia Technologies Oy	

#### Noting of loss of rights pursuant to Rule 112(1) EPC

The European patent application cited above is deemed to be withdrawn because the invitation to comment on or to correct any deficiencies noted in

- the opinion accompanying the (supplementary) European search report
- the written opinion of the International Searching Authority or the international preliminary examination report or the explanations pursuant to Rule 45bis 7(e) PCT to the supplementary international search report issued by the EPO

has not been complied with within the time limit specified in the communication issued under Rule 70a EPC (EPO Form 1081/1082/1083/1224) or Rule 161(1) EPC (EPO Form 1226AA), as applicable (R. 70a(3), R. 161(1) EPC).

#### Means of redress

## Request for a decision (R. 112(2) EPC)

If the applicant considers that the finding of the European Patent Office is inaccurate, he may, within a (non-extendable) period of two months after notification of this communication, apply in writing for a decision on the matter. The application can only lead to the finding being reversed if this does not actually correspond to the factual or legal situation.

#### Further processing (Art. 121 EPC)

The legal consequence of the failure to observe the time limit shall be deemed not to have ensued if, within a (non-extendable) period of two months after notification of this communication, further processing is requested by payment of the fee prescribed under Article 2(1)12 of the Rules relating to Fees and the omitted act is completed (R. 135(1) EPC).

#### For the Examining Division



Registered letter EPO Form 1229 06.10 (15/11/16)

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Page: 1 of 1



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<sup>1</sup> This form is only valid for changes relating to an association. If the address in the list of professional representatives before the European Patent Office (see Article 134(1) EPC) should also be changed, a separate request using EPO Form 52301 (Request for registration of changes relating to an entry in the list of professional representatives before the European Patent Office) should be filed.

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EPA 52305 02.18



# 20 January 2017 **VIA EPOLINE**

**European Patent Office** Directorate General 2 D-80298 MÜNCHEN Germany

Our ref.: TP109764EP/TPU

Response to the Communication pursuant to Rules 70(2) and 70a(2) EPC **European Patent Application No.** 12845839.5 **Applicant:** Nokia Corporation

Dear Sirs,

In response to the official communication dated 23.11.2016 the applicant has paid the fee for further processing (Art. 121 EPC).

In response to the official communication dated 7.4.2016, we respectfully present the following:

Please find enclosed new pages 15 and 35-40 to replace pages 15 and 35-40 presently on file. Said pages with the amendments highlighted have also been enclosed for your reference. The amendments made together with the following submissions are intended to address the objections raised by the Examiner.

#### **Amendments**

The enclosed new set of claims 1—18 replaces the claims 1—18 presently on file.





Thus, the amended independent claim 1 discloses (amended features underlined):

#### 1. A method comprising:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

determining a subset of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the subset to determineing which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate:

comparing motion information of the <u>selected</u> first spatial motion vector prediction candidate with motion information of the <u>other</u> spatial motion vector prediction candidate in the <u>determined subset of spatial motion vector prediction candidates</u>;

if at least one of the comparisons indicates that the motion vector information of the other spatial motion vector prediction candidates correspond with the motion vector information of the selected spatial motion vector prediction candidateeach other, excluding the first selected spatial motion vector prediction candidate from the merge list.

The definition "determining a limited number of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates" is based on the specification on page 4,



lines 12—14: "This can be achieved by performing a limited number of motion information comparisons between candidate pairs to remove the redundant candidates rather than comparing every available candidate pair."

The definition "examining the limited number of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate" is based on Figures 5a, 5b, 8a and 8b, the specification on page 16, line 26—page 17, line 27.

The expression "if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list" has been amended to read "if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidates correspond with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list". This is mainly for adapting this definition to the amended claim language.

Thus, all the features of the new claims are disclosed in the application as filed. Hence, the amended claims comply with the Art. 123(2) EPC.

The independent claims are in one-part form, since the two-part form is not appropriate, but it would lead to a complex and misleading claim, which might be misinterpreted.

# Clarity (Art. 84 EPC)

To overcome the objection of Section 1.1, claims 6 and 13 are amended so that the expression "essentially similar motion information" is amended to read "the same motion vectors and the same reference indices". This is based on page 18, lines 32—35: "Motion comparison"



can be realized by comparing a subset of the whole motion information. For example, only the motion vector values for some or all reference picture lists and/or reference indices for some or all reference picture lists and/or an identifier value assigned to each block to represent its motion information can be compared."

To overcome the objection of Section 1.2, claims 6 and 13 are further amended so that it is now defined that the motion information comprises at least a motion vector and a reference index, and the set of spatial motion vector prediction candidates comprises at least one of:

one or more neighbouring blocks to the left of the prediction unit; one or more neighbouring blocks above the prediction unit; one or more cornerwise neighbouring blocks of the prediction unit. Hence, the objected definitions on the left side, above and below should now have antecedent basis.

Thus, the claims are clear according to Art. 84 EPC.

### Novelty (Art. 54 EPC)

The amended independent claim 1 discloses a method comprising:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

determining a subset of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the subset to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial



motion vector prediction candidate pair than the selected spatial motion vector prediction candidate;

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparisons indicates that the motion vector information of the other spatial motion vector prediction candidates correspond with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list.

D1 describes a system that selects the first two available spatial predictors and performs a duplicate removal process between the two spatial predictor candidates ( $S_0$  and  $S_1$ ) and one temporal predictor candidate (Col). The duplicate removal process is not considering the locations of the spatial candidates and all three possible combinations of  $S_0$ ,  $S_1$  and Col are performed "blindly" as follows:  $S_0$  is compared with  $S_1$ ,  $S_0$  is compared with Col, and  $S_1$  is compared with Col. It may happen that one or both of the selected two spatial predictors are not the best alternatives.

D1 does not disclose *inter alia* "determining a subset of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates".

D2 (section 8.4.2.1.2 "Derivation process for spatial merging candidates" of JCTVCE603\_d8.doc) disqualifies candidates if unavailable, if coded with intra mode, or if the motion of a candidate is identical to motion of another prediction unit within the same coding unit. Thus, it would have been more efficient to represent a coding unit with a larger segmentation instead of splitting it into smaller prediction units and indicating identical motion between those small prediction units.



D2 (section 8.4.2.1.3 "Derivation process of reference indices for temporal merging candidate" of JCTVCE603\_d8.doc) further teaches how reference indices can be selected for the temporal motion vector prediction candidate. The approach is very similar to that of D1. First three candidates are selected based on their availability and that selection is followed by checks for all the combinations of the three.

D2 (section 8.4.2.1.4 "Derivation process for luma motion vector prediction") teaches also that "if several motion vectors have the same value, the motion vectors are removed from the list except the motion vector which has the smallest order in the mvpListLX" requiring full search of matching candidates within the candidate list.

D3 proposes to allow additional merge candidates to survive the process described in D2 section 8.4.2.1.2 based on the index of the merge candidate.

D4 section 2.2 provides a summary of D5.

D5 proposes to use a threshold different from zero when comparing similarity of two motion vectors, but does not provide additional teachings on selecting the prediction candidates for comparison.

Thus the amended claim 1 is novel in view of any of the cited documents D1 to D5 in accordance to Art. 54(1) EPC.

The same analogy applies to the other independent claims as well. Thus, the independent claims 1, 9 and 15—18 are novel in sense of Art. 54(1) EPC.

### Inventive step (A. 56 EPC)

D1 is regarded as the closest prior art in the official communication. As discussed in the previous novelty discussion, D1 fails to disclose D1 does not disclose *inter alia* "determining a subset of spatial motion vector prediction candidate pairs for comparison among all available



spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates".

The features of the amended claim 1 differing from D1 provide the technical effect of comparing only a subset of the candidate pairs but so that the first two found candidates need not be the only candidates to be compared. Hence, it may be possible that although each available candidate pair were not examined, still a better candidate might be selected compared to the situation in which only two first candidates were compared. Thus, starting from the D1, the technical problem to be solved is how to improve the selection of spatial motion vector prediction candidates.

The problem is solved by determining a subset of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates.

When looking at D1 and considering how to solve the objective technical problem, the skilled person would not find solution to the problem.

D1 would not teach a skilled person to arrive at the claimed invention. On the contrary, D1 teaches to stop the search after two first candidates has been found.

When looking at D1 and considering how to solve the objective technical problem, the skilled person would not find a solution from D2, since D2 discloses an approach very similar to D1.

Based on previous a combination of D1 and D2 would not teach a skilled person to arrive at the claims.

Documents D3 to D5 do not disclose anything which, when combined with D1, would lead a skilled person to the solution as claimed in the amended independent claims.



Consequently, the independent claims 1, 9 and 15—18 involve an inventive step over the cited prior art in sense of Art. 56 EPC.

In view of the amendments and submissions now made, the applicant respectfully submits that the application as a whole is now in order for allowance. If the Examiner is of the opinion that further objections should be raised, then a further written communication is requested. In the event that the Examiner considers any further objections cannot be dealt with in writing, then oral proceedings via videoconference are requested in precaution under Article 116 EPC.

Yours faithfully,

Timo Pursiainen
Professional representative
Berggren Oy, Tampere, Association 477

ENCL. New claims 1—18 (pages 35—40)

Amended page 15

Pages with amendments marked



# Letter accompanying subsequently filed items

Representative:

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The document(s) listed below is (are) subsequently filed documents pertaining to the following application:

Application number	12845839.5
Applicant's or representative's reference	TP109764EP

	Description of document	Original file name	Assigned file name
1	Reply to examination report	OA 20160407 response	EXRE3-1.pdf
		TP109764EP.pdf	
2	Amended claims (clean copy)	OA 20160407 amended claims	CLMS-1.pdf
		TP109764EP (ID 892183).pdf	
3 Amended claims with annotations OA 201604		OA 20160407 amended claims M-U	CLMS-HWA-1.pdf
		TP109764EP (ID 892180).pdf	
4 Amended description (clean copy) OA 20160407 amended page		OA 20160407 amended page 15	DESC-1.pdf
		TP109764EP (ID 892187).pdf	
5 Amended description with annotations OA		OA 20160407 amended page 15 (M-U)	DESC-HWA-1.pdf
		TP109764EP (ID 892179).pdf	

		Fees	Factor applied	Fee schedule	Amount to be paid
1	15-1	122 Fee for further processing (non fee related cases)	1	255.00	255.00
		Total:		EUR	255.00

	Payment	
1	Mode of payment	Debit from deposit account
	Currency:	EUR
	The European Patent Office is hereby authorised, to debit	
	from the deposit account with the EPO any fees and costs	
	indicated on the fees page.	
	Deposit account number:	28150007
	Account holder:	Berggren Oy, Tampere
2	Refund/Reimburserment	
	Reimbursement (if any) to be made to EPO deposit account:	28150007
	Account holder:	Berggren Oy, Tampere

TP109764EP

### Signatures

Place: Tampere

Date: 20 January 2017

Signed by: Timo Pursiainen 36563
Association: Berggren Oy, Tampere

Representative name: Timo Pursiainen
Capacity: (Representative)

TP109764EP

Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit. In this example the block 606 of the previous frame 605 uses bi-directional prediction based on the block 609 of the frame preceding the frame 605 and on the block 612 succeeding the current frame 600. The temporal motion vector prediction for the current block 601 may be formed by using both the motion vectors 607, 614 or either of them.

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The operation of the prediction list modifier 363 will now be described in more detail with reference to the flow diagram of Figures 5a and 5b. The prediction list modifier 363 initializes a motion vector prediction list to default values in block 500 of Figure 5a. The prediction list modifier 363 may also initialize a list index to an initial value such as zero. Then, in block 501 the prediction list modifier checks whether there are any motion vector candidates to process. If there is at least one motion vector candidate in the predictor set for processing, the prediction list modifier 363 generates the next motion vector candidate which may be a temporal motion vector or a spatial motion vector. The comparison can be an identicality/equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

In the following, a merge process for motion information coding according to an example embodiment will be described in more detail. The encoder creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding unit or prediction unit. The motion prediction candidates may consist of several spatial motion predictions and a temporal motion prediction. The spatial candidates can be obtained from the motion information of e.g. the spatial neighbour blocks A0, A1, B0, B1, B2, whose motion information is used as spatial candidate motion predictions. The temporal motion prediction candidate may be obtained by processing the motion of a block in a frame other than the current frame. In this example embodiment, the encoder operations to construct the merge list for the spatial candidates may include the following. The operations may be carried out by the prediction list modifier 363, for example.

A maximum number of spatial motion prediction candidates to be included in the merge list may be defined. This maximum number may have been stored, for example, to the memory 58 of the apparatus 50, or to another appropriate place. It is also possible to determine the maximum number by using other means, or it may be determined in the software of the encoder of the apparatus 50.

In some embodiments the maximum number of spatial motion prediction candidates to be included in the merge list is four but in some embodiments the maximum number may be less than four or greater than four.

In this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2. The spatial motion vector prediction candidate A1 is located on the left side of the prediction unit when the encoding/decoding order is from left to right and from top to bottom of the frame, slice or another entity to be encoded/decoded. Respectively, the spatial motion vector prediction candidate B1 is located above the prediction unit. third; the spatial motion vector prediction candidate B0 is on the right side of the spatial motion vector prediction candidate A1; the spatial motion vector prediction candidate A0 is below the spatial motion vector prediction candidate A1; and the spatial motion vector prediction

Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit. In this example the block 606 of the previous frame 605 uses bi-directional prediction based on the block 609 of the frame preceding the frame 605 and on the block 612 succeeding the current frame 600. The temporal motion vector prediction for the current block 601 may be formed by using both the motion vectors 607, 614 or either of them.

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The operation of the prediction list modifier 363 will now be described in more detail with reference to the flow diagram of Figures 5a and 5b. The prediction list modifier 363 initializes a motion vector prediction list to default values in block 500 of Figure 5a. The prediction list modifier 363 may also initialize a list index to an initial value such as zero. Then, in block 501 the prediction list modifier checks whether there are any motion vector candidates to process. If there is at least one motion vector candidate in the predictor set for processing, the prediction list modifier 363 generates the next motion vector candidate which may be a temporal motion vector or a spatial motion vector. The comparison can be an identicality/equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

In the following, a merge process for motion information coding according to an example embodiment will be described in more detail. The encoder creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding unit or prediction unit. The motion prediction candidates may consist of several spatial motion predictions and a temporal motion prediction. The spatial candidates can be obtained from the motion information of e.g. the spatial neighbour blocks A0, A1, B0, B1, B2, whose motion information is used as spatial candidate motion predictions. The temporal motion prediction candidate may be obtained by processing the motion of a block in a frame other than the current frame. In this example embodiment, the encoder operations to construct the merge list for the spatial candidates may include the following. The operations may be carried out by the prediction list modifier 363, for example.

A maximum number of spatial motion prediction candidates to be included in the merge list may be defined. This maximum number may have been stored, for example, to the memory 58 of the apparatus 50, or to another appropriate place. It is also possible to determine the maximum number by using other means, or it may be determined in the software of the encoder of the apparatus 50.

In some embodiments the maximum number of spatial motion prediction candidates to be included in the merge list is four but in some embodiments the maximum number may be less than four or greater than four.

In this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2. The spatial motion vector prediction candidate A1 is located on the left side of the prediction unit when the encoding/decoding order is from left to right and from top to bottom of the frame, slice or another entity to be encoded/decoded. Respectively, the spatial motion vector prediction candidate B1 is located above the prediction unit; the spatial motion vector prediction candidate B0 is on the right side of the spatial motion vector prediction candidate A0 is below the spatial motion vector prediction candidate A1; and the spatial motion vector prediction

#### Claims:

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1. A method comprising:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

determining a limited number of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a first-spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the limited number of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected a subset of spatial motion vector predictions based on the location of the block associated with the first-spatial motion vector prediction candidate;

comparing motion information of the <u>selected first-spatial</u> motion vector prediction candidate with motion information of the <u>other spatial</u> motion vector prediction candidate in the <u>determined subset</u> of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the <u>other</u> spatial motion vector prediction candidates correspond with <u>the motion vector information of the selected spatial motion vector prediction candidate each other</u>, excluding the <u>first-selected</u> spatial motion vector prediction candidate from the merge list.

- 2. The method according to claim 1 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- 3. The method according to claim 1 or 2, comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidates of the set of spatial motion vector prediction candidates.
- 4. The method according to any of the claims 1 to 3 comprising examining whether the received block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.

The method according to any of the claims 1 to 4, further comprising
determining a maximum number of spatial motion vector prediction candidates to be included in
a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

6. The method according to any of the claims 1 to 5, wherein the motion information comprises at least a motion vector and a reference index, and the set of spatial motion vector prediction candidates comprises at least one of:

one or more neighbouring blocks to the left of the prediction unit;

one or more neighbouring blocks above the prediction unit;

one or more cornerwise neighbouring blocks of the prediction unit,

the method further comprising:

examining, if the number of spatial motion vector prediction candidates in the merge list <u>is</u> smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

<u>for if</u> the potential spatial motion vector prediction candidate <u>is located</u> on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received block of pixels is horizontally divided into a first prediction unit and a
  second prediction unit, and if the prediction unit is the second prediction unit, and the
  potential spatial motion vector prediction candidate has the same motion vectors and
  the same reference indicesessentially similar motion information than the spatial motion
  vector prediction candidate above the prediction unit;

for <u>if</u> the potential spatial motion vector prediction candidate <u>is located</u> above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indicesessentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

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for if the potential spatial motion vector prediction candidate, which is located on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indicesessentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for if the potential spatial motion vector prediction candidate, which is located below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indicesessentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for if the potential spatial motion vector prediction candidate is cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

> all the other potential spatial motion vector prediction candidates have been included in the merge list;

- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indicesessentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indicesessentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit.
- The method according to any of the claims 1 to 6 further comprising including a temporal motion prediction candidate into the merge list.
  - 8. The method according to any of the claims 1 to 7 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels.
    - 9. A method comprising: receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels;

the spatial motion vector prediction candidates being provided with motion information;

determining a limited number of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

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selecting a first-spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the limited number of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the <u>selected first-spatial</u> motion vector prediction candidate with motion information of <u>the an</u>other spatial motion vector prediction candidate—of the <u>set of spatial</u> motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the other spatial motion vector prediction candidates corresponds with the motion vector information of the selected spatial motion vector prediction candidate each other, excluding the first-selected spatial motion vector prediction candidate from the merge list.

- 10. The method according to claim 9 comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 20 11. The method according to claim 9 or 10 comprising examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
- 25
  12. The method according to any of the claims 9 to 11, further comprising determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

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	13. The method according to any of the claims 9 to 12, wherein the motion information
	comprises at least a motion vector and a reference index, and the set of spatial motion vector prediction
	candidates comprises at least one of:
	one or more neighbouring blocks to the left of the prediction unit;
5	one or more neighbouring blocks above the prediction unit;
	one or more cornerwise neighbouring blocks of the prediction unit.
	the method further comprising:
	examining, if the number of spatial motion vector prediction candidates in the merge list smaller
	than the maximum number;
10	if so, examining whether a prediction unit to which the potential spatial motion vector prediction
	candidate belongs is available for motion prediction;
	if so, performing at least one of the following:
	for if the potential spatial motion vector prediction candidate is located on the left side of the
	prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if
15	any of the following conditions are fulfilled:
	<ul> <li>the received encoded block of pixels is vertically divided into a first</li> </ul>
	prediction unit and a second prediction unit, and the prediction unit is the second
	prediction unit;
	<ul> <li>the received encoded block of pixels is horizontally divided into a first</li> </ul>
20	prediction unit and a second prediction unit, and if the prediction unit is the second
	prediction unit, and the potential spatial motion vector prediction candidate has the
	same motion vectors and the same reference indicesessentially similar motion
	information than the spatial motion vector prediction candidate above the prediction
	unit;
25	for if the potential spatial motion vector prediction candidate is located above the prediction unit,
	excluding the potential spatial motion vector prediction candidate from the merge list if any of the
	following conditions are fulfilled:
	<ul> <li>the received encoded block of pixels is horizontally divided into a first</li> </ul>
	prediction unit and a second prediction unit, and the prediction unit is the second
30	prediction unit;
	<ul> <li>the potential spatial motion vector prediction candidate has the same</li> </ul>
	motion vectors and the same reference indicesessentially similar motion information
	than the spatial motion vector prediction candidate on the left side of the prediction
	unit;
35	for if the potential spatial motion vector prediction candidate, which is located on the right side of
	the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential
	spatial motion vector prediction candidate from the merge list if the potential spatial motion vector

prediction candidate has the same motion vectors and the same reference indicesessentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;

for if the potential spatial motion vector prediction candidate, which is located below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices essentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit;

for-<u>if</u> the potential spatial motion vector prediction candidate <u>is</u> cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

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- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has <u>the same</u> motion vectors and the same reference indicesessentially similar motion information than the spatial motion vector prediction candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indicesessentially similar motion information than the spatial motion vector prediction candidate on the left side of the prediction unit.
- 14. The method according to any of the claims 9 to 13 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels.
- 15. An apparatus comprising means for performing a method according to any one of claims 1 to 8.
- 16. An apparatus comprising means for performing a method according to any one of claims 30 9 to 14.
  - 17. A computer-readable media having computer-readable instructions thereon which, when executed by one or more processors, cause one or more processors to perform a method of any one of claims 1 to 8.
  - 18. A computer-readable media having computer-readable instructions thereon which, when executed by one or more processors, cause one or more processors to perform a method of any one of claims 9 to 14.

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#### Claims:

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1. A method comprising:

receiving a block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information; determining a limited number of spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidate pairs in the set of spatial motion vector.

determining a limited number of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the limited number of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate;

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate correspond with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list.

- 2. The method according to claim 1 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- 3. The method according to claim 1 or 2, comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 4. The method according to any of the claims 1 to 3 comprising examining whether the received block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
- 35 5. The method according to any of the claims 1 to 4, further comprising determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number .

6. The method according to any of the claims 1 to 5, wherein the motion information comprises at least a motion vector and a reference index, and the set of spatial motion vector prediction candidates comprises at least one of:

one or more neighbouring blocks to the left of the prediction unit; one or more neighbouring blocks above the prediction unit; one or more cornerwise neighbouring blocks of the prediction unit,

the method further comprising:

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examining, if the number of spatial motion vector prediction candidates in the merge list is smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

 the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;

the received block of pixels is horizontally divided into a first prediction unit and a
second prediction unit, and if the prediction unit is the second prediction unit, and the
potential spatial motion vector prediction candidate has the same motion vectors and
the same reference indices than the spatial motion vector prediction candidate above the
prediction unit;

if the potential spatial motion vector prediction candidate is located above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

 the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;

 the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit;

if the potential spatial motion vector prediction candidate is located on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate above the prediction unit;

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if the potential spatial motion vector prediction candidate is located below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit;

if the potential spatial motion vector prediction candidate is cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit.
- 7. The method according to any of the claims 1 to 6 further comprising including a temporal motion prediction candidate into the merge list.
- 8. The method according to any of the claims 1 to 7 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels.
  - 9. A method comprising:

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receiving an encoded block of pixels including a prediction unit;

determining a set of spatial motion vector prediction candidates for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information;

determining a limited number of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the limited number of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate;

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparisos indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list.

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- 10. The method according to claim 9 comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 10 11. The method according to claim 9 or 10 comprising examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
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  12. The method according to any of the claims 9 to 11, further comprising determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number.

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13. The method according to any of the claims 9 to 12, wherein the motion information comprises at least a motion vector and a reference index, and the set of spatial motion vector prediction candidates comprises at least one of:

one or more neighbouring blocks to the left of the prediction unit;

one or more neighbouring blocks above the prediction unit;

one or more cornerwise neighbouring blocks of the prediction unit,

the method further comprising:

examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number;

30 if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

 the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit; the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate above the prediction unit;

prediction candidate above the

if the potential spatial motion vector prediction candidate is located above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

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 the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;

 the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit;

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if the potential spatial motion vector prediction candidate, which is located on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate above the prediction unit;

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if the potential spatial motion vector prediction candidate, which is located below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit;

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if the potential spatial motion vector prediction candidate is cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

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- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate above the prediction unit;
- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit.

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- 14. The method according to any of the claims 9 to 13 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels.
- 5 15. An apparatus comprising means for performing a method according to any one of claims 1 to 8.
  - 16. An apparatus comprising means for performing a method according to any one of claims 9 to 14.

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- 17. A computer-readable media having computer-readable instructions thereon which, when executed by one or more processors, cause one or more processors to perform a method of any one of claims 1 to 8.
- 15 18. A computer-readable media having computer-readable instructions thereon which, when executed by one or more processors, cause one or more processors to perform a method of any one of claims 9 to 14.



# **Acknowledgement of receipt**

We hereby acknowledge receipt of the following subsequently filed document(s):

Submission number 4971246

Application number EP12845839.5

Date of receipt 20 January 2017

Receiving Office European Patent Office, The Hague

Your reference TP109764EP

Applicant All applicants as on file

Documents submitted package-data.xml

epf1038.pdf (2 p.)

CLMS-1.pdf\OA 20160407 amended claims TP109764EP (ID 892183).pdf (6 p.)

DESC-1.pdf\OA 20160407 amended page 15 TP109764EP (ID 892187).pdf (1 p.)

ep-sfd-request.xml

EXRE3-1.pdf\OA 20160407 response TP109764EP.pdf (8 p.)

CLMS-HWA-1.pdf\OA 20160407 amended claims M-U TP109764EP (ID 892180).pdf (6 p.)

DESC-HWA-1.pdf\OA 20160407 amended page 15 (M-U) TP109764EP (ID 892179).pdf (1 p.)

Submitted by CN=Timo Pursiainen 36563

Method of submission Online

Date and time 20 January 2017, 12:31 (CET) receipt generated

Message Digest 5E:B2:89:3F:CD:1C:0A:7A:DB:0E:9A:DB:37:EB:DD:33:C3:03:12:13

#### Correction by the EPO of errors in debit instructions filed by eOLF

Errors in debit instructions filed by eOLF that are caused by the editing of Form 1038E entries or the continued use of outdated software (all forms) may be corrected automatically by the EPO, leaving the payment date unchanged (see decision T 152/82,

Acknowledgement of receipt - application number EP12845839.5

Page 1 of 2

OJ EPO 1984, 301 and point 6.3 ff ADA, Supplement to OJ EPO 10/2007).

/European Patent Office/



European Patent Office 80298 MUNICH **GERMANY** 

Questions about this communication? Contact Customer Services at www.epo.org/contact



Berggren Oy, Tampere Visiokatu 1 33720 Tampere **FINLANDE** 

Date	
	03.02.17

	Reference TP109764EP	Application No. /Patent No. 12845839.5 - 1905 / 2774375
- [	Applicant/Proprietor	
	Nokia Technologies Oy	

# Decision on the request for further processing under Rule 135(3) EPC

The request for further processing received on 20.01.17 has been granted (Art. 121(2) EPC).

	The legal consequence notified in the communication dated 23.11.16 that the application was deemed to be withdrawn shall not ensue.
	The legal consequence shall not ensue.
	The refusal of the application dated shall not ensue.
	The legal consequence notified in the communication dated that the particular loss of rights occurred shall not ensue.
	The time limit set in the communication dated is deemed to have been met.
The	procedure shall be continued/the particular loss of rights shall not ensue (Art. 121(3) EPC).

## Important information in case of a PACE request

If a PACE request has been validly filed for the application, the applicant is herewith informed that the application is removed from the PACE programme. A second PACE request for that application during the same stage of the procedure will not be processed (see OJ EPO 2015, A93, point 4).

For the Examining Division



Registered letter EPO Form 2010 02.16 (NFS) (27/01/17)

RFPR4=3

page 1 of 1



European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



Berggren Oy, Tampere Visiokatu 1 33720 Tampere FINLANDE

Date		
	14.02.19	

Reference TP109764EP	Application No./Patent No. 12845839.5 - 1209 / 2774375
Applicant/Proprietor	
Nokia Technologies Oy	

#### **Expected start of examination**

Please be informed that according to current work planning, substantive examination of the above-mentioned application is expected to begin on or after 15.04.19

If the European patent application is withdrawn, refused or deemed to be withdrawn before substantive examination has begun, the examination fee is refunded at a rate of 100% (Article 11(a) RFees as amended with effect from 1 July 2016).

For the Examining Division





Application No.: 12845839.5

Substantive examination has started on 29.05.19 (see Notice from the European Patent Office dated 29.01.2013, OJ EPO 2013, 153).

For the Examining Division



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Substantive Examiner Name: Mayer, Claudia Tel: +31 70 340 - 8438

Application No.	Ref.	Date	
12 845 839.5 - 1209	TP109764EP	06.06.2019	
Applicant Nokia Technologies Oy			

#### Communication pursuant to Article 94(3) EPC

The examination of the above-identified application has revealed that it does not meet the requirements of the European Patent Convention for the reasons enclosed herewith. If the deficiencies indicated are not rectified the application may be refused pursuant to Article 97(2) EPC.

You are invited to file your observations and insofar as the deficiencies are such as to be rectifiable, to correct the indicated deficiencies within a period

#### of 4 months

from the notification of this communication, this period being computed in accordance with Rules 126(2) and 131(2) and (4) EPC. One set of amendments to the description, claims and drawings is to be filed within the said period on separate sheets (R. 50(1) EPC).

If filing amendments, you must identify them and indicate the basis for them in the application as filed. Failure to meet either requirement may lead to a communication from the Examining Division requesting that you correct this deficiency (R. 137(4) EPC).

In case you withdraw the application within the above-mentioned period, the examination fee will be refunded at a rate of 50% (Art. 11 (b) RFees).

Failure to comply with this invitation in due time will result in the application being deemed to be withdrawn (Art. 94(4) EPC).

Registered letter

EPO Form 2001 11.16TRI



Mayer, Claudia Primary Examiner For the Examining Division

Enclosure(s): 7 page/s reasons (Form 2906)

Datum Blatt Anmelde-Nr:

Date 06.06.2019 Sheet 1 Application No: 12 845 839.5

Date Feuille Demande n°:

The examination is being carried out on the following application documents

## **Description, Pages**

1-14, 16-34 as published

15 filed in electronic form on 20-01-2017

#### Claims, Numbers

1-18 filed in electronic form on 20-01-2017

#### Drawings, Sheets

1/13-13/13 as published

- The amendments filed with the letter dated 20.01.2017 introduce subjectmatter which extends beyond the content of the application as filed, contrary to Article 123(2) EPC.
- 1.1 Claims 1 and 13 have been amended to recite

"the set of spatial motion vector prediction candidates comprises at least one of:

- one or more neighbouring blocks to the left of the prediction unit; one or more neighbouring blocks above the prediction unit; one or more cornerwise neighbouring blocks of the prediction unit".
- 1.2 The term "cornerwise neighbouring blocks" appears to refer to the neighbouring blocks A0, B0, B2 as shown in fig. 9 of the application.

However, claims 1 and 13 further define "if the potential spatial motion vector prediction candidate is cornerwise neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates have been included in the merge list;
- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate above the prediction unit;

Datum
Date 06.06.2019
Date

Blatt Sheet 2 Feuille Anmelde-Nr:
Application No:

Application No: 12 845 839.5

Demande n°:

- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit".

According to the description, p. 16, l. 26 - p. 17, l. 31, and figures 5b, 8b, these conditions apply to block B2 only, and not to blocks A0 and B0.

- 1.3 The amendment therefore introduces subject-matter which extends beyond the content of the application as filed (Article 123(2) EPC).
- 2 The application does not meet the requirements of Article 84 EPC.
- 2.1 Claims 1 and 9 only very vaguely define "spatial motion vector predictor candidates" without specifying with which neighbouring blocks these spatial motion vector predictor candidates are associated. The description, p. 14, l. 5-8, p. 15, l. 32 p. 16, l. 4, and fig. 9, discloses a specific arrangement of spatially neighbouring blocks A0, A1, B0, B1, B2 (below-left, left, above-right, above, above-left), and no other arrangement of spatially neighbouring blocks appears to be foreseen. Claims 1 and 9 are therefore not supported by the description as required by Article 84 EPC.
- Claims 1 and 9 define "determining a limited number of spatial motion vector predictor candidate pairs for comparison". While formal support may be seen in the description, p. 4, l. 12-14, it is doubtful whether this disclosure taken alone is sufficiently clear and complete for the invention to be carried out by a person skilled in the art (Article 83 EPC, Guidelines F-IV 6.4). Sufficient disclosure for an embodiment of the invention can only be seen in the description, p. 16, l. 26 p. 17, l. 31, and figures 5a, 5b, 8a, 8b, which discloses the only detailed example of carrying out the invention. Claims 1 and 9 are therefore not supported by the description as required by Article 84 EPC.
- 2.3 Claims 4, 6, 11 and 13 very generally define a "first prediction unit" and a "second prediction unit" into which the block of pixels is divided. However, from the description, p. 18, l. 27-29, it is clear that these are the "first prediction unit" and "second prediction unit" in coding/decoding order. Claims 4, 6, 11 and 13 are therefore not supported by the description as required by Article 84 EPC.

Datum		Blatt	Anmelde-Nr:
Date	06.06.2019	Sheet 3	Application No: 12 845 839.5
Date		Feuille	Demande n°:

- 2.4 Claims 6 and 13 refer to a "maximum number", which however is only defined in claims 5 and 12, respectively. The definition of the subject-matter of claims 6 and 13 as depending on any of claims 1-4 and 9-11, respectively, is therefore rendered unclear (Article 84 EPC).
- 2.5 The feature of "selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels" is defined in dependent claim 8. However, this feature is not presented as optional in the description, p. 22, l. 19-20, and figure 4b, and should therefore be included in claim 1 and, mutatis mutandis, in claim 9.
- The present application does not meet the requirements of Article 52(1) EPC because the subject-matter of claims 1, 9 and 15-18 is not new within the meaning of Article 54(1) and (2) EPC.
- 3.1 With respect to the applicant's arguments presented is his letter dated 20.01.2017 concerning the disclosure of D1 the following is noted. Claims 1 and 9 define "determining a limited number of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates". This does not exclude the case of selecting the candidate pair S<sub>0</sub>-S<sub>1</sub>, S<sub>0</sub> and S<sub>1</sub> being the first two available spatial motion vector prediction candidates for comparison, as disclosed by D1 (D1: section 2.2.1, table 2, right hand column).

Therefore, using the following mapping between the features of the claim and D1,

- "set of spatial motion vector prediction candidates": set A, B, C, D, E,
- "all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates": A-A, A-B, A-C, A-D, A-E, B-C, B-D, B-E, C-D, C-E, D-E,
- "a limited number of spatial motion vector prediction candidate pairs for comparison": S<sub>0</sub>-S<sub>1</sub>,

D1 is considered to disclose the subject-matter of claims 1 and 9, as detailed in the following.

3.2 Document D1 explicitly refers to document D2 (see reference [1] of D1). The teaching of D2 is therefore regarded as incorporated into document D1 (see Guidelines, G-IV, 8).

 Datum
 Blatt
 Anmelde-Nr:

 Date
 0 6 . 0 6 . 2 0 1 9
 Sheet
 4
 Application No:
 1 2 8 4 5 8 3 9 . 5

 Date
 Feuille
 Demande no:

#### 3.3 Document D1 discloses:

A method comprising:

receiving a block of pixels including a prediction unit; determining a set of spatial motion vector prediction candidates for the block of pixels (D1: section 2.2.1, table 2, right hand column. The set A, B, C, D, E is a "set of spatial motion vector prediction candidates"); the spatial motion vector prediction candidates being provided with motion information; determining a limited number of spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates (D1: section 2.2.1, table 2, right hand column. D1 discloses selecting the first two available spatial candidates S<sub>0</sub> and S<sub>1</sub> from the set of candidates A, B, C, D, E. The pair S<sub>0</sub>-S<sub>1</sub> that is compared in the removal process is "a limited number of spatial motion vector prediction candidate pairs for comparison" among "all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates" A-A, A-B, A-C, A-D, A-E, B-C, B-D, B-E, C-D, C-E, D-E);

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the limited number of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate;

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate correspond with the motion vector information of the selected spatial motion vector prediction

EPO Form 2906 01.91TRI

Datum		Blatt		Anmelde-Nr:			
Date	06.06.2019	Sheet	5	Application No:	12	845	839.5
Date		Feuille		Demande n°:			

candidate, excluding the selected spatial motion vector prediction candidate from the merge list (D1: section 2.2.1, table 2, right hand column).

The subject-matter of claim 1 is therefore not new (Article 54(1) and (2) EPC).

