

Editor's Summary

**Defensin-Deficient Sperm Get Stuck**

Like Robert Burns' best laid schemes of mice and men, the joining of egg and sperm "gang aft agley" (transl., often go awry)—and it's no wonder, considering the many molecular events that must be correctly executed for successful fertilization. The current clinical tests still fail to explain infertility in almost one-fifth of infertile couples. Now, Tollner *et al.* pinpoint one more critical cog in this vital process: Men who carry a genetic variant of a certain sperm surface protein are less fertile than normal. This common but life-altering deviation likely accounts for some of the currently unexplained cases of infertility.

$\beta$ -Defensin is a protein made in the paired coils of the epididymis, which carries sperm from testes. This defensin is secreted as the sperm travels by and is integrated into the glycocalyx, a protein-sugar coating on the sperm surface. Surface-hugging  $\beta$ -defensins protect sperm from immune attack and help them to penetrate the cervical mucus in the female. While cloning the human version of this defensin, the authors found a mutated variant that was surprisingly prevalent; about 20% of the European, Chinese, and Japanese men that the authors examined carried the variation on both chromosomes (*del/del*). Although they did not uniformly display deficiencies usually associated with infertility (such as inadequate semen volume and low sperm motility), sperm from *del/del* men did show lower lectin binding relative to controls; this measure was shown to be a marker for sperm-associated O-linked oligosaccharides that cannot attach to the mutated defensin. The *del/del* sperm were poor penetrators of hyaluronic acid, an *in vitro* surrogate for cervical mucus. But did the presence of the defensin variant actually cause lower fertility? In a group of 509 newly married Chinese couples, the authors showed that it did. Wives of men with the *del/del* genotype were only 60% as likely to get pregnant as were women who mated with men who carried wild-type or *wt/del* genotypes, and the time from enrollment in the study to the live birth of a child was 2 months longer in the former group.

The impaired fertility among carriers of this deletion might imply that these individuals are headed for extinction, but their prevalence in the population indicates otherwise. How can this be? The authors speculate that carriers of a single copy of the mutated defensin may have an as yet undefined survival advantage over wild-type carriers, an evolutionary situation known as balancing selection. Whatever the reason for variation persistence, our new understanding of  $\beta$ -defensin will enable better appreciation of human fertility and help to keep our reproductive plans on track.

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## RESEARCH ARTICLE

## HUMAN GENETICS

# A Common Mutation in the Defensin *DEFB126* Causes Impaired Sperm Function and Subfertility

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A glycosylated polypeptide,  $\beta$ -defensin 126 (DEFB126), derived from the epididymis and adsorbed onto the sperm surface, has been implicated in immunoprotection and efficient movement of sperm in mucosal fluids of the female reproductive tract. Here, we report a sequence variant in *DEFB126* that has a two-nucleotide deletion in the open reading frame, which generates an abnormal mRNA. The allele frequency of this variant sequence was high in both a European (0.47) and a Chinese (0.45) population cohort. Binding of the *Agaricus bisporus* lectin to the sperm surface glycocalyx was significantly lower in men with the homozygous variant (*del/del*) genotype than in those with either a *del/wt* or a *wt/wt* genotype, suggesting an altered sperm glycocalyx with fewer O-linked oligosaccharides in *del/del* men. Moreover, sperm from *del/del* carriers exhibited an 84% reduction in the rate of penetration of a hyaluronic acid gel, a surrogate for cervical mucus, compared to the other genotypes. This reduction in sperm performance in hyaluronic acid gels was not a result of decreased progressive motility (average curvilinear velocity) or morphological deficits. Nevertheless, *DEFB126* genotype and lectin binding were correlated with sperm performance in the penetration assays. In a prospective cohort study of newly married couples who were trying to conceive by natural means, couples were less likely to become pregnant and took longer to achieve a live birth if the male partner was homozygous for the variant sequence. This common sequence variation in *DEFB126*, and its apparent effect of impaired reproductive function, will allow a better understanding, clinical evaluation, and possibly treatment of human infertility.

## INTRODUCTION

According to the World Health Organization (WHO), human infertility is defined as the inability of a couple to conceive after 1 year of unprotected sexual intercourse (1, 2). By this definition, the prevalence of infertility in many countries of the world is about 13 to 14% (3). In about half of infertile couples, the cause of infertility lies with the male partner. Infertility in males is usually evaluated by analysis of semen quality, as assessed by sperm count in the ejaculate, the percentage of motile sperm, and the percentage of sperm with normal morphology. However, other than very low numbers of sperm, none of these measures alone is strongly diagnostic of infertility (4). Although improved estimates of male fecundity can be achieved by evaluating combinations of these and other semen factors, including sperm velocity and tests of hyperosmotic swelling (5, 6), infertility is unexplained in about 17% of infertile couples (7). In these cases, no reproductive function abnormalities can be established on the basis of currently available assessments.

