

DIELECTRIC PROPERTIES OF SPUTTERED TiO<sub>2</sub> FILMS

MANABU TAKEUCHI, TETSUO ITOH\* AND HIDEO NAGASAKA

*Department of Electrical Engineering, Ibaraki University, Hitachi, Ibaraki (Japan)*

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TiO<sub>2</sub> films 0.27–2.8 μm thick were prepared by r.f. sputtering in an atmosphere of argon and their dielectric properties were studied. The source materials were anatase- and rutile-type TiO<sub>2</sub> powders. The dielectric constant and the dielectric losses of the TiO<sub>2</sub> films were measured at room temperature in the frequency range 30 Hz–1 MHz. There is no noticeable difference in the dielectric properties of TiO<sub>2</sub> films prepared from anatase and from rutile powders. The thickness dependence of the dielectric constant at 1 kHz shows a rapid rise at a thickness of about 1 μm and attains a saturation value of about 100 at larger thicknesses. This rise in dielectric constant at a thickness of about 1 μm corresponds to the appearance of X-ray diffraction lines. The dielectric losses of the films are relatively high. The electrical resistivity of the films was found to be lower than the bulk value. This is attributed to reduction of the TiO<sub>2</sub> during the sputter deposition in argon.

## 1. INTRODUCTION

In recent years the electrical properties of TiO<sub>2</sub> have been extensively studied. Reviews of these studies are given by Grant<sup>1</sup>, Frederikse<sup>2</sup>, Seitz *et al.*<sup>3</sup> and Jarzebski<sup>4</sup>. TiO<sub>2</sub> exists in three crystalline modifications: rutile, brookite and anatase. Many studies have been made on the conduction mechanism<sup>5–11</sup>, photoelectronic properties<sup>12–16</sup> and dielectric properties<sup>17,18</sup> of rutile single crystals.

TiO<sub>2</sub> is attractive as a dielectric material in integrated electronic applications because it has a high dielectric constant. It has been reported that the dielectric constants of rutile<sup>12</sup> are 80 and 160 perpendicular and parallel respectively to the *c* axis and that the dielectric constant of anatase<sup>19</sup> is 36. Currently, several techniques are being utilized to develop thin film capacitors suitable for the microminiaturization of electronic circuits. Thin films of TiO<sub>2</sub> can be prepared by various methods such as vapor deposition<sup>20,21</sup>, oxidation of the metal in air<sup>22–25</sup>, vacuum evaporation<sup>26</sup>, anodic oxidation<sup>27–32</sup> and sputtering<sup>33–36</sup>. Feuersanger<sup>20</sup> has prepared TiO<sub>2</sub> films by the vapor reaction of titanium tetrachloride or tetraisopropyl titanate with water vapor. His films have dielectric constants of over 80. Films of TiO<sub>2</sub> prepared by oxidation of the metal in air<sup>23</sup> have dielectric constants

\*Present address: Hitachi Research Laboratory, Hitachi Ltd., Kujimachi, Hitachi, Ibaraki, Japan.

of about 60–110. Anodized dielectric films of  $\text{TiO}_2$  have a relatively small dielectric constant (about 40)<sup>27</sup>.

In contrast, relatively little work has been reported in the literature on the sputter deposition of  $\text{TiO}_2$  because the deposition rate of this material is very small. Prokhorov *et al.*<sup>37</sup> prepared capacitors by the reactive sputtering of titanium and studied their dielectric properties such as capacitance,  $\tan \delta$  and breakdown voltage. Deb<sup>34</sup> reported the photoconductivity and photoluminescence in r.f. sputtered thin films of  $\text{TiO}_2$ .

We have studied the sputter deposition of  $\text{TiO}_2$  in an attempt to obtain films with a high dielectric constant. Our results on the behavior of the dielectric constant of  $\text{TiO}_2$  films at different frequencies and thicknesses are presented in this paper.

First, the experimental procedures for the preparation of the  $\text{TiO}_2$  films and for the electrical measurements are described. Experimental results of the dielectric properties of the films are then given. Finally we discuss briefly the relation between the dielectric constant and the thickness of the sputtered films.