- 3.4 The same objection as raised against claim 1 in section 3.3 of this communication also applies, mutatis mutandis, to claims 9 and 15-18 since their subject-matter corresponds to the subject-matter of claim 1.

  The subject-matter of claims 9 and 15-18 is therefore not new (Article 54(1) and (2) EPC).
- Dependent claims 2-8 and 10-14 do not appear to contain any additional features which, in combination with the features of any claim to which they refer, meet the requirements of the EPC with respect to novelty (Article 54(1) and (2) EPC) and/or inventive step (Article 56 EPC).
- 4.1 Claim 2 (lack of novelty, Article 54(1) and (2) EPC): D1: section 2.2.1, table 2, second row (A, B, C, D, E).
- 4.2 Claims 3 and 10 (lack of novelty, Article 54(1) and (2) EPC): D1: section 2.2.1, table 2, last row ( $S_0$  vs  $S_1$ ).
- 4.3 Claims 4 and 11 (lack of novelty, Article 54(1) and (2) EPC):
  D2: section 8.4.2.1.4 (see also D3: section 1, fig. 1, which provides a summary the procedure of HM3.0 in case of 2NxN, Nx2N PU mode ("According to the current HM3.0, motion information of the candidate that's located inside the left or upper neighboring PU is always not to be used [...].")); see also section 3.2 of this communication.
- 4.4 Claims 5 and 12 (lack of novelty, Article 54(1) and (2) EPC): D1: section 2.2.1.
- 4.5 Claim 7 (lack of novelty, Article 54(1) and (2) EPC): D1: section 2.2.1, table 2.
- 4.6 Claims 8 and 14 (lack of novelty, Article 54(1) and (2) EPC):

  D2: section 8.4.2.1.3; see also section 3.2 of this communication.
- In order to overcome the above objections, the following amendments are suggested:

- Incorporation of claims 5-6 and 8 and 12-13 and 8 into claims 1 and 9, repectively to define the embodiment according to the description, p. 16, I. 26 - p. 17, I. 31, and figures 5a, 5b, 8a, 8b (see sections 2.2 and 2.4-2.5a bove).
- Definition of the location of the spatially neighbouring blocks in accordance with the description, p. 14, l. 5-8, p. 15, l. 32 - p. 16, l. 4, fig. 9, specifying a left neighbouring block A1, above neighbouring block B1, above-right neighbouring block B0, below-left neighbouring block A0 and above-left neighbouring block B2 (see sections 1 and 2.1).
- Definition that the "first prediction unit" and "second prediction unit" are the "first prediction unit" and "second prediction unit" in coding/decoding order according to the description, p. 18, l. 27-29 (see section 2.3) above).

It is noted that this suggestion is only for assisting the applicant in his decision on how to proceed. It in no way precludes consideration of alternative solutions submitted by the applicant. The responsibility for determining the text of the application (Article 113(2) EPC) and in particular for defining the subject-matter for which protection is sought remains with the applicant.

- 6 The applicant is invited to file new claims that take account of the above comments.
- 6.1 The attention of the applicant is drawn to the fact that the application may not be amended in such a way that it contains subject-matter which extends beyond the content of the application as filed (Article 123(2) EPC).
- 6.2 In order to facilitate the examination of the conformity of the amended application with the requirements of Article 123(2) EPC, the applicant should clearly identify the amendments made, irrespective of whether they concern amendments by addition, replacement or deletion, and indicate the passages of the application as filed on which these amendments are based.
- 6.3 When filing amended claims, the applicant is requested to comment on novelty and inventive step, in particular by explaining the invention as claimed in terms of problem-solution with regard to the closest prior art.

Datum		Blatt	Anmelde-Nr:
Date	06.06.2019	Sheet 7	Application No: 12 845 839.5
Date		Feuille	Demande n°:

- Independent claims should be drafted in accordance with Rule 43(1) EPC, which in the present case would be appropriate, with those features known in combination from the prior art being placed in the preamble (Rule 43(1)(a) EPC) and the remaining features being included in the characterising part (Rule 43(1)(b) EPC).
- To meet the requirements of Rule 42(1)(b) EPC, documents D1-D3 should be identified in the description and the relevant background art disclosed therein should be briefly discussed.

# NOKIA

8 July 2019

European Patent Office Ms. C. Weber FO Digitisation Support MU Direktorat 1342 Bayerstraße 34 80335 Munich 24. Juli 2019

ZPL

# **Recordation of Address Changes**

Nokia

Nokia Solutions and Networks GmbH & Co. KG

Office Address:

Lorenzstrasse 10

70435 Stuttgart

Germany

Dear Ms. Weber,

The offices of Nokia Technologies Oy and Nokia Solutions and Networks Oy (pls. see attached the excerpt from the commercial register) moved. Therefore, please be so kind to record the applicant's address changes as follows:

Nokia Technologies Oy Karakaari 7 02610 Espoo Finland

Sitz der Gesellschaft: München / Registered office: Munich
Registergericht: München / Commercial registry: Munich, HRA 88537
WEEE-Reg.-Nr.: DE 52984304
Persönlich haftende Gesellschafterin / General Partner: Nokia Solutions and Networks
Management GmbH
Geschäftsleitung / Board of Directors:
Dr. Wolfgang Hackenberg, Ralf Niederberger
Vorsitzender des Aufsichtsrats / Chairman of supervisory board: Hans-Jürgen Bill
Sitz der Gesellschaft: München / Registered office: Munich
Registergericht: München / Commercial re-

Nokia Solutions and Networks Oy Karakaari 7 02610 Espoo Finland

company.nokia.com

gistry: Munich, HRB 163416

© Nokia 2019

Page 1 of 2



We thank you for your assistance in this matter.

Best regards,

Emmanuelle WETZEL
Deputy Head Patenting

Domencio MENZIETTI
Senior IP Corporate Counsel

Enc.

Finnish Patent and Registration Office Trade Register FI-00091 PRH, FINLAND tel. +358 (0)29 509 5000

Page: 1 (3)

Business ID: 2655044-9

Date and time of creating the document: 01.07.2019

13:36:42

# TRANSLATION OF THE EXTRACT FROM THE TRADE REGISTER

01.07.2019 13:36:41 the details in the register

**Identifiers** 

registry@prh.fi

Name

概念 出家

Nokia Technologies Oy

Business ID

2655044-9

Entered in the register

26.11.2014

Company type

Limited company

Contact details:

Postal address

PL 226 00045 Nokia Group

Street address

Karakaari 7 02610 Espoo

Telephone

010 44 88 000

Home page address

www.nokia.com

Name details

Name

Nokia Technologies Oy

Parallel company name

Nokia Technologies Ltd

Registered office

Helsinki

Share capital

Share capital

2.500,00 €

Number of shares

100 pcs

Financial period

Financial period

01.01 - 31.12

Financial statements

The last financial statements submitted 01.01.2018 - 31.12.2018

Representation

Statutory representation:

Under the law, the Board of Directors represents the company.

Representation based on position:

By the Chair of the Board and the Managing Director, each on their own, and by any two Board members jointly

Page: 2 (3) Business ID: 2655044-9

surname, first name(s) / company name	date of birth / identity code	citizenship	
Lukander, Jenni Karoliina	20.05.1974	Citizen of Finland	
Hakoranta, Eeva Kaarina	21.07.1964	Citizen of Finland	
James, Ulla Maaret	13.08.1960	Citizen of Finland	
Uskela, Sami Tapio	20.06.1975	Citizen of Finland	
Ainasto, Riikka-Leena	14.11.1975	Citizen of Finland	
Alvinen, Jari Tapio	21.08.1961	Citizen of Finland	
Anttila, Mika Untamo	27.07.1962	Citizen of Finland	
Gray, Robert Martin	20.11.1969	Citizen of the United States	
Hakoranta, Eeva Kaarina	21.07.1964	Citizen of Finland	
Honkasalo, Harri Tapani	01.12.1961	Citizen of Finland	
Huopaniemi, Jyri Petteri	08.08.1968	Citizen of Finland	
Hyvärinen, Leena Johanna	19.02.1982	Citizen of Finland	
Laakso, Janne Tapio	07.11.1973	Citizen of Finland	
Leväjärvi, Merja Riitta	01.02.1977	Citizen of Finland	
London, Sonja Aurora	08.02.1975	Citizen of Finland	
Lukander, Jenni Karoliina	20.05.1974	Citizen of Finland	
Martikainen, Piia Susanna	17.04.1976	Citizen of Finland	
Melin, Paul Henry Kristian	02.03.1973	Citizen of Finland	
Möttönen, Aura Helena	23.09.1978	Citizen of Finland	
Nihtilä, Jukka Rainer	11.04.1964	Citizen of Finland	
Nuortila, Hanna-Liisa	27.04.1959	Citizen of Finland	
Puranen, Kari Kalevi	06.01.1957	Citizen of Finland	
Riekkinen, Sannamari	04.12.1966	Citizen of Finland	
Rouhesmaa, Antti Jussi	27.07.1965	Citizen of Finland	
Sandström, Jan Mikael	02.11.1962	Citizen of Finland	
Savikurki, Hanna Annukka	27.02.1968	Citizen of Finland	
	company name Lukander, Jenni Karoliina  Hakoranta, Eeva Kaarina  James, Ulla Maaret  Uskela, Sami Tapio  Ainasto, Riikka-Leena Alvinen, Jari Tapio Anttila, Mika Untamo Gray, Robert Martin  Hakoranta, Eeva Kaarina Honkasalo, Harri Tapani Huopaniemi, Jyri Petteri Hyvärinen, Leena Johanna Laakso, Janne Tapio Leväjärvi, Merja Riitta London, Sonja Aurora Lukander, Jenni Karoliina Martikainen, Piia Susanna Melin, Paul Henry Kristian Möttönen, Aura Helena Nihtilä, Jukka Rainer Nuortila, Hanna-Liisa Puranen, Kari Kalevi Riekkinen, Sannamari Rouhesmaa, Antti Jussi Sandström, Jan Mikael	company mame         identity code           Lukander, Jenni Karoliina         20.05.1974           Hakoranta, Eeva Kaarina         21.07.1964           James, Ulla Maaret         13.08.1960           Uskela, Sami Tapio         20.06.1975           Ainasto, Riikka-Leena         14.11.1975           Alvinen, Jari Tapio         21.08.1961           Anttila, Mika Untamo         27.07.1962           Gray, Robert Martin         20.11.1969           Hakoranta, Eeva Kaarina         21.07.1964           Honkasalo, Harri Tapani         01.12.1961           Huopaniemi, Jyri Petteri         08.08.1968           Hyvärinen, Leena Johanna         19.02.1982           Laakso, Janne Tapio         07.11.1973           Leväjärvi, Merja Riitta         01.02.1977           London, Sonja Aurora         08.02.1975           Lukander, Jenni Karoliina         20.05.1974           Martikainen, Piia Susanna         17.04.1976           Melin, Paul Henry Kristian         02.03.1973           Nihtilä, Jukka Rainer         11.04.1964           Nuortila, Hanna-Liisa         27.04.1959           Puranen, Kari Kalevi         06.01.1957           Riekkinen, Sannamari         04.12.1966           Rouhesmaa, Antti Jussi	

R . Y

Page: 3 (3)

Business ID: 2655044-9

Holder of a procuration	Soininen, Teemu Pekka	25.02.1972	Citizen of Finland
Holder of a procuration	Taponen, Teo Eerik	30.01.1972	Citizen of Finland
Holder of a procuration	Tiusanen, Mikko Tuomas	27.10.1977	Citizen of Finland
Holder of a procuration	Vaquer, Jérémie	03.05.1975	Citizen of France

Representation by the holders of a procuration as follows: by two jointly, or by any of them jointly with any Board member.

	400 00 00 00 00 00 00 00 00 00 00 00 00	The second secon	
Auditor	PricewaterhouseCoopers Oy	0486406-8	
Auditor with principal responsibility	Karppinen, Pasi Antero	27.07.1971	Citizen of Finland

# Name history

Nokia Technologies Oy 26.11.2014 -

The information has been printed automatically from the Trade Register system. Printed on headed notepaper of the Finnish Patent and Registration Office, the document is original without a signature.

Finnish Patent and Registration Office Trade Register FI-00091 PRH, FINLAND tel. +358 (0)29 509 5000 registry@prh.fi

Page: 1 (3) Business ID: 2058430-6

Date and time of creating the document: 01.07.2019

13:37:45

# TRANSLATION OF THE EXTRACT FROM THE TRADE REGISTER

01.07.2019 13:37:44 the details in the register

Identifiers

Name

Nokia Solutions and Networks Oy

Business ID

2058430-6

Entered in the register

13.09.2006

Company type

Limited company

Contact details:

Postal address

PL 226 00045 Nokia Group

Street address

Karakaari 7 02610 Espoo

Name details

Name

Nokia Solutions and Networks Oy

Auxiliary company name

**NSN** Finland

Registered office

Helsinki

Share capital

Share capital

100.000.000,00 €

Number of shares

200 pcs

Financial period

Financial period

01.01 - 31.12

Financial statements

The last financial statements submitted

01.01.2018 - 31.12.2018

Representation

Statutory representation:

Under the law, the Board of Directors represents the company.

Representation based on position:

By the Board member and the Managing Director jointly, and by any two Board members jointly

Persons

role

surname, first name(s) /

date of birth /

citizenship

company name identity code

Page: 2 (3) Business ID: 2058430-6

Chair of the Board of Directors	Uitto, Tommi Juhani	28.08.1969	Citizen of Finland
Member of the Board of Directors	Rönnberg, Peter Mikael	19.07.1959	Citizen of Finland
Member of the Board of Directors	Salovaara, Jani Johannes	07.04.1979	Citizen of Finland
Holder of a procuration	Artamo, Atte Niilo	20.11.1972	Citizen of Finland
Holder of a procuration	Dalgleish, John William	15.03.1959	Citizen of Britain
Holder of a procuration	French, Barry Hall	23.12.1963	Citizen of the United States
Holder of a procuration	Hiulumäki, Rami Tapani	12.03.1980	Citizen of Finland
Holder of a procuration	Hovi, Juha-Pekka Kalevi	28.02.1968	Citizen of Finland
Holder of a procuration	Hämäläinen, Markku Niilo Vihtori	01.06.1966	Citizen of Finland
Holder of a procuration	Hänninen, Jari Ilmari	21.02.1959	Citizen of Finland
Holder of a procuration	Junttila, Miika Samuli	28.09.1982	Citizen of Finland
Holder of a procuration	Kontu, Jonna Liisa	31.12.1974	Citizen of Finland
Holder of a procuration	Korhonen, Miika Matias Einari	24.11.1988	Citizen of Finland
Holder of a procuration	Koskela, Antti Akseli	02.03.1971	Citizen of Finland
Holder of a procuration	Laaksonen, Nina Maria Mikaela	23.04.1974	Citizen of Finland
Holder of a procuration	Lehtonen, Tomi Kalevi	18.02.1963	Citizen of Finland
Holder of a procuration	Malila, Nina Irene	11.02.1963	Citizen of Finland
Holder of a procuration	Mandelin, Johanna Maria	15.02.1985	Citizen of Finland
Holder of a procuration	Meklin, Miikka Vilho	26.03.1971	Citizen of Finland
Holder of a procuration	Mentula, Marika Susanna	28.08.1974	Citizen of Finland
Holder of a procuration	Niinimäki, Esa Ville Ilmari	15.09.1976	Citizen of Finland
Holder of a procuration	Nordenswan, Carl Mikael	15.03.1985	Citizen of Finland
Holder of a procuration	Nyberg, Ulla Maria	16.04.1980	Citizen of Finland
Holder of a procuration	O'Flaherty, Bláthnaid Aine	03.03.1972	Citizen of Ireland
Holder of a procuration	Ohvo, Timo Olavi	04.05.1978	Citizen of Finland
Holder of a procuration	Rantanen, Marko Petteri	23.12.1969	Citizen of Finland
Holder of a procuration	Rönnberg, Peter Mikael	19.07.1959	Citizen of Finland
Holder of a procuration	Salovaara, Jani Johannes	07.04.1979	Citizen of Finland

Page: 3 (3) Business ID: 2058430-6

Holder of a procuration	Sissala, Tommy Kalevi	01.08.1967	Citizen of Finland
Holder of a procuration	Sulander, Jaakko Akseli	28.05.1976	Citizen of Finland
Holder of a procuration	Sundström, Pekka Valtteri	21.03.1968	Citizen of Finland
Holder of a procuration	Suri, Rajeev	10.10.1967	Citizen of Singapore
Holder of a procuration	Talonpoika, Pia Elena	10.03.1967	Citizen of Finland
Holder of a procuration	Talvimäki, Kirsi Marjukka Irena	22.04.1977	Citizen of Finland
Holder of a procuration	Tieaho, Riikka Maria	24.12.1975	Citizen of Finland
Holder of a procuration	Tolonen, Joose Henrik	10.10.1985	Citizen of Finland
Holder of a procuration	Turunen, Jouni Antero	27.09.1969	Citizen of Finland
Holder of a procuration	Vaquer, Jérémie	03.05.1975	Citizen of France
Holder of a procuration	Viitanen, Katherine Ingrid	08.08.1975	Citizen of Australia
Holder of a procuration	Virtanen, Pasi Tapani	15.09.1972	Citizen of Finland

Representation by the holders of a procuration as follows: by two jointly, or by any of them jointly with any Board member.

Auditor	PricewaterhouseCoopers Oy	0486406-8	
Auditor with principal responsibility	Karppinen, Pasi Antero	27.07.1971	Citizen of Finland

# Name history

Nokia Solutions and Networks Oy 19.08.2013 -

Nokia Siemens Networks Oy 01.04.2007 - 18.08.2013

NSN Finland Oy 13.09.2006 - 31.03.2007

The information has been printed automatically from the Trade Register system. Printed on headed notepaper of the Finnish Patent and Registration Office, the document is original without a signature.



European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



Berggren Oy, Tampere Visiokatu 1 33720 Tampere **FINLANDE** 

Date		
	31.07.19	

Reference TP109764EP	Application No /Patent No. 12845839.5 - 1209 / 2774375
Applicant/Proprietor	
Nokia Technologies Oy	

#### Communication

concerning the registration of amendments relating to

a transfer (R. 22 and 85 EPC)

entries pertaining to the applicant / the proprietor (R. 143(1)(f) EPC)

As requested, the entries pertaining to the applicant of the above-mentioned European patent application / to the proprietor of the above-mentioned European patent have been amended to the following:

> AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR Nokia Technologies Oy Karakaari 7 02610 Espoo/FI

The registration of the changes has taken effect on 24.07.19.

In the case of a published application / a patent, the change will be recorded in the European Patent Register and published in the European Patent Bulletin (Section I.12 / II.12).

Your attention is drawn to the fact that, in the case of the registration of a transfer, any automatic debit order only ceases to be effective from the date of its express revocation (cf. point 14(c) of the Arrangements for the automatic debiting procedure, Supplementary publication 5 - OJ EPO 2017).

Receiving Section / For the Examining Division / For the Opposition Division / For the Legal Division \*)



\*) See note.

EB21171 EPO Form 2544 03.14 (26/07/19) page 1 of 2

#### Note

This communication is issued by/for the department with whom responsibility lies. The Legal Division is responsible for the registration of transfers, changes of name (Articles 71, 72 and 74 EPC and Rules 22 and 85 EPC) as well as for the rectification of the designation of the inventor (Rule 21 EPC) (see Decision of the President of the EPO, OJ EPO 2013, 600). In all other cases, the Receiving Section, the Examining Division or the Opposition Division is responsible, depending on the stage in proceedings.



# 7 October 2019 VIA EPOLINE

European Patent Office D-80298 MÜNCHEN Germany

Our ref.: TP109764EP TPU/EIP

EUROPEAN PATENT APPLICATION NO. 12845839.5 APPLICANT: NOKIA TECHNOLOGIES OY

Dear Sirs,

We hereby request the European Patent Office to grant an extension of two months to the period for filing a response to the communication pursuant to Art. 94(3) EPC, dated 6 June 2019.

Yours very truly,

Timo Pursiainen BERGGREN OY (477) Professional representative



# Letter accompanying subsequently filed items

Representative:

Berggren Oy, Tampere 477 Visiokatu 1 33720 Tampere Finland

Phone: +358-10 227 2000 Fax: +358-10 227 2662 E-mail: email@berggren.fi 80298 Munich Germany

Tel. +49(0)89 2399-0 | Fax -4465

P.O. Box 5818 2280 HV Rijswijk Netherlands

Tel. +31(0)70 340-2040 | Fax -3016

10958 Berlin Germany

Tel. +49(0)30 25901-0 | Fax -840

The document(s) listed below is (	are) subsequently filed documents p	pertaining to the following application:
-----------------------------------	-------------------------------------	--

Application number	12845839.5
Applicant's or representative's reference	TP109764EP

	Description of document	Original file name	Assigned file name
1	Request for extension of time limit during	OA 20190606 extension request	EXRE92-1.pdf
	examination procedure	TP109764EP (ID 4672685).pdf	

	Payment	
1	Method of payment	Not specified

### Signatures

Place: Tampere
Date: 09 October 2019

Signed by: Timo Pursiainen 36563
Association: Berggren Oy, Tampere

Representative name: Timo Pursiainen
Capacity: (Representative)

TP109764EP



# **Acknowledgement of receipt**

We hereby acknowledge	receipt of the following	subsequently	v filed document(s):

Submission number	7937033	
Application number	EP12845839.5	
Date of receipt	09 October 2019	
Receiving Office	European Patent Office, The Hague	
Your reference	TP109764EP	
Applicant	All applicants as on file	
Documents submitted	package-data.xml	ep-sfd-request.xml
	epf1038.pdf (1 p.)	EXRE92-1.pdf\OA 20190606 extension request TP109764EP (ID 4672685).pdf (1 p.)
Submitted by	CN=Timo Pursiainen 36563	
Method of submission	Online	
Date and time receipt generated	09 October 2019, 11:03 (CEST)	
Message Digest	E4:87:37:3A:A0:A7:EC:74:ED:16:23:F6:3	B:C7:D5:DD:05:32:DF:7F

# Correction by the EPO of errors in debit instructions filed by eOLF

Errors in debit instructions filed by eOLF that are caused by the editing of Form 1038E entries or the continued use of outdated software (all forms) may be corrected automatically by the EPO, leaving the payment date unchanged (see decision T 152/82, OJ EPO 1984, 301 and point 6.3 ff ADA, Supplement to OJ EPO 10/2007).

/European Patent Office/

European Patent Office 80298 MUNICH GERMANY

Questions about this communication?
Contact Customer Services at www.epo.org/contact



Berggren Oy, Tampere Visiokatu 1 33720 Tampere FINLANDE

Date		
	16.10.19	
l		

Reference TP109764EP	Application No./Patent No. 12845839.5 - 1209 / 2774375
Applicant/Proprietor	
Nokia Technologies Oy	

#### Extension of time limit pursuant to Rule 132(2) EPC

Examination procedure

With reference to your request, the time limit for replying to the communication pursuant to Article 94(3) EPC dated 06.06.19 has been extended

by 2 months

to a total of 6 months

from the date of notification of the above-mentioned communication.

Please note: To the extent that your request exceeded the above extension, your request has been refused.

#### Note

The granting of extensions to time limits is governed by the Implementing Regulations to the EPC and the Guidelines for Examination in the EPO, E-VIII, 1.6. A request for extension, which would result in the total period set exceeding six months, must be reasoned and supported by evidence.

If no reply to the communication is received in due time, the European patent application will be deemed to be withdrawn (Art. 94(4) EPC).

Important information in case of a PACE request
If a PACE request has been validly filed for the application, the applicant is herewith informed that with the grant of the request for extension of time limit the application is removed from the PACE programme. A second PACE request for that application during the same stage of the procedure will not be processed (see OJ EPO 2015, A93, point 4).

# For the Examining Division





# 16 December 2019 **Via Epoline**

European Patent Office D-80298 München

Our ref: TP109764EP

Re: Communication pursuant to Article 94(3) EPC

EUROPEAN PATENT APPLICATION NO. 12845839.5 - 1209 "METHOD FOR VIDEO CODING AND AN APPARATUS"

APPLICANT: NOKIA TECHNOLOGIES OY

Dear Sirs

We refer to the official Communication pursuant to Art. 94(3) dated 6 June 2019 and wish to submit the following in support of the above European Patent Application.

#### **Amendments**

The enclosed new set of claims 1—13 replaces the claims 1—20 presently on file. The enclosed pages 4 and 4a of the description replace the page 4 presently on file.

Thus, the amended independent claim 1 discloses (amended features <u>underlined</u>):

#### 1. A method comprising:

receiving a block of pixels including a prediction unit;

determining <u>for the block of pixels</u> a set of spatial motion vector prediction candidates <u>for the block of pixels</u> <u>located below-left, left, above-left, above and above-right of the prediction unit;</u> the spatial motion vector prediction candidates being provided with motion information <u>comprising at least a motion vector and a reference index;</u>

determining a <u>limited numbersubset</u> of spatial motion vector prediction candidate pairs among existing spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the <u>limited\_numbersubset</u> of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate;

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comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list;

wherein the method further comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels;

#### **characterised** in that the method further comprises:

<u>determining a maximum number of spatial motion vector prediction</u> candidates to be included in a merge list;

limiting the number of spatial motion vector prediction candidates in the merge list smaller than or equal to the maximum number;

if the number of spatial motion vector prediction candidates in the merge list is smaller than the maximum number, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

#### if so, performing at least one of the following:

- if the potential spatial motion vector prediction candidate is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:
  - the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in coding order;
  - the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit in coding order, and the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate above the prediction unit;
- if the potential spatial motion vector prediction candidate is located above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:
  - the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in coding order;



- the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit;

- if the potential spatial motion vector prediction candidate is located on the right side of the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate above the prediction unit;
- if the potential spatial motion vector prediction candidate is located below the potential spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit;
- if the potential spatial motion vector prediction candidate is cornerwise above-left neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:
  - all the other potential spatial motion vector prediction candidates have been included in the merge list;
  - the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate above the prediction unit;
  - the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit.

The subject matter of claims 5 and 6 are combined with claim 1 and, respectively, the subject matter of claims 12 and 13 are combined with claim 9 (now renumbered to claim 6). Claims 5, 6 and 12 and 13 are deleted.

The following claims have been renumbered accordingly.

Thus, all the features of the new claims are disclosed in the application as filed. Hence, the amended claims comply with the Art. 123(2) EPC.

#### Article 123(2) EPC

#### Sections 1.1, 1.2 and 1.3 of the Communication

The applicant respectfully submits that the Art. 123(2) rejection in the Communication refer to the claims 1 and 13 but the independent claim 1 does



not have the definitions referred in the Communication. It seems that the correct claims to be referred to are the dependent claims 6 and 13. In the currently amended claims the subject matter of claim 6 is combined with claim 1 and amended so that it defines "if the potential spatial motion vector prediction candidate is cornerwise above-left neighbouring the prediction unit", which refers to the spatial motion vector prediction candidate B2 and not to the other cornerwise neighbouring spatial motion vector prediction candidates A0 and B0. This is based on, for example, page 16, lines 1—4 and Fig. 9: "...B2 is located on the same column than spatial motion vector prediction candidate A1 and on the same row than the spatial motion vector prediction candidate B1. In other words, the spatial motion vector prediction candidate B2 is cornerwise neighbouring the prediction unit as can be seen e.g. from Figure 9."

Thus, the amended claims 1 and 6 are supported by the application as filed and do not violate Art. 123(2) EPC.

# Clarity (Art. 84 EPC)

# Section 2.1 of the Communication

The previously presented amendments clarify the claim 1 to say "...determining for the block of pixels a set of spatial motion vector prediction candidates for the block of pixels located below-left, left, above-left, above and above-right of the prediction unit; the spatial motion vector prediction candidates being provided with motion information;..." It should now be clear with which neighbouring blocks the spatial motion vector predictor candidates are associated.

# Section 2.2 of the Communication

Claim 1 is also amended to define determining a <u>subset</u> of spatial motion vector prediction candidate pairs <u>among existing spatial motion vector prediction candidate pairs</u> for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates. It is now clear that only some of spatial motion vector candidate pairs are examined, not all of them. A skilled person would understand the purpose of this definition without undue burden. This is also supported by the application as filed, for example on page 18, lines 21—23: "The examination in the second step does not include comparing motion information of each possible candidate pair but includes a subset of the possible comparison combinations"



# Section 2.3 of the Communication

Claims 1, 4, 6 and 8 are amended to define that the first/second prediction unit is the first/second prediction unit in coding/decoding order as is disclosed on page 18, lines 27—29.

# Section 2.4 of the Communication

The deficiencies mentioned in Section 2.4 are cured by the combination of the pending claims 1, 5 and 6 and, respectively, by the combination of the pending claims 9, 12 and 13.

#### Section 2.5 of the Communication

The independent method claims are amended to include the definition selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels as is disclosed in the pending dependent claim 8.

Thus, the claims are clear according to Art. 84 EPC.

# Novelty (Art. 54 EPC)

The amended independent claim 1 discloses *inter alia* some conditions how the merge list can be filled with prediction candidates until the merge list is full i.e. there are the determined maximum number or spatial motion vector prediction candidates in the merge list. Any of these conditions is not disclosed by D1.

Thus the amended claim 1 is novel in view of the D1 in accordance to Art. 54(1) EPC.

The same analogy applies to the independent claim 8 as well. Thus, the independent claims 1 and 8 are novel in sense of Art. 54(1) EPC.

#### Inventive step (Art. 56 EPC)

D1 is regarded as the closest prior art in the official communication.

As discussed in the previous novelty discussion, D1 fails to disclose the claimed conditions how the merge list can be filled with prediction candidates until the merge list is full.

The features of the amended claim 1 differing from D1, provide the technical effect of reducing the complexity of the implementation. This can be achieved by performing a limited number of motion information comparisons between candidate pairs to remove the redundant candidates rather than comparing every available candidate pair (application page 4, lines 11—14: "In some



embodiments video codecs employ in a motion prediction candidate list construction a way to reduce the complexity of the implementation. This can be achieved by performing a limited number of motion information comparisons between candidate pairs to remove the redundant candidates rather than comparing every available candidate pair.") Thus, starting from the D1, the technical problem to be solved is how to provide an improved construction of the merge list of prediction candidates.

The problem is solved by the method of claim 1, wherein duplicate spatial motion vector prediction candidates are avoided.

When looking at D1 and considering how to solve the objective technical problem, the skilled person would find a different solution.

When looking at D1 and considering how to solve the objective technical problem, the skilled person would not look at D2. As the applicant commented in the previous response, D2 (section 8.4.2.1.3 "Derivation process of reference indices for temporal merging candidate" of JCTVCE603\_d8.doc) discloses how reference indices can be selected for the temporal motion vector prediction candidate. The approach is very similar to that of D1. First three candidates are selected based on their availability and that selection is followed by checks for all the combinations of the three. Further, D2 (section 8.4.2.1.4 "Derivation process for luma motion vector prediction") discloses also that "if several motion vectors have the same value, the motion vectors are removed from the list except the motion vector which has the smallest order in the mvpListLX" requiring full search of matching candidates within the candidate list.

D2 does not disclose the solution defined in the characterising portion of the currently amended independent claims. The solution of the independent claims is not obvious to a skilled person in view of the combination of D1 and D2.

Consequently, the independent claims 1 and 6 involve an inventive step over the cited prior art in sense of Art. 56 EPC.

#### Conclusion

In view of the amendments and submissions now made, the applicant respectfully submits that the application is now in order for allowance. If the Examiner is of the opinion that further objections should be raised, then a further written communication is requested. In the event that the Examiner considers any further objections cannot be dealt with in writing, then oral proceedings via videoconference (we intend to use IP technology) are requested in precaution under Art. 116(1) EPC.



We reserve the right to restore and/or file a divisional application for any subject matter which might otherwise be regarded as abandoned or effectively disclaimed by virtue of the amendments now made.

In case you want to discuss this matter, please do not hesitate to contact Timo Pursiainen, Tel. +358 10 227 2612.

Yours faithfully, BERGGREN OY, TAMPERE

Timo Pursiainen

European Patent Attorney

Enclosures Amended set of claims (marked-up and clean versions)

Amended pages 4, 4a of the description (marked-up and clean

versions)



# Letter accompanying subsequently filed items

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The document(s) listed below is	(are) subsequently fil	led documents pertaining	to the following application:
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Application number	12845839.5
Applicant's or representative's reference	TP109764EF

	Description of document	Original file name	Assigned file name
1	Reply to examination report	OA 20190606 response	EXRE3-1.pdf
		TP109764EP.pdf	
2	Amended claims (clean copy)	OA 20190606 amended claims	CLMS-1.pdf
		TP109764EP (ID 4756111).pdf	
3	Amended claims with annotations	OA 20190606 amended claims M-U CLMS-HWA-1	
		TP109764EP (ID 4755969).pdf	
4	Amended description (clean copy)	OA 20190606 amended page 4	DESC-1.pdf
		TP109764EP (ID 4756069).pdf	
5	Amended description with annotations	OA 20190606 amended page 4 M-U	DESC-HWA-1.pdf
		TP109764EP (ID 4756042).pdf	

	Payment	
1	Method of payment	Not specified

## Signatures

Place: Tampere

Date: 16 December 2019
Signed by: Timo Pursiainen 36563
Association: Berggren Oy, Tampere

Representative name: Timo Pursiainen
Capacity: (Representative)

TP109764EP

identical motion vector prediction candidates may be removed to reduce redundancy. During the decoding, if the temporal motion vector prediction information is unavailable due to e.g. loss of reference frame, the decoder may not know if the temporal motion vector prediction candidate in the list is to be removed. This may lead to uncertainty for mapping the decoded candidate index to the candidates whose removal decision is based on comparing motion information with the temporal motion vector prediction. As a result, false assignment of motion vector prediction candidates may occur which may lead to degradation in the picture quality and drift of false motion information throughout the decoding process.

The document Nakamura "Unification of derivation process for merge mode and MVP" describes a system that selects the first two available spatial predictors and performs a duplicate removal process between the two spatial predictor candidates (S0 and S1) and one temporal predictor candidate (Col). The duplicate removal process is not considering the locations of the spatial candidates and all three possible combinations of S0, S1 and Col are performed "blindly" as follows: S0 is compared with S1, S0 is compared with Col, and S1 is compared with Col. It may happen that one or both of the selected two spatial predictors are not the best alternatives.

The document Wiegand et al. "WD3 Working draft 3 of high efficiency video coding" disqualifies candidates if unavailable, if coded with intra mode, or if the motion of a candidate is identical to motion of another prediction unit within the same coding unit. Thus, it would have been more efficient to represent a coding unit with a larger segmentation instead of splitting it into smaller prediction units and indicating identical motion between those small prediction units.

The document Zheng et al "Merge candidate selection in 2NxN, Nx2N, and NxN mode" proposes to allow additional merge candidates to survive the process described in Wiegand et al, section 8.4.2.1.2, based on the index of the merge candidate.

#### **SUMMARY**

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The present invention introduces a method for generating a motion vector prediction list for an image block. In some embodiments video codecs employ in a motion prediction candidate list construction a way to reduce the complexity of the implementation. This can be achieved by performing a limited number of motion information comparisons between candidate pairs to remove the redundant candidates rather than comparing every available candidate pair. The decision of whether comparing two candidates may depend on the order of the candidates to be considered for the list and/or coding/prediction mode and/or location of the blocks associated with the candidates. In some embodiments a video codec employs a merge process for motion information coding and creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding or prediction unit. The motion prediction candidates may consist of

several spatial motion predictions and a temporal motion prediction. The spatial candidates are obtained from the motion information of e.g. spatial neighbour blocks.