The elaborate glycocalyx of sperm is a conserved feature of epididymal maturation in mammals; yet, how the sperm glycocalyx contributes to male fertility is not well understood (8). The dense carbohydrate coat protects sperm during transit in the epididymis and female reproduc-

tive tract (8) and assists with other key functions, including attachment of sperm to oviductal epithelium, regulation of capacitation, and sperm-egg interaction (9). Consistent with the proposed functional role of this sperm coat, there are differences in lectin labeling of the sperm glycocalyx between fertile and subfertile males in diverse species including fowl (10), livestock (11), and humans (12, 13); the biochemical underpinnings of these observations remain elusive.

Recent studies on the sperm surface protein  $\beta$ -defensin 126 (DEFB126) provide some insight into the importance of glycocalyx structure to the fertilizing potential of sperm. In the innate immune system, defensins are expressed in phagocytic leukocytes and at surface epithelia, where these peptides serve as key antimicrobial effector molecules (14). The epididymis is another site of defensin expression, but in this case, their function appears linked with reproductive physiology. In macaques, epididymal DEFB126 is a highly sialylated glycopeptide adsorbed to the surface of sperm during transit through the epididymis (15), where it becomes a major constituent of the sperm glycocalyx (16). The deduced amino acid sequence of human DEFB126 predicts 20 potential sites for O-linked glycosylation in the 52-amino acid tail extending from the C terminus of the defensin peptide core. This  $\beta$ -defensin is retained on macaque sperm as they advance into the upper female reproductive tract (17), where it imparts an immunoprotective coat (18), facilitates sperm penetration of cervical mucus (19), and mediates sperm binding to oviductal epithelium (17). Similar structure and function is apparent in the mouse ortholog ( $\beta$ def22), but this molecule has been less studied (20). Cysteine-rich defensin-like peptides appear to be integral to reproductive success even in invertebrates, as well as in the plant kingdom (21–23).

Here, we present evidence that a high percentage of men carry a functional polymorphism in the gene encoding DEFB126 and evaluate its role in conception.

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## RESULTS

### Common polymorphism in human *DEFB126* predicts a nonstop mRNA

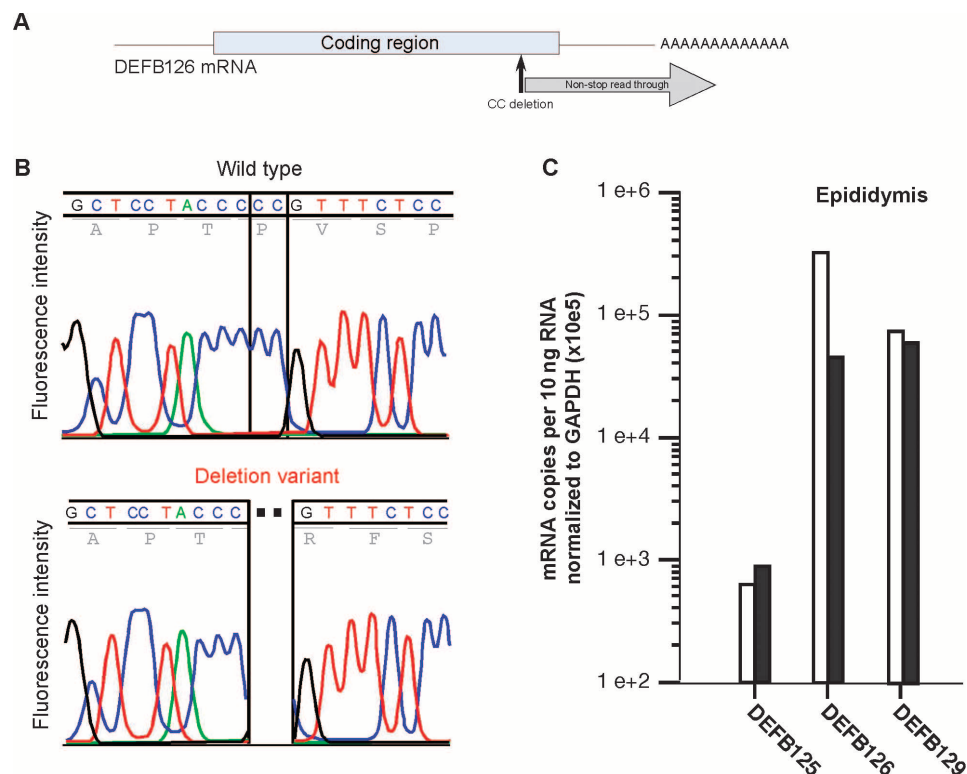
The *DEFB126* gene is at the subtelomeric end of 20p13 in humans and does not show copy number variation (24). The encoded protein, DEFB126, has the canonical six-cysteine array characteristic of defensins in the  $\beta$ -defensin subgroup (16), but is different from most other defensins because it has an extended C-terminal tail of 52 amino acids (25). This domain is the site of O-linked glycosylation. Orthologs of this defensin with highly similar sequences exist in nonhuman primates (Fig. 1) and in mice (where it is named  $\beta$ def22) (20). During the course of cloning human *DEFB126* complementary DNA (cDNA), we identified a sequence variation (Fig. 2, A and B), and the variant was confirmed in the National Center for Biotechnology Information (NCBI) database (AK22598) and dbSNP (rs11468374). This *DEFB126* sequence polymorphism is common in several population cohorts (allele frequency, 0.44 to 0.61) (Table 1), and the distribution of allele frequencies approximates Hardy-Weinberg equilibrium in these populations. The polymorphism is a two-nucleotide omission that results in a reading frame shift and generates a nonstop mRNA. Published analyses of other genes with mutations that result in mRNAs lacking in-frame stop codons found that the aberrant mRNAs were less abundant than the corresponding wild-type mRNAs (26, 27), and the reduced levels were attributed to a so-called nonstop mRNA decay surveillance mechanism (28–30). In addition, the translation of mRNA lacking in-frame stop codons is impaired (31). Consistent with these findings, *DEFB126* mRNA expression was lower in epididymal tissue with a *del/del* than a *wt/wt* genotype (Fig. 2C).