## 2. EXPERIMENTAL

### 2.1. Preparation of thin $\text{TiO}_2$ films

All the films investigated were prepared from  $\text{TiO}_2$  powders by r.f. sputtering in an atmosphere of argon using a conventional diode-type r.f. sputtering system. Two kinds of  $\text{TiO}_2$  powders, anatase and rutile, were used as target materials. The anatase powder was of first grade (98.5%, Wako Pure Chemical Industries Ltd.). The rutile powder was of a special grade (99.0%, Koso Chemical Co. Ltd.). The mean particle sizes of these powders were about 19  $\mu\text{m}$  for the anatase type and 13  $\mu\text{m}$  for the rutile type. The  $\text{TiO}_2$  powder was packed into a quartz vessel to form the target. Brass strips 40 mm  $\times$  25 mm  $\times$  1 mm were employed as the substrates. All substrates were mechanically polished and cleaned before being placed in the vacuum chamber. The substrate holder was positioned 16 mm from the target and was water cooled. A copper–constantan thermocouple was used to measure the substrate temperature. The sputtering was carried out in an atmosphere of argon at a pressure of  $2.0 \times 10^{-1}$  Torr and a typical sputtering power density of 7 W  $\text{cm}^{-2}$  at a frequency of 13.56 MHz. The chamber was pumped to a pressure of  $5 \times 10^{-6}$  Torr before the sputtering gas was admitted. There was no noticeable dependence of the deposition rate (about 17  $\text{\AA} \text{min}^{-1}$ ) on the rutile or anatase target materials. The substrates were not heated before deposition but reached about 200  $^\circ\text{C}$  after a few minutes. A presputtering period of 30 min was allowed before deposition. The thickness of the sputtered films was determined by the interference method. Under these conditions  $\text{TiO}_2$  films with thicknesses in the range 0.27–2.8  $\mu\text{m}$  were prepared. Generally, excellent adherence of the  $\text{TiO}_2$  films was found.

The crystallinity of the sputtered films was examined with nickel-filtered copper radiation using a Shimadzu VII diffractometer unit.

### 2.2. Electrical measurements

To study the dielectric properties of the sputtered films a test capacitor was fabricated by evaporating an aluminum electrode (with an area of about  $3.5 \times 10^{-3}$   $\text{cm}^2$ ) onto the  $\text{TiO}_2$  layer on the brass substrate. The aluminum layer was contacted

with a spring-loaded probe; silver conducting paint was used to complete the external contact to the brass strip substrate.

The measurements of the capacitance and dielectric loss from 30 Hz to 1 MHz were carried out with an Ando Electric TR-1C capacitance bridge. The electrical resistance of the sputtered films was measured with a Takedariken TR-8651 electrometer. All measurements were made in air at 20 °C.

The dielectric constant and resistivity of the sputtered films were calculated from the capacitance, resistance and geometrical dimensions of the structure (film thickness and electrode area).

### 3. RESULTS

#### 3.1. Structural properties

Anatase and rutile TiO<sub>2</sub> powders were both used as sputtering target materials. In both cases, however, the as-sputtered films with thicknesses less than about 1 μm did not show any X-ray diffraction peaks; these films therefore appear to be amorphous. This is consistent with the results obtained by Deb<sup>34</sup>. In contrast, as-sputtered films with thicknesses of about 1 μm or more showed faint diffraction peaks of both the anatase and the rutile phase, regardless of the target material. The diffraction lines became stronger with increasing thickness, indicating an enhancement of the crystallinity of the films with increasing film thickness. Generally, the diffraction lines for films grown from the rutile target were stronger than those for films grown from the anatase target.

The sputtered films were hard and adhered well to the substrates.

#### 3.2. Dielectric constant, dielectric loss and electrical resistivity

Figures 1 and 2 show the frequency dependence of the dielectric constant of sputtered films of varying thickness prepared from anatase and rutile powders. The dielectric constants of all specimens decreased gradually with increasing frequency. A distinct dispersion region was not observed.