According to a first aspect of the present invention there is provided a method comprising:
receiving a block of pixels including a prediction unit; determining a set of spatial motion
vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being
provided with motion information;

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selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a second aspect of the present invention there is provided a method comprising: receiving an encoded block of pixels including a prediction unit;

#### Claims:

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#### 1. A method comprising:

receiving a block (900) of pixels including a prediction unit;

determining for the block (900) of pixels a set of spatial motion vector prediction candidates located below-left (901), left (902), above-left (905), above (904) and above-right (903) of the prediction unit; the spatial motion vector prediction candidates being provided with motion information comprising at least a motion vector and a reference index;

determining a subset of spatial motion vector prediction candidate pairs among existing spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the subset of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate (610);

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list;

wherein the method further comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels;

**characterised** in that the method further comprises:

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list;

limiting the number of spatial motion vector prediction candidates in the merge list smaller than or equal to the maximum number;

if the number of spatial motion vector prediction candidates in the merge list is smaller than the maximum number, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate (902) is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (902) from the merge list if any of the following conditions are fulfilled:

- the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in coding order; the received block of pixels is horizontally divided into a first prediction unit and 5 a second prediction unit, and if the prediction unit is the second prediction unit in coding order, and the potential spatial motion vector prediction candidate (902) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit; if the potential spatial motion vector prediction candidate (904) is located above the 10 prediction unit, excluding the potential spatial motion vector prediction candidate (904) from the merge list if any of the following conditions are fulfilled: - the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in coding order; 15 - the potential spatial motion vector prediction candidate (904) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit; if the potential spatial motion vector prediction candidate (903) is located on the right side of the potential spatial motion vector prediction candidate (904) above the prediction unit, 20 excluding the potential spatial motion vector prediction candidate (903) from the merge list if the potential spatial motion vector prediction candidate (903) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit; if the potential spatial motion vector prediction candidate (901) is located below the 25 potential spatial motion vector prediction candidate (902) on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (901) from the merge list if the potential spatial motion vector prediction candidate (901) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit; 30 if the potential spatial motion vector prediction candidate (905) is cornerwise above-left neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate (905) from the merge list if any of the following conditions are fulfilled: - all the other potential spatial motion vector prediction candidates (901—904) have been included in the merge list; 35 - the potential spatial motion vector prediction candidate (905) has the same

prediction candidate (904) above the prediction unit;

motion vectors and the same reference indices than the spatial motion vector

- the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit.
- 5 2. The method according to claim 1 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- The method according to claim 1 or 2, comprising comparing motion information of the
   potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
  - 4. The method according to any of the claims 1 to 3 comprising examining whether the received block of pixels is divided into a first prediction unit and a second prediction unit in coding order; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
  - 5. The method according to any of the claims 1 to 4 further comprising including a temporal motion prediction candidate into the merge list.

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6. A method comprising:

receiving an encoded block of pixels including a prediction unit;

determining for the encoded block (900) of pixels a set of spatial motion vector prediction candidates located below-left (901), left (902), above-left (905), above (904) and above-right (903) of the prediction unit; the spatial motion vector prediction candidates being provided with motion information comprising at least a motion vector and a reference index;

determining a subset of spatial motion vector prediction candidate pairs among existing spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

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selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the subset of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate;

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comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list

wherein the method further comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels;

**characterised** in that the method further comprises:

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list;

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number;

if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate (902) is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (902) from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate (902) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit:

if the potential spatial motion vector prediction candidate (904) is located above the prediction unit, excluding the potential spatial motion vector prediction candidate (904) from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in decoding order;
- the potential spatial motion vector prediction candidate (904) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit;

if the potential spatial motion vector prediction candidate (903) is located on the right side of the potential spatial motion vector prediction candidate (904) above the prediction unit,

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excluding the potential spatial motion vector prediction candidate (903) from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit;

if the potential spatial motion vector prediction candidate (901) is located below the potential spatial motion vector prediction candidate (902) on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (901) from the merge list if the potential spatial motion vector prediction candidate (901) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit;

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if the potential spatial motion vector prediction candidate (905) is cornerwise above-left neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate (905) from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates (901—904)
   have been included in the merge list;
- the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit;
- the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit.
- 7. The method according to claim 6 comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 8. The method according to claim 6 or 7 comprising examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit in decoding order; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
- The method according to any of the claims 6 to 8 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded
   block of pixels.
  - 10. An apparatus comprising means for performing a method according to any one of claims 1 to 5.

- 11. An apparatus comprising means for performing a method according to any one of claims 6 to 9.
- 5 12. A computer-readable media having computer-readable instructions thereon which, when executed by one or more processors, cause one or more processors to perform a method of any one of claims 1 to 5.
- 13. A computer-readable media having computer-readable instructions thereon which, when
   executed by one or more processors, cause one or more processors to perform a method of any one of claims 6 to 9.

identical motion vector prediction candidates may be removed to reduce redundancy. During the decoding, if the temporal motion vector prediction information is unavailable due to e.g. loss of reference frame, the decoder may not know if the temporal motion vector prediction candidate in the list is to be removed. This may lead to uncertainty for mapping the decoded candidate index to the candidates whose removal decision is based on comparing motion information with the temporal motion vector prediction. As a result, false assignment of motion vector prediction candidates may occur which may lead to degradation in the picture quality and drift of false motion information throughout the decoding process.

The document Nakamura "Unification of derivation process for merge mode and MVP" describes a

system that selects the first two available spatial predictors and performs a duplicate removal process
between the two spatial predictor candidates (S0 and S1) and one temporal predictor candidate (Col). The
duplicate removal process is not considering the locations of the spatial candidates and all three possible
combinations of S0, S1 and Col are performed "blindly" as follows: S0 is compared with S1, S0 is
compared with Col, and S1 is compared with Col. It may happen that one or both of the selected two
spatial predictors are not the best alternatives.

The document Wicgand et al. "WD3 Working draft 3 of high efficiency video coding" disqualifies candidates if unavailable, if coded with intra mode, or if the motion of a candidate is identical to motion of another prediction unit within the same coding unit. Thus, it would have been more efficient to represent a coding unit with a larger segmentation instead of splitting it into smaller prediction units and indicating identical motion between those small prediction units.

The document Zheng et al "Merge candidate selection in 2NxN, Nx2N, and NxN mode" proposes to allow additional merge candidates to survive the process described in Wiegand et al, section 8.4.2.1.2, based on the index of the merge candidate.

#### **SUMMARY**

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The present invention introduces a method for generating a motion vector prediction list for an image block. In some embodiments video codecs employ in a motion prediction candidate list construction a way to reduce the complexity of the implementation. This can be achieved by performing a limited number of motion information comparisons between candidate pairs to remove the redundant candidates rather than comparing every available candidate pair. The decision of whether comparing two candidates may depend on the order of the candidates to be considered for the list and/or coding/prediction mode and/or location of the blocks associated with the candidates. In some embodiments a video codec employs a merge process for motion information coding and creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding or prediction unit. The motion prediction candidates may consist of

several spatial motion predictions and a temporal motion prediction. The spatial candidates are obtained from the motion information of e.g. spatial neighbour blocks.

According to a first aspect of the present invention there is provided a method comprising:
receiving a block of pixels including a prediction unit; determining a set of spatial motion
vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being
provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate;

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comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates;

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a second aspect of the present invention there is provided a method comprising: receiving an encoded block of pixels including a prediction unit;

#### Claims:

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#### 1. A method comprising:

receiving a block (900) of pixels including a prediction unit;

determining for the block (900) of pixels a set of spatial motion vector prediction candidates for the block of pixels located below-left (901), left (902), above-left (905), above (904) and above-right (903) of the prediction unit; the spatial motion vector prediction candidates being provided with motion information comprising at least a motion vector and a reference index;

determining a <u>limited numbersubset</u> of spatial motion vector prediction candidate pairs <u>among</u> <u>existing spatial motion vector prediction candidate pairs</u> for comparison among all available spatial motion vector prediction candidates;

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the <u>limited numbersubset</u> of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate (610);

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list;

wherein the method further comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels;

characterised in that the method further comprises:

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list;

limiting the number of spatial motion vector prediction candidates in the merge list smaller than or equal to the maximum number;

if the number of spatial motion vector prediction candidates in the merge list is smaller than the maximum number, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate (902) is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (902) from the merge list if any of the following conditions are fulfilled:

I	
	- the received block of pixels is vertically divided into a first prediction unit and a
	second prediction unit, and the prediction unit is the second prediction unit in
	coding order;
_	- the received block of pixels is horizontally divided into a first prediction unit and
5	a second prediction unit, and if the prediction unit is the second prediction unit
	in coding order, and the potential spatial motion vector prediction candidate
	(902) has the same motion vectors and the same reference indices than the
	spatial motion vector prediction candidate (904) above the prediction unit;
	if the potential spatial motion vector prediction candidate (904) is located above the
10	prediction unit, excluding the potential spatial motion vector prediction candidate (904)
	from the merge list if any of the following conditions are fulfilled:
	- the received block of pixels is horizontally divided into a first prediction unit and
	a second prediction unit, and the prediction unit is the second prediction unit in
	coding order;
15	- the potential spatial motion vector prediction candidate (904) has the same
	motion vectors and the same reference indices than the spatial motion vector
	prediction candidate (902) on the left side of the prediction unit;
	if the potential spatial motion vector prediction candidate (903) is located on the right side of
	the potential spatial motion vector prediction candidate (904) above the prediction unit,
20	excluding the potential spatial motion vector prediction candidate (903) from the merge
	list if the potential spatial motion vector prediction candidate (903) has the same motion
	vectors and the same reference indices than the spatial motion vector prediction candidate
	(904) above the prediction unit;
	if the potential spatial motion vector prediction candidate (901) is located below the
25	potential spatial motion vector prediction candidate (902) on the left side of the
	prediction unit, excluding the potential spatial motion vector prediction candidate (901)
	from the merge list if the potential spatial motion vector prediction candidate (901) has
	the same motion vectors and the same reference indices than the spatial motion vector
	prediction candidate (902) on the left side of the prediction unit;
30	if the potential spatial motion vector prediction candidate (905) is cornerwise above-left
	neighbouring the prediction unit, excluding the potential spatial motion vector prediction
	candidate (905) from the merge list if any of the following conditions are fulfilled:
	- all the other potential spatial motion vector prediction candidates (901—904)
	have been included in the merge list;
35	- the potential spatial motion vector prediction candidate (905) has the same
	motion vectors and the same reference indices than the spatial motion vector
	prediction candidate (904) above the prediction unit;
•	y

- the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit.
- 5 2. The method according to claim 1 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- The method according to claim 1 or 2, comprising comparing motion information of the
   potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- The method according to any of the claims 1 to 3 comprising examining whether the received block of pixels is divided into a first prediction unit and a second prediction unit in coding order;
   and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.

The method according to any of the claims 1 to 4, further comprising
determining a maximum number of spatial motion vector prediction candidates to be included in
 a merge list; and

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number .

6. The method according to any of the claims 1 to 5, wherein the motion information comprises at least a motion vector and a reference index, and the set of spatial motion vector prediction candidates comprises at least one of:

one or more neighbouring blocks to the left of the prediction unit; one or more neighbouring blocks above the prediction unit; one or more cornerwise neighbouring blocks of the prediction unit,

30 the method further comprising:

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examining, if the number of spatial motion vector prediction candidates in the merge list is smaller than the maximum number;

if so, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

35 if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if any of the following conditions are fulfilled:

	the received block of pixels is vertically divided into a first prediction
	unit and a second prediction unit, and the prediction unit is the second prediction unit;
	- the received block of pixels is horizontally divided into a first prediction unit and a
	second prediction unit, and if the prediction unit is the second prediction unit, and the
5	potential spatial motion vector prediction candidate has the same motion vectors and
	the same reference indices than the spatial motion vector prediction candidate above the
	prediction unit;
	if the potential spatial motion vector prediction candidate is located above the prediction unit,
	excluding the potential spatial motion vector prediction candidate from the merge list if any of the
10	following conditions are fulfilled:
	- the received block of pixels is horizontally divided into a first prediction
	unit and a second prediction unit, and the prediction unit is the second prediction unit;
	- the potential spatial motion vector prediction candidate has the same
	motion vectors and the same reference indices than the spatial motion vector prediction
15	candidate on the left side of the prediction unit;
	if the potential spatial motion vector prediction candidate is located on the right side of the
	potential spatial motion vector prediction candidate above the prediction unit, excluding the potential
	spatial motion vector prediction candidate from the merge list if the potential spatial motion vector
	prediction candidate has the same motion vectors and the same reference indices than the spatial motion
20	vector prediction candidate above the prediction unit;
	if the potential spatial motion vector prediction candidate is located below the potential spatial
	motion vector prediction candidate on the left side of the prediction unit, excluding the potential spatial
	motion vector prediction candidate from the merge-list if the potential spatial motion vector prediction
	candidate has the same motion vectors and the same reference indices than the spatial motion vector
25	prediction candidate on the left side of the prediction unit;
	if the potential spatial motion vector prediction candidate is cornerwise neighbouring the
	prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if
	any of the following conditions are fulfilled:
	<ul> <li>all the other potential spatial motion vector prediction candidates have</li> </ul>
30	been included in the merge list:
	the potential spatial motion vector prediction candidate has the same
	motion vectors and the same reference indices than the spatial motion vector prediction
	candidate above the prediction unit;
	<ul> <li>the potential spatial motion vector prediction candidate has the same</li> </ul>
35	motion vectors and the same reference indices than the spatial motion vector prediction
	candidate on the left side of the prediction unit.

- $\underline{57}$ . The method according to any of the claims 1 to  $\underline{46}$  further comprising including a temporal motion prediction candidate into the merge list.
- 8. The method according to any of the claims 1 to 7 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels.

# 69. A method comprising:

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receiving an encoded block of pixels including a prediction unit;

determining for the encoded block (900) of pixels a set of spatial motion vector prediction candidates located below-left (901), left (902), above-left (905), above (904) and above-right (903) of the prediction unit-for the encoded block of pixels; the spatial motion vector prediction candidates being provided with motion information comprising at least a motion vector and a reference index;

determining a <u>limited number subset</u> of spatial motion vector prediction candidate pairs <u>among existing spatial motion vector prediction candidate pairs</u> for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the <u>limited numbersubset</u> of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate;

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparisons indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list

wherein the method further comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels;

characterised in that the method further comprises:

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list;

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number;

if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

	if so, performing at least one of the following:
	if the potential spatial motion vector prediction candidate (902) is located on the left side of
	the prediction unit, excluding the potential spatial motion vector prediction candidate
	(902) from the merge list if any of the following conditions are fulfilled:
5	- the received encoded block of pixels is vertically divided into a first prediction
	unit and a second prediction unit, and the prediction unit is the second
	prediction unit;
	- the received encoded block of pixels is horizontally divided into a first
	prediction unit and a second prediction unit, and if the prediction unit is the
10	second prediction unit, and the potential spatial motion vector prediction
	candidate (902) has the same motion vectors and the same reference indices
	than the spatial motion vector prediction candidate (904) above the prediction
	unit;
	if the potential spatial motion vector prediction candidate (904) is located above the
15	prediction unit, excluding the potential spatial motion vector prediction candidate (904)
	from the merge list if any of the following conditions are fulfilled:
	<ul> <li>the received encoded block of pixels is horizontally divided into a first</li> </ul>
	prediction unit and a second prediction unit, and the prediction unit is the
	second prediction unit in decoding order;
20	- the potential spatial motion vector prediction candidate (904) has the same
	motion vectors and the same reference indices than the spatial motion vector
	prediction candidate (902) on the left side of the prediction unit;
	if the potential spatial motion vector prediction candidate (903) is located on the right side of
	the potential spatial motion vector prediction candidate (904) above the prediction unit,
25	excluding the potential spatial motion vector prediction candidate (903) from the merge
	list if the potential spatial motion vector prediction candidate has the same motion vectors
	and the same reference indices than the spatial motion vector prediction candidate (904)
	above the prediction unit;
	if the potential spatial motion vector prediction candidate (901) is located below the
30	potential spatial motion vector prediction candidate (902) on the left side of the
	prediction unit, excluding the potential spatial motion vector prediction candidate (901)
	from the merge list if the potential spatial motion vector prediction candidate (901) has
	the same motion vectors and the same reference indices than the spatial motion vector
	prediction candidate (902) on the left side of the prediction unit;
35	if the potential spatial motion vector prediction candidate (905) is cornerwise above-left
	neighbouring the prediction unit, excluding the potential spatial motion vector prediction
	candidate (905) from the merge list if any of the following conditions are fulfilled:

- all the other potential spatial motion vector prediction candidates (901—904) have been included in the merge list; - the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector 5 prediction candidate (904) above the prediction unit; - the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit. 10 The method according to claim 69 comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates. 811. The method according to claim 69 or 740 comprising examining whether the received 15 encoded block of pixels is divided into a first prediction unit and a second prediction unit in decoding order; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit. 12. The method according to any of the claims 9 to 11, further comprising 20 determining a maximum number of spatial motion vector prediction candidates to be included in a merge list; and limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number. 25 The method according to any of the claims 9 to 12, wherein the motion information comprises at least a motion vector and a reference index, and the set of spatial motion vector prediction candidates comprises at least one of: one or more neighbouring blocks to the left of the prediction unit; one or more neighbouring blocks above the prediction unit; 30 one or more cornerwise neighbouring blocks of the prediction unit, the method further comprising: examining, if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number; if so, examining whether a prediction unit to which the potential spatial motion vector prediction 35 candidate belongs is available for motion prediction:

if so, performing at least one of the following:

	if the potential spatial motion vector prediction candidate is located on the left side of the
	prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if
	any of the following conditions are fulfilled:
	<ul> <li>the received encoded block of pixels is vertically divided into a first</li> </ul>
5	prediction unit and a second prediction unit, and the prediction unit is the second
	prediction unit;
	the received encoded block of pixels is horizontally divided into a first
	prediction unit and a second prediction unit, and if the prediction unit is the second
	prediction unit, and the potential spatial motion vector prediction candidate has the
10	same motion vectors and the same reference indices than the spatial motion vector
	prediction candidate above the prediction unit;
	if the potential spatial motion vector prediction candidate is located above the prediction unit,
	excluding the potential spatial motion vector prediction candidate from the merge list if any of the
	following conditions are fulfilled:
15	the received encoded block of pixels is horizontally divided into a first
	prediction unit and a second prediction unit, and the prediction unit is the second
	prediction unit;
	- the potential spatial motion vector prediction candidate has the same
	motion vectors and the same reference indices than the spatial motion vector prediction
20	candidate on the left side of the prediction unit;
	if the potential spatial motion vector prediction candidate, which is located on the right side of
	the potential spatial motion vector prediction candidate above the prediction unit, excluding the potential
	spatial motion vector prediction candidate from the merge list if the potential spatial motion vector
	prediction candidate has the same motion vectors and the same reference indices than the spatial motion
25	vector prediction candidate above the prediction unit;
	if the potential spatial motion vector prediction candidate, which is located below the potential
	spatial motion vector prediction candidate on the left side of the prediction unit, excluding the potential
	spatial motion vector prediction candidate from the merge list if the potential spatial motion vector
	prediction candidate has the same motion vectors and the same reference indices than the spatial motion
30	vector prediction candidate on the left side of the prediction unit;
	if the potential spatial motion vector prediction candidate is cornerwise neighbouring the
	prediction unit, excluding the potential spatial motion vector prediction candidate from the merge list if
	any of the following conditions are fulfilled:
	<ul> <li>all the other potential spatial motion vector prediction candidates have</li> </ul>
35	been included in the merge list;
	<ul> <li>the potential spatial motion vector prediction candidate has the same</li> </ul>
	motion vectors and the same reference indices than the spatial motion vector prediction
	candidate above the prediction unit;

the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate on the left side of the prediction unit. 5 The method according to any of the claims 69 to 843 comprising selecting one motion 914. vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels. 1015. An apparatus comprising means for performing a method according to any one of claims 10 1 to 58. 1146. An apparatus comprising means for performing a method according to any one of claims 69 to 914. 15 1217. A computer-readable media having computer-readable instructions thereon which, when executed by one or more processors, cause one or more processors to perform a method of any one of claims 1 to 58. 1318. A computer-readable media having computer-readable instructions thereon which, when 20 executed by one or more processors, cause one or more processors to perform a method of any one of claims 69 to 914.



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#### Correction by the EPO of errors in debit instructions filed by eOLF

Errors in debit instructions filed by eOLF that are caused by the editing of Form 1038E entries or the continued use of outdated software (all forms) may be corrected automatically by the EPO. leaving the payment date unchanged (see decision T 152/82.

OJ EPO 1984, 301 and point 6.3 ff ADA, Supplement to OJ EPO 10/2007).

/European Patent Office/



27 January 2020

European Patent Office Ms. Monica Gazzoli Client Data Registration

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ZPL

# **Registration of Debit Account for Refunds**

Nokia

Nokia Solutions and Networks GmbH &

Co. KG

Office Address:

Lorenzstrasse 10

70435 Stuttgart

Germany

Dear Ms. Gazzoli,

Due to the new Refund Procedures, please be so kind to register the **refund account** for all applications listed in the attached file.

07789669.4

Any refunds should be made to

Deposit account.

28040039,

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Regarding the debit account, no changes are to be registered.

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Registergericht: München / Commercial registry: Munich, HRA 88537

WEEE-Reg.-Nr.: DE 52984304

Persönlich haftende Gesellschafterin / General Partner: Nokia Solutions and Networks

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Geschäftsleitung / Board of Directors: Dr. Wolfgang Hackenberg, Ralf Niederberger Vorsitzender des Aufsichtsrats / Chairman of supervisory board: Hans-Jürgen Bill Sitz der Gesellschaft: München / Registered

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We thank you for your assistance in this matter.

Best regards,

Emmanuelle WÉTZEL

Deputy Head Patenting

Domencio MENZIETTI

Senior IP Corporate Counsel

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# METHOD FOR VIDEO CODING AND APPARATUS

#### **TECHNICAL FIELD**

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There is provided a method for encoding, a method for decoding, an apparatus, computer program products, an encoder and a decoder.

#### **BACKGROUND INFORMATION**

This section is intended to provide a background or context to the invention that is recited in the claims. The description herein may include concepts that could be pursued, but are not necessarily ones that have been previously conceived or pursued. Therefore, unless otherwise indicated herein, what is described in this section is not prior art to the description and claims in this application and is not admitted to be prior art by inclusion in this section

A video codec may comprise an encoder which transforms input video into a compressed representation suitable for storage and/or transmission and a decoder that can uncompress the compressed video representation back into a viewable form, or either one of them. The encoder may discard some information in the original video sequence in order to represent the video in a more compact form, for example at a lower bit rate.

Many hybrid video codecs, operating for example according to the International Telecommunication Union's ITU-T H.263 and H.264 coding standards, encode video information in two phases. In the first phase, pixel values in a certain picture area or "block" are predicted. These pixel values can be predicted, for example, by motion compensation mechanisms, which involve finding and indicating an area in one of the previously encoded video frames (or a later coded video frame) that corresponds closely to the block being coded. Additionally, pixel values can be predicted by spatial mechanisms which involve finding and indicating a spatial region relationship, for example by using pixel values around the block to be coded in a specified manner.

Prediction approaches using image information from a previous (or a later) image can also be called as Inter prediction methods, and prediction approaches using image information within the same image can also be called as Intra prediction methods.

The second phase is one of coding the error between the predicted block of pixels and the original block of pixels. This may be accomplished by transforming the difference in pixel values using a specified transform. This transform may be e.g. a Discrete Cosine Transform (DCT) or a variant thereof. After transforming the difference, the transformed difference may be quantized and entropy encoded.

By varying the fidelity of the quantization process, the encoder can control the balance between the accuracy of the pixel representation, (in other words, the quality of the picture) and the size of the resulting encoded video representation (in other words, the file size or transmission bit rate).

The decoder reconstructs the output video by applying a prediction mechanism similar to that used by the encoder in order to form a predicted representation of the pixel blocks (using the motion or spatial information created by the encoder and stored in the compressed representation of the image) and

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prediction error decoding (the inverse operation of the prediction error coding to recover the quantized prediction error signal in the spatial domain).

After applying pixel prediction and error decoding processes the decoder combines the prediction and the prediction error signals (the pixel values) to form the output video frame.

The decoder (and encoder) may also apply additional filtering processes in order to improve the quality of the output video before passing it for display and/or storing as a prediction reference for the forthcoming frames in the video sequence.

In some video codecs, such as High Efficiency Video Coding Working Draft 4, video pictures may be divided into coding units (CU) covering the area of a picture. A coding unit consists of one or more prediction units (PU) defining the prediction process for the samples within the coding unit and one or more transform units (TU) defining the prediction error coding process for the samples in the coding unit. A coding unit may consist of a square block of samples with a size selectable from a predefined set of possible coding unit sizes. A coding unit with the maximum allowed size can be named as a largest coding unit (LCU) and the video picture may be divided into non-overlapping largest coding units. A largest coding unit can further be split into a combination of smaller coding units, e.g. by recursively splitting the largest coding unit and resultant coding units. Each resulting coding unit may have at least one prediction unit and at least one transform unit associated with it. Each prediction unit and transform unit can further be split into smaller prediction units and transform units in order to increase granularity of the prediction and prediction error coding processes, respectively. Each prediction unit may have prediction information associated with it defining what kind of a prediction is to be applied for the pixels within that prediction unit (e.g. motion vector information for inter predicted prediction units and intra prediction directionality information for intra predicted prediction units). Similarly, each transform unit may be associated with information describing the prediction error decoding process for samples within the transform unit (including e.g. discrete cosine transform (DCT) coefficient information). It may be signalled at coding unit level whether prediction error coding is applied or not for each coding unit. In the case there is no prediction error residual associated with the coding unit, it can be considered there are no transform units for the coding unit. The division of the image into coding units, and division of coding units into prediction units and transform units may be signalled in the bitstream allowing the decoder to reproduce the intended structure of these units.

In some video codecs, motion information is indicated by motion vectors associated with each motion compensated image block. These motion vectors represent the displacement of the image block in the picture to be coded (in the encoder) or decoded (at the decoder) and the prediction source block in one of the previously coded or decoded images (or pictures). In order to represent motion vectors efficiently, motion vectors may be coded differentially with respect to block specific predicted motion vector. In some video codecs, the predicted motion vectors are created in a predefined way, for example by calculating the median of the encoded or decoded motion vectors of the adjacent blocks.

Another way to create motion vector predictions is to generate a list or a set of candidate predictions from blocks in the current frame and/or co-located or other blocks in temporal reference WO 2013/064749

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pictures and signalling the chosen candidate as the motion vector prediction. A spatial motion vector prediction is a prediction obtained only on the basis of information of one or more blocks of the same frame than the current frame whereas temporal motion vector prediction is a prediction obtained on the basis of information of one or more blocks of a frame different from the current frame. It may also be possible to obtain motion vector predictions by combining both spatial and temporal prediction information of one or more encoded blocks. These kinds of motion vector predictions are called as spatio-temporal motion vector predictions.

In addition to predicting the motion vector values, the reference index in the reference picture list can be predicted. The reference index may be predicted from blocks in the current frame and/or colocated or other blocks in a temporal reference picture. Moreover, some high efficiency video codecs employ an additional motion information coding/decoding mechanism, often called merging/merge mode, where all the motion field information, which includes motion vector and corresponding reference picture index for each available reference picture list, may be predicted and used without any modification or correction. Similarly, predicting the motion field information may be carried out using the motion field information of blocks in the current frame and/or co-located or other blocks in temporal reference pictures and the used motion field information is signalled among a list of motion field candidate list filled with motion field information of available blocks in the current frame and/or co-located or other blocks in temporal reference pictures.

In some video codecs the prediction residual after motion compensation is first transformed with a transform kernel (like DCT) and then coded. The reason for this is that often there still exists some correlation among the residual and transform can in many cases help reduce this correlation and provide more efficient coding.

Some video encoders utilize Lagrangian cost functions to find optimal coding modes, e.g. the desired Macroblock mode and associated motion vectors. This kind of cost function uses a weighting factor  $\lambda$  to tie together the (exact or estimated) image distortion due to lossy coding methods and the (exact or estimated) amount of information that is required to represent the pixel values in an image area:

 $C = D + \lambda R \qquad (1)$ 

where C is the Lagrangian cost to be minimized, D is the image distortion (e.g. Mean Squared Error) with the mode and motion vectors considered, and R the number of bits needed to represent the required data to reconstruct the image block in the decoder (including the amount of data to represent the candidate motion vectors).

Some video codecs such as hybrid video codecs may generate a list of motion vector predictions (MVP) consisting of motion vectors of spatial adjacent blocks (spatial MVP) and/or motion vectors of blocks in a previously decoded frame (temporal MVP). One of the candidate motion vectors in the list is signalled to be used as the motion vector prediction of the current block. After the list is generated, some of the motion vector prediction candidates may have the same motion information. In this case, the

identical motion vector prediction candidates may be removed to reduce redundancy. During the decoding, if the temporal motion vector prediction information is unavailable due to e.g. loss of reference frame, the decoder may not know if the temporal motion vector prediction candidate in the list is to be removed. This may lead to uncertainty for mapping the decoded candidate index to the candidates whose removal decision is based on comparing motion information with the temporal motion vector prediction. As a result, false assignment of motion vector prediction candidates may occur which may lead to degradation in the picture quality and drift of false motion information throughout the decoding process.

The document Nakamura "Unification of derivation process for merge mode and MVP" 2 

☐ (JCTVC-F419) ☐ 2 describes a

system that selects the first two available spatial predictors and performs a duplicate removal process between the two spatial predictor candidates (S0 and S1) and one temporal predictor candidate (Col). The duplicate removal process is not considering the locations of the spatial candidates and all three possible combinations of S0, S1 and Col are performed "blindly" as follows: S0 is compared with S1, S0 is compared with Col, and S1 is compared with Col. It may happen that one or both of the selected two spatial predictors are not the best alternatives.

candidates if unavailable, if coded with intra mode, or if the motion of a candidate is identical to motion of another prediction unit within the same coding unit. Thus, it would have been more efficient to represent a coding unit with a larger segmentation instead of splitting it into smaller prediction units and indicating identical motion between those small prediction units.

allow additional merge candidates to survive the process described in Wiegand et al, section 8.4.2.1.2, based on the index of the merge candidate.

## **SUMMARY**

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The present invention introduces a method for generating a motion vector prediction list for an image block. In some embodiments video codecs employ in a motion prediction candidate list construction a way to reduce the complexity of the implementation. This can be achieved by performing a limited number of motion information comparisons between candidate pairs to remove the redundant candidates rather than comparing every available candidate pair. The decision of whether comparing two candidates may depend on the order of the candidates to be considered for the list and/or coding/prediction mode and/or location of the blocks associated with the candidates. In some embodiments a video codec employs a merge process for motion information coding and creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding or prediction unit. The motion prediction candidates may consist of

several spatial motion predictions and a temporal motion prediction. The spatial candidates are obtained from the motion information of e.g. spatial neighbour blocks.  $\blacksquare \P$ 

The invention is defined in the appended claims. Any examples and embodiments of the description not falling within the scope of the claims do not form part of the invention and are provided for illustrative purposes only.  $\P$ 

Z According to a first aspect of the present invention there is provided a method according to appended claim 1. ¶

According to a second aspect of the present invention there is provided a method according to appended claim 6.  $\P$  According to a third aspect of the present invention there is provided an apparatus according to appended claim 9.

According to a fourth aspect of the present invention there is provided an apparatus according to appended claim 10.

According to a fifth aspect of the present invention there is provided a computer-readable storage medium according to appended claim 11. ¶

According to a sixth aspect of the present invention there is provided a computer-readable storage medium according to appended claim 12.

According to a first aspect of the present invention there is provided a method comprising:

receiving a block of pixels including a prediction unit; determining a set of spatial motion

 vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being provided with motion information;

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit:

determining a subset of spatial motion vector predictions based on the location of the block associated with the first spatial motion vector prediction candidate:

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates.

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector prediction candidate from the merge list.

According to a second aspect of the present invention there is provided a method comprising:

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#### **DESCRIPTION OF THE DRAWINGS**

For better understanding of the present invention, reference will now be made by way of example to the accompanying drawings in which:

Figure 1 shows schematically an electronic device employing some embodiments of the invention;

Figure 2 shows schematically a user equipment suitable for employing some embodiments of the invention;

Figure 3 further shows schematically electronic devices employing embodiments of the invention connected using wireless and wired network connections;

Figure 4a shows schematically an embodiment of the invention as incorporated within an encoder;

Figure 4b shows schematically an embodiment of a prediction reference list generation and modification according to some embodiments of the invention;

Figures 5a and 5b show a flow diagram showing the operation of an embodiment of the invention with respect to the encoder as shown in figure 4a;

Figure 6a illustrates an example of spatial and temporal prediction of a prediction unit;

Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit;

Figure 7 shows schematically an embodiment of the invention as incorporated within a decoder;

Figures 8a and 8b show a flow diagram of showing the operation of an embodiment of the invention with respect to the decoder shown in figure 7;

Figure 9 illustrates an example of a coding unit and some neighbour blocks of the coding unit;

Figure 10a illustrates an example of a horizontal division of the coding unit;

Figure 10b illustrates an example of a vertical division of the coding unit;

Figure 11a illustrates locations of five spatial neighbours A0, A1, B0, B1, B2 for a prediction unit generated as the second prediction unit of a horizontally divided coding unit;

Figure 11b illustrates locations of five spatial neighbours for a prediction unit generated as the second prediction unit of a vertically divided coding unit; and

Figure 12 illustrates an example of blocks between some spatial neighbours of a coding unit.

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#### DETAILED DESCRIPTION OF SOME EXAMPLE EMBODIMENTS

The following describes in further detail suitable apparatus and possible mechanisms for the provision of improving the prediction accuracy and hence possibly reducing information to be transmitted in video coding systems. In this regard reference is first made to Figure 1 which shows a schematic block diagram of an exemplary apparatus or electronic device 50, which may incorporate a codec according to an embodiment of the invention.

The electronic device 50 may for example be a mobile terminal or user equipment of a wireless communication system. However, it would be appreciated that embodiments of the invention may be

implemented within any electronic device or apparatus which may require encoding and decoding or encoding or decoding video images.

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The apparatus 50 may comprise a housing 30 for incorporating and protecting the device. The apparatus 50 further may comprise a display 32 in the form of a liquid crystal display. In other embodiments of the invention the display may be any suitable display technology suitable to display an image or video. The apparatus 50 may further comprise a keypad 34. In other embodiments of the invention any suitable data or user interface mechanism may be employed. For example the user interface may be implemented as a virtual keyboard or data entry system as part of a touch-sensitive display. The apparatus may comprise a microphone 36 or any suitable audio input which may be a digital or analogue signal input. The apparatus 50 may further comprise an audio output device which in embodiments of the invention may be any one of: an earpiece 38, speaker, or an analogue audio or digital audio output connection. The apparatus 50 may also comprise a battery 40 (or in other embodiments of the invention the device may be powered by any suitable mobile energy device such as solar cell, fuel cell or clockwork generator). The apparatus may further comprise an infrared port 42 for short range line of sight communication to other devices. In other embodiments the apparatus 50 may further comprise any suitable short range communication solution such as for example a Bluetooth wireless connection or a USB/firewire wired connection.

The apparatus 50 may comprise a controller 56 or processor for controlling the apparatus 50. The controller 56 may be connected to memory 58 which in embodiments of the invention may store both data in the form of image and audio data and/or may also store instructions for implementation on the controller 56. The controller 56 may further be connected to codec circuitry 54 suitable for carrying out coding and decoding of audio and/or video data or assisting in coding and decoding carried out by the controller 56.

The apparatus 50 may further comprise a card reader 48 and a smart card 46, for example a UICC and UICC reader for providing user information and being suitable for providing authentication information for authentication and authorization of the user at a network.

The apparatus 50 may comprise radio interface circuitry 52 connected to the controller and suitable for generating wireless communication signals for example for communication with a cellular communications network, a wireless communications system or a wireless local area network. The apparatus 50 may further comprise an antenna 44 connected to the radio interface circuitry 52 for transmitting radio frequency signals generated at the radio interface circuitry 52 to other apparatus(es) and for receiving radio frequency signals from other apparatus(es).

In some embodiments of the invention, the apparatus 50 comprises a camera capable of recording or detecting individual frames which are then passed to the codec 54 or controller for processing. In some embodiments of the invention, the apparatus may receive the video image data for processing from another device prior to transmission and/or storage. In some embodiments of the invention, the apparatus 50 may receive either wirelessly or by a wired connection the image for coding/decoding.

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With respect to Figure 3, an example of a system within which embodiments of the present invention can be utilized is shown. The system 10 comprises multiple communication devices which can communicate through one or more networks. The system 10 may comprise any combination of wired or wireless networks including, but not limited to a wireless cellular telephone network (such as a GSM, UMTS, CDMA network etc), a wireless local area network (WLAN) such as defined by any of the IEEE 802.x standards, a Bluetooth personal area network, an Ethernet local area network, a token ring local area network, a wide area network, and the Internet.

The system 10 may include both wired and wireless communication devices or apparatus 50 suitable for implementing embodiments of the invention.

For example, the system shown in Figure 3 shows a mobile telephone network 11 and a representation of the internet 28. Connectivity to the internet 28 may include, but is not limited to, long range wireless connections, short range wireless connections, and various wired connections including, but not limited to, telephone lines, cable lines, power lines, and similar communication pathways.