### Sperm from *del/del* donors have reduced surface glycosylation associated with O-linkages

Twenty-one semen donors recruited for sperm function studies were genotyped for the *DEFB126* sequence variant. The frequency of the variant allele was 0.54 in this pool of donors. Nineteen of the donors received semen evaluations. There was no association of *DEFB126* genotype

Human	MKSLFLTLAVFMLLAQLVSGNHWYVKKCLNDVGI CKKCKP	(1-40)
Common chimpanzee	-----	
Western gorilla	-----	
Lar gibbon	-----	
Human	EEMHVKNWAMCGKQRDCCVPADRRANYPVFVCVQTKTTRI	(41-80)
Common chimpanzee	G-----T-----T	
Western gorilla	-----	
Lar gibbon	--L-----K-----A-----T	
Human	STVTATTAT_TTLMMTASMSMAPTPVSPGT	(81-112)
Common chimpanzee	-----R-----V-----	
Western gorilla	-----_-----L-----	
Lar gibbon	-----A--T--V-----S	

**Fig. 1.** Deduced amino acid sequence alignment of DEFB126 from four primate species. The deduced amino acid sequence for human (*Homo sapiens*, accession number NP\_112193), common chimpanzee (*Pan troglodytes*, XP\_514453), gorilla (*Gorilla gorilla*, A4H243.1), and lar gibbon (*Hylobates lar*, A4H245.1) is aligned, with identity to human sequence “-” and gap for maximum alignment “\_” noted.



**Fig. 2.** Nucleotide and deduced amino acid sequence of a common human *DEFB126* sequence variant. (A) Schematic diagram of *DEFB126* mRNA showing the site and consequence of a common two-nucleotide deletion variant. The two-nucleotide deletion predicts a frameshift and a variant reading frame lacking an in-frame stop codon. (B) Representative dideoxysequence analysis chromatogram of wild-type and deletion variant alleles. Shown is *DEFB126* sequence analysis of human epididymal cDNA clones. The *DEFB126* variant had a two-nucleotide omission (deletion), causing a frameshift in the open reading frame of *DEFB126*. (C) Quantitative reverse transcription-PCR analysis of epididymal mRNA from an individual with *wt/wt* (open bar) and an individual with *del/del* (solid bar) genotypes. Assays specific for mRNA of three  $\beta$ -defensins expressed in the epididymis (*DEFB125*, *DEFB126*, and *DEFB129*) were normalized to expression of *GAPDH* mRNA as a control. The epididymal specimen with the *del/del* genotype has reduced levels (about 10% of *wt/wt*) of *DEFB126* expression, consistent with published analysis of mutations in other genes whose mRNA lacks in-frame stop codons (26–28).

**Table 1.** Genotype frequencies of *DEFB126* deletion variant in different populations.

Population cohorts	n	wt/wt	wt/del	del/del
Chinese (fertility cohort)	638	0.29	0.51	0.19
Chinese (HapMap)	45	0.33	0.49	0.18
Japanese (HapMap)	45	0.22	0.56	0.22
Utah, of European origin (HapMap)	60	0.16	0.58	0.25
Yoruba from Nigeria (HapMap)	60	0.15	0.48	0.37
British	91	0.21	0.60	0.19

with any of the measured parameters of the semen analysis (semen volume, sperm density, percent sperm motility, and total motile count; Table 2). The sperm from these donors were labeled with the *Agaricus bisporus* (ABA) lectin, which selectively binds O-linked galactose-N-acetylgalactosamine (GalNAc) glycans. Sperm from donors with a *DEFB126* variant (*del/del*) genotype consistently showed lower ABA-associated fluorescence than the other two genotypes (Fig. 3). Quantification of sperm fluorescence indicated that the differences in ABA labeling observed with genotype were significant ( $P = 0.0006$ ; Fig. 4D). The marked reduction in binding sites for ABA suggests that the glycocalyx of sperm from men with the *del/del* genotype lacks most of its O-linked oligosaccharides.