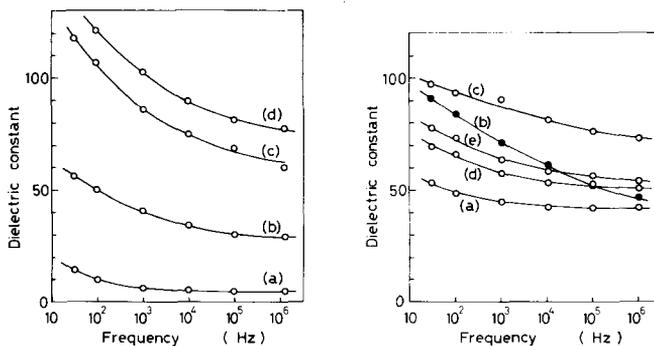


Fig. 1. Variation of the dielectric constant with frequency for TiO<sub>2</sub> films sputter deposited from anatase powder: (a) 0.41 μm; (b) 0.66 μm; (c) 0.82 μm; (d) 2.8 μm.

Fig. 2. Variation of the dielectric constant with frequency for TiO<sub>2</sub> films sputter deposited from rutile powder: (a) 0.54 μm; (b) 0.95 μm; (c) 1.6 μm; (d) 2.7 μm; (e) 2.8 μm.

The results of the dielectric loss measurements as a function of frequency are shown in Figs. 3 and 4 for the sputtered films prepared from anatase and rutile powders respectively. The dielectric loss of the sputtered films is relatively high. It was confirmed in our experiments that the dielectric losses of films sputter deposited in air were about one order of magnitude lower than those of films sputter deposited in argon. Therefore the high dielectric losses of the films prepared in argon may be attributed to the loss of oxygen during the sputter deposition of the  $\text{TiO}_2$  films in argon.

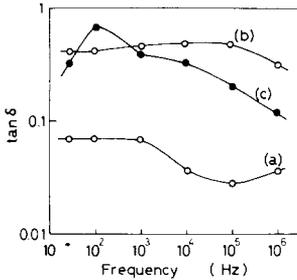


Fig. 3. Variation of the dielectric loss  $\tan \delta$  with frequency for  $\text{TiO}_2$  films sputter deposited from anatase powder: (a)  $0.41 \mu\text{m}$ ; (b)  $0.95 \mu\text{m}$ ; (c)  $2.8 \mu\text{m}$ .

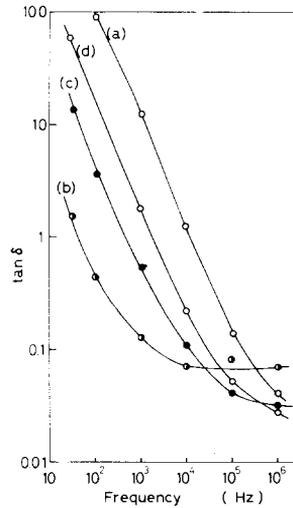


Fig. 4. Variation of the dielectric loss  $\tan \delta$  with frequency for  $\text{TiO}_2$  films sputter deposited from rutile powder: (a)  $0.54 \mu\text{m}$ ; (b)  $0.95 \mu\text{m}$ ; (c)  $1.6 \mu\text{m}$ ; (d)  $2.8 \mu\text{m}$ .

Figures 5 and 6 show the electrical resistivities of the sputtered  $\text{TiO}_2$  films as a function of film thickness. Generally, the resistivity of sputtered films at  $20^\circ\text{C}$  increases with increasing film thickness. These values are much lower than the bulk value (about  $10^{12} \Omega \text{cm}$  for single-crystal rutile). This difference may be due to some degree of reduction of  $\text{TiO}_2$  during the sputter deposition of  $\text{TiO}_2$  films in argon<sup>38</sup>. It is well known that the reduction of  $\text{TiO}_2$  results in greatly increased conductivity<sup>5</sup>. This interpretation of the behavior of our films is supported by our results on films sputter deposited in air; such films had resistivities that were about two orders of magnitude higher than those of the films sputter deposited in argon.