The example communication devices shown in the system 10 may include, but are not limited to, an electronic device or apparatus 50, a combination of a personal digital assistant (PDA) and a mobile telephone 14, a PDA 16, an integrated messaging device (IMD) 18, a desktop computer 20, a notebook computer 22. The apparatus 50 may be stationary or mobile when carried by an individual who is moving. The apparatus 50 may also be located in a mode of transport including, but not limited to, a car, a truck, a taxi, a bus, a train, a boat, an airplane, a bicycle, a motorcycle or any similar suitable mode of transport.

Some or further apparatuses may send and receive calls and messages and communicate with service providers through a wireless connection 25 to a base station 24. The base station 24 may be connected to a network server 26 that allows communication between the mobile telephone network 11 and the internet 28. The system may include additional communication devices and communication devices of various types.

The communication devices may communicate using various transmission technologies including, but not limited to, code division multiple access (CDMA), global systems for mobile communications (GSM), universal mobile telecommunications system (UMTS), time divisional multiple access (TDMA), frequency division multiple access (FDMA), transmission control protocol-internet protocol (TCP-IP), short messaging service (SMS), multimedia messaging service (MMS), email, instant messaging service (IMS), Bluetooth, IEEE 802.11 and any similar wireless communication technology. A communications device involved in implementing various embodiments of the present invention may communicate using various media including, but not limited to, radio, infrared, laser, cable connections, and any suitable connection.

With respect to Figure 4a, a block diagram of a video encoder suitable for carrying out embodiments of the invention is shown. Furthermore, with respect to Figures 5a and 5b, the operation of the encoder exemplifying embodiments of the invention specifically with respect to construction of the list of candidate predictions is shown as a flow diagram.

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Figure 4a shows the encoder as comprising a pixel predictor 302, prediction error encoder 303 and prediction error decoder 304. Figure 4a also shows an embodiment of the pixel predictor 302 as comprising an inter-predictor 306, an intra-predictor 308, a mode selector 310, a filter 316, and a reference frame memory 318. In this embodiment the mode selector 310 comprises a block processor 381 and a cost evaluator 382. The encoder may further comprise an entropy encoder 330 for entropy encoding the bit stream.

Figure 4b depicts an embodiment of the inter predictor 306. The inter predictor 306 comprises a reference frame selector 360 for selecting reference frame or frames, a motion vector definer 361, a prediction list modifier 363 and a motion vector selector 364. These elements or some of them may be part of a prediction processor 362 or they may be implemented by using other means.

The pixel predictor 302 receives the image 300 to be encoded at both the inter-predictor 306 (which determines the difference between the image and a motion compensated reference frame 318) and the intra-predictor 308 (which determines a prediction for an image block based only on the already processed parts of the current frame or picture). The output of both the inter-predictor and the intra-predictor may be passed to the mode selector 310. The intra-prediction 308 may have more than one intra-prediction modes. Hence, each mode may perform the intra-prediction and provide the predicted signal to the mode selector 310. The mode selector 310 also receives a copy of the image 300.

The mode selector 310 determines which encoding mode to use to encode the current block. If the mode selector 310 decides to use an inter-prediction mode it will pass the output of the inter-predictor 306 to the output of the mode selector 310. If the mode selector 310 decides to use an intra-prediction mode it will pass the output of one of the intra-predictor modes to the output of the mode selector 310.

The output of the mode selector is passed to a first summing device 321. The first summing device may subtract the pixel predictor 302 output from the image 300 to produce a first prediction error signal 320 which is input to the prediction error encoder 303.

The pixel predictor 302 further receives from a preliminary reconstructor 339 the combination of the prediction representation of the image block 312 and the output 338 of the prediction error decoder 304. The preliminary reconstructed image 314 may be passed to the intra-predictor 308 and to a filter 316. The filter 316 receiving the preliminary representation may filter the preliminary representation and output a final reconstructed image 340 which may be saved in a reference frame memory 318. The reference frame memory 318 may be connected to the inter-predictor 306 to be used as the reference image against which the future image 300 is compared in inter-prediction operations.

The operation of the pixel predictor 302 may be configured to carry out any known pixel prediction algorithm known in the art.

The pixel predictor 302 may also comprise a filter 385 to filter the predicted values before outputting them from the pixel predictor 302.

The operation of the prediction error encoder 302 and prediction error decoder 304 will be described hereafter in further detail. In the following examples the encoder generates images in terms of 16x16 pixel macroblocks which go to form the full image or picture. Thus, for the following examples

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the pixel predictor 302 outputs a series of predicted macroblocks of size 16x16 pixels and the first summing device 321 outputs a series of 16x16 pixel residual data macroblocks which may represent the difference between a first macro-block in the image 300 against a predicted macro-block (output of pixel predictor 302). It would be appreciated that other size macro blocks may be used.

The prediction error encoder 303 comprises a transform block 342 and a quantizer 344. The transform block 342 transforms the first prediction error signal 320 to a transform domain. The transform is, for example, the DCT transform. The quantizer 344 quantizes the transform domain signal, e.g. the DCT coefficients, to form quantized coefficients.

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The prediction error decoder 304 receives the output from the prediction error encoder 303 and performs the opposite processes of the prediction error encoder 303 to produce a decoded prediction error signal 338 which when combined with the prediction representation of the image block 312 at the second summing device 339 produces the preliminary reconstructed image 314. The prediction error decoder may be considered to comprise a dequantizer 346, which dequantizes the quantized coefficient values, e.g. DCT coefficients, to reconstruct the transform signal and an inverse transformation block 348, which performs the inverse transformation to the reconstructed transform signal wherein the output of the inverse transformation block 348 contains reconstructed block(s). The prediction error decoder may also comprise a macroblock filter (not shown) which may filter the reconstructed macroblock according to further decoded information and filter parameters.

In the following the operation of an example embodiment of the inter predictor 306 will be described in more detail. The inter predictor 306 receives the current block for inter prediction. It is assumed that for the current block there already exists one or more neighbouring blocks which have been encoded and motion vectors have been defined for them. For example, the block on the left side and/or the block above the current block may be such blocks. Spatial motion vector predictions for the current block can be formed e.g. by using the motion vectors of the encoded neighbouring blocks and/or of non-neighbour blocks in the same slice or frame, using linear or non-linear functions of spatial motion vector predictions, using a combination of various spatial motion vector predictors with linear or non-linear operations, or by any other appropriate means that do not make use of temporal reference information. It may also be possible to obtain motion vector predictors by combining both spatial and temporal prediction information of one or more encoded blocks. These kinds of motion vector predictors may also be called as spatio-temporal motion vector predictors.

Reference frames used in encoding the neighbouring blocks have been stored to the reference frame memory 404. The reference frames may be short term references or long term references and each reference frame may have a unique index indicative of the location of the reference frame in the reference frame memory. When a reference frame is no longer used as a reference frame it may be removed from the reference frame memory or marked as a non-reference frame wherein the storage location of that reference frame may be occupied for a new reference frame. In addition to the reference frames of the neighbouring blocks the reference frame selector 360 may also select one or more other frames as potential reference frames and store them to the reference frame memory.

Motion vector information of encoded blocks is also stored into the memory so that the inter predictor 306 is able to retrieve the motion vector information when processing motion vector candidates for the current block.

In some embodiments the motion vectors are stored into one or more lists. For example, motion vectors of uni-directionally predicted frames (e.g. P-frames) may be stored to a list called as list 0. For bidirectionally predicted frames (e.g. B-frames) there may be two lists (list 0 and list 1) and for multipredicted frames there may be more than two lists. Reference frame indices possibly associated with the motion vectors may also be stored in one or more lists.

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In some embodiments there may be two or more motion vector prediction procedures and each procedure may have its own candidate set creation process. In one procedure, only the motion vector values are used. In another procedure, which may be called as a Merge Mode, each candidate element may comprise 1) The information whether 'block was uni-predicted using only list0' or 'block was uni-predicted using only list1' or 'block was bi-predicted using list0 and list1' 2) motion vector value for list0 3) Reference picture index in list0 4) motion vector value for list1 5) Reference picture index list1. Therefore, whenever two prediction candidates are to be compared, not only the motion vector values are compared, but also the five values mentioned above may be compared to determine whether they correspond with each other or not. On the other hand, if any of the comparisons indicate that the prediction candidates do not have equal motion information, no further comparisons need be performed.

The motion vector definer 361 defines candidate motion vectors for the current frame by using one or more of the motion vectors of one or more neighbour blocks and/or other blocks of the current block in the same frame and/or co-located blocks and/or other blocks of the current block in one or more other frames. These candidate motion vectors can be called as a set of candidate predictors or a predictor set. Each candidate predictor thus represents the motion vector of one or more already encoded block. In some embodiments the motion vector of the candidate predictor is set equal to the motion vector of a neighbour block for the same list if the current block and the neighbour block refer to the same reference frames for that list. Also for temporal prediction there may be one or more previously encoded frames wherein motion vectors of a co-located block or other blocks in a previously encoded frame can be selected as candidate predictors for the current block. The temporal motion vector predictor candidate can be generated by any means that make use of the frames other than the current frame.

The candidate motion vectors can also be obtained by using more than one motion vector of one or more other blocks such as neighbour blocks of the current block and/or co-located blocks in one or more other frames. As an example, any combination of the motion vector of the block to the left of the current block, the motion vector of the block above the current block, and the motion vector of the block at the up-right corner of the current block may be used (i.e. the block to the right of the block above the current block). The combination may be a median of the motion vectors or calculated by using other formulas. For example, one or more of the motion vectors to be used in the combination may be scaled by a scaling factor, an offset may be added, and/or a constant motion vector may be added. In some embodiments the combined motion vector is based on both temporal and spatial motion vectors, e.g. the

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motion vector of one or more of the neighbour block or other block of the current block and the motion vector of a co-located block or other block in another frame.

If a neighbour block does not have any motion vector information a default motion vector such as a zero motion vector may be used instead.

Figure 9 illustrates an example of a coding unit 900 and some neighbour blocks 901—905 of the coding unit. As can be seen from Figure 9, if the coding unit 900 represents the current block, the neighbouring blocks 901—905 labelled A0, A1, B0, B1 and B2 could be such neighbour blocks which may be used when obtaining the candidate motion vectors.

Creating additional or extra motion vector predictions based on previously added predictors may be needed when the current number of candidates is limited or insufficient. This kind of creating additional candidates can be performed by combining previous two predictions and/or processing one previous candidate by scaling or adding offset and/or adding a zero motion vector with various reference indices. Hence, the motion vector definer 361 may examine how many motion vector candidates can be defined and how many potential candidate motion vectors exist for the current block. If the number of potential motion vector candidates is smaller than a threshold, the motion vector definer 361 may create additional motion vector predictions.

In some embodiments the combined motion vector can be based on motion vectors in different lists. For example, one motion vector may be defined by combining one motion vector from the list 0 and one motion vector from the list 1 e.g. when the neighbouring or co-located block is a bi-directionally predicted block and there exists one motion vector in the list 0 and one motion vector in the list 1 for the bi-directionally predicted block.

To distinguish the current block from the encoded/decoded blocks the motion vectors of which are used as candidate motion vectors, those encoded/decoded blocks are also called as reference blocks in this application.

In some embodiments not only the motion vector information of the reference block(s) is obtained (e.g. by copying) but also a reference index of the reference block in the reference picture list may be copied to the candidate list. The information whether the block was uni-predicted using only list0 or the block was uni-predicted using only list1 or the block was bi-predicted using list0 and list1 may also be copied. The candidate list may also be called as a candidate set or a set of motion vector prediction candidates.

Figure 6a illustrates an example of spatial and temporal prediction of a prediction unit. There is depicted the current block 601 in the frame 600 and a neighbour block 602 which already has been encoded. The motion vector definer 361 has defined a motion vector 603 for the neighbour block 602 which points to a block 604 in the previous frame 605. This motion vector can be used as a potential spatial motion vector prediction 610 for the current block. Figure 6a depicts that a co-located block 606 in the previous frame 605, i.e. the block at the same location than the current block but in the previous frame, has a motion vector 607 pointing to a block 609 in another frame 608. This motion vector 607 can be used as a potential temporal motion vector prediction-611 for the current frame.

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Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit. In this example the block 606 of the previous frame 605 uses bi-directional prediction based on the block 609 of the frame preceding the frame 605 and on the block 612 succeeding the current frame 600. The temporal motion vector prediction for the current block 601 may be formed by using both the motion vectors 607, 614 or either of them.

The operation of the prediction list modifier 363 will now be described in more detail with reference to the flow diagram of Figures 5a and 5b. The prediction list modifier 363 initializes a motion vector prediction list to default values in block 500 of Figure 5a. The prediction list modifier 363 may also initialize a list index to an initial value such as zero. Then, in block 501 the prediction list modifier checks whether there are any motion vector candidates to process. If there is at least one motion vector candidate in the predictor set for processing, the prediction list modifier 363 generates the next motion vector candidate which may be a temporal motion vector or a spatial motion vector. The comparison can be an identicality/equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

In the following, a merge process for motion information coding according to an example embodiment will be described in more detail. The encoder creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding unit or prediction unit. The motion prediction candidates may consist of several spatial motion predictions and a temporal motion prediction. The spatial candidates can be obtained from the motion information of e.g. the spatial neighbour blocks A0, A1, B0, B1, B2, whose motion information is used as spatial candidate motion predictions. The temporal motion prediction candidate may be obtained by processing the motion of a block in a frame other than the current frame. In this example embodiment, the encoder operations to construct the merge list for the spatial candidates may include the following. The operations may be carried out by the prediction list modifier 363, for example.

A maximum number of spatial motion prediction candidates to be included in the merge list may be defined. This maximum number may have been stored, for example, to the memory 58 of the apparatus 50, or to another appropriate place. It is also possible to determine the maximum number by using other means, or it may be determined in the software of the encoder of the apparatus 50.

In some embodiments the maximum number of spatial motion prediction candidates to be included in the merge list is four but in some embodiments the maximum number may be less than four or greater than four.

In this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2. The spatial motion vector prediction candidate A1 is located on the left side of the prediction unit when the encoding/decoding order is from left to right and from top to bottom of the frame, slice or another entity to be encoded/decoded. Respectively, the spatial motion vector prediction candidate B1 is located above the prediction unit; the spatial motion vector prediction candidate B0 is on the right side of the spatial motion vector prediction candidate A0 is below the spatial motion vector prediction candidate A1; and the spatial motion vector prediction

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candidate B2 is located on the same column than spatial motion vector prediction candidate A1 and on the same row than the spatial motion vector prediction candidate B1. In other words, the spatial motion vector prediction candidate B2 is cornerwise neighbouring the prediction unit as can be seen e.g. from Figure 9.

These spatial motion prediction candidates can be processed in a predetermined order, for example, A1, B1, B0, A0 and B2. The first spatial motion prediction candidate to be selected for further examination is thus A1. Before further examination is performed for the selected spatial motion prediction candidate, it may be determined whether the merge list already contains a maximum number of spatial motion prediction candidates. Hence, the prediction list modifier 363 compares 502 the number of spatial motion prediction candidates in the merge list with the maximum number, and if the number of spatial motion prediction candidates in the merge list is not less than the maximum number, the selected spatial motion prediction candidate is not included in the merge list and the process of constructing the merge list can be stopped 526. On the other hand, if the number of spatial motion prediction candidates in the merge list is less than the maximum number, a further analyses of the selected spatial motion prediction candidate is performed (blocks 504-522).

For all the spatial motion prediction candidates for which the further analyses is to be performed, some or all of the following conditions below may be tested for determining whether to include the spatial motion prediction candidate in the merge list.

The prediction list modifier 363 examines 504 if the prediction unit or block covering the spatial motion prediction candidate block is not available for motion prediction. If so, the candidate is not included in the merge list. The reason that the block is not available may be that the block is either coded in intra mode or resides in a different slice or outside of the picture area.

In addition to the common conditions above, for each spatial motion prediction candidate, if any of the following conditions holds, then the candidate is not included in the merge list, otherwise, it is included.

The prediction list modifier 363 determines 506 which spatial motion prediction candidate of the set of spatial motion prediction candidates is in question. If the spatial motion prediction candidate is the block A1, one or more of the following conditions may be examined 508, 510 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is vertically split into two rectangle prediction units 103, 104 as depicted in Figure 10b and the current prediction unit is the second prediction unit 104 in the coding/decoding order (508), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not vertically split into two rectangle prediction units but it is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit in the coding/decoding order and the block A1 has the same motion information as the block B1 (510), this spatial motion prediction candidate (block A1) is not included in the merge list. In the example of Figure 10a the second prediction unit is the lower prediction unit 102 of the coding unit 100 and in the example of Figure 10b the second prediction unit is the rightmost prediction unit 104 of the coding unit 100. If

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none of the conditions above is fulfilled the block A1 is included in the merge list as a spatial motion prediction candidate (524).

If the spatial motion prediction candidate is the block B1, one or more of the following conditions may be examined 512, 514 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit 104 in the coding/decoding order (512), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not horizontally split into two rectangle prediction units and if the block B1 has the same motion information than the block A1 (514), this spatial motion prediction candidate (block B1) is not included in the merge list. If none of the conditions above is fulfilled the block B1 is included in the merge list as a spatial motion prediction candidate (524).

If the spatial motion prediction candidate is the block B0, this spatial motion prediction candidate is not included in the merge list if the block B0 has the same motion information than the block B1 (516). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block B0) is included in the merge list (524).

If the spatial motion prediction candidate is the block A0, this spatial motion prediction candidate is not included in the merge list if the block A0 has the same motion information than the block A1 (518). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block A0) is included in the merge list (524).

If the spatial motion prediction candidate is the block B2, this spatial motion prediction candidate is not included in the merge list if the maximum number of spatial motion prediction candidates is four and the other blocks A0, A1, B0, and B1 are all decided to be included in the merge list (520). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, the block B2 is not included in the merge list if the block B2 has the same motion information than the block B1 or the block A1 (522).

Then, after processing the blocks A1, B1, B0, A0 and B2 and including a subset of them in the merge list based on the above described conditions, no more redundancy check between these candidates are performed and remaining temporal motion prediction candidate and/or other possible additional candidates may be processed.

Comparing two blocks whether they have the same motion may be performed by comparing all the elements of the motion information, namely 1) The information whether 'the prediction unit is unipredicted using only reference picture list0' or 'the prediction unit is uni-predicted using only reference picture list1' or 'the prediction unit is bi-predicted using both reference picture list0 and list1' 2) Motion vector value corresponding to the reference picture list0 3) Reference picture index in the reference picture list0 4) Motion vector value corresponding to the reference picture list1 5) Reference picture index in the reference picture list1.

In some embodiments similar restrictions for comparing candidate pairs can be applied if the current coding unit is coded/decoded by splitting into four or any number of prediction units.

The maximum number of merge list candidates can be any non-zero value. In the example above the merger list candidates were the spatial neighbour blocks A0, A1, B0, B1, B2 and the temporal motion prediction candidate, but there may be more than one temporal motion prediction candidate and also other spatial motion prediction candidates than the spatial neighbour blocks. In some embodiments there may also be other spatial neighbour blocks than the blocks A0, A1, B0, B1, B2.

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It is also possible that the maximum number of spatial motion prediction candidates included in the list can be different than four.

In some embodiments the maximum number of merge list candidates and maximum number of spatial motion prediction candidates included in the list can depend on whether a temporal motion vector candidate is included in the list or not.

A different number of spatial motion prediction candidates located at various locations in the current frame can be processed. The locations can be the same as or different than A1, B1, B0, A0 and B2.

The decision of including which spatial motion prediction candidates in the list can be realized in two steps. In the first step, some of the candidates are eliminated by checking whether the candidate block is available and/or the candidate block's prediction mode is intra and/or whether the current block is a second prediction unit of a coding unit coded with two prediction units and the candidate has the same motion with the first prediction unit. In the second step, remaining candidates are examined and some or all of them are included in the merge list. The examination in the second step does not include comparing motion information of each possible candidate pair but includes a subset of the possible comparison combinations.

The decisions for the candidates can be taken in any order of A1, B1, B0, A0 and B2 or independently in parallel.

For each candidate and/or a subset of the candidates, the following conditions may also be checked: Whether the candidate block has the same motion as the first prediction unit of the current coding unit when the current coding unit is split into two rectangle prediction units and the current prediction unit is the second prediction unit in the coding/decoding order.

Additional conditions related to various properties of current and/or previous slices and/or current and/or neighbour blocks can be utilized for determining whether to include a candidate in the list.

Motion comparison can be realized by comparing a subset of the whole motion information. For example, only the motion vector values for some or all reference picture lists and/or reference indices for some or all reference picture lists and/or an identifier value assigned to each block to represent its motion information can be compared. The comparison can be an identicality or an equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

Conditions for deciding whether a candidate is to be included in the list can include motion information comparison with any subset of the candidates as long as not all possible candidate pairs are compared eventually.

Deciding whether a temporal motion vector candidate is to be included in the list can be based on comparing its motion information with motion information of a subset of the spatial motion vector prediction candidates.

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When comparing motion information of two blocks, motion information of additional blocks can be considered too. For example, when comparing the block B2 and the block A1, all the blocks between the block B2 and the block A1 (illustrated in Figure 12) are checked whether they have the same motion; and when comparing the block B2 and the block B1, all the blocks between the block B2 and the block B1 (illustrated in Figure 12) are checked whether they have the same motion. This embodiment can be implemented so that the right-most block of each prediction unit or all blocks of each prediction unit may store the information of how many consecutive blocks to the above have the same motion information. Also the bottom-most block of each prediction unit or all blocks of each prediction unit may store the information of how many consecutive blocks to the left have the same motion information. Using this information the condition for not including B0 in the list can be realized by checking if the number of consecutive blocks with the same motion to the left of B0 is greater than 0. The condition for not including A0 in the list can be realized by checking if the number of consecutive blocks with same motion to the above of A0 is greater than 0. The conditions for not including B2 can be modified as follows:

It is not examined whether the block B2 has same motion as the block B1 or whether the block B2 has same motion as the block A1, but how many consecutive blocks exists to the left of the block B1 with the same motion than the block B1 and/or how many consecutive blocks exist above the block A1 with the same motion. If the number of consecutive blocks with the same motion to the left of the block B1 is greater than the number of blocks between B2 and B1, or if the number of consecutive blocks with the same motion above the block A1 is greater than the number of blocks between the block B2 and the block A1, the block B2 is not included in the merge list.

If the above implementation is used, the value of how many consecutive blocks to the left/above have the same motion information can be determined by direct comparison of motion information or checking the prediction mode and/or the merge index if the block employs a merge process.

When coding/decoding the selected merge index, the information whether the merge process is employed for coding/decoding a Skip mode coding unit or an Inter Merge mode prediction unit can be taken into account. For example, if a context adaptive binary arithmetic coder (CABAC) is used for entropy coding/decoding, different contexts can be used for the bins depending on the coding mode (Skip mode or inter merge mode) of the current block. Furthermore, assigning two contexts depending on whether the merge process is employed in a Skip mode coding unit or an inter Merge mode prediction unit can be applied for only the most significant bin of the merge index.

During the process of removal of redundant candidates, comparison between motion vector predictor candidates can also be based on any other information than the motion vector values. For example, it can be based on linear or non-linear functions of motion vector values, coding or prediction types of the blocks used to obtain the motion information, block size, the spatial location in the frame/(largest) coding unit/macroblock, the information whether blocks share the same motion with a block, the information whether blocks are in the same coding/prediction unit, etc.

The following pseudo code illustrates an example embodiment of the invention for constructing the merging list.

Inputs to this process are

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- 10 a luma location (xP, yP) specifying the top-left luma sample of the current prediction unit relative to the top-left sample of the current picture;
  - variables specifying the width and the height of the prediction unit for luma, nPSW and nPSH; and
  - a variable PartIdx specifying the index of the current prediction unit within the current coding unit. Outputs of this process are (with N being replaced by  $A_0$ ,  $A_1$ ,  $B_0$ ,  $B_1$  or  $B_2$  and with X being replaced by 0 or 1)
  - the availability flags availableFlagN of the neighbouring prediction units,
  - the reference indices refldxLXN of the neighbouring prediction units,
  - the prediction list utilization flags predFlagLXN of the neighbouring prediction units,
  - the motion vectors mvLXN of the neighbouring prediction units.
- For the derivation of availableFlagN, with N being A<sub>0</sub>, A<sub>1</sub>, B<sub>0</sub>, B<sub>1</sub> or B<sub>2</sub> and (xN, yN) being (xP-1, yP + nPSH), (xP-1, yP + nPSH-1), (xP + nPSW, yP-1), (xP+nPSW-1, yP-1) or (xP-1, yP-1), the following applies.
  - If one of the following conditions is true, the availableFlagN is set equal to 0, both components mvLXN are set equal to 0, refldxLXN and predFlagLX[xN, yN] of the prediction unit covering luma location (xN, yN) are assigned respectively to mvLXN, refldxLXN and predFlagLXN.
    - N is equal to  $B_2$  and availableFlag $A_0$  + availableFlag $A_1$  + availableFlag $B_0$  + availableFlag $B_1$  is equal to 4.
    - The prediction unit covering luma location (xN, yN) is not available or PredMode is MODE INTRA.
- N is equal to A1 and PartMode of the current prediction unit is PART\_Nx2N or PART\_nLx2N or PART nRx2N and PartIdx is equal to 1.
  - N is equal to A1 and PartMode of the current prediction unit is PART\_2NxN or PART\_2NxnU or PART\_2NxnD and PartIdx is equal to 1 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
    - mvLX[xP+nPSW-1, yP-1] = mvLX[xN, yN]
      - refIdxLX[xP+nPSW-1, yP-1] == refIdxLX[xN, yN]
      - predFlagLX[xP+nPSW-1, yP-1] == predFlagLX[xN, yN]

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 N is equal to B1 and PartMode of the current prediction unit is 2NxN or PART\_2NxnU or PART\_2NxnD and PartIdx is equal to 1.

- N is equal to B1 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N=A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- 5 mvLX[xP-1, yP+nPSH-1] = mvLX[xN, yN]
  - refldxLX[xP-1, yP+nPSH-1] == refldxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] == predFlagLX[xN, yN]
  - N is equal to B0 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1)
     and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP+nPSW-1, yP-1] = mvLX[xN, yN]
  - refldxLX[xP+nPSW-1, yP-1] = refldxLX[xN, yN]
  - predFlagLX[xP+nPSW-1, yP-1] == predFlagLX[xN, yN]
  - N is equal to A0 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N = A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP-1, yP+nPSH-1] = = mvLX[xN, yN]
  - refIdxLX[xP-1, yP+nPSH-1] = = refIdxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] == predFlagLX[xN, yN]
  - N is equal to B2 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP+nPSW-1, yP-1] == mvLX[xN, yN]
  - refIdxLX[xP+nPSW-1, yP-1] == refIdxLX[xN, yN]
  - predFlagLX[xP+nPSW-1, yP-1] == predFlagLX[xN, yN]
  - N is equal to B2 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N = A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP-1, yP+nPSH-1] = = mvLX[xN, yN]
  - refIdxLX[xP-1, yP+nPSH-1] = = refIdxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] = = predFlagLX[xN, yN]
  - PartMode of the current prediction unit is PART\_NxN and PartIdx is equal to 3 and the
    prediction units covering luma location (xP-1, yP) (PartIdx = 2) and luma location (xP-1,
- 30 yP-1) (PartIdx = 0) have identical motion parameters:
  - $\quad mvLX[xP-1, yP] = = mvLX[xP-1, yP-1]$
  - refIdxLX[xP-1, yP] = = refIdxLX[xP-1, yP-1]
  - predFlagLX[xP-1, yP] = = predFlagLX[xP-1, yP-1]

and the prediction units covering luma location (xP, yP-1) (PartIdx = 1) and luma location (xN, yN) (Cand. N) have identical motion parameters:

- mvLX[xP, yP-1] = mvLX[xN, yN]

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- refIdxLX[xP, yP-1] = refIdxLX[xN, yN]
- predFlagLX[xP, yP-1] == predFlagLX[xN, yN]

PartMode of the current prediction unit is PART\_NxN and PartIdx is equal to 3 and the prediction units covering luma location (xP, yP-1) (PartIdx = 1) and luma location (xP-1, yP-1) (PartIdx = 0) have identical motion parameters:

$$- mvLX[xP, yP-1] == mvLX[xP-1, yP-1]$$

- refldxLX[xP, yP-1] == refldxLX[xP-1, yP-1]

- predFlagLX[xP, yP-1] == predFlagLX[xP-1, yP-1]

and the prediction units covering luma location (xP-1, yP) (PartIdx = 2) and luma location (xN, yN) (Cand. N) have identical motion parameters:

$$- \quad mvLX[xP-1, yP] = = mvLX[xN, yN]$$

10 - refldxLX[xP-1, yP] = = refldxLX[xN, yN]

- predFlagLX[xP-1, yP] == predFlagLX[xN, yN]

Otherwise, availableFlagN is set equal to 1 and the variables mvLX[xN, yN], refldxLX[xN, yN] and predFlagLX[xN, yN] of the prediction unit covering luma location (xN, yN) are assigned respectively to mvLXN, refldxLXN and predFlagLXN.

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For the motion vector predictor candidate list generation process, each list candidate can include more information than the motion vector value, such as the reference lists used, the reference frames used in each list and motion vector for each list.

When all motion vector candidates have been examined, one motion vector is selected to be used as the motion vector for the current block. The motion vector selector 364 may examine different motion vectors in the list and determine which motion vector provides the most efficient encoding result, or the selection of the motion vector may be based on to other criteria as well. Information of the selected motion vector is provided for the mode selector for encoding and transmission to the decoder or for storage when the mode selector determines to use inter prediction for the current block. The information may include the index of the motion vector in the list, and/or motion vector parameters or other appropriate information.

The selected motion vector and the block relating to the motion vector is used to generate the prediction representation of the image block 312 which is provided as the output of the mode selector. The output may be used by the first summing device 321 to produce the first prediction error signal 320, as was described above.

The selected motion vector predictor candidate can be modified by adding a motion vector difference or can be used directly as the motion vector of the block. Moreover, after the motion compensation is performed by using the selected motion vector predictor candidate, the residual signal of the block can be transform coded or skipped to be coded.

Although the embodiments above have been described with respect to the size of the macroblock being 16x16 pixels, it would be appreciated that the methods and apparatus described may be configured to handle macroblocks of different pixel sizes.

In the following the operation of an example embodiment of the decoder 600 is depicted in more detail with reference to Figure 7.

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At the decoder side similar operations are performed to reconstruct the image blocks. Figure 7 shows a block diagram of a video decoder 700 suitable for employing embodiments of the invention and Figures 8a and 8b show a flow diagram of an example of a method in the video decoder. The bitstream to be decoded may be received from the encoder, from a network element, from a storage medium or from another source. The decoder is aware of the structure of the bitstream so that it can determine the meaning of the entropy coded codewords and may decode the bitstream by an entropy decoder 701 which performs entropy decoding on the received signal. The entropy decoder thus performs the inverse operation to the entropy encoder 330 of the encoder described above. The entropy decoder 701 outputs the results of the entropy decoding to a prediction error decoder 702 and a pixel predictor 704.

In some embodiments the entropy coding may not be used but another channel encoding may be in use, or the encoded bitstream may be provided to the decoder 700 without channel encoding. The decoder 700 may comprise a corresponding channel decoder to obtain the encoded codewords from the received signal.

The pixel predictor 704 receives the output of the entropy decoder 701. The output of the entropy decoder 701 may include an indication on the prediction mode used in encoding the current block. A predictor selector 714 within the pixel predictor 704 determines that an intra-prediction or an interprediction is to be carried out. The predictor selector 714 may furthermore output a predicted representation of an image block 716 to a first combiner 713. The predicted representation of the image block 716 is used in conjunction with the reconstructed prediction error signal 712 to generate a preliminary reconstructed image 718. The preliminary reconstructed image 718 may be used in the predictor 714 or may be passed to a filter 720. The filter 720, if used, applies a filtering which outputs a final reconstructed signal 722. The final reconstructed signal 722 may be stored in a reference frame memory 724, the reference frame memory 724 further being connected to the predictor 714 for prediction operations.

Also the prediction error decoder 702 receives the output of the entropy decoder 701. A dequantizer 792 of the prediction error decoder 702 may dequantize the output of the entropy decoder 701 and the inverse transform block 793 may perform an inverse transform operation to the dequantized signal output by the dequantizer 792. The output of the entropy decoder 701 may also indicate that prediction error signal is not to be applied and in this case the prediction error decoder produces an all zero output signal.

The decoder selects the 16x16 pixel residual macroblock to reconstruct. This residual macroblock is also called as a current block.

The decoder may receive information on the encoding mode used in encoding of the current block. The indication is decoded, when necessary, and provided to the reconstruction processor 791 of the prediction selector 714. The reconstruction processor 791 examines the indication and selects one of the intra-prediction mode(s), if the indication indicates that the block has been encoded using intra-

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prediction, or the inter-prediction mode, if the indication indicates that the block has been encoded using inter-prediction.

For inter-prediction mode the reconstruction processor 791 may comprise one or more elements corresponding to the prediction processor 362 of the encoder, such as a motion vector definer, a prediction list modifier and/or a motion vector selector.

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The reconstruction processor 791 initializes a motion vector prediction list to default values in block 800. As was the case in the encoding part, in this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2 and these spatial motion prediction candidates are processed in the same predetermined order than in the encoder: A1, B1, B0, A0 and B2. The first spatial motion prediction candidate to be selected for further examination is thus A1. Before further examination is performed for the selected spatial motion prediction candidate, it is examined whether the merge list already contains a maximum number of spatial motion prediction candidates. If the number of spatial motion prediction candidates in the merge list is not less than the maximum number, the selected spatial motion prediction candidate is not included in the merge list and the process of constructing the merge list can be stopped 826. On the other hand, if the number of spatial motion prediction candidates in the merge list is less than the maximum number, a further analyses of the selected spatial motion prediction candidate is performed (blocks 804-822).

The decoder examines 804 if the prediction unit or block covering the spatial motion prediction candidate block is not available for motion prediction. If so, the candidate is not included in the merge list. The reason that the block is not available may be that the block is either coded in intra mode or resides in a different slice or outside of the picture area.

In addition to the common conditions above, for each spatial motion prediction candidate, if any of the following conditions holds, then the candidate is not included in the merge list, otherwise, it is included.

The decoder determines 806 which spatial motion prediction candidate of the set of spatial motion prediction candidates is in question. If the spatial motion prediction candidate is the block A1, one or more of the following conditions may be examined 808, 810 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is vertically split into two rectangle prediction units 103, 104 as depicted in Figure 10b and the current prediction unit is the second prediction unit 104 in the coding/decoding order (808), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not vertically split into two rectangle prediction units but it is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit in the coding/decoding order and the block A1 has the same motion information as the block B1 (810), this spatial motion prediction candidate (block A1) is not included in the merge list. In the example of Figure 10a the second prediction unit is the lower prediction unit 102 of the coding unit 100 and in the example of Figure 10b the second prediction unit is the rightmost prediction unit 104 of the coding unit 100. If none of the conditions above is fulfilled the block A1 is included in the merge list as a spatial motion prediction candidate (824).

If the spatial motion prediction candidate is the block B1, one or more of the following conditions may be examined 812, 814 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit 104 in the coding/decoding order (812), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not horizontally split into two rectangle prediction units and if the block B1 has the same motion information than the block A1 (814), this spatial motion prediction candidate (block B1) is not included in the merge list. If none of the conditions above is fulfilled the block B1 is included in the merge list as a spatial motion prediction candidate (824).

If the spatial motion prediction candidate is the block B0, this spatial motion prediction candidate is not included in the merge list if the block B0 has the same motion information than the block B1 (816). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block B0) is included in the merge list (824).

If the spatial motion prediction candidate is the block A0, this spatial motion prediction candidate is not included in the merge list if the block A0 has the same motion information than the block A1 (818). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block A0) is included in the merge list (824).

If the spatial motion prediction candidate is the block B2, this spatial motion prediction candidate is not included in the merge list if the maximum number of spatial motion prediction candidates is four and the other blocks A0, A1, B0, and B1 are all decided to be included in the merge list (820). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, the block B2 is not included in the merge list if the block B2 has the same motion information than the block B1 or the block A1 (822).

Then, after processing the blocks A1, B1, B0, A0 and B2 and including a subset of them in the merge list based on the above described conditions, no more redundancy check between these candidates are performed and remaining temporal motion prediction candidate and/or other possible additional candidates may be processed.