### Sperm from *del/del* donors exhibit reduced hyaluronic acid gel penetration ability

We evaluated the ability of human sperm to penetrate a viscous hyaluronic acid (HA) gel, a surrogate for cervical mucus (Fig. 4A). Because human cervical mucus is of limited availability and high variability, HA gels are used to simulate cervical mucus for in vitro analysis of sperm function (32). Although cervical mucus has complex biophysical properties that are derived from at least five distinct mucin molecules produced at the cervix (33, 34), gels prepared from HA share some of the properties of mucus, especially with respect to viscosity and charge (35). In addition, HA gels resemble cervical mucus in their penetrability by human sperm (36–38) and, in the macaque, subtle manifestations of cryodamage to frozen-thawed sperm were reflected equally in penetration tests with either HA or cervical mucus (39). Sperm from donors that were homozygous for the *DEFB126* polymorphism (*del/del*;  $n = 6$ ) exhibited reduced ability to penetrate HA compared to sperm from men with either of the other two genotypes (*wt/wt*,  $n = 6$ , or *wt/del*,  $n = 4$ ;  $P = 0.008$ ). Both *DEFB126* genotype (Fig. 4A) and lectin-labeling intensity (Fig. 4D) correlated with the results of the HA penetration assay (Fig. 5). In contrast, there was no association of *DEFB126* genotype with either curvilinear velocity (VCL) or morphology (Fig. 4, B and C), suggesting that the changes in HA penetration that correlated with genotype are not associated with changes in these classical assessments of sperm. *DEFB126* genotype did not associate with any category of abnormal sperm forms or with any of the sperm motion parameters measured by computer-assisted sperm analysis (CASA) (Table 2), even though sperm morphology and progressive motility are regarded as the best quantitative parameters for sperm function in HA gel and cervical mucus penetration assays (40–43). In contrast, lectin-labeling intensity of sperm correlated well with the efficiency of sperm penetration of HA gels ( $r = 0.800$ ;  $P = 0.003$ ; Fig. 5).

**Table 2.** Common assessments of sperm from donors genotyped for *DEFB126* polymorphism. All values are means  $\pm$  SEM. VCL, curvilinear velocity; VSL, straight-line velocity; ALH, amplitude of lateral head displacement; LIN, linearity of sperm path.

General semen parameters				
	Volume (ml)	Sperm concentration ( $\times 10^6$ /ml)	% Motility	Total motile count ( $\times 10^6$ /ml)
<i>del/del</i> ( $n = 6$ )	2.9 $\pm$ 0.4	40.4 $\pm$ 5	50.2 $\pm$ 5	62 $\pm$ 17
<i>wt/del</i> ( $n = 9$ )	2.7 $\pm$ 0.3	78.7 $\pm$ 25	43.8 $\pm$ 6	77.3 $\pm$ 22
<i>wt/wt</i> ( $n = 4$ )	3.1 $\pm$ 0.3	38.7 $\pm$ 13	52.6 $\pm$ 7	58 $\pm$ 17
Sperm morphology				
	% Normal	% Abnormal forms		
		Heads	Tails	Other
<i>del/del</i> ( $n = 6$ )	50.1 $\pm$ 4	28.6 $\pm$ 2	19.2 $\pm$ 3	2.4 $\pm$ 0.7
<i>wt/del</i> ( $n = 6$ )	55.2 $\pm$ 7	26.3 $\pm$ 4	15.8 $\pm$ 3	2.1 $\pm$ 0.5
<i>wt/wt</i> ( $n = 4$ )	48.7 $\pm$ 6	30.9 $\pm$ 4	16.6 $\pm$ 3	4.1 $\pm$ 0.6
CASA motion parameters				
	VCL	VSL	ALH	LIN
<i>del/del</i> ( $n = 6$ )	76.2 $\pm$ 2	34 $\pm$ 3	4.2 $\pm$ 0.2	43.3 $\pm$ 1.9
<i>wt/del</i> ( $n = 6$ )	83.6 $\pm$ 4	39.4 $\pm$ 2	4.4 $\pm$ 0.2	47.4 $\pm$ 1.8
<i>wt/wt</i> ( $n = 4$ )	79 $\pm$ 4	33.9 $\pm$ 3	4.7 $\pm$ 0