#### 4. DISCUSSION

We give a brief discussion on the dielectric constant of the sputtered  $\text{TiO}_2$  films relative to the film thickness. The dielectric constants at 1 kHz in Figs. 1 and 2 are plotted as a function of film thickness in Figs. 7 and 8. The variation of dielectric constant with thickness shows a sharp rise at about  $1 \mu\text{m}$  and a nearly constant value

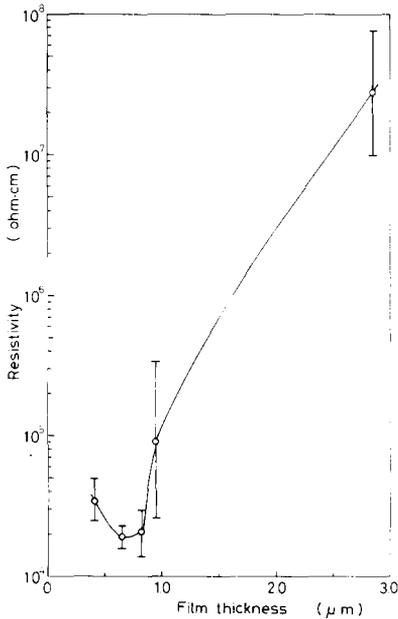


Fig. 5. Variation of the resistivity with film thickness for TiO<sub>2</sub> films sputter deposited from anatase powder.

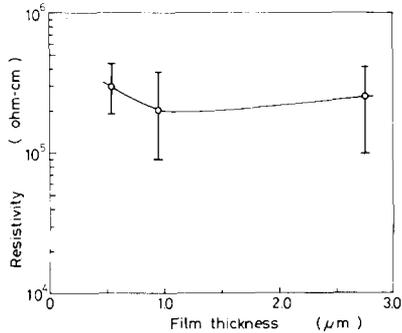


Fig. 6. Variation of the resistivity with film thickness for TiO<sub>2</sub> films sputter deposited from rutile powder.

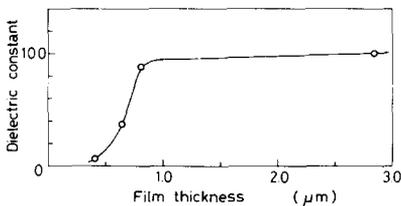


Fig. 7. Dielectric constant at 1 kHz vs. film thickness for TiO<sub>2</sub> films sputter deposited from anatase powder.

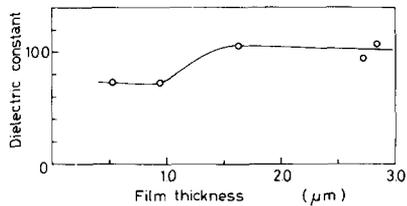


Fig. 8. Dielectric constant at 1 kHz vs. film thickness for TiO<sub>2</sub> films sputter deposited from rutile powder.

beyond 1  $\mu\text{m}$  for films sputter deposited from both anatase and rutile powders. The rise in dielectric constant at this thickness corresponds to the appearance of X-ray diffraction lines. The lower values of the dielectric constant at lower thickness may be attributable to an amorphous structure at lower thickness. The saturation values of the dielectric constant are approximately 100 for both films. This value is comparable with the reported values for a rutile crystal.

5. CONCLUSION

Thin TiO<sub>2</sub> films were prepared by r.f. sputtering from rutile and anatase powders. As-sputtered films with thicknesses less than about 1  $\mu\text{m}$  did not show any

X-ray diffraction peaks. In contrast, as-sputtered films with thicknesses of about 1  $\mu\text{m}$  or more showed faint diffraction peaks of both the anatase and the rutile phase. These films have dielectric constants of about 100 when the film thickness exceeds about 1  $\mu\text{m}$ . However, the dielectric losses are relatively high. The electrical resistivity of the films varies from about  $10^4$  to about  $10^7 \Omega \text{ cm}$  depending on film thickness.

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