When the merge list has been constructed the decoder may use 828 the indication of the motion vector received from the encoder to select the motion vector for decoding the current block. The indication may be, for example, an index to the merge list.

Basically, after the reconstruction processor 791 has constructed the merge list, it would correspond with the merge list constructed by the encoder if the reconstruction processor 791 has the same information available than the encoder had. If some information has been lost during transmission the information from the encoder to the decoder, it may affect the generation of the merge list in the decoder 700.

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The above examples describe the operation mainly in the merge mode but the encoder and decoder may also operate in other modes.

The embodiments of the invention described above describe the codec in terms of separate encoder and decoder apparatus in order to assist the understanding of the processes involved. However, it would be appreciated that the apparatus, structures and operations may be implemented as a single encoder-decoder apparatus/structure/operation. Furthermore in some embodiments of the invention the coder and decoder may share some or all common elements.

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Although the above examples describe embodiments of the invention operating within a codec within an electronic device, it would be appreciated that the invention as described below may be implemented as part of any video codec. Thus, for example, embodiments of the invention may be implemented in a video codec which may implement video coding over fixed or wired communication paths.

Thus, user equipment may comprise a video codec such as those described in embodiments of the invention above.

It shall be appreciated that the term user equipment is intended to cover any suitable type of wireless user equipment, such as mobile telephones, portable data processing devices or portable web browsers.

Furthermore elements of a public land mobile network (PLMN) may also comprise video codecs as described above.

In general, the various embodiments of the invention may be implemented in hardware or special purpose circuits, software, logic or any combination thereof. For example, some aspects may be implemented in hardware, while other aspects may be implemented in firmware or software which may be executed by a controller, microprocessor or other computing device, although the invention is not limited thereto. While various aspects of the invention may be illustrated and described as block diagrams, flow charts, or using some other pictorial representation, it is well understood that these blocks, apparatus, systems, techniques or methods described herein may be implemented in, as non-limiting examples, hardware, software, firmware, special purpose circuits or logic, general purpose hardware or controller or other computing devices, or some combination thereof.

The embodiments of this invention may be implemented by computer software executable by a data processor of the mobile device, such as in the processor entity, or by hardware, or by a combination of software and hardware. Further in this regard it should be noted that any blocks of the logic flow as in the Figures may represent program steps, or interconnected logic circuits, blocks and functions, or a combination of program steps and logic circuits, blocks and functions. The software may be stored on such physical media as memory chips, or memory blocks implemented within the processor, magnetic media such as hard disk or floppy disks, and optical media such as for example DVD and the data variants thereof, CD.

The memory may be of any type suitable to the local technical environment and may be implemented using any suitable data storage technology, such as semiconductor based memory devices, magnetic memory devices and systems, optical memory devices and systems, fixed memory and

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removable memory. The data processors may be of any type suitable to the local technical environment, and may include one or more of general purpose computers, special purpose computers, microprocessors, digital signal processors (DSPs) and processors based on multi core processor architecture, as non limiting examples.

Embodiments of the inventions may be practiced in various components such as integrated circuit modules. The design of integrated circuits is by and large a highly automated process. Complex and powerful software tools are available for converting a logic level design into a semiconductor circuit design ready to be etched and formed on a semiconductor substrate.

Programs, such as those provided by Synopsys, Inc. of Mountain View, California and Cadence Design, of San Jose, California automatically route conductors and locate components on a semiconductor chip using well established rules of design as well as libraries of pre stored design modules. Once the design for a semiconductor circuit has been completed, the resultant design, in a standardized electronic format (e.g., Opus, GDSII, or the like) may be transmitted to a semiconductor fabrication facility or "fab" for fabrication.

The foregoing description has provided by way of exemplary and non-limiting examples a full and informative description of the exemplary embodiment of this invention. However, various modifications and adaptations may become apparent to those skilled in the relevant arts in view of the foregoing description, when read in conjunction with the accompanying drawings and the appended claims. However, all such and similar actions of the teaching of this invention will full full within

#### 20 the scope of this invention.

#### In the following some examples will be provided.

In some embediments a method comprises:

receiving a block of pixels including a prediction unit, determining a set of spatial motion vector prediction candidates for the block of pixels; the spatial motion vector prediction candidates being

selecting a first spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

determining a subset of spatial motion vector predictions based on the location of the block

comparing motion information of the first spatial motion vector prediction candidate with motion information of the spatial motion vector prediction candidate in the determined subset of spatial motion vector prediction candidates:

if at least one of the comparisons indicates that the motion vector information of the spatial motion vector prediction candidates correspond with each other, excluding the first spatial motion vector modistion candidates from the more list.

In some embediments the method comprises including neighbouring blocks of the received block of pixels in the set of spatial motion vector prediction candidates.

### Claims:

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## 1. A method comprising:

receiving a block (900) of pixels including a prediction unit;

determining for the block (900) of pixels a set of spatial motion vector prediction candidates located below-left (901), left (902), above-left (905), above (904) and above-right (903) of the prediction unit; the spatial motion vector prediction candidates being provided with motion information comprising at least a motion vector and a reference index;

determining a subset of spatial motion vector prediction candidate pairs among existing spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the subset of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate (610);

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list;

wherein the method further comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels;

# characterised in that the method further comprises:

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list;

limiting the number of spatial motion vector prediction candidates in the merge list smaller than or equal to the maximum number;

if the number of spatial motion vector prediction candidates in the merge list is smaller than the maximum number, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate (902) is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (902) from the merge list if any of the following conditions are fulfilled:

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	<ul> <li>the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in coding order;</li> </ul>	
5	<ul> <li>the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit in coding order, and the potential spatial motion vector prediction candidate (902) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit;</li> </ul>	
	if the potential spatial motion vector prediction candidate (904) is located above the	
10	prediction unit, excluding the potential spatial motion vector prediction candidate (904) from the merge list if any of the following conditions are fulfilled:	
	<ul> <li>the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in coding order;</li> </ul>	
15	<ul> <li>the potential spatial motion vector prediction candidate (904) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit;</li> </ul>	
	if the potential spatial motion vector prediction candidate (903) is located on the right side of the potential spatial motion vector prediction candidate (904) above the prediction unit,	A STATE OF THE STA
20	excluding the potential spatial motion vector prediction candidate (903) from the merge list if the potential spatial motion vector prediction candidate (903) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit;	260 285
25 30	if the potential spatial motion vector prediction candidate (901) is located below the potential spatial motion vector prediction candidate (902) on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (901)	
	from the merge list if the potential spatial motion vector prediction candidate (901) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit;	
	if the potential spatial motion vector prediction candidate (905) is cornerwise above-left neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate (905) from the merge list if any of the following conditions are fulfilled:	M 25
35	<ul> <li>all the other potential spatial motion vector prediction candidates (901—904)</li> <li>have been included in the merge list;</li> <li>the potential spatial motion vector prediction candidate (905) has the same</li> </ul>	
	motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit;	

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- the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit.
- 5 2. The method according to claim 1 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- The method according to claim 1 or 2, comprising comparing motion information of the 10 potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
  - 4. The method according to any of the claims 1 to 3 comprising examining whether the received block of pixels is divided into a first prediction unit and a second prediction unit in coding order; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
    - 5. The method according to any of the claims 1 to 4 further comprising including a temporal motion prediction candidate into the merge list.

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6. A method comprising:

receiving an encoded block of pixels including a prediction unit;

determining for the encoded block (900) of pixels a set of spatial motion vector prediction candidates located below-left (901), left (902), above-left (905), above (904) and above-right (903) of the prediction unit; the spatial motion vector prediction candidates being provided with motion information comprising at least a motion vector and a reference index;

determining a subset of spatial motion vector prediction candidate pairs among existing spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

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selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the subset of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate;

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

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if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list

wherein the method further comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the 2 Preceived encoded 2 block of pixels;

## characterized in that the method further comprises:

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list;

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number;

if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate (902) is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (902) from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate (902) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit:

if the potential spatial motion vector prediction candidate (904) is located above the prediction unit, excluding the potential spatial motion vector prediction candidate (904) from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in decoding order;
- the potential spatial motion vector prediction candidate (904) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit;

if the potential spatial motion vector prediction candidate (903) is located on the right side of the potential spatial motion vector prediction candidate (904) above the prediction unit,

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excluding the potential spatial motion vector prediction candidate (903) from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit;

- if the potential spatial motion vector prediction candidate (901) is located below the petential spatial motion vector prediction candidate (902) on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (901) from the merge list if the potential spatial motion vector prediction candidate (901) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit;
- if the potential spatial motion vector prediction candidate (905) is cornerwise above-left neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate (905) from the merge list if any of the following conditions are fulfilled:
  - all the other <del>potential</del> spatial motion vector prediction candidates (901—904) have been included in the merge list;
  - the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit;
  - the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit.
- 7. The method according to claim 6 comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 8. The method according to claim 6 or 7 comprising examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit in decoding order; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
- 9. The method according to any of the claims 6 to 8 comprising selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels.
- 2 9. 2

  10. An apparatus comprising means for performing a method according to any one of claims 1 to 5.

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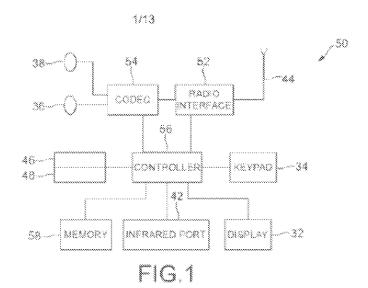
11. An apparatus comprising means for performing a method according to any one of claims 6 to 2 ■ 8 ■ 2 9 .

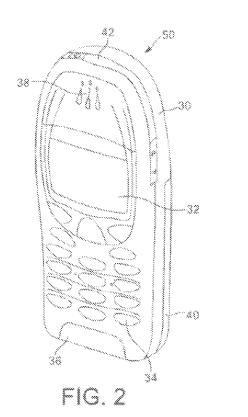
- 5 2 11. 2 12. A computer-readable 2 storage medium 2 having computer-readable instructions thereon which, when executed by one or more processors, cause one or more processors to perform a method of any one of claims 1 to 5.

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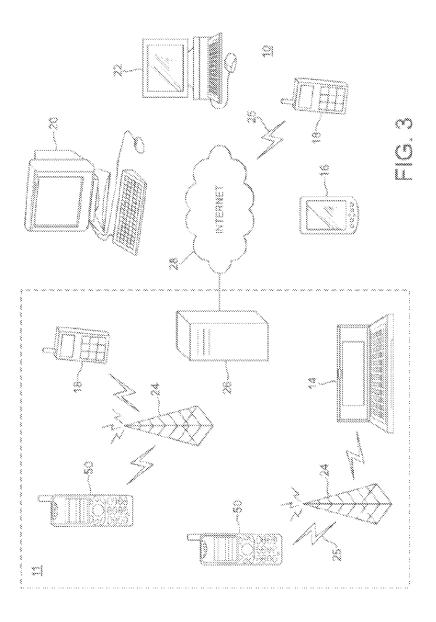


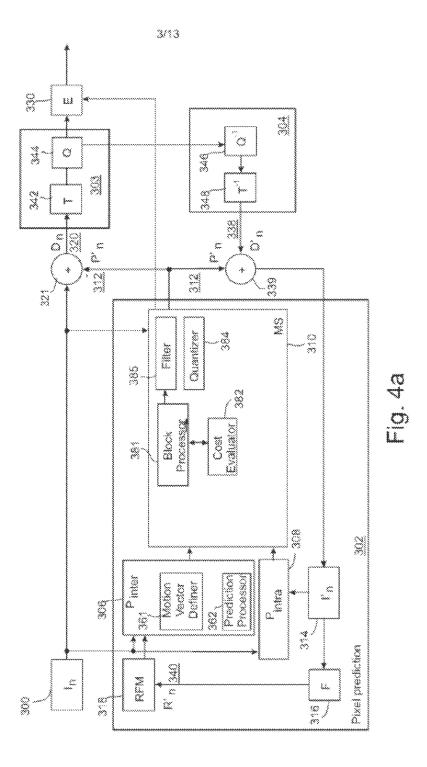


EP 12 845 839.5 DRAWING (10.05.2013) 2/13 → 3/13

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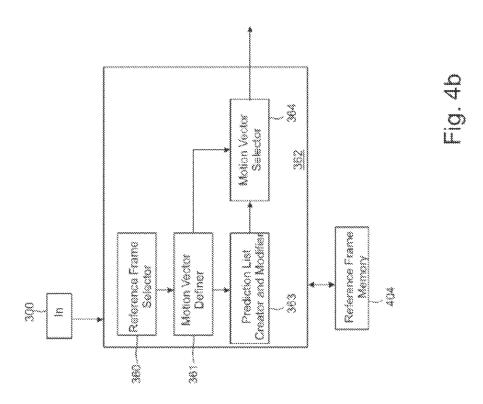




EP 12 845 839.5 DRAWING (10.05.2013) 4/13 → 5/13

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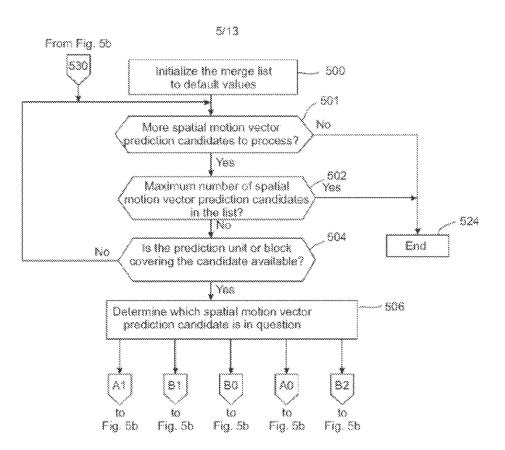
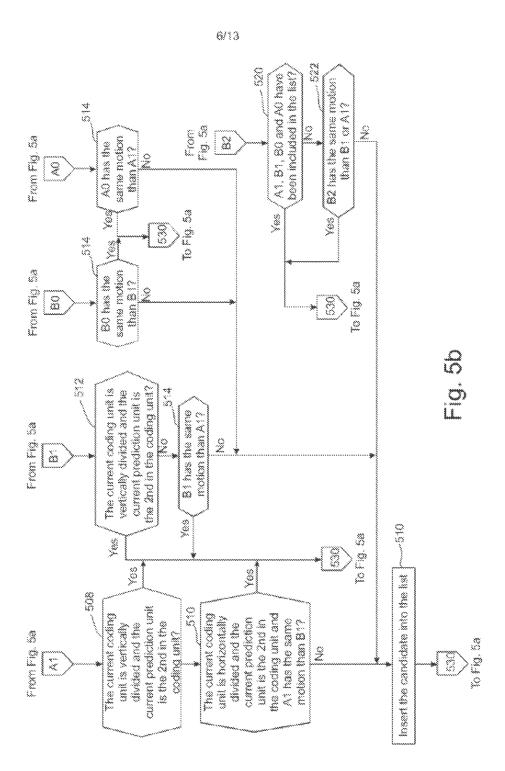


Fig. 5a



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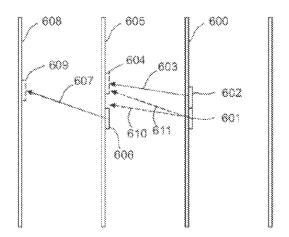


Fig. 6a

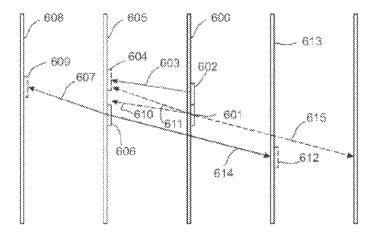
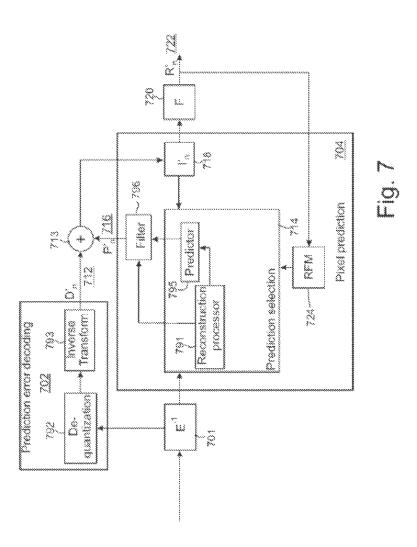


Fig. 6b

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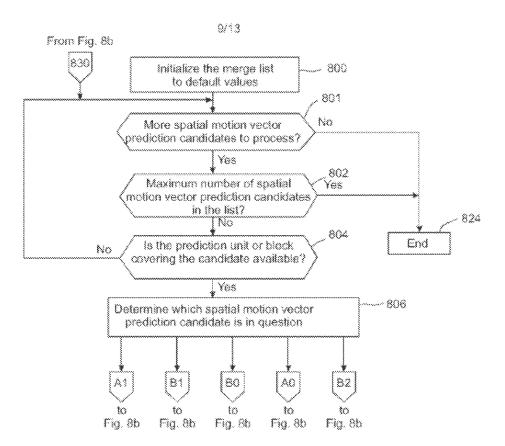
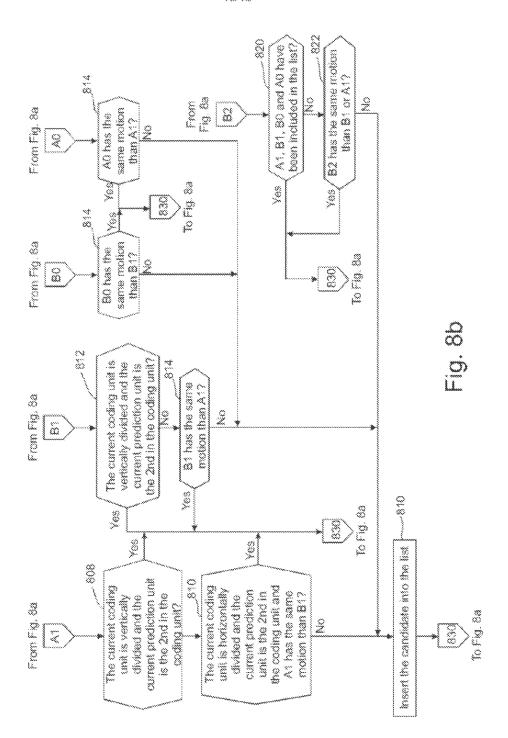


Fig. 8a

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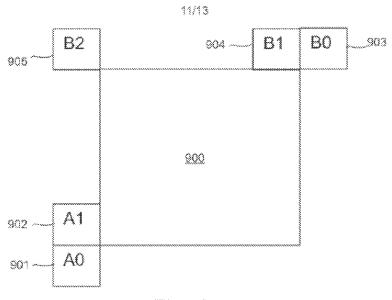


Fig. 9

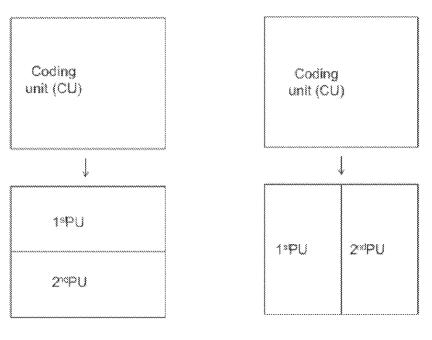


Fig. 10a

Fig. 10b

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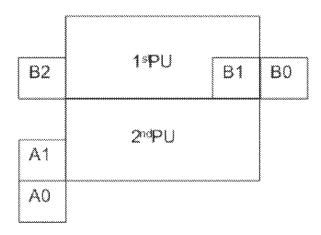


Fig. 11a

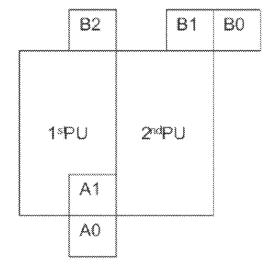


Fig. 11b

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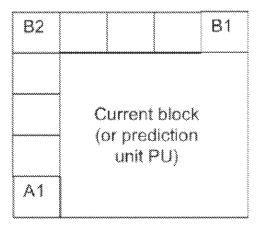


Fig. 12

# METHOD FOR VIDEO CODING AND APPARATUS

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## **TECHNICAL FIELD**

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There is provided a method for encoding, a method for decoding, an apparatus, computer program products, an encoder and a decoder.

#### **BACKGROUND INFORMATION**

This section is intended to provide a background or context to the invention that is recited in the claims. The description herein may include concepts that could be pursued, but are not necessarily ones that have been previously conceived or pursued. Therefore, unless otherwise indicated herein, what is described in this section is not prior art to the description and claims in this application and is not admitted to be prior art by inclusion in this section

A video codec may comprise an encoder which transforms input video into a compressed representation suitable for storage and/or transmission and a decoder that can uncompress the compressed video representation back into a viewable form, or either one of them. The encoder may discard some information in the original video sequence in order to represent the video in a more compact form, for example at a lower bit rate.

Many hybrid video codecs, operating for example according to the International Telecommunication Union's ITU-T H.263 and H.264 coding standards, encode video information in two phases. In the first phase, pixel values in a certain picture area or "block" are predicted. These pixel values can be predicted, for example, by motion compensation mechanisms, which involve finding and indicating an area in one of the previously encoded video frames (or a later coded video frame) that corresponds closely to the block being coded. Additionally, pixel values can be predicted by spatial mechanisms which involve finding and indicating a spatial region relationship, for example by using pixel values around the block to be coded in a specified manner.

Prediction approaches using image information from a previous (or a later) image can also be called as Inter prediction methods, and prediction approaches using image information within the same image can also be called as Intra prediction methods.

The second phase is one of coding the error between the predicted block of pixels and the original block of pixels. This may be accomplished by transforming the difference in pixel values using a specified transform. This transform may be e.g. a Discrete Cosine Transform (DCT) or a variant thereof. After transforming the difference, the transformed difference may be quantized and entropy encoded.

By varying the fidelity of the quantization process, the encoder can control the balance between the accuracy of the pixel representation, (in other words, the quality of the picture) and the size of the resulting encoded video representation (in other words, the file size or transmission bit rate).

The decoder reconstructs the output video by applying a prediction mechanism similar to that used by the encoder in order to form a predicted representation of the pixel blocks (using the motion or spatial information created by the encoder and stored in the compressed representation of the image) and

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prediction error decoding (the inverse operation of the prediction error coding to recover the quantized prediction error signal in the spatial domain).

After applying pixel prediction and error decoding processes the decoder combines the prediction and the prediction error signals (the pixel values) to form the output video frame.

The decoder (and encoder) may also apply additional filtering processes in order to improve the quality of the output video before passing it for display and/or storing as a prediction reference for the forthcoming frames in the video sequence.

In some video codecs, such as High Efficiency Video Coding Working Draft 4, video pictures may be divided into coding units (CU) covering the area of a picture. A coding unit consists of one or more prediction units (PU) defining the prediction process for the samples within the coding unit and one or more transform units (TU) defining the prediction error coding process for the samples in the coding unit. A coding unit may consist of a square block of samples with a size selectable from a predefined set of possible coding unit sizes. A coding unit with the maximum allowed size can be named as a largest coding unit (LCU) and the video picture may be divided into non-overlapping largest coding units. A largest coding unit can further be split into a combination of smaller coding units, e.g. by recursively splitting the largest coding unit and resultant coding units. Each resulting coding unit may have at least one prediction unit and at least one transform unit associated with it. Each prediction unit and transform unit can further be split into smaller prediction units and transform units in order to increase granularity of the prediction and prediction error coding processes, respectively. Each prediction unit may have prediction information associated with it defining what kind of a prediction is to be applied for the pixels within that prediction unit (e.g. motion vector information for inter predicted prediction units and intra prediction directionality information for intra predicted prediction units). Similarly, each transform unit may be associated with information describing the prediction error decoding process for samples within the transform unit (including e.g. discrete cosine transform (DCT) coefficient information). It may be signalled at coding unit level whether prediction error coding is applied or not for each coding unit. In the case there is no prediction error residual associated with the coding unit, it can be considered there are no transform units for the coding unit. The division of the image into coding units, and division of coding units into prediction units and transform units may be signalled in the bitstream allowing the decoder to reproduce the intended structure of these units.

In some video codecs, motion information is indicated by motion vectors associated with each motion compensated image block. These motion vectors represent the displacement of the image block in the picture to be coded (in the encoder) or decoded (at the decoder) and the prediction source block in one of the previously coded or decoded images (or pictures). In order to represent motion vectors efficiently, motion vectors may be coded differentially with respect to block specific predicted motion vector. In some video codecs, the predicted motion vectors are created in a predefined way, for example by calculating the median of the encoded or decoded motion vectors of the adjacent blocks.

Another way to create motion vector predictions is to generate a list or a set of candidate predictions from blocks in the current frame and/or co-located or other blocks in temporal reference

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pictures and signalling the chosen candidate as the motion vector prediction. A spatial motion vector prediction is a prediction obtained only on the basis of information of one or more blocks of the same frame than the current frame whereas temporal motion vector prediction is a prediction obtained on the basis of information of one or more blocks of a frame different from the current frame. It may also be possible to obtain motion vector predictions by combining both spatial and temporal prediction information of one or more encoded blocks. These kinds of motion vector predictions are called as spatio-temporal motion vector predictions.

In addition to predicting the motion vector values, the reference index in the reference picture list can be predicted. The reference index may be predicted from blocks in the current frame and/or colocated or other blocks in a temporal reference picture. Moreover, some high efficiency video codecs employ an additional motion information coding/decoding mechanism, often called merging/merge mode, where all the motion field information, which includes motion vector and corresponding reference picture index for each available reference picture list, may be predicted and used without any modification or correction. Similarly, predicting the motion field information may be carried out using the motion field information of blocks in the current frame and/or co-located or other blocks in temporal reference pictures and the used motion field information is signalled among a list of motion field candidate list filled with motion field information of available blocks in the current frame and/or co-located or other blocks in temporal reference pictures.

In some video codecs the prediction residual after motion compensation is first transformed with a transform kernel (like DCT) and then coded. The reason for this is that often there still exists some correlation among the residual and transform can in many cases help reduce this correlation and provide more efficient coding.

Some video encoders utilize Lagrangian cost functions to find optimal coding modes, e.g. the desired Macroblock mode and associated motion vectors. This kind of cost function uses a weighting factor  $\lambda$  to tie together the (exact or estimated) image distortion due to lossy coding methods and the (exact or estimated) amount of information that is required to represent the pixel values in an image area:

 $C = D + \lambda R \qquad (1)$ 

where C is the Lagrangian cost to be minimized, D is the image distortion (e.g. Mean Squared Error) with the mode and motion vectors considered, and R the number of bits needed to represent the required data to reconstruct the image block in the decoder (including the amount of data to represent the candidate motion vectors).

Some video codecs such as hybrid video codecs may generate a list of motion vector predictions (MVP) consisting of motion vectors of spatial adjacent blocks (spatial MVP) and/or motion vectors of blocks in a previously decoded frame (temporal MVP). One of the candidate motion vectors in the list is signalled to be used as the motion vector prediction of the current block. After the list is generated, some of the motion vector prediction candidates may have the same motion information. In this case, the

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identical motion vector prediction candidates may be removed to reduce redundancy. During the decoding, if the temporal motion vector prediction information is unavailable due to e.g. loss of reference frame, the decoder may not know if the temporal motion vector prediction candidate in the list is to be removed. This may lead to uncertainty for mapping the decoded candidate index to the candidates whose removal decision is based on comparing motion information with the temporal motion vector prediction. As a result, false assignment of motion vector prediction candidates may occur which may lead to degradation in the picture quality and drift of false motion information throughout the decoding process.

The document Nakamura "Unification of derivation process for merge mode and MVP" (JCTVC-F419) describes a

system that selects the first two available spatial predictors and performs a duplicate removal process between the two spatial predictor candidates (S0 and S1) and one temporal predictor candidate (Col). The duplicate removal process is not considering the locations of the spatial candidates and all three possible combinations of S0, S1 and Col are performed "blindly" as follows: S0 is compared with S1, S0 is compared with Col, and S1 is compared with Col. It may happen that one or both of the selected two spatial predictors are not the best alternatives.

The document Wiegand et al. "WD3 Working draft 3 of high efficiency video coding" (JCTVC-E603) disqualifies

candidates if unavailable, if coded with intra mode, or if the motion of a candidate is identical to motion of another prediction unit within the same coding unit. Thus, it would have been more efficient to represent a coding unit with a larger segmentation instead of splitting it into smaller prediction units and indicating identical motion between those small prediction units.

The document Zheng et al "Merge candidate selection in 2NxN, Nx2N, and NxN mode" (m20723) proposes to

allow additional merge candidates to survive the process described in Wiegand et al, section 8.4.2.1.2, based on the index of the merge candidate.

# **SUMMARY**

The present invention introduces a method for generating a motion vector prediction list for an image block. In some embodiments video codecs employ in a motion prediction candidate list construction a way to reduce the complexity of the implementation. This can be achieved by performing a limited number of motion information comparisons between candidate pairs to remove the redundant candidates rather than comparing every available candidate pair. The decision of whether comparing two candidates may depend on the order of the candidates to be considered for the list and/or coding/prediction mode and/or location of the blocks associated with the candidates. In some embodiments a video codec employs a merge process for motion information coding and creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding or prediction unit. The motion prediction candidates may consist of

several spatial motion predictions and a temporal motion prediction. The spatial candidates are obtained from the motion information of e.g. spatial neighbour blocks.

The invention is defined in the appended claims. Any examples and embodiments of the description not falling within the scope of the claims do not form part of the invention and are provided for illustrative purposes only.

According to a first aspect of the present invention there is provided a method according to appended claim 1.

According to a second aspect of the present invention there is provided a method according to appended claim 6. According to a third aspect of the present invention there is provided an apparatus according to appended claim 9.

According to a fourth aspect of the present invention there is provided an apparatus according to appended claim 10.

According to a fifth aspect of the present invention there is provided a computer-readable storage medium according to appended claim 11.

According to a sixth aspect of the present invention there is provided a computer-readable storage medium according to appended claim 12.

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#### **DESCRIPTION OF THE DRAWINGS**

For better understanding of the present invention, reference will now be made by way of example to the accompanying drawings in which:

Figure 1 shows schematically an electronic device employing some embodiments of the invention;

Figure 2 shows schematically a user equipment suitable for employing some embodiments of the invention;

Figure 3 further shows schematically electronic devices employing embodiments of the invention connected using wireless and wired network connections;

Figure 4a shows schematically an embodiment of the invention as incorporated within an encoder;

Figure 4b shows schematically an embodiment of a prediction reference list generation and modification according to some embodiments of the invention;

Figures 5a and 5b show a flow diagram showing the operation of an embodiment of the invention with respect to the encoder as shown in figure 4a;

Figure 6a illustrates an example of spatial and temporal prediction of a prediction unit;

Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit;

Figure 7 shows schematically an embodiment of the invention as incorporated within a decoder;

Figures 8a and 8b show a flow diagram of showing the operation of an embodiment of the invention with respect to the decoder shown in figure 7;

Figure 9 illustrates an example of a coding unit and some neighbour blocks of the coding unit;

Figure 10a illustrates an example of a horizontal division of the coding unit;

Figure 10b illustrates an example of a vertical division of the coding unit;

Figure 11a illustrates locations of five spatial neighbours A0, A1, B0, B1, B2 for a prediction unit generated as the second prediction unit of a horizontally divided coding unit;

Figure 11b illustrates locations of five spatial neighbours for a prediction unit generated as the second prediction unit of a vertically divided coding unit; and

Figure 12 illustrates an example of blocks between some spatial neighbours of a coding unit.

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### DETAILED DESCRIPTION OF SOME EXAMPLE EMBODIMENTS

The following describes in further detail suitable apparatus and possible mechanisms for the provision of improving the prediction accuracy and hence possibly reducing information to be transmitted in video coding systems. In this regard reference is first made to Figure 1 which shows a schematic block diagram of an exemplary apparatus or electronic device 50, which may incorporate a codec according to an embodiment of the invention.

The electronic device 50 may for example be a mobile terminal or user equipment of a wireless communication system. However, it would be appreciated that embodiments of the invention may be

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implemented within any electronic device or apparatus which may require encoding and decoding or encoding or decoding video images.

The apparatus 50 may comprise a housing 30 for incorporating and protecting the device. The apparatus 50 further may comprise a display 32 in the form of a liquid crystal display. In other embodiments of the invention the display may be any suitable display technology suitable to display an image or video. The apparatus 50 may further comprise a keypad 34. In other embodiments of the invention any suitable data or user interface mechanism may be employed. For example the user interface may be implemented as a virtual keyboard or data entry system as part of a touch-sensitive display. The apparatus may comprise a microphone 36 or any suitable audio input which may be a digital or analogue signal input. The apparatus 50 may further comprise an audio output device which in embodiments of the invention may be any one of: an earpiece 38, speaker, or an analogue audio or digital audio output connection. The apparatus 50 may also comprise a battery 40 (or in other embodiments of the invention the device may be powered by any suitable mobile energy device such as solar cell, fuel cell or clockwork generator). The apparatus may further comprise an infrared port 42 for short range line of sight communication to other devices. In other embodiments the apparatus 50 may further comprise any suitable short range communication solution such as for example a Bluetooth wireless connection or a USB/firewire wired connection.

The apparatus 50 may comprise a controller 56 or processor for controlling the apparatus 50. The controller 56 may be connected to memory 58 which in embodiments of the invention may store both data in the form of image and audio data and/or may also store instructions for implementation on the controller 56. The controller 56 may further be connected to codec circuitry 54 suitable for carrying out coding and decoding of audio and/or video data or assisting in coding and decoding carried out by the controller 56.

The apparatus 50 may further comprise a card reader 48 and a smart card 46, for example a UICC and UICC reader for providing user information and being suitable for providing authentication information for authentication and authorization of the user at a network.

The apparatus 50 may comprise radio interface circuitry 52 connected to the controller and suitable for generating wireless communication signals for example for communication with a cellular communications network, a wireless communications system or a wireless local area network. The apparatus 50 may further comprise an antenna 44 connected to the radio interface circuitry 52 for transmitting radio frequency signals generated at the radio interface circuitry 52 to other apparatus(es) and for receiving radio frequency signals from other apparatus(es).

In some embodiments of the invention, the apparatus 50 comprises a camera capable of recording or detecting individual frames which are then passed to the codec 54 or controller for processing. In some embodiments of the invention, the apparatus may receive the video image data for processing from another device prior to transmission and/or storage. In some embodiments of the invention, the apparatus 50 may receive either wirelessly or by a wired connection the image for coding/decoding.

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With respect to Figure 3, an example of a system within which embodiments of the present invention can be utilized is shown. The system 10 comprises multiple communication devices which can communicate through one or more networks. The system 10 may comprise any combination of wired or wireless networks including, but not limited to a wireless cellular telephone network (such as a GSM, UMTS, CDMA network etc), a wireless local area network (WLAN) such as defined by any of the IEEE 802.x standards, a Bluetooth personal area network, an Ethernet local area network, a token ring local area network, a wide area network, and the Internet.

The system 10 may include both wired and wireless communication devices or apparatus 50 suitable for implementing embodiments of the invention.

For example, the system shown in Figure 3 shows a mobile telephone network 11 and a representation of the internet 28. Connectivity to the internet 28 may include, but is not limited to, long range wireless connections, short range wireless connections, and various wired connections including, but not limited to, telephone lines, cable lines, power lines, and similar communication pathways.

The example communication devices shown in the system 10 may include, but are not limited to, an electronic device or apparatus 50, a combination of a personal digital assistant (PDA) and a mobile telephone 14, a PDA 16, an integrated messaging device (IMD) 18, a desktop computer 20, a notebook computer 22. The apparatus 50 may be stationary or mobile when carried by an individual who is moving. The apparatus 50 may also be located in a mode of transport including, but not limited to, a car, a truck, a taxi, a bus, a train, a boat, an airplane, a bicycle, a motorcycle or any similar suitable mode of transport.

Some or further apparatuses may send and receive calls and messages and communicate with service providers through a wireless connection 25 to a base station 24. The base station 24 may be connected to a network server 26 that allows communication between the mobile telephone network 11 and the internet 28. The system may include additional communication devices and communication devices of various types.

The communication devices may communicate using various transmission technologies including, but not limited to, code division multiple access (CDMA), global systems for mobile communications (GSM), universal mobile telecommunications system (UMTS), time divisional multiple access (TDMA), frequency division multiple access (FDMA), transmission control protocol-internet protocol (TCP-IP), short messaging service (SMS), multimedia messaging service (MMS), email, instant messaging service (IMS), Bluetooth, IEEE 802.11 and any similar wireless communication technology. A communications device involved in implementing various embodiments of the present invention may communicate using various media including, but not limited to, radio, infrared, laser, cable connections, and any suitable connection.

With respect to Figure 4a, a block diagram of a video encoder suitable for carrying out embodiments of the invention is shown. Furthermore, with respect to Figures 5a and 5b, the operation of the encoder exemplifying embodiments of the invention specifically with respect to construction of the list of candidate predictions is shown as a flow diagram.

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Figure 4a shows the encoder as comprising a pixel predictor 302, prediction error encoder 303 and prediction error decoder 304. Figure 4a also shows an embodiment of the pixel predictor 302 as comprising an inter-predictor 306, an intra-predictor 308, a mode selector 310, a filter 316, and a reference frame memory 318. In this embodiment the mode selector 310 comprises a block processor 381 and a cost evaluator 382. The encoder may further comprise an entropy encoder 330 for entropy encoding the bit stream.

Figure 4b depicts an embodiment of the inter predictor 306. The inter predictor 306 comprises a reference frame selector 360 for selecting reference frame or frames, a motion vector definer 361, a prediction list modifier 363 and a motion vector selector 364. These elements or some of them may be part of a prediction processor 362 or they may be implemented by using other means.

The pixel predictor 302 receives the image 300 to be encoded at both the inter-predictor 306 (which determines the difference between the image and a motion compensated reference frame 318) and the intra-predictor 308 (which determines a prediction for an image block based only on the already processed parts of the current frame or picture). The output of both the inter-predictor and the intra-predictor may be passed to the mode selector 310. The intra-prediction 308 may have more than one intra-prediction modes. Hence, each mode may perform the intra-prediction and provide the predicted signal to the mode selector 310. The mode selector 310 also receives a copy of the image 300.

The mode selector 310 determines which encoding mode to use to encode the current block. If the mode selector 310 decides to use an inter-prediction mode it will pass the output of the inter-predictor 306 to the output of the mode selector 310. If the mode selector 310 decides to use an intra-prediction mode it will pass the output of one of the intra-predictor modes to the output of the mode selector 310.

The output of the mode selector is passed to a first summing device 321. The first summing device may subtract the pixel predictor 302 output from the image 300 to produce a first prediction error signal 320 which is input to the prediction error encoder 303.

The pixel predictor 302 further receives from a preliminary reconstructor 339 the combination of the prediction representation of the image block 312 and the output 338 of the prediction error decoder 304. The preliminary reconstructed image 314 may be passed to the intra-predictor 308 and to a filter 316. The filter 316 receiving the preliminary representation may filter the preliminary representation and output a final reconstructed image 340 which may be saved in a reference frame memory 318. The reference frame memory 318 may be connected to the inter-predictor 306 to be used as the reference image against which the future image 300 is compared in inter-prediction operations.

The operation of the pixel predictor 302 may be configured to carry out any known pixel prediction algorithm known in the art.

The pixel predictor 302 may also comprise a filter 385 to filter the predicted values before outputting them from the pixel predictor 302.

The operation of the prediction error encoder 302 and prediction error decoder 304 will be described hereafter in further detail. In the following examples the encoder generates images in terms of 16x16 pixel macroblocks which go to form the full image or picture. Thus, for the following examples

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the pixel predictor 302 outputs a series of predicted macroblocks of size 16x16 pixels and the first summing device 321 outputs a series of 16x16 pixel residual data macroblocks which may represent the difference between a first macro-block in the image 300 against a predicted macro-block (output of pixel predictor 302). It would be appreciated that other size macro blocks may be used.

The prediction error encoder 303 comprises a transform block 342 and a quantizer 344. The transform block 342 transforms the first prediction error signal 320 to a transform domain. The transform is, for example, the DCT transform. The quantizer 344 quantizes the transform domain signal, e.g. the DCT coefficients, to form quantized coefficients.

The prediction error decoder 304 receives the output from the prediction error encoder 303 and performs the opposite processes of the prediction error encoder 303 to produce a decoded prediction error signal 338 which when combined with the prediction representation of the image block 312 at the second summing device 339 produces the preliminary reconstructed image 314. The prediction error decoder may be considered to comprise a dequantizer 346, which dequantizes the quantized coefficient values, e.g. DCT coefficients, to reconstruct the transform signal and an inverse transformation block 348, which performs the inverse transformation to the reconstructed transform signal wherein the output of the inverse transformation block 348 contains reconstructed block(s). The prediction error decoder may also comprise a macroblock filter (not shown) which may filter the reconstructed macroblock according to further decoded information and filter parameters.

In the following the operation of an example embodiment of the inter predictor 306 will be described in more detail. The inter predictor 306 receives the current block for inter prediction. It is assumed that for the current block there already exists one or more neighbouring blocks which have been encoded and motion vectors have been defined for them. For example, the block on the left side and/or the block above the current block may be such blocks. Spatial motion vector predictions for the current block can be formed e.g. by using the motion vectors of the encoded neighbouring blocks and/or of non-neighbour blocks in the same slice or frame, using linear or non-linear functions of spatial motion vector predictions, using a combination of various spatial motion vector predictors with linear or non-linear operations, or by any other appropriate means that do not make use of temporal reference information. It may also be possible to obtain motion vector predictors by combining both spatial and temporal prediction information of one or more encoded blocks. These kinds of motion vector predictors may also be called as spatio-temporal motion vector predictors.

Reference frames used in encoding the neighbouring blocks have been stored to the reference frame memory 404. The reference frames may be short term references or long term references and each reference frame may have a unique index indicative of the location of the reference frame in the reference frame memory. When a reference frame is no longer used as a reference frame it may be removed from the reference frame memory or marked as a non-reference frame wherein the storage location of that reference frame may be occupied for a new reference frame. In addition to the reference frames of the neighbouring blocks the reference frame selector 360 may also select one or more other frames as potential reference frames and store them to the reference frame memory.

Motion vector information of encoded blocks is also stored into the memory so that the inter predictor 306 is able to retrieve the motion vector information when processing motion vector candidates for the current block.

In some embodiments the motion vectors are stored into one or more lists. For example, motion vectors of uni-directionally predicted frames (e.g. P-frames) may be stored to a list called as list 0. For bidirectionally predicted frames (e.g. B-frames) there may be two lists (list 0 and list 1) and for multipredicted frames there may be more than two lists. Reference frame indices possibly associated with the motion vectors may also be stored in one or more lists.

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In some embodiments there may be two or more motion vector prediction procedures and each procedure may have its own candidate set creation process. In one procedure, only the motion vector values are used. In another procedure, which may be called as a Merge Mode, each candidate element may comprise 1) The information whether 'block was uni-predicted using only list0' or 'block was uni-predicted using only list1' or 'block was bi-predicted using list0 and list1' 2) motion vector value for list0 3) Reference picture index in list0 4) motion vector value for list1 5) Reference picture index list1. Therefore, whenever two prediction candidates are to be compared, not only the motion vector values are compared, but also the five values mentioned above may be compared to determine whether they correspond with each other or not. On the other hand, if any of the comparisons indicate that the prediction candidates do not have equal motion information, no further comparisons need be performed.

The motion vector definer 361 defines candidate motion vectors for the current frame by using one or more of the motion vectors of one or more neighbour blocks and/or other blocks of the current block in the same frame and/or co-located blocks and/or other blocks of the current block in one or more other frames. These candidate motion vectors can be called as a set of candidate predictors or a predictor set. Each candidate predictor thus represents the motion vector of one or more already encoded block. In some embodiments the motion vector of the candidate predictor is set equal to the motion vector of a neighbour block for the same list if the current block and the neighbour block refer to the same reference frames for that list. Also for temporal prediction there may be one or more previously encoded frames wherein motion vectors of a co-located block or other blocks in a previously encoded frame can be selected as candidate predictors for the current block. The temporal motion vector predictor candidate can be generated by any means that make use of the frames other than the current frame.

The candidate motion vectors can also be obtained by using more than one motion vector of one or more other blocks such as neighbour blocks of the current block and/or co-located blocks in one or more other frames. As an example, any combination of the motion vector of the block to the left of the current block, the motion vector of the block above the current block, and the motion vector of the block at the up-right corner of the current block may be used (i.e. the block to the right of the block above the current block). The combination may be a median of the motion vectors or calculated by using other formulas. For example, one or more of the motion vectors to be used in the combination may be scaled by a scaling factor, an offset may be added, and/or a constant motion vector may be added. In some embodiments the combined motion vector is based on both temporal and spatial motion vectors, e.g. the

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motion vector of one or more of the neighbour block or other block of the current block and the motion vector of a co-located block or other block in another frame.

If a neighbour block does not have any motion vector information a default motion vector such as a zero motion vector may be used instead.

Figure 9 illustrates an example of a coding unit 900 and some neighbour blocks 901—905 of the coding unit. As can be seen from Figure 9, if the coding unit 900 represents the current block, the neighbouring blocks 901—905 labelled A0, A1, B0, B1 and B2 could be such neighbour blocks which may be used when obtaining the candidate motion vectors.

Creating additional or extra motion vector predictions based on previously added predictors may be needed when the current number of candidates is limited or insufficient. This kind of creating additional candidates can be performed by combining previous two predictions and/or processing one previous candidate by scaling or adding offset and/or adding a zero motion vector with various reference indices. Hence, the motion vector definer 361 may examine how many motion vector candidates can be defined and how many potential candidate motion vectors exist for the current block. If the number of potential motion vector candidates is smaller than a threshold, the motion vector definer 361 may create additional motion vector predictions.

In some embodiments the combined motion vector can be based on motion vectors in different lists. For example, one motion vector may be defined by combining one motion vector from the list 0 and one motion vector from the list 1 e.g. when the neighbouring or co-located block is a bi-directionally predicted block and there exists one motion vector in the list 0 and one motion vector in the list 1 for the bi-directionally predicted block.

To distinguish the current block from the encoded/decoded blocks the motion vectors of which are used as candidate motion vectors, those encoded/decoded blocks are also called as reference blocks in this application.

In some embodiments not only the motion vector information of the reference block(s) is obtained (e.g. by copying) but also a reference index of the reference block in the reference picture list may be copied to the candidate list. The information whether the block was uni-predicted using only list0 or the block was uni-predicted using only list1 or the block was bi-predicted using list0 and list1 may also be copied. The candidate list may also be called as a candidate set or a set of motion vector prediction candidates.

Figure 6a illustrates an example of spatial and temporal prediction of a prediction unit. There is depicted the current block 601 in the frame 600 and a neighbour block 602 which already has been encoded. The motion vector definer 361 has defined a motion vector 603 for the neighbour block 602 which points to a block 604 in the previous frame 605. This motion vector can be used as a potential spatial motion vector prediction 610 for the current block. Figure 6a depicts that a co-located block 606 in the previous frame 605, i.e. the block at the same location than the current block but in the previous frame, has a motion vector 607 pointing to a block 609 in another frame 608. This motion vector 607 can be used as a potential temporal motion vector prediction-611 for the current frame.

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Figure 6b illustrates another example of spatial and temporal prediction of a prediction unit. In this example the block 606 of the previous frame 605 uses bi-directional prediction based on the block 609 of the frame preceding the frame 605 and on the block 612 succeeding the current frame 600. The temporal motion vector prediction for the current block 601 may be formed by using both the motion vectors 607, 614 or either of them.

The operation of the prediction list modifier 363 will now be described in more detail with reference to the flow diagram of Figures 5a and 5b. The prediction list modifier 363 initializes a motion vector prediction list to default values in block 500 of Figure 5a. The prediction list modifier 363 may also initialize a list index to an initial value such as zero. Then, in block 501 the prediction list modifier checks whether there are any motion vector candidates to process. If there is at least one motion vector candidate in the predictor set for processing, the prediction list modifier 363 generates the next motion vector candidate which may be a temporal motion vector or a spatial motion vector. The comparison can be an identicality/equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

In the following, a merge process for motion information coding according to an example embodiment will be described in more detail. The encoder creates a list of motion prediction candidates from which one of the candidates is to be signalled as the motion information for the current coding unit or prediction unit. The motion prediction candidates may consist of several spatial motion predictions and a temporal motion prediction. The spatial candidates can be obtained from the motion information of e.g. the spatial neighbour blocks A0, A1, B0, B1, B2, whose motion information is used as spatial candidate motion predictions. The temporal motion prediction candidate may be obtained by processing the motion of a block in a frame other than the current frame. In this example embodiment, the encoder operations to construct the merge list for the spatial candidates may include the following. The operations may be carried out by the prediction list modifier 363, for example.

A maximum number of spatial motion prediction candidates to be included in the merge list may be defined. This maximum number may have been stored, for example, to the memory 58 of the apparatus 50, or to another appropriate place. It is also possible to determine the maximum number by using other means, or it may be determined in the software of the encoder of the apparatus 50.

In some embodiments the maximum number of spatial motion prediction candidates to be included in the merge list is four but in some embodiments the maximum number may be less than four or greater than four.

In this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2. The spatial motion vector prediction candidate A1 is located on the left side of the prediction unit when the encoding/decoding order is from left to right and from top to bottom of the frame, slice or another entity to be encoded/decoded. Respectively, the spatial motion vector prediction candidate B1 is located above the prediction unit; the spatial motion vector prediction candidate B0 is on the right side of the spatial motion vector prediction candidate A0 is below the spatial motion vector prediction candidate A1; and the spatial motion vector prediction

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candidate B2 is located on the same column than spatial motion vector prediction candidate A1 and on the same row than the spatial motion vector prediction candidate B1. In other words, the spatial motion vector prediction candidate B2 is cornerwise neighbouring the prediction unit as can be seen e.g. from Figure 9.

These spatial motion prediction candidates can be processed in a predetermined order, for

example, A1, B1, B0, A0 and B2. The first spatial motion prediction candidate to be selected for further examination is thus A1. Before further examination is performed for the selected spatial motion prediction candidate, it may be determined whether the merge list already contains a maximum number of spatial motion prediction candidates. Hence, the prediction list modifier 363 compares 502 the number of spatial motion prediction candidates in the merge list with the maximum number, and if the number of spatial motion prediction candidates in the merge list is not less than the maximum number, the selected spatial motion prediction candidate is not included in the merge list and the process of constructing the merge list can be stopped 526. On the other hand, if the number of spatial motion prediction candidates in

prediction candidate is performed (blocks 504-522).

For all the spatial motion prediction candidates for which the further analyses is to be performed, some or all of the following conditions below may be tested for determining whether to include the spatial motion prediction candidate in the merge list.

the merge list is less than the maximum number, a further analyses of the selected spatial motion

The prediction list modifier 363 examines 504 if the prediction unit or block covering the spatial motion prediction candidate block is not available for motion prediction. If so, the candidate is not included in the merge list. The reason that the block is not available may be that the block is either coded in intra mode or resides in a different slice or outside of the picture area.

In addition to the common conditions above, for each spatial motion prediction candidate, if any of the following conditions holds, then the candidate is not included in the merge list, otherwise, it is included.

The prediction list modifier 363 determines 506 which spatial motion prediction candidate of the set of spatial motion prediction candidates is in question. If the spatial motion prediction candidate is the block A1, one or more of the following conditions may be examined 508, 510 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is vertically split into two rectangle prediction units 103, 104 as depicted in Figure 10b and the current prediction unit is the second prediction unit 104 in the coding/decoding order (508), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not vertically split into two rectangle prediction units but it is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit in the coding/decoding order and the block A1 has the same motion information as the block B1 (510), this

spatial motion prediction candidate (block A1) is not included in the merge list. In the example of Figure 10a the second prediction unit is the lower prediction unit 102 of the coding unit 100 and in the example of Figure 10b the second prediction unit is the rightmost prediction unit 104 of the coding unit 100. If

none of the conditions above is fulfilled the block A1 is included in the merge list as a spatial motion prediction candidate (524).

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If the spatial motion prediction candidate is the block B1, one or more of the following conditions may be examined 512, 514 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit 104 in the coding/decoding order (512), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not horizontally split into two rectangle prediction units and if the block B1 has the same motion information than the block A1 (514), this spatial motion prediction candidate (block B1) is not included in the merge list. If none of the conditions above is fulfilled the block B1 is included in the merge list as a spatial motion prediction candidate (524).

If the spatial motion prediction candidate is the block B0, this spatial motion prediction candidate is not included in the merge list if the block B0 has the same motion information than the block B1 (516). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block B0) is included in the merge list (524).

If the spatial motion prediction candidate is the block A0, this spatial motion prediction candidate is not included in the merge list if the block A0 has the same motion information than the block A1 (518). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block A0) is included in the merge list (524).

If the spatial motion prediction candidate is the block B2, this spatial motion prediction candidate is not included in the merge list if the maximum number of spatial motion prediction candidates is four and the other blocks A0, A1, B0, and B1 are all decided to be included in the merge list (520). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, the block B2 is not included in the merge list if the block B2 has the same motion information than the block B1 or the block A1 (522).

Then, after processing the blocks A1, B1, B0, A0 and B2 and including a subset of them in the merge list based on the above described conditions, no more redundancy check between these candidates are performed and remaining temporal motion prediction candidate and/or other possible additional candidates may be processed.

Comparing two blocks whether they have the same motion may be performed by comparing all the elements of the motion information, namely 1) The information whether 'the prediction unit is unipredicted using only reference picture list0' or 'the prediction unit is uni-predicted using only reference picture list1' or 'the prediction unit is bi-predicted using both reference picture list0 and list1' 2) Motion vector value corresponding to the reference picture list0 3) Reference picture index in the reference picture list0 4) Motion vector value corresponding to the reference picture list1 5) Reference picture list1.

In some embodiments similar restrictions for comparing candidate pairs can be applied if the current coding unit is coded/decoded by splitting into four or any number of prediction units.

The maximum number of merge list candidates can be any non-zero value. In the example above the merger list candidates were the spatial neighbour blocks A0, A1, B0, B1, B2 and the temporal motion prediction candidate, but there may be more than one temporal motion prediction candidate and also other spatial motion prediction candidates than the spatial neighbour blocks. In some embodiments there may also be other spatial neighbour blocks than the blocks A0, A1, B0, B1, B2.

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It is also possible that the maximum number of spatial motion prediction candidates included in the list can be different than four.

In some embodiments the maximum number of merge list candidates and maximum number of spatial motion prediction candidates included in the list can depend on whether a temporal motion vector candidate is included in the list or not.

A different number of spatial motion prediction candidates located at various locations in the current frame can be processed. The locations can be the same as or different than A1, B1, B0, A0 and B2.

The decision of including which spatial motion prediction candidates in the list can be realized in two steps. In the first step, some of the candidates are eliminated by checking whether the candidate block is available and/or the candidate block's prediction mode is intra and/or whether the current block is a second prediction unit of a coding unit coded with two prediction units and the candidate has the same motion with the first prediction unit. In the second step, remaining candidates are examined and some or all of them are included in the merge list. The examination in the second step does not include comparing motion information of each possible candidate pair but includes a subset of the possible comparison combinations.

The decisions for the candidates can be taken in any order of A1, B1, B0, A0 and B2 or independently in parallel.

For each candidate and/or a subset of the candidates, the following conditions may also be checked: Whether the candidate block has the same motion as the first prediction unit of the current coding unit when the current coding unit is split into two rectangle prediction units and the current prediction unit is the second prediction unit in the coding/decoding order.

Additional conditions related to various properties of current and/or previous slices and/or current and/or neighbour blocks can be utilized for determining whether to include a candidate in the list.

Motion comparison can be realized by comparing a subset of the whole motion information. For example, only the motion vector values for some or all reference picture lists and/or reference indices for some or all reference picture lists and/or an identifier value assigned to each block to represent its motion information can be compared. The comparison can be an identicality or an equivalence check or comparing the (absolute) difference against a threshold or any other similarity metric.

Conditions for deciding whether a candidate is to be included in the list can include motion information comparison with any subset of the candidates as long as not all possible candidate pairs are compared eventually.

Deciding whether a temporal motion vector candidate is to be included in the list can be based on comparing its motion information with motion information of a subset of the spatial motion vector prediction candidates.

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When comparing motion information of two blocks, motion information of additional blocks can be considered too. For example, when comparing the block B2 and the block A1, all the blocks between the block B2 and the block A1 (illustrated in Figure 12) are checked whether they have the same motion; and when comparing the block B2 and the block B1, all the blocks between the block B2 and the block B1 (illustrated in Figure 12) are checked whether they have the same motion. This embodiment can be implemented so that the right-most block of each prediction unit or all blocks of each prediction unit may store the information of how many consecutive blocks to the above have the same motion information. Also the bottom-most block of each prediction unit or all blocks of each prediction unit may store the information of how many consecutive blocks to the left have the same motion information. Using this information the condition for not including B0 in the list can be realized by checking if the number of consecutive blocks with the same motion to the left of B0 is greater than 0. The condition for not including A0 in the list can be realized by checking if the number of consecutive blocks with same motion to the above of A0 is greater than 0. The conditions for not including B2 can be modified as follows:

It is not examined whether the block B2 has same motion as the block B1 or whether the block B2 has same motion as the block A1, but how many consecutive blocks exists to the left of the block B1 with the same motion than the block B1 and/or how many consecutive blocks exist above the block A1 with the same motion. If the number of consecutive blocks with the same motion to the left of the block B1 is greater than the number of blocks between B2 and B1, or if the number of consecutive blocks with the same motion above the block A1 is greater than the number of blocks between the block B2 and the block A1, the block B2 is not included in the merge list.

If the above implementation is used, the value of how many consecutive blocks to the left/above have the same motion information can be determined by direct comparison of motion information or checking the prediction mode and/or the merge index if the block employs a merge process.

When coding/decoding the selected merge index, the information whether the merge process is employed for coding/decoding a Skip mode coding unit or an Inter Merge mode prediction unit can be taken into account. For example, if a context adaptive binary arithmetic coder (CABAC) is used for entropy coding/decoding, different contexts can be used for the bins depending on the coding mode (Skip mode or inter merge mode) of the current block. Furthermore, assigning two contexts depending on whether the merge process is employed in a Skip mode coding unit or an inter Merge mode prediction unit can be applied for only the most significant bin of the merge index.

During the process of removal of redundant candidates, comparison between motion vector predictor candidates can also be based on any other information than the motion vector values. For example, it can be based on linear or non-linear functions of motion vector values, coding or prediction types of the blocks used to obtain the motion information, block size, the spatial location in the frame/(largest) coding unit/macroblock, the information whether blocks share the same motion with a block, the information whether blocks are in the same coding/prediction unit, etc.

The following pseudo code illustrates an example embodiment of the invention for constructing the merging list.

Inputs to this process are

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- 10 a luma location (xP, yP) specifying the top-left luma sample of the current prediction unit relative to the top-left sample of the current picture;
  - variables specifying the width and the height of the prediction unit for luma, nPSW and nPSH; and
  - a variable PartIdx specifying the index of the current prediction unit within the current coding unit. Outputs of this process are (with N being replaced by  $A_0$ ,  $A_1$ ,  $B_0$ ,  $B_1$  or  $B_2$  and with X being replaced by 0 or 1)
  - the availability flags availableFlagN of the neighbouring prediction units,
  - the reference indices refldxLXN of the neighbouring prediction units,
  - the prediction list utilization flags predFlagLXN of the neighbouring prediction units,
  - the motion vectors mvLXN of the neighbouring prediction units.
- For the derivation of availableFlagN, with N being A<sub>0</sub>, A<sub>1</sub>, B<sub>0</sub>, B<sub>1</sub> or B<sub>2</sub> and (xN, yN) being (xP-1, yP + nPSH), (xP-1, yP + nPSH-1), (xP + nPSW, yP-1), (xP+nPSW-1, yP-1) or (xP-1, yP-1), the following applies.
  - If one of the following conditions is true, the availableFlagN is set equal to 0, both components mvLXN are set equal to 0, refIdxLXN and predFlagLX[xN, yN] of the prediction unit covering luma location (xN, yN) are assigned respectively to mvLXN, refIdxLXN and predFlagLXN.
    - N is equal to  $B_2$  and availableFlag $A_0$  + availableFlag $A_1$  + availableFlag $B_0$  + availableFlag $B_1$  is equal to 4.
    - The prediction unit covering luma location (xN, yN) is not available or PredMode is MODE INTRA.
- N is equal to A1 and PartMode of the current prediction unit is PART\_Nx2N or PART\_nLx2N or PART nRx2N and PartIdx is equal to 1.
  - N is equal to A1 and PartMode of the current prediction unit is PART\_2NxN or PART\_2NxnU or PART\_2NxnD and PartIdx is equal to 1 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
    - mvLX[xP+nPSW-1, yP-1] = mvLX[xN, yN]
    - refIdxLX[xP+nPSW-1, yP-1] == refIdxLX[xN, yN]
    - predFlagLX[xP+nPSW-1, yP-1] = = predFlagLX[xN, yN]

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 N is equal to B1 and PartMode of the current prediction unit is 2NxN or PART\_2NxnU or PART\_2NxnD and PartIdx is equal to 1.

- N is equal to B1 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N=A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- 5 mvLX[xP-1, yP+nPSH-1] = mvLX[xN, yN]
  - refldxLX[xP-1, yP+nPSH-1] == refldxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] == predFlagLX[xN, yN]
  - N is equal to B0 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1)
     and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP+nPSW-1, yP-1] = mvLX[xN, yN]
  - refldxLX[xP+nPSW-1, yP-1] = refldxLX[xN, yN]
  - predFlagLX[xP+nPSW-1, yP-1] == predFlagLX[xN, yN]
  - N is equal to A0 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N = A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP-1, yP+nPSH-1] = = mvLX[xN, yN]
  - refIdxLX[xP-1, yP+nPSH-1] = = refIdxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] == predFlagLX[xN, yN]
  - N is equal to B2 and the prediction units covering luma location (xP+nPSW-1, yP-1) (N = B1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP+nPSW-1, yP-1] == mvLX[xN, yN]
  - refIdxLX[xP+nPSW-1, yP-1] == refIdxLX[xN, yN]
  - predFlagLX[xP+nPSW-1, yP-1] = = predFlagLX[xN, yN]
  - N is equal to B2 and the prediction units covering luma location (xP-1, yP+nPSH-1) (N = A1) and luma location (xN, yN) (Cand. N) have identical motion parameters:
- mvLX[xP-1, yP+nPSH-1] = = mvLX[xN, yN]
  - refIdxLX[xP-1, yP+nPSH-1] = refIdxLX[xN, yN]
  - predFlagLX[xP-1, yP+nPSH-1] = = predFlagLX[xN, yN]
  - PartMode of the current prediction unit is PART\_NxN and PartIdx is equal to 3 and the
    prediction units covering luma location (xP-1, yP) (PartIdx = 2) and luma location (xP-1,
- 30 yP-1) (PartIdx = 0) have identical motion parameters:
  - $\quad mvLX[xP-1, yP] = mvLX[xP-1, yP-1]$
  - refIdxLX[xP-1, yP] == refIdxLX[xP-1, yP-1]

predFlagLX[xP-1, yP] = = predFlagLX[xP-1, yP-1]

- and the prediction units covering luma location (xP, yP-1) (PartIdx = 1) and luma location (xN,
- 35 yN) (Cand. N) have identical motion parameters:
  - mvLX[xP, yP-1] == mvLX[xN, yN]
  - refIdxLX[xP, yP-1] == refIdxLX[xN, yN]
  - predFlagLX[xP, yP-1] == predFlagLX[xN, yN]

PartMode of the current prediction unit is PART\_NxN and PartIdx is equal to 3 and the prediction units covering luma location (xP, yP-1) (PartIdx = 1) and luma location (xP-1, yP-1) (PartIdx = 0) have identical motion parameters:

$$- mvLX[xP, yP-1] == mvLX[xP-1, yP-1]$$

- refldxLX[xP, yP-1] == refldxLX[xP-1, yP-1]

- predFlagLX[xP, yP-1] == predFlagLX[xP-1, yP-1]

and the prediction units covering luma location (xP-1, yP) (PartIdx = 2) and luma location (xN, yN) (Cand. N) have identical motion parameters:

$$- mvLX[xP-1, yP] = mvLX[xN, yN]$$

- refIdxLX[xP-1, yP] = refIdxLX[xN, yN]

- predFlagLX[xP-1, yP] == predFlagLX[xN, yN]

Otherwise, availableFlagN is set equal to 1 and the variables mvLX[xN, yN], refldxLX[xN, yN] and predFlagLX[xN, yN] of the prediction unit covering luma location (xN, yN) are assigned respectively to mvLXN, refldxLXN and predFlagLXN.

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For the motion vector predictor candidate list generation process, each list candidate can include more information than the motion vector value, such as the reference lists used, the reference frames used in each list and motion vector for each list.

When all motion vector candidates have been examined, one motion vector is selected to be used as the motion vector for the current block. The motion vector selector 364 may examine different motion vectors in the list and determine which motion vector provides the most efficient encoding result, or the selection of the motion vector may be based on to other criteria as well. Information of the selected motion vector is provided for the mode selector for encoding and transmission to the decoder or for storage when the mode selector determines to use inter prediction for the current block. The information may include the index of the motion vector in the list, and/or motion vector parameters or other appropriate information.

The selected motion vector and the block relating to the motion vector is used to generate the prediction representation of the image block 312 which is provided as the output of the mode selector. The output may be used by the first summing device 321 to produce the first prediction error signal 320, as was described above.

The selected motion vector predictor candidate can be modified by adding a motion vector difference or can be used directly as the motion vector of the block. Moreover, after the motion compensation is performed by using the selected motion vector predictor candidate, the residual signal of the block can be transform coded or skipped to be coded.

Although the embodiments above have been described with respect to the size of the macroblock being 16x16 pixels, it would be appreciated that the methods and apparatus described may be configured to handle macroblocks of different pixel sizes.

In the following the operation of an example embodiment of the decoder 600 is depicted in more detail with reference to Figure 7.

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At the decoder side similar operations are performed to reconstruct the image blocks. Figure 7 shows a block diagram of a video decoder 700 suitable for employing embodiments of the invention and Figures 8a and 8b show a flow diagram of an example of a method in the video decoder. The bitstream to be decoded may be received from the encoder, from a network element, from a storage medium or from another source. The decoder is aware of the structure of the bitstream so that it can determine the meaning of the entropy coded codewords and may decode the bitstream by an entropy decoder 701 which performs entropy decoding on the received signal. The entropy decoder thus performs the inverse operation to the entropy encoder 330 of the encoder described above. The entropy decoder 701 outputs the results of the entropy decoding to a prediction error decoder 702 and a pixel predictor 704.

In some embodiments the entropy coding may not be used but another channel encoding may be in use, or the encoded bitstream may be provided to the decoder 700 without channel encoding. The decoder 700 may comprise a corresponding channel decoder to obtain the encoded codewords from the received signal.

The pixel predictor 704 receives the output of the entropy decoder 701. The output of the entropy decoder 701 may include an indication on the prediction mode used in encoding the current block. A predictor selector 714 within the pixel predictor 704 determines that an intra-prediction or an interprediction is to be carried out. The predictor selector 714 may furthermore output a predicted representation of an image block 716 to a first combiner 713. The predicted representation of the image block 716 is used in conjunction with the reconstructed prediction error signal 712 to generate a preliminary reconstructed image 718. The preliminary reconstructed image 718 may be used in the predictor 714 or may be passed to a filter 720. The filter 720, if used, applies a filtering which outputs a final reconstructed signal 722. The final reconstructed signal 722 may be stored in a reference frame memory 724, the reference frame memory 724 further being connected to the predictor 714 for prediction operations.

Also the prediction error decoder 702 receives the output of the entropy decoder 701. A dequantizer 792 of the prediction error decoder 702 may dequantize the output of the entropy decoder 701 and the inverse transform block 793 may perform an inverse transform operation to the dequantized signal output by the dequantizer 792. The output of the entropy decoder 701 may also indicate that prediction error signal is not to be applied and in this case the prediction error decoder produces an all zero output signal.

The decoder selects the 16x16 pixel residual macroblock to reconstruct. This residual macroblock is also called as a current block.

The decoder may receive information on the encoding mode used in encoding of the current block. The indication is decoded, when necessary, and provided to the reconstruction processor 791 of the prediction selector 714. The reconstruction processor 791 examines the indication and selects one of the intra-prediction mode(s), if the indication indicates that the block has been encoded using intra-

prediction, or the inter-prediction mode, if the indication indicates that the block has been encoded using inter-prediction.

For inter-prediction mode the reconstruction processor 791 may comprise one or more elements corresponding to the prediction processor 362 of the encoder, such as a motion vector definer, a prediction list modifier and/or a motion vector selector.

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The reconstruction processor 791 initializes a motion vector prediction list to default values in block 800. As was the case in the encoding part, in this example the spatial motion prediction candidates are the spatial neighbour blocks A0, A1, B0, B1, B2 and these spatial motion prediction candidates are processed in the same predetermined order than in the encoder: A1, B1, B0, A0 and B2. The first spatial motion prediction candidate to be selected for further examination is thus A1. Before further examination is performed for the selected spatial motion prediction candidate, it is examined whether the merge list already contains a maximum number of spatial motion prediction candidates. If the number of spatial motion prediction candidates in the merge list is not less than the maximum number, the selected spatial motion prediction candidate is not included in the merge list and the process of constructing the merge list can be stopped 826. On the other hand, if the number of spatial motion prediction candidates in the merge list is less than the maximum number, a further analyses of the selected spatial motion prediction candidate is performed (blocks 804-822).

The decoder examines 804 if the prediction unit or block covering the spatial motion prediction candidate block is not available for motion prediction. If so, the candidate is not included in the merge list. The reason that the block is not available may be that the block is either coded in intra mode or resides in a different slice or outside of the picture area.

In addition to the common conditions above, for each spatial motion prediction candidate, if any of the following conditions holds, then the candidate is not included in the merge list, otherwise, it is included.

The decoder determines 806 which spatial motion prediction candidate of the set of spatial motion prediction candidates is in question. If the spatial motion prediction candidate is the block A1, one or more of the following conditions may be examined 808, 810 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is vertically split into two rectangle prediction units 103, 104 as depicted in Figure 10b and the current prediction unit is the second prediction unit 104 in the coding/decoding order (808), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not vertically split into two rectangle prediction units but it is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit in the coding/decoding order and the block A1 has the same motion information as the block B1 (810), this spatial motion prediction candidate (block A1) is not included in the merge list. In the example of Figure 10a the second prediction unit is the lower prediction unit 102 of the coding unit 100 and in the example of Figure 10b the second prediction unit is the rightmost prediction unit 104 of the coding unit 100. If none of the conditions above is fulfilled the block A1 is included in the merge list as a spatial motion prediction candidate (824).

If the spatial motion prediction candidate is the block B1, one or more of the following conditions may be examined 812, 814 to determine whether to include this spatial motion prediction candidate in the merge list or not. If the current coding unit 100 is horizontally split into two rectangle prediction units 101, 102 as depicted in Figure 10a and the current prediction unit is the second prediction unit 104 in the coding/decoding order (812), this spatial motion prediction candidate is not included in the merge list. If the current coding unit 100 is not horizontally split into two rectangle prediction units and if the block B1 has the same motion information than the block A1 (814), this spatial motion prediction candidate (block B1) is not included in the merge list. If none of the conditions above is fulfilled the block B1 is included in the merge list as a spatial motion prediction candidate (824).

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If the spatial motion prediction candidate is the block B0, this spatial motion prediction candidate is not included in the merge list if the block B0 has the same motion information than the block B1 (816). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block B0) is included in the merge list (824).

If the spatial motion prediction candidate is the block A0, this spatial motion prediction candidate is not included in the merge list if the block A0 has the same motion information than the block A1 (818). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, this spatial motion prediction candidate (block A0) is included in the merge list (824).

If the spatial motion prediction candidate is the block B2, this spatial motion prediction candidate is not included in the merge list if the maximum number of spatial motion prediction candidates is four and the other blocks A0, A1, B0, and B1 are all decided to be included in the merge list (820). Otherwise, if the number of spatial motion prediction candidates in the merge list is less than the maximum number of spatial motion prediction candidates, the block B2 is not included in the merge list if the block B2 has the same motion information than the block B1 or the block A1 (822).

Then, after processing the blocks A1, B1, B0, A0 and B2 and including a subset of them in the merge list based on the above described conditions, no more redundancy check between these candidates are performed and remaining temporal motion prediction candidate and/or other possible additional candidates may be processed.

When the merge list has been constructed the decoder may use 828 the indication of the motion vector received from the encoder to select the motion vector for decoding the current block. The indication may be, for example, an index to the merge list.

Basically, after the reconstruction processor 791 has constructed the merge list, it would correspond with the merge list constructed by the encoder if the reconstruction processor 791 has the same information available than the encoder had. If some information has been lost during transmission the information from the encoder to the decoder, it may affect the generation of the merge list in the decoder 700.

The above examples describe the operation mainly in the merge mode but the encoder and decoder may also operate in other modes.

The embodiments of the invention described above describe the codec in terms of separate encoder and decoder apparatus in order to assist the understanding of the processes involved. However, it would be appreciated that the apparatus, structures and operations may be implemented as a single encoder-decoder apparatus/structure/operation. Furthermore in some embodiments of the invention the coder and decoder may share some or all common elements.

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Although the above examples describe embodiments of the invention operating within a codec within an electronic device, it would be appreciated that the invention as described below may be implemented as part of any video codec. Thus, for example, embodiments of the invention may be implemented in a video codec which may implement video coding over fixed or wired communication paths.

Thus, user equipment may comprise a video codec such as those described in embodiments of the invention above.

It shall be appreciated that the term user equipment is intended to cover any suitable type of wireless user equipment, such as mobile telephones, portable data processing devices or portable web browsers.

Furthermore elements of a public land mobile network (PLMN) may also comprise video codecs as described above.

In general, the various embodiments of the invention may be implemented in hardware or special purpose circuits, software, logic or any combination thereof. For example, some aspects may be implemented in hardware, while other aspects may be implemented in firmware or software which may be executed by a controller, microprocessor or other computing device, although the invention is not limited thereto. While various aspects of the invention may be illustrated and described as block diagrams, flow charts, or using some other pictorial representation, it is well understood that these blocks, apparatus, systems, techniques or methods described herein may be implemented in, as non-limiting examples, hardware, software, firmware, special purpose circuits or logic, general purpose hardware or controller or other computing devices, or some combination thereof.

The embodiments of this invention may be implemented by computer software executable by a data processor of the mobile device, such as in the processor entity, or by hardware, or by a combination of software and hardware. Further in this regard it should be noted that any blocks of the logic flow as in the Figures may represent program steps, or interconnected logic circuits, blocks and functions, or a combination of program steps and logic circuits, blocks and functions. The software may be stored on such physical media as memory chips, or memory blocks implemented within the processor, magnetic media such as hard disk or floppy disks, and optical media such as for example DVD and the data variants thereof, CD.

The memory may be of any type suitable to the local technical environment and may be implemented using any suitable data storage technology, such as semiconductor based memory devices, magnetic memory devices and systems, optical memory devices and systems, fixed memory and

removable memory. The data processors may be of any type suitable to the local technical environment, and may include one or more of general purpose computers, special purpose computers, microprocessors, digital signal processors (DSPs) and processors based on multi core processor architecture, as non limiting examples.

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Embodiments of the inventions may be practiced in various components such as integrated circuit modules. The design of integrated circuits is by and large a highly automated process. Complex and powerful software tools are available for converting a logic level design into a semiconductor circuit design ready to be etched and formed on a semiconductor substrate.

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Programs, such as those provided by Synopsys, Inc. of Mountain View, California and Cadence Design, of San Jose, California automatically route conductors and locate components on a semiconductor chip using well established rules of design as well as libraries of pre stored design modules. Once the design for a semiconductor circuit has been completed, the resultant design, in a standardized electronic format (e.g., Opus, GDSII, or the like) may be transmitted to a semiconductor fabrication facility or "fab" for fabrication.

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The foregoing description has provided by way of exemplary and non-limiting examples a full and informative description of the exemplary embodiment of this invention. However, various modifications and adaptations may become apparent to those skilled in the relevant arts in view of the foregoing description, when read in conjunction with the accompanying drawings and the appended claims.

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#### Claims:

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#### A method comprising: 1.

receiving a block (900) of pixels including a prediction unit;

determining for the block (900) of pixels a set of spatial motion vector prediction candidates located below-left (901), left (902), above-left (905), above (904) and above-right (903) of the prediction unit; the spatial motion vector prediction candidates being provided with motion information comprising at least a motion vector and a reference index;

determining a subset of spatial motion vector prediction candidate pairs among existing spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the subset of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate (610);

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list;

wherein the method further comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the block of pixels;

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list;

limiting the number of spatial motion vector prediction candidates in the merge list smaller than or equal to the maximum number:

if the number of spatial motion vector prediction candidates in the merge list is smaller than the maximum number, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate (902) is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (902) from the merge list if any of the following conditions are fulfilled:

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	<ul> <li>the received block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in coding order;</li> </ul>	
5	<ul> <li>the received block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit</li> </ul>	
	in coding order, and the potential spatial motion vector prediction candidate (902) has the same motion vectors and the same reference indices than the	
	spatial motion vector prediction candidate (904) above the prediction unit;	
	if the potential spatial motion vector prediction candidate (904) is located above the	
10	prediction unit, excluding the potential spatial motion vector prediction candidate (904) from the merge list if any of the following conditions are fulfilled:	
	- the received block of pixels is horizontally divided into a first prediction unit and	
	a second prediction unit, and the prediction unit is the second prediction unit in coding order;	
15	- the potential spatial motion vector prediction candidate (904) has the same	
	motion vectors and the same reference indices than the spatial motion vector	
	prediction candidate (902) on the left side of the prediction unit;	
	if the potential spatial motion vector prediction candidate (903) is located on the right side of	
	the spatial motion vector prediction candidate (904) above the prediction unit,	X
20	excluding the potential spatial motion vector prediction candidate (903) from the merge	
	list if the potential spatial motion vector prediction candidate (903) has the same motion	
	vectors and the same reference indices than the spatial motion vector prediction candidate	
	(904) above the prediction unit;	
	if the potential spatial motion vector prediction candidate (901) is located below the	
30	spatial motion vector prediction candidate (902) on the left side of the	Х
	prediction unit, excluding the potential spatial motion vector prediction candidate (901)	
	from the merge list if the potential spatial motion vector prediction candidate (901) has	
	the same motion vectors and the same reference indices than the spatial motion vector	
	prediction candidate (902) on the left side of the prediction unit;	
	if the potential spatial motion vector prediction candidate (905) is cornerwise above-left	
	neighbouring the prediction unit, excluding the potential spatial motion vector prediction	
	candidate (905) from the merge list if any of the following conditions are fulfilled:	
	<ul> <li>all the other spatial motion vector prediction candidates (901—904)</li> </ul>	X
35	have been included in the merge list;	
	- the potential spatial motion vector prediction candidate (905) has the same	
	motion vectors and the same reference indices than the spatial motion vector	
	prediction candidate (904) above the prediction unit;	

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- the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit.
- 5 2. The method according to claim 1 comprising selecting spatial motion vector prediction candidates from the set of spatial motion vector prediction candidates as the potential spatial motion vector prediction candidate in a predetermined order.
- The method according to claim 1 or 2, comprising comparing motion information of the
   potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
  - 4. The method according to any of the claims 1 to 3 comprising examining whether the received block of pixels is divided into a first prediction unit and a second prediction unit in coding order; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
    - 5. The method according to any of the claims 1 to 4 further comprising including a temporal motion prediction candidate into the merge list.

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6. A method comprising:

receiving an encoded block of pixels including a prediction unit;

determining for the encoded hlock (900) of pixels a set of spatial motion vector prediction candidates located below-left (901), left (902), above-left (905), above (904) and above-right (903) of the prediction unit; the spatial motion vector prediction candidates being provided with motion information comprising at least a motion vector and a reference index;

determining a subset of spatial motion vector prediction candidate pairs among existing spatial motion vector prediction candidate pairs for comparison among all available spatial motion vector prediction candidate pairs in the set of spatial motion vector prediction candidates;

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selecting a spatial motion vector prediction candidate from the set of spatial motion vector prediction candidates as a potential spatial motion vector prediction candidate to be included in a merge list for the prediction unit;

examining the subset of spatial motion vector prediction candidate pairs to determine which other spatial motion vector prediction candidate is defined to belong to the same spatial motion vector prediction candidate pair than the selected spatial motion vector prediction candidate;

comparing motion information of the selected spatial motion vector prediction candidate with motion information of the other spatial motion vector prediction candidate;

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if the comparison indicates that the motion vector information of the other spatial motion vector prediction candidate corresponds with the motion vector information of the selected spatial motion vector prediction candidate, excluding the selected spatial motion vector prediction candidate from the merge list

wherein the method further comprises selecting one motion vector prediction candidate from the merge list to represent a motion vector prediction for the received encoded block of pixels;

determining a maximum number of spatial motion vector prediction candidates to be included in a merge list;

limiting the number of spatial motion vector prediction candidates in the merge list smaller or equal to the maximum number;

if the number of spatial motion vector prediction candidates in the merge list smaller than the maximum number, examining whether a prediction unit to which the potential spatial motion vector prediction candidate belongs is available for motion prediction;

if so, performing at least one of the following:

if the potential spatial motion vector prediction candidate (902) is located on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (902) from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is vertically divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit;
- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and if the prediction unit is the second prediction unit, and the potential spatial motion vector prediction candidate (902) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit:

if the potential spatial motion vector prediction candidate (904) is located above the prediction unit, excluding the potential spatial motion vector prediction candidate (904) from the merge list if any of the following conditions are fulfilled:

- the received encoded block of pixels is horizontally divided into a first prediction unit and a second prediction unit, and the prediction unit is the second prediction unit in decoding order;
- the potential spatial motion vector prediction candidate (904) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit;

if the potential spatial motion vector prediction candidate (903) is located on the right side of the spatial motion vector prediction candidate (904) above the prediction unit,

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excluding the potential spatial motion vector prediction candidate (903) from the merge list if the potential spatial motion vector prediction candidate has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit;

if the potential spatial motion vector prediction candidate (901) is located below the spatial motion vector prediction candidate (902) on the left side of the prediction unit, excluding the potential spatial motion vector prediction candidate (901) from the merge list if the potential spatial motion vector prediction candidate (901) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit;

if the potential spatial motion vector prediction candidate (905) is cornerwise above-left neighbouring the prediction unit, excluding the potential spatial motion vector prediction candidate (905) from the merge list if any of the following conditions are fulfilled:

- all the other spatial motion vector prediction candidates (901—904)
   have been included in the merge list;
- the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (904) above the prediction unit;
- the potential spatial motion vector prediction candidate (905) has the same motion vectors and the same reference indices than the spatial motion vector prediction candidate (902) on the left side of the prediction unit.
- 7. The method according to claim 6 comprising comparing motion information of the potential spatial motion vector prediction candidate with motion information of at most one other spatial motion vector prediction candidate of the set of spatial motion vector prediction candidates.
- 8. The method according to claim 6 or 7 comprising examining whether the received encoded block of pixels is divided into a first prediction unit and a second prediction unit in decoding order; and if so, excluding the potential spatial motion vector prediction candidate from the merge list if the prediction unit is the second prediction unit.
- 9. An apparatus comprising means for performing a method according to any one of claims 1 to 5.

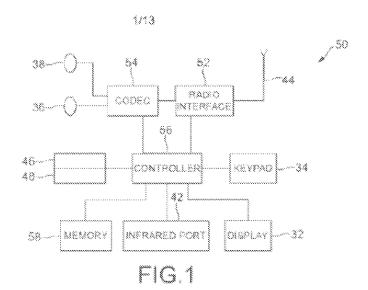
 $$10$. \ \,$  An apparatus comprising means for performing a method according to any one of claims 6 to  $^\circ$  8  $^\circ$  .

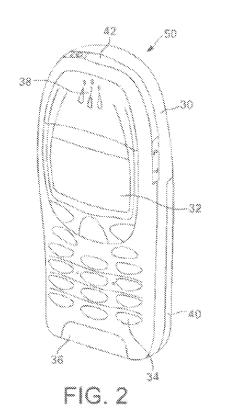
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- 5 11. A computer-readable storage medium having computer-readable instructions thereon which, when executed by one or more processors, cause one or more processors to perform a method of any one of claims 1 to 5.
  - 12. A computer-readable storage medium having computer-readable instructions thereon which, when
- executed by one or more processors, cause one or more processors to perform a method of any one of claims 6 to 8 .

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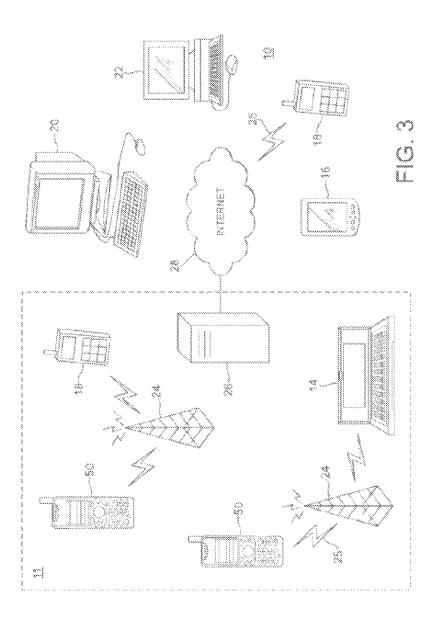


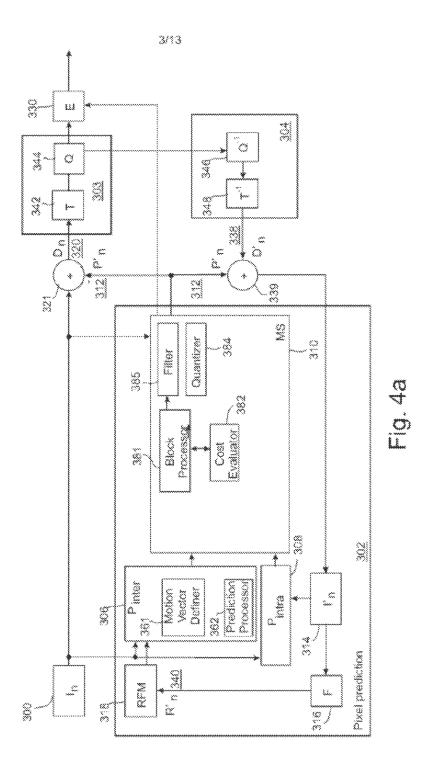


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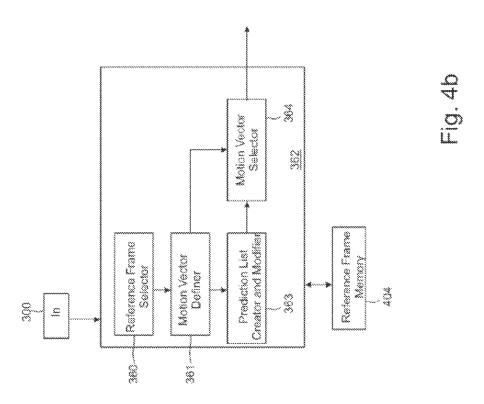




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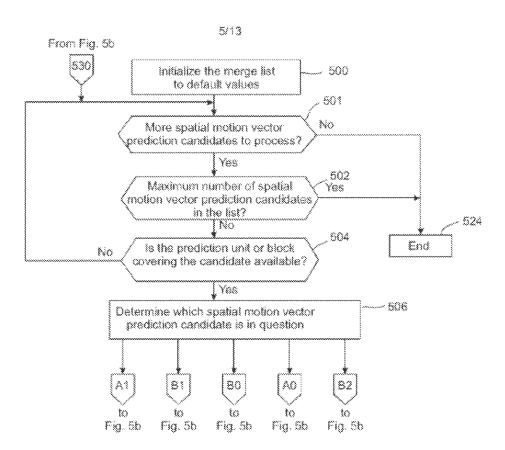
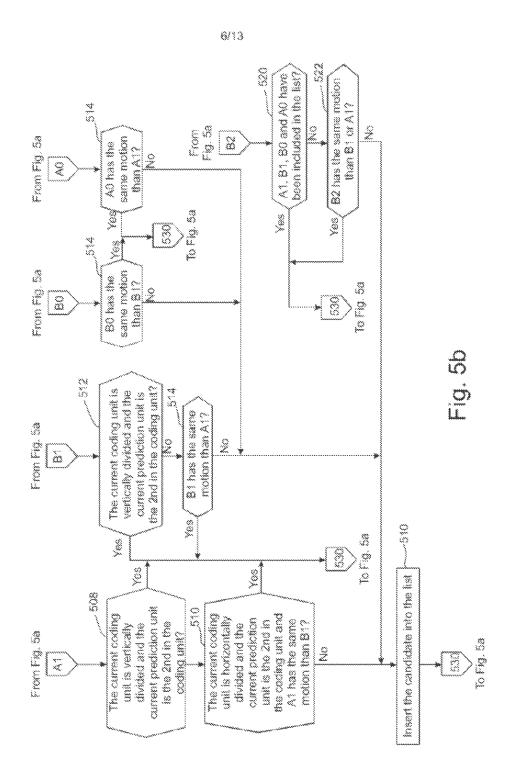


Fig. 5a



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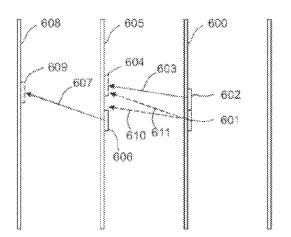


Fig. 6a

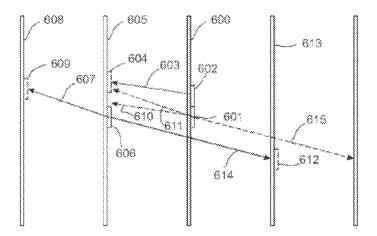
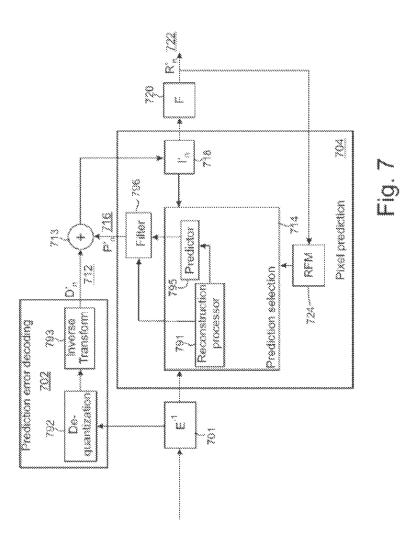


Fig. 6b

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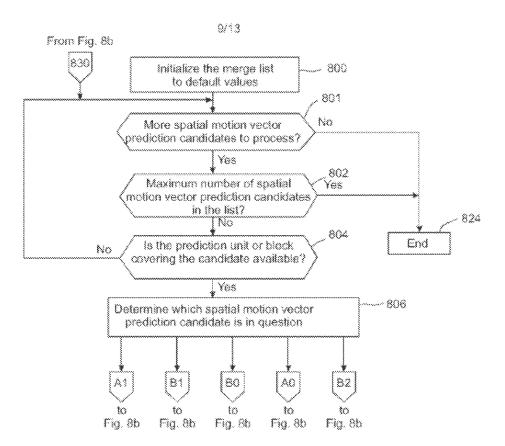
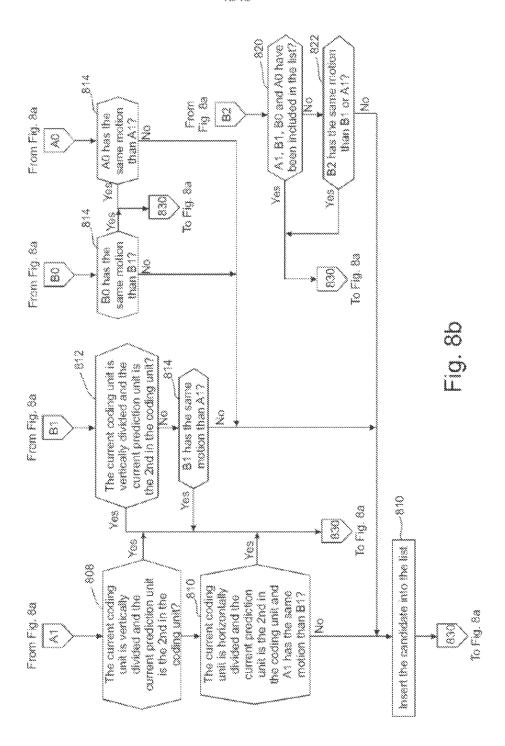


Fig. 8a

#### 10/13



EP 12 845 839.5 DRAWING (10.05.2013)  $11/13 \rightarrow 12/13$ 

WO 2013/064749 PCT/FI2012/051070

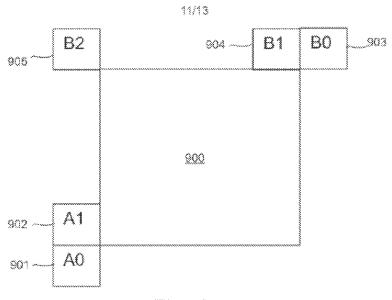


Fig. 9

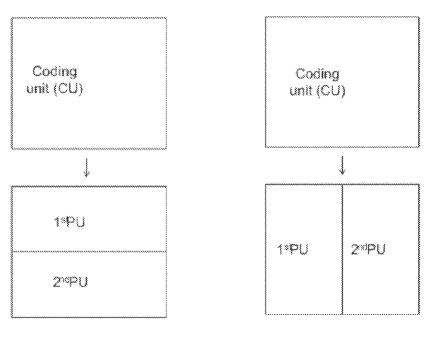


Fig. 10a

Fig. 10b

EP 12 845 839.5 DRAWING (10.05.2013) 12/13 → 13/13

WO 2013/064749 PCT/FI2012/051070

12/13

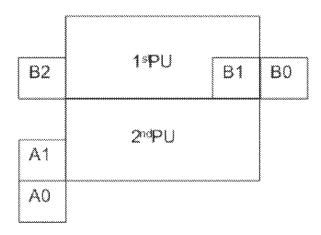


Fig. 11a

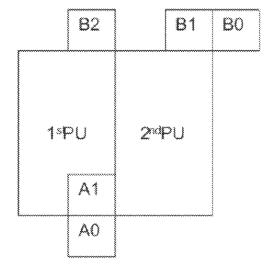


Fig. 11b

EP 12 845 839.5 DRAWING (10.05.2013) 13/13

WO 2013/064749 PCT/FI2012/051070

13/13

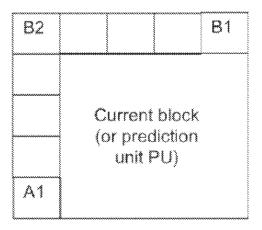


Fig. 12



European Patent Office Postbus 5818 2280 HV Rijswijk NETHERLANDS Tel: +31 70 340 2040 Fax: +31 70 340 3016

André, Thomas

2nd examiner

Application No.:

12 845 839.5

#### IV.2. Patent classification

The classification has been changed. It now is as follows:

INV. H04N19/52

# IV.3. Title of the invention

The title has been changed. It now is as follows:

VIDEOKODIERUNGSVERFAHREN UND -VORRICHTUNG

METHOD AND APPARATUS FOR VIDEO CODING

PROCÉDÉ DE CODAGE VIDÉO ET APPAREIL ASSOCIÉ

#### IV.4. Documentation

16-04-2020...

Ferré, Pierre Chairman

Enclosure(s):

Mayer, Claudia

EPO Form 2035.4 (Sheet 1) 12.07TRI



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Berggren Oy, Tampere Visiokatu 1 33720 Tampere FINLANDE

Application No.	Ref.	Date
12 845 839.5 - 1209	TP109764EP	28.04.2020
Applicant Nokia Technologies Oy		

### Communication under Rule 71(3) EPC

#### 1. Intention to grant

You are informed that the examining division intends to grant a European patent on the basis of the above application, with the text and drawings and the related bibliographic data as indicated below.

A copy of the relevant documents is enclosed.

## 1.1 In the text for the Contracting States:

AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR

### Description, Pages

1-3, 8-14, 16-27 as published

15 filed in electronic form on 20-01-2017 4, 4a filed in electronic form on 16-12-2019

#### Claims, Numbers

1-12 filed in electronic form on 16-12-2019

#### **Drawings, Sheets**

1/13-13/13 as published

#### With the following amendments to the above-mentioned documents proposed by the division

Description, Pages 4, 4a, 27

Delete Previous: 5-7, 28-34

#### Registered letter

Date 28.04.2020 Sheet 2 Application No.: 12 845 839.5

Claims, Numbers 1, 6, 9-12

#### Comments

DESCRIPTION

Page 4: Mention of relevant prior art in the description (Rule 42(1) EPC)

Pages 4(a), 27: Description adapted to amended claims (Art. 84 ÉPC)

Pages 5-7, 28-34: (PAGE DELETED) - Description adapted to amended claims (Art. 84 EPC)

Page 27: Doubtful extent of protection (Guidelines F-IV, 4.4)

CLAIMS

Pages 1, 4, CLAIMS 1, 6: Incorrect two-part form of claim (Rule 43(1) EPC)

Pages 2, 4, 5, CLAIMS 1, 6: Inconsistency within claim removed (Art. 84 EPC)

Page 5, Claim 9: Deletion of redundant claim (Art. 84 EPC) - the subject-matter of claim 9 is fully comprised in claim 6.

Pages 5, 6, CLAIMS 9-12: Renumbering of claims

Page 6, CLAIMS 10, 12: Amended dependencies due to renumbering of claims.

Page 6, CLAIMS 11, 12: Scope of claim unclear - clarified (Art. 84 EPC)

#### 1.2 Bibliographic data

The title of the invention in the three official languages of the European Patent Office, the international patent classification, the designated contracting states, the registered name(s) of the applicant(s) and the other bibliographic data are shown on **EPO Form 2056** (enclosed).

#### 2. Invitation

You are invited, within a non-extendable period of four months of notification of this communication,

#### 2.1 to EITHER approve the text communicated above and verify the bibliographic data (Rule 71(5) EPC)

(1) by filing a translation of the claim(s) in the other two official languages of the EPO

		Fee code	EUR
(2a)	by paying the fee for grant including the fee for publication: minus any amount already paid (Rule 71a(5) EPC):	007	960.00 0.00
		Total amount:	960.00
(3)	by paying additional claims fees under Rule 71(4) EPC; number of claims fees payable: 0 minus any amount already paid (Rule 71a(5) EPC):	016	0.00
		Total amount:	0.00

**Important:** If the translations of the claims and fees have already been filed and paid respectively in reply to a previous communication under Rule 71(3) EPC, e.g. in the case of resumption of examination after approval (see Guidelines C-V, 6), **agreement as to the text to be granted** (Rule 71a(1) EPC) must be expressed within the same time limit (e.g. by approving the text and verifying the bibliographic data, by confirming that grant proceedings can go ahead with the documents on file and/or by stating which translations of the claims already on file are to be used).

Registered letter

- Note 1: See "Notes concerning fee payments" below.
- Note 2: Any overpaid "minus" amounts will be refunded when the decision to grant (EPO Form 2006A) has been issued.
- Note 3: For the calculation of the grant fee under Article 2(2), No. 7, RFees (old fee structure), the number of pages is determined on the basis of a clean copy of the application documents, in which text deleted as a result of any amendments by the examining division is not shown. Such clean copy is made available via on-line file inspection only.
- 2.2 OR, in the case of disapproval, to request <u>reasoned</u> amendments or corrections to the <u>text</u> communicated above or keep to the latest text submitted by you (Rule 71(6) EPC).

In this case the translations of the claims and fee payments mentioned under point 2.1 above are NOT due.

The terms "amendment(s)" and "correction(s)" refer only to amendments or corrections of the application documents and not of other documents (e.g. bibliographic data, the designation of the inventor, etc.).

If filing amendments, you must identify them and indicate the basis for them in the application as filed. Failure to meet either requirement may lead to a communication from the examining division requesting that you correct this deficiency (Rule 137(4) EPC).

#### 2.3 Bibliographic data

Where you request a change or correction of bibliographic data in response to the Rule 71(3) communication, this will **not** cause the sending of a further communication under Rule 71(3) EPC. You will still have to pay the fees and file translations in reply to the Rule 71(3) communication in the case of 2.1 above, unless you also file a reasoned request for amendments or corrections in response to the Rule 71(3) communication (see case 2.2 above).

#### 3. Loss of rights

If neither of the two possible actions above (see points 2.1 or 2.2) is performed in due time, the European patent application will be deemed to be withdrawn (Rule 71(7) EPC).

#### 4. Further procedure

#### 4.1 In the case of point 2.1 above

**4.1.1** The decision to grant the European patent will be issued, and the **mention of the grant** of the patent will be published in the European Patent Bulletin, if the requirements concerning the translation of the claims and the payment of all fees are fulfilled and there is agreement as to the text to be granted (Rule 71a(1) EPC).

#### Note on payment of the renewal fee:

If a renewal fee becomes due before the next possible date for publication of the mention of the grant of the European patent, publication will be effected only after the renewal fee and any additional fee have been paid (Rule 71a(4) EPC).

Under Article 86(2) EPC, the obligation to pay renewal fees to the European Patent Office terminates with the payment of the renewal fee due in respect of the year in which the mention of the grant of the European patent is published.

## Note on payment of the designation fee(s):

If the designation fee(s) become(s) due after the communication under Rule 71(3) EPC, the mention of the grant of the European patent will not be published until these fees have been paid (Rule 71a(3) EPC).

**4.1.2** After publication, the **European patent specification** can be downloaded free of charge from the EPO publication server https://data.epo.org/publication-server.

#### Registered letter

Date 28.04.2020 Sheet 4 Application No.: 12 845 839.5

#### 4.1.3 Filing of translations in the contracting states

As regards translation requirements prescribed by the contracting states under Article 65(1) EPC, please consult the website of the European Patent Office

www.epo.org →Law & practice →Legal texts, National law relating to the EPC www.epo.org →Law & practice →All Legal texts →London Agreement

#### In the case of a valid extension or validation

As regards translation requirements prescribed by the extension or validation states, please consult the website of the European Patent Office

www.epo.org →Law & practice →Legal texts, National law relating to the EPC

Failure to supply a prescribed translation in a contracting state, or in an extension or validation state may result in the patent being deemed to be void *ab initio* in the state concerned (Art. 65(3) EPC).

#### 4.2 In the case of 2.2 above

If the present communication under Rule 71(3) EPC is based on an auxiliary request and, within the time limit, you maintain the main request or a higher ranking request which is not allowable, the application will be refused (Art. 97(2) EPC).

If the examining division gives its consent to the requested amendments or corrections, it will issue a new communication under Rule 71(3) EPC; otherwise, it shall resume the examination proceedings (Rule 71(6) EPC).

#### 5. Filing of a divisional application

Any divisional application relating to this European patent application must be filed directly with the European Patent Office in Munich, The Hague or Berlin and will be in the language of the proceedings for the present application, or if the latter was not in an official language of the EPO, the divisional application may be filed in the language of the present application as filed (see Article 76(1) and Rule 36(2) EPC). Any such divisional application must be filed while the present application is still pending (Rule 36(1) EPC; Guidelines A-IV, 1.1.1).

#### 6. Notes concerning fee payments

#### 6.1 Making payments

For payments made via deposit account, please note that as from 1 December 2017 debit orders will only be carried out if filed in an electronically processable format (xml), using an accepted means of filing as laid down in the Arrangements for deposit accounts (ADA), published in the Supplementary publication in the Official Journal.

All relevant information related to the modes of payment of fees to the EPO can be retrieved from the EPO website at "Making Payments".

#### 6.2 Information concerning fee amounts

Procedural fees are usually adjusted every two years, on even years, with effect from 1 April. Therefore, before making a payment, parties should verify the amounts actually due on the date of payment using the applicable version of the Schedule of fees and expenses, published as a Supplement to the Official Journal of the EPO, available on the EPO website (www.epo.org) at www.epo.org/schedule-of-fees. The "Schedule of fees" table allows the viewing, downloading and searching of individual fee amounts, both current and previous.

#### 6.3 Note to users of the automatic debiting procedure

Registered letter

The fee for grant, including the fee for publication, and any additional claims fees due under Rule 71(4) EPC will be debited automatically on the date of filing of the translations of the claims, or on the last day of the period of this communication. However, if the designation fee(s) become(s) due as set out in Rule 71a(3) EPC and/or a renewal fee becomes due as set out in Rule 71a(4) EPC, these should be paid separately by another permitted way of payment in order not to delay the publication of the mention of the grant. The same applies in these circumstances to the payment of extension and validation fees. The same applies in these circumstances to the payment of extension and validation fees.

Note: If a waiver is expressed in response to a Rule 71(3) communication (see OJ EPO 2015, A52), the fee for grant, including the fee for publication/printing, and any additional claims fees will not be debited automatically. These fees must be paid separately by another means of payment allowed under the Rules relating to Fees.

#### **Examining Division:**

Chairman:Ferré, Pierre2nd Examiner:André, Thomas1st Examiner:Mayer, Claudia



Delimon, Krista

For the Examining Division Tel. No.: +31 70 340 - 4446

Branch at The Hague

Enclosures: Text intended for grant

EPO Form 2056

Registered letter

European Patent Office Postbus 5818 2280 HV Rijswijk NETHERLANDS Tel: +31 70 340 2040 Fax: +31 70 340 3016

#### Annex to EPO Form 2004, Communication pursuant to Rule 71(3) EPC

Bibliographical data of European patent application No. 12 845 839.5

For the intended grant of the European patent, the bibliographical data are set out below, for information:

Title of invention: - VIDEOKODIERUNGSVERFAHREN UND -VORRICHTUNG

METHOD AND APPARATUS FOR VIDEO CODING

PROCÉDÉ DE CODAGE VIDÉO ET APPAREIL ASSOCIÉ

Classification: INV. H04N19/52

**Date of filing:** 02.11.2012

**Priority** claimed: US /04.11.2011 / USP201161555703

Contracting States\* for which fees have

been paid:

AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU

LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR

Extension States\* for which fees have

been paid:

Validation States\* for which fees have been paid:

Applicant(s)\*\*: Nokia Technologies Oy

Karakaari 7 02610 Espoo

FΙ

Inventor(s): BICI, Mehmet Oguz

Tammelan puistokatu 1-3 D 46

33500 Tampere

FI

LAINEMA, Jani Kisakentänkatu 12 B 6 33230 Tampere

FI

UGUR, Kemal Lapintie 6D 25 33100 Tampere

FΙ

\*) If the time limit for the payment of designation fees according to Rule 39(1) EPC has not yet



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expired and the applicant has not withdrawn any designation, **all Contracting States/Extension States/Validation States** are currently still deemed to be designated. See also Rule 71a(3) EPC and, if applicable, the above Note to users of the automatic debiting procedure.

\*\*) If two or more applicants have designated different Contracting States, this is indicated here.

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#### ANSPRÜCHE

1. Verfahren, das Folgendes umfasst:

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Empfangen eines Blocks (900) von Pixeln, der eine Vorhersageeinheit einschließt;

Bestimmen eines Satzes von Raumbewegungsvektorvorhersage-kandidaten, die sich unten links (901), links (902), oben links (905), oben (904) und oben rechts (903) von der Vorhersageeinheit befinden, für den Block (900) von Pixeln; wobei die Raumbewegungsvektorvorhersagekandidaten mit Bewegungsinformationen versehen sind, die mindestens einen Bewegungsvektor und einen Referenzindex umfassen;

Bestimmen eines Untersatzes von Raumbewegungsvektorvorhersagekandidatenpaaren unter existierenden Raumbewegungsvektorvorhersagekandidatenpaaren zum Vergleich unter allen verfügbaren Raumbewegungsvektorvorhersagekandidatenpaaren in dem Satz von Raumbewegungsvektorvorhersagekandidaten;

Auswählen eines Raumbewegungsvektorvorhersagekandidaten aus dem Satz von Raumbewegungsvektorvorhersagekandidaten als einen potenziellen Raumbewegungsvektorvorhersagekandidaten, der in eine Mischliste für die Vorhersageeinheit einzuschließen ist;

Überprüfen des Untersatzes von Raumbewegungsvektorvorhersagekandidatenpaaren, um zu bestimmen, welcher andere Raumbewegungsvektorvorhersagekandidat als der ausgewählte Raumbewegungsvektorvorhersagekandidat (610) als zum selben Raumbewegungsvektorvorhersagekandidatenpaar gehörend definiert ist;

Vergleichen von Bewegungsinformationen des ausgewählten Raumbewegungsvektorvorhersagekandidaten mit Bewegungsinformationen des anderen Raumbewegungsvektorvorhersagekandidaten;

wenn der Vergleich anzeigt, dass die Bewegungsvektorinformationen des anderen Raumbewegungsvektorvorhersagekandidaten den Bewegungsvektorinformationen des ausgewählten Raumbewegungsvektorvorhersagekandidaten entsprechen, Ausschließen des ausgewählten Raumbewegungsvektorvorhersagekandidaten aus der Mischliste;

5 wobei das Verfahren ferner das Auswählen eines Bewegungsvektorvorhersagekandidaten aus der Mischliste, um eine Bewegungsvektorvorhersage für dem Block von Pixeln zu repräsentieren, umfasst;

Bestimmen einer maximalen Anzahl von Raumbewegungs10 vektorvorhersagekandidaten, die in die Mischliste einzuschließen sind;

Begrenzen der Anzahl von Raumbewegungsvektorvorhersagekandidaten in der Mischliste auf kleiner als oder gleich der maximalen Anzahl;

- 15 wenn die Anzahl von Raumbewegungsvektorvorhersagekandidaten in der Mischliste kleiner als die maximale
  Anzahl ist, Überprüfen, ob eine Vorhersageeinheit, zu der der
  potenzielle Raumbewegungsvektorvorhersagekandidat gehört, für
  die Bewegungsvorhersage verfügbar ist;
- wenn ja, Durchführen von mindestens einem von Folgendem:
  wenn sich der potenzielle Raumbewegungsvektorvorhersagekandidat (902) links von der Vorhersageeinheit befindet,
  Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (902) aus der Mischliste, wenn eine der folgenden
  Bedingungen erfüllt ist:
  - der empfangene Block von Pixeln ist vertikal in eine erste Vorhersageeinheit und eine zweite Vorhersageeinheit geteilt und die Vorhersageeinheit ist in Codierreihenfolge die zweite Vorhersageeinheit;
- der empfangene Block von Pixeln ist horizontal in eine erste Vorhersageeinheit und eine zweite Vorhersageeinheit geteilt, und wenn die Vorhersageeinheit in Codierreihenfolge die zweite Vorhersageeinheit ist, und der potenzielle

Raumbewegungsvektorvorhersagekandidat (902) hat dieselben Bewegungsvektoren und dieselben Referenzindices wie der Raumbewegungsvektorvorhersagekandidat (904) über der Vorhersageeinheit;

- wenn sich der potenzielle Raumbewegungsvektorvorhersagekandidat (904) über der Vorhersageeinheit befindet, Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (904) aus der Mischliste, wenn eine der folgenden Bedingungen erfüllt ist:
- der empfangene Block von Pixeln ist horizontal in eine erste Vorhersageeinheit und eine zweite Vorhersageeinheit geteilt und die Vorhersageeinheit ist in Codierreihenfolge die zweite Vorhersageeinheit;
  - der potenzielle
- Raumbewegungsvektorvorhersagekandidat (904) hat dieselben Bewegungsvektoren und dieselben Referenzindices wie der Raumbewegungsvektorvorhersagekandidat (902) links von der Vorhersageeinheit;
- wenn sich der potenzielle Raumbewegungsvektorvorhersage-20 kandidat (903) über der Vorhersageeinheit rechts vom Raumbewegungsvektorvorhersagekandidaten (904)befindet, Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (903) aus der Mischliste, wenn der potenzielle Raumbewegungsvektorvorhersagekandidat (903)25 Bewegungsvektoren und dieselben Referenzindices hat wie der Raumbewegungsvektorvorhersagekandidat (904)über der Vorhersageeinheit;

wenn sich der potenzielle Raumbewegungsvektorvorhersagekandidat (901) links von der Vorhersageeinheit unter dem Raumbewegungsvektorvorhersagekandidaten (902) befindet, Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (901) aus der Mischliste, wenn der potenzielle Raumbewegungsvektorvorhersagekandidat (901) dieselben

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Bewegungsvektoren und dieselben Referenzindices hat wie der Raumbewegungsvektorvorhersagekandidat (902) links von der Vorhersageeinheit;

wenn der potenzielle Raumbewegungsvektorvorhersagekandidat (905) der Vorhersageeinheit an der Ecke oben links benachbart ist, Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (905) aus der Mischliste, wenn eine der folgenden Bedingungen erfüllt ist:

- alle anderen Raumbewegungsvektorvorhersagekandidaten (901-904) wurden in die Mischliste eingeschlossen;
  - der potenzielle Raumbewegungsvektorvorhersagekandidat (905) hat dieselben Bewegungsvektoren und dieselben Referenzindices wie der Raumbewegungsvektorvorhersagekandidat (904) über der Vorhersageeinheit;
- 15 der potenzielle
  Raumbewegungsvektorvorhersagekandidat (905) hat dieselben
  Bewegungsvektoren und dieselben Referenzindices wie der
  Raumbewegungsvektorvorhersagekandidat (902) links von der
  Vorhersageeinheit.

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- 2. Verfahren nach Anspruch 1, das das Auswählen von Raumbewegungsvektorvorhersagekandidaten aus dem Satz von Raumbewegungsvektorvorhersagekandidaten als den potenziellen Raumbewegungsvektorvorhersagekandidaten in einer vorbestimmten Reihenfolge umfasst.
- 3. Verfahren oder 2, nach Anspruch 1 das das Bewegungsinformationen Vergleichen von des potenziellen Raumbewegungsvektorvorhersagekandidaten mit Bewegungsinformationen von höchstens einem anderen Raumbewegungsvektorvorhersagekandidaten des Satzes von Raumbewegungsvektorvorhersagekandidaten umfasst.

5 12 845 839.5

- 4. Verfahren nach einem der Ansprüche 1 bis 3, das das Überprüfen, ob der empfangene Block von Pixeln in eine erste Vorhersageeinheit und eine zweite Vorhersageeinheit in Codierreihenfolge geteilt ist; und wenn ja, Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten aus der Mischliste, wenn die Vorhersageeinheit die zweite Vorhersageeinheit ist, umfasst.
- 5. Verfahren nach einem der Ansprüche 1 bis 4, das 10 ferner das Einschließen eines Zeitbewegungsvorhersagekandidaten in die Mischliste umfasst.

#### 6. Verfahren, das Folgendes umfasst:

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Empfangen eines codierten Blocks von Pixeln, der eine 15 Vorhersageeinheit einschließt;

Bestimmen eines Satzes von Raumbewegungsvektorvorhersage-kandidaten, die sich unten links (901), links (902), oben links (905), oben (904) und oben rechts (903) von der Vorhersageeinheit befinden, für den codierten Block (900) von Pixeln; wobei die Raumbewegungsvektorvorhersagekandidaten mit Bewegungsinformationen versehen sind, die mindestens einen Bewegungsvektor und einen Referenzindex umfassen;

Bestimmen eines Untersatzes von Raumbewegungsvektorvorhersagekandidatenpaaren unter existierenden Raumbewegungsvektorvorhersagekandidatenpaaren zum Vergleich unter allen verfügbaren Raumbewegungsvektorvorhersagekandidatenpaaren in dem Satz von Raumbewegungsvektorvorhersagekandidaten;

Auswählen eines Raumbewegungsvektorvorhersagekandidaten aus dem Satz von Raumbewegungsvektorvorhersagekandidaten als einen potenziellen Raumbewegungsvektorvorhersagekandidaten, der in eine Mischliste für die Vorhersageeinheit einzuschließen ist;

Überprüfen des Untersatzes von Raumbewegungsvektorvorhersagekandidatenpaaren, um zu bestimmen, welcher andere Raumbewegungsvektorvorhersagekandidat als der ausgewählte Raumbewegungsvektorvorhersagekandidat als zum selben Raumbewegungsvektorvorhersagekandidatenpaar gehörend definiert ist;

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Vergleichen von Bewegungsinformationen des ausgewählten Raumbewegungsvektorvorhersagekandidaten mit Bewegungsinformationen des anderen Raumbewegungsvektorvorhersagekandidaten;

wenn der Vergleich anzeigt, dass die Bewegungsvektorinformationen des anderen Raumbewegungsvektorvorhersagekandidaten den Bewegungsvektorinformationen des ausgewählten Raumbewegungsvektorvorhersagekandidaten entsprechen, Ausschließen
des ausgewählten Raumbewegungsvektorvorhersagekandidaten aus
der Mischliste

wobei das Verfahren ferner das Auswählen eines Bewegungsvektorvorhersagekandidaten aus der Mischliste, um eine Bewegungsvektorvorhersage für den empfangenen codierten Block von Pixeln zu repräsentieren, umfasst;

20 Bestimmen einer maximalen Anzahl von Raumbewegungsvektorvorhersagekandidaten, die in die Mischliste einzuschließen sind;

Begrenzen der Anzahl von Raumbewegungsvektorvorhersagekandidaten in der Mischliste auf kleiner oder gleich der maximalen Anzahl;

wenn die Anzahl von Raumbewegungsvektorvorhersagekandidaten in der Mischliste kleiner als die maximale Anzahl, Überprüfen, ob eine Vorhersageeinheit, zu der der potenzielle Raumbewegungsvektorvorhersagekandidat gehört, für die Bewegungsvorhersage verfügbar ist;

wenn ja, Durchführen von mindestens einem von Folgendem:
wenn sich der potenzielle Raumbewegungsvektorvorhersagekandidat (902) links von der Vorhersageeinheit befindet,

Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (902) aus der Mischliste, wenn eine der folgenden Bedingungen erfüllt ist:

- der empfangene codierte Block von Pixeln ist vertikal in eine erste Vorhersageeinheit und eine zweite Vorhersageeinheit geteilt und die Vorhersageeinheit ist die zweite Vorhersageeinheit;
- der empfangene codierte Block von Pixeln ist horizontal in eine erste Vorhersageeinheit und eine zweite 10 Vorhersageeinheit geteilt, und wenn die Vorhersageeinheit die zweite Vorhersageeinheit ist, und der potenzielle Raumbewegungsvektorvorhersagekandidat (902) hat dieselben Bewegungsvektoren und dieselben Referenzindices wie der Raumbewegungsvektorvorhersagekandidat (904) über der

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Vorhersageeinheit;

wenn sich der potenzielle Raumbewegungsvektorvorhersagekandidat (904) über der Vorhersageeinheit befindet, Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (904) aus der Mischliste, wenn eine der folgenden Bedingungen erfüllt ist:

- der empfangene codierte Block von Pixeln ist horizontal in eine erste Vorhersageeinheit und eine zweite Vorhersageeinheit geteilt und die Vorhersageeinheit ist in Decodierreihenfolge die zweite Vorhersageeinheit;
- 25 der potenzielle Raumbewegungsvektorvorhersagekandidat (904) hat dieselben Bewegungsvektoren und dieselben Referenzindices wie der Raumbewegungsvektorvorhersagekandidat (902) links von der Vorhersageeinheit;

wenn sich der potenzielle Raumbewegungsvektorvorhersagekandidat (903) über der Vorhersageeinheit rechts vom
Raumbewegungsvektorvorhersagekandidaten (904) befindet,
Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (903) aus der Mischliste, wenn der potenzielle

Raumbewegungsvektorvorhersagekandidat dieselben Bewegungsvektoren und dieselben Referenzindices hat wie der Raumbewegungsvektorvorhersagekandidat (904) über der Vorhersageeinheit;

5 wenn sich der potenzielle Raumbewegungsvektorvorhersagekandidat (901) links von der Vorhersageeinheit unter dem Raumbewegungsvektorvorhersagekandidaten (902) Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (901) aus der Mischliste, wenn der potenzielle 10 Raumbewegungsvektorvorhersagekandidat (901) dieselben Bewegungsvektoren und dieselben Referenzindices hat wie der Raumbewegungsvektorvorhersagekandidat (902) links von Vorhersageeinheit;

wenn der potenzielle Raumbewegungsvektorvorhersage15 kandidat (905) der Vorhersageeinheit an der Ecke oben links
benachbart ist, Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten (905) aus der Mischliste, wenn eine
der folgenden Bedingungen erfüllt ist:

- alle anderen Raumbewegungsvektorvorhersagekandidaten 20 (901-904) wurden in die Mischliste eingeschlossen;
  - der potenzielle Raumbewegungsvektorvorhersagekandidat (905) hat dieselben Bewegungsvektoren und dieselben Referenzindices wie der Raumbewegungsvektorvorhersagekandidat (904) über der Vorhersageeinheit;
- 25 der potenzielle Raumbewegungsvektorvorhersagekandidat (905) hat dieselben Bewegungsvektoren und dieselben Referenzindices wie der Raumbewegungsvektorvorhersagekandidat (902) links von der Vorhersageeinheit.
- 7. Verfahren nach Anspruch 6, das das Vergleichen von Bewegungsinformationen des potenziellen Raumbewegungsvektorvorhersagekandidaten mit Bewegungsinformationen von höchstens einem anderen Raumbewegungsvektorvorhersage-

kandidaten des Satzes von Raumbewegungsvektorvorhersagekandidaten umfasst.

- 8. Verfahren nach Anspruch 6 oder 7, das das Überprüfen, ob der empfangene codierte Block von Pixeln in eine erste Vorhersageeinheit und eine zweite Vorhersageeinheit in Decodierreihenfolge geteilt ist; und wenn ja, Ausschließen des potenziellen Raumbewegungsvektorvorhersagekandidaten aus der Mischliste, wenn die Vorhersageeinheit die zweite Vorhersageeinheit ist, umfasst.
  - 9. Vorrichtung, die ein Mittel zum Durchführen eines Verfahrens nach einem der Ansprüche 1 bis 5 umfasst.
- 15 10. Vorrichtung, die ein Mittel zum Durchführen eines Verfahrens nach einem der Ansprüche 6 bis 8 umfasst.
- 11. Computerlesbares Speichermedium mit computerlesbaren Anweisungen, die, wenn sie von einem oder mehreren Prozessoren 20 ausgeführt werden, bewirken, dass ein oder mehrere Prozessoren das Verfahren nach einem der Ansprüche 1 bis 5 durchführen.
- 12. Computerlesbares Speichermedium mit computerlesbaren Anweisungen, die, wenn sie von einem oder mehreren Prozessoren ausgeführt werden, bewirken, dass ein oder mehrere Prozessoren das Verfahren nach einem der Ansprüche 6 bis 8 durchführen.

### REVENDICATIONS

1. Procédé comprenant :

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la réception d'un bloc (900) de pixels comportant une unité de prédiction ;

la détermination, pour le bloc (900) de pixels, d'un ensemble de candidats de prédiction de vecteurs de mouvement spatial situés en dessous à gauche (901), à gauche (902), audessus à gauche (905), au-dessus (904) et au-dessus à droite (903) de l'unité de prédiction ; les candidats de prédiction de vecteurs de mouvement spatial étant pourvus d'informations de mouvement comprenant au moins un vecteur de mouvement et un indice de référence ;

la détermination d'un sous-ensemble de paires candidats de prédiction de vecteur de mouvement spatial parmi de paires de candidats de prédiction de vecteur de mouvement spatial existantes pour une comparaison de toutes les paires de candidats de prédiction de vecteur de mouvement spatial disponibles dans l'ensemble de candidats de prédiction de 20 vecteurs de mouvement spatial ;

la sélection d'un candidat de prédiction de vecteur de mouvement spatial dans l'ensemble de candidats de prédiction de vecteurs de mouvement spatial comme candidat potentiel de prédiction de vecteur de mouvement spatial à inclure dans une liste de fusion pour l'unité de prédiction ;

l'examen du sous-ensemble de paires de candidats de prédiction de vecteur de mouvement spatial pour déterminer quel autre candidat de prédiction de vecteur de mouvement spatial est défini comme appartenant à la même paire de candidats de prédiction de vecteur de mouvement spatial que le candidat de prédiction de vecteur de mouvement spatial sélectionné (610);

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la comparaison des informations de mouvement du candidat de prédiction de vecteur de mouvement spatial sélectionné avec les informations de mouvement de l'autre candidat de prédiction de vecteur de mouvement spatial;

si la comparaison indique que les informations de vecteur de mouvement de l'autre candidat de prédiction de vecteur de mouvement spatial correspondent aux informations de vecteur de mouvement du candidat de prédiction de vecteur de mouvement spatial sélectionné, l'exclusion du candidat de prédiction de vecteur de mouvement spatial sélectionné de la liste de fusion;

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dans lequel le procédé comprend en outre la sélection d'un premier candidat de prédiction de vecteur de mouvement dans la liste de fusion pour représenter une prédiction de vecteur de mouvement pour le bloc de pixels ;

la détermination d'un nombre maximal de candidats de prédiction de vecteurs de mouvement spatial à inclure dans une liste de fusion ;

la limitation du nombre de candidats de prédiction de vecteurs de mouvement spatial dans la liste de fusion inférieure ou égale au nombre maximal;

si le nombre de candidats de prédiction de vecteurs de mouvement spatial dans la liste de fusion est inférieur au nombre maximal, le fait d'examiner si une unité de prédiction à laquelle le candidat potentiel de prédiction de vecteur de mouvement spatial appartient est disponible pour la prédiction de mouvement;

si c'est le cas, la réalisation d'au moins l'une des actions suivantes :

si le candidat potentiel de prédiction de vecteur de mouvement spatial (902) est situé sur le côté gauche de l'unité de prédiction, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (902) de la liste

de fusion si l'une quelconque des conditions suivantes est remplie :

- le bloc reçu de pixels est divisé verticalement en une première unité de prédiction et une deuxième unité de prédiction, et l'unité de prédiction est la deuxième unité de prédiction dans l'ordre de codage;
- le bloc reçu de pixels est divisé horizontalement en une première unité de prédiction et une deuxième unité de prédiction, et si l'unité de prédiction est la deuxième unité de prédiction dans l'ordre de codage, et le candidat potentiel de prédiction de vecteur de mouvement spatial (902) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (904) au-dessus de l'unité de prédiction;

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- si le candidat potentiel de prédiction de vecteur de mouvement spatial (904) est situé au-dessus de l'unité de prédiction, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (904) de la liste de fusion si l'une quelconque des conditions suivantes est remplie :
- 20 le bloc reçu de pixels est divisé horizontalement en une première unité de prédiction et une deuxième unité de prédiction, et l'unité de prédiction est la deuxième unité de prédiction dans l'ordre de codage;
- le candidat potentiel de prédiction de vecteur de 5 mouvement spatial (904) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (902) sur le côté gauche de l'unité de prédiction;
- si le candidat potentiel de prédiction de vecteur de 0 mouvement spatial (903) est situé sur le côté droit du candidat de prédiction de vecteur de mouvement spatial (904) au-dessus de l'unité de prédiction, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (903)

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de la liste de fusion si le candidat potentiel de prédiction de vecteur de mouvement spatial (903) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (904) au-dessus de l'unité de prédiction;

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si le candidat potentiel de prédiction de vecteur de mouvement spatial (901) est situé en dessous du candidat de prédiction de vecteur de mouvement spatial (902) sur le côté gauche de l'unité de prédiction, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (901) de la liste de fusion si le candidat potentiel de prédiction de vecteur de mouvement spatial (901) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (902) sur le côté gauche de l'unité de prédiction;

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si le candidat potentiel de prédiction de vecteur de mouvement spatial (905) est dans le coin au-dessus de la gauche, à côté de l'unité de prédiction, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (905) de la liste de fusion si l'une quelconque des conditions suivantes est remplie :

- tous les autres candidats de prédiction de vecteurs de mouvement spatial (901-904) ont été inclus dans la liste de fusion ;
- le candidat potentiel de prédiction de vecteur de mouvement spatial (905) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (904) au-dessus de l'unité de prédiction;
- le candidat potentiel de prédiction de vecteur de mouvement spatial (905) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction

de vecteur de mouvement spatial (902) sur le côté gauche de l'unité de prédiction.

- 2. Procédé selon la revendication 1 comprenant la sélection des candidats de prédiction de vecteurs de mouvement spatial dans l'ensemble de candidats de prédiction de vecteurs de mouvement spatial comme candidat potentiel de prédiction de vecteur de mouvement spatial dans un ordre prédéterminé.
- 3. Procédé selon la revendication 1 ou 2, comprenant la comparaison des informations de mouvement du candidat potentiel de prédiction de vecteur de mouvement spatial avec les informations de mouvement d'au plus un autre candidat de prédiction de vecteur de mouvement spatial de l'ensemble de candidats de prédiction de vecteurs de mouvement spatial.
  - 4. Procédé selon l'une quelconque des revendications 1 à 3 comprenant le fait d'examiner si le bloc reçu de pixels est divisé en une première unité de prédiction et une deuxième unité de prédiction dans l'ordre de codage; si c'est le cas, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial de la liste de fusion si l'unité de prédiction est la deuxième unité de prédiction.
- 5. Procédé selon l'une quelconque des revendications 1 à 4 comprenant l'inclusion d'un candidat de prédiction de mouvement temporel dans la liste de fusion.
  - 6. Procédé comprenant les étapes consistant à :
- la réception d'un bloc de pixels codé comportant une unité de prédiction ;

la détermination, pour le bloc codé (900) de pixels, d'un ensemble de candidats de prédiction de vecteurs de mouvement

spatial situés en dessous à gauche (901), à gauche (902), audessus à gauche (905), au-dessus (904) et au-dessus à droite (903) de l'unité de prédiction; les candidats de prédiction de vecteurs de mouvement spatial étant pourvus d'informations de mouvement comprenant au moins un vecteur de mouvement et un indice de référence;

la détermination d'un sous-ensemble de paires de candidats de prédiction de vecteur de mouvement spatial parmi de paires de candidats de prédiction de vecteur de mouvement spatial existantes pour une comparaison de toutes les paires de candidats de prédiction de vecteur de mouvement spatial disponibles dans l'ensemble de candidats de prédiction de vecteurs de mouvement spatial;

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la sélection d'un candidat de prédiction de vecteur de mouvement spatial dans l'ensemble de candidats de prédiction de vecteurs de mouvement spatial comme candidat potentiel de prédiction de vecteur de mouvement spatial à inclure dans une liste de fusion pour l'unité de prédiction;

l'examen du sous-ensemble de paires de candidats de prédiction de vecteur de mouvement spatial pour déterminer quel autre candidat de prédiction de vecteur de mouvement spatial est défini comme appartenant à la même paire de candidats de prédiction de vecteur de mouvement spatial que le candidat de prédiction de vecteur de mouvement spatial sélectionné;

la comparaison des informations de mouvement du candidat de prédiction de vecteur de mouvement spatial sélectionné avec les informations de mouvement de l'autre candidat de prédiction de vecteur de mouvement spatial;

si la comparaison indique que les informations de vecteur de mouvement de l'autre candidat de prédiction de vecteur de mouvement spatial correspondent aux informations de vecteur de mouvement du candidat de prédiction de vecteur de mouvement

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spatial sélectionné, l'exclusion du candidat de prédiction de vecteur de mouvement spatial sélectionné de la liste de fusion;

dans lequel le procédé comprend en outre la sélection d'un premier candidat de prédiction de vecteur de mouvement dans la liste de fusion pour représenter une prédiction de vecteur de mouvement pour le bloc de pixels codé reçu;

la détermination d'un nombre maximal de candidats de prédiction de vecteur de mouvement spatial à inclure dans une liste de fusion ;

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la limitation du nombre de candidats de prédiction de vecteurs de mouvement spatial dans la liste de fusion inférieure ou égale au nombre maximal ;

si le nombre de candidats de prédiction de vecteurs de mouvement spatial dans la liste de fusion est inférieur au nombre maximal, le fait d'examiner si une unité de prédiction à laquelle le candidat potentiel de prédiction de vecteur de mouvement spatial appartient est disponible pour la prédiction de mouvement;

si c'est le cas, la réalisation d'au moins l'une des actions suivantes :

si le candidat potentiel de prédiction de vecteur de mouvement spatial (902) est situé sur le côté gauche de l'unité de prédiction, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (902) de la liste de fusion si l'une quelconque des conditions suivantes est remplie :

- le bloc de pixels codé reçu est divisé verticalement en une première unité de prédiction et une deuxième unité de prédiction, et l'unité de prédiction est la deuxième unité de prédiction;

- le bloc de pixels codé reçu est divisé horizontalement en une première unité de prédiction et une deuxième unité de

prédiction, et si l'unité de prédiction est la deuxième unité de prédiction, et le candidat potentiel de prédiction de vecteur de mouvement spatial (902) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (904) au-dessus de l'unité de prédiction;

si le candidat potentiel de prédiction de vecteur de mouvement spatial (904) est situé au-dessus de l'unité de prédiction, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (904) de la liste de fusion si l'une quelconque des conditions suivantes est remplie :

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- le bloc de pixels codé reçu est divisé horizontalement en une première unité de prédiction et une deuxième unité de prédiction, et l'unité de prédiction est la deuxième unité de prédiction dans l'ordre de décodage;

- le candidat potentiel de prédiction de vecteur de mouvement spatial (904) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (902) sur le côté gauche de l'unité de prédiction;

si le candidat potentiel de prédiction de vecteur de mouvement spatial (903) est situé sur le côté droit du candidat de prédiction de vecteur de mouvement spatial (904) au-dessus de l'unité de prédiction,

25 l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (903) de la liste de fusion si le candidat potentiel de prédiction de vecteur de mouvement spatial a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de 30 mouvement spatial (904) au-dessus de l'unité de prédiction;

si le candidat potentiel de prédiction de vecteur de mouvement spatial (901) est situé en dessous du candidat de prédiction de vecteur de mouvement spatial (902) sur le côté

gauche de l'unité de prédiction, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (901) de la liste de fusion si le candidat potentiel de prédiction de vecteur de mouvement spatial (901) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (902) sur le côté gauche de l'unité de prédiction;

si le candidat potentiel de prédiction de vecteur de mouvement spatial (905) est dans le coin au-dessus de la gauche, à côté de l'unité de prédiction, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial (905) de la liste de fusion si l'une quelconque des conditions suivantes est remplie :

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- tous les autres candidats de prédiction de vecteurs de 15 mouvement spatial (901-904) ont été inclus dans la liste de fusion ;
  - le candidat potentiel de prédiction de vecteur de mouvement spatial (905) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (904) au-dessus de l'unité de prédiction;
  - le candidat potentiel de prédiction de vecteur de mouvement spatial (905) a les mêmes vecteurs de mouvement et les mêmes indices de référence que le candidat de prédiction de vecteur de mouvement spatial (902) sur le côté gauche de l'unité de prédiction.
  - 7. Procédé selon la revendication 6 comprenant la comparaison des informations de mouvement du candidat potentiel de prédiction de vecteur de mouvement spatial avec les informations de mouvement d'au plus un autre candidat de prédiction de vecteur de mouvement spatial de l'ensemble de candidats de prédiction de vecteurs de mouvement spatial.

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- 8. Procédé selon la revendication 6 ou 7 comprenant le fait d'examiner si le bloc de pixels codé reçu est divisé en une première unité de prédiction et une deuxième unité de prédiction dans l'ordre de décodage; si c'est le cas, l'exclusion du candidat potentiel de prédiction de vecteur de mouvement spatial de la liste de fusion si l'unité de prédiction est la deuxième unité de prédiction.
- 9. Appareil comprenant des moyens pour réaliser un 10 procédé selon l'une quelconque des revendications 1 à 5.
  - 10. Appareil comprenant des moyens pour réaliser un procédé selon l'une quelconque des revendications 6 à 8.
- 15. 11. Support de stockage lisible par ordinateur comportant des instructions lisibles par ordinateur qui, lorsqu'elles sont exécutées par un ou plusieurs processeurs, amènent un ou plusieurs processeurs à réaliser un procédé de l'une quelconque des revendications 1 à 5.

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12. Support de stockage lisible par ordinateur comportant des instructions lisibles par ordinateur qui, lorsqu'elles sont exécutées par un ou plusieurs processeurs, amènent un ou plusieurs processeurs à réaliser un procédé de l'une quelconque des revendications 6 à 8.



## Letter accompanying subsequently filed items

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The document(s) listed below is (are) subsequently filed documents pertaining to the following application:

Application number	12845839.5
Applicant's or representative's reference	TP109764EP

	Description of document	Original file name	Assigned file name
1	French translations of the claims	FR translation of claims	CLMSTRAN-FR-1.pdf
		TP109764EP.pdf	
2	German translations of the claims	DE translation of claims CLMSTRAN-DE-	
		TP109764EP.pdf	

	Fees	Factor applied	Fee schedule	Amount to be paid
15-1	007 Fee for grant, including fee for publication of the European patent specification (R71(3))	1	960.00	960.00
	Total:		EUR	960.00

	Payment	
1	Method of payment	Debit from deposit account
	Currency:	EUR
	The European Patent Office is hereby authorised, to debit	
	from the deposit account with the EPO any fees and costs	
	indicated on the fees page.	
	Deposit account number:	28150007
	Account holder:	Berggren Oy, Tampere
2	Refund/Reimburserment	
	Reimbursement (if any) to be made to EPO deposit account:	28150007
	Account holder:	Berggren Oy, Tampere

#### Signatures

Place: Tampere

Date: 27 August 2020

Signed by: Timo Pursiainen 36563

TP109764EP

Association: Berggren Oy, Tampere

Representative name: Timo Pursiainen
Capacity: (Representative)



# Acknowledgement of receipt

We hereby acknowledge	receipt of the following	subsequently t	filed document(s):

Submission number 8958745 Application number EP12845839.5 Date of receipt 27 August 2020 Receiving Office European Patent Office, The Hague Your reference TP109764EP Applicant All applicants as on file Documents submitted package-data.xml ep-sfd-request.xml CLMSTRAN-FR-1.pdf\FR translation of claims TP109764EP.pdf (10 p.) epf1038.pdf (2 p.) CLMSTRAN-DE-1.pdf\DE translation of claims TP109764EP.pdf (9 p.) Submitted by CN=Timo Pursiainen 36563 Method of submission Online Date and time 27 August 2020, 09:41 (CEST) receipt generated Message Digest 29:32:3A:68:84:C1:16:A6:9B:A0:5B:9E:86:B6:E2:7F:32:01:CA:66

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€

10.09.20

Reference TP109764EP Application No./Patent No.

12845839.5 - 1209 / 2774375

Applicant/Proprietor

Nokia Technologies Oy

#### Decision to grant a European patent pursuant to Article 97(1) EPC

Following examination of European patent application No. 12845839.5 a European patent with the title and the supporting documents indicated in the communication pursuant to Rule 71(3) EPO (EPO Form 2004C) or in the information (EPO Form 2004W, cf. Notice from the EPO dated 8 June 2015, OJ EPO 2015, A52) dated 28.04.20 is hereby granted in respect of the designated Contracting States.

Patent No. : 2774375 Date of filing : 02.11.12

Priority claimed : 04.11.11/USP201161555703

**Designated Contracting States** 

and Proprietor(s) : AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI

LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR

Nokia Technologies Oy

Karakaari 7 02610 Espoo/FI

This decision will take effect on the date on which the European Patent Bulletin mentions the grant (Art. 97(3) EPO).

The mention of the grant will be published in European Patent Bulletin 20/41 of 07.10.20.

**Examining Division** 

Mayer, Claudia André, Thomas Ferré, Pierre



Registered letter EPO Form 2006A 07.15 (03/09/20) to EPO postal service: 04.09.20

page 1 of 1

#### ANMERKUNG ZUR ENTSCHEIDUNG ÜBER DIE ERTEILUNG EINES EUROPÄISCHEN PATENTS (EPA Form 2006)

#### EPA Informationsbroschüre "Nationales Recht zum EPÜ" 1

Diese Broschüre enthält nützliche Informationen zu den formalen Erfordernissen und den Handlungen, die vor den Patentbehörden der Vertragsstaaten vorzunehmen sind, um Rechte in diesen Staaten zu erlangen. Da diese Handlungen einem ständigen Wandel unterworfen sind, sollte immer nur die neueste Ausgabe der Broschüre benutzt werden. Nachträgliche Informationen werden im Amtsblatt veröffentlicht.

# Übersetzung der europäischen Patentschrift nach Artikel 65 (1) des Europäischen Patentübereinkommens

Sei werden erneut darauf hingewiesen, dass bestimmte Vertragsstaaten nach Artikel 65 (1) EPÜ eine Übersetzung der europäischen Patentschrift verlangen; hierauf wird in der Mitteilung gemäß Regel 71 (5) EPÜ verwiesen. Die Nichteinreichung dieser Übersetzung kann zur Folge haben, dass das Patent in dem betreffenden Staat/in den betreffenden Staaten als von Anfang an nicht eingetreten gilt. Weitere Einzelheiten entnehmen Sie bitte der oben genannten Broschüre.

Zahlung von Jahresgebühren für europäische Patente
Nach Artikel 141 EPU können "nationale" Jahresgebühren für das europäische Patent für die Jahre erhoben werden, die an das Jahr anschließen, in dem der Hinweis auf die Erteilung des europäischen Patents im "Europäischen Patentblatt" bekanntgemacht wird. Weitere Einzelheiten entnehmen Sie bitte der oben genannten Broschüre.

#### NOTE RELATING TO THE DECISION TO GRANT A EUROPEAN PATENT (EPO Form 2006)

#### EPO Information Brochure "National law relating to the EPC" 1

This brochure provides useful information regarding formal requirements and the steps to be taken before the patent authorities of the Contracting States in order to acquire rights in those states. Since the necessary steps are subject to change the latest edition of the brochure should always be used. Subsequent information is published in the Official Journal.

#### Translation of the European patent application under Article 65(1) of the European Patent Convention

Your attention is again drawn to the requirements regarding translation of the European patent convention.

Your attention is again drawn to the requirements regarding translation of the European patent specification laid down by a number of Contracting States under Article 65(1) EPC, to which reference is made in the communication under Rule 71(5) EPC. Failure to supply such translation(s) may result in the patent being deemed to be void "ab initio" in the State(s) in question. For further details you are recommended to consult the above-mentioned brochure.

#### Payment of renewal fees for European patents

Under Article 141 EPC "national" renewal fees in respect of a European patent may be imposed for the years which follow that in which the mention of the grant of the European patent is published in the "European Patent Bulletin". For further details you are recommended to consult the above-mentioned brochure.

#### REMARQUE RELATIVE A LA DECISION DE DELIVRANCE D'UN BREVET EUROPEEN (OEB Form 2006)

#### Brochure d'information de l'OEB "Droit national relatif à la CBE"

Cette brochure fournit d'utiles renseignements sur les conditions de forme requises et sur les actes à accomplir auprès des offices de brevet des Etats contractants aux fins d'obtenir des droits dans les Etats contractants. Etant donné que les actes indispensables sont susceptibles de modifications, il serait bon de toujours consulter la dernière édition de la brochure. Toute information ultérieure est publiée au Journal Officiel.

Traduction du fascicule du brevet européen en vertu de l'article 65(1) de la Convention sur le brevet européen
Votre attention est de nouveau attirée sur l'obligation faite par certains Etats contractants, en vertu de l'article 65(1) CBE, de fournir une
traduction du fascicule du brevet européen, à laquelle il est fait référence dans la notification établie conformément à la règle 71(5) CBE. Si la(les) traduction(s) n'est(ne sont) pas fournie(s), le brevet européen peut, dès l'origine, être réputé sans effet dans cet(ces) Etai(s). Pour plus de détails, nous vous renvoyons à la brochure susmentionnée.

Paiement des taxes annuelles pour le brevet européen
Conformément à l'article 141 CBE des taxes annuelles "nationales" dues au titre du brevet européen peuvent être perçues pour les années suivant celle au cours de laquelle la mention de la délivrance du brevet européen est publiée au "Bulletin européen des brevets. Pour plus de détails, nous vous renvoyons à la brochure susmentionnée.

EPA/EPO/OEB Form 2006R 07.15

12845839.5 (04.09.20)



European Patent Office 80298 MUNICH GERMANY

Questions about this communication? Contact Customer Services at www.epo.org/contact



Berggren Oy, Tampere Visiokatu 1 33720 Tampere FINLANDE

Date		
	19.10.20	

Reference	Application No./Patent No.
TP109764EP	12845839.5 - 1209 / 2774375
Applicant/Proprietor Nokia Technologies Oy	

### Transmission of the certificate for a European patent pursuant to Rule 74 EPC

The certificate for a European patent is herewith transmitted.

The European patent specification can be downloaded from the EPO publication server https://data.epo.org/publication-server/ (see OJ EPO 2005, 126).

#### Note:

A corrected title page of the European patent specification will be published, if the bibliographic data have been changed after completion of the technical preparations.

#### For the Examining Division





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Questions about this communication ? Contact Customer Services at www.epo.org/contact

> Date 11.08.21

Reference	Application No./Patent No.
TP109764EP	12845839.5 - 1209 / 2774375
Applicant/Proprietor Nokia Technologies Oy	

Communication regarding the expiry of the time limit within which notice of opposition may be filed

You are hereby informed that on expiry of the nine-month time limit from the publication of the mention of the grant of European patent No. 2774375 no notice of opposition had reached the files.

The entry in the Register of European Patents will be automatically generated by the electronic data processing system.

#### For the Examining Division



EPPU 02: 07.10.20 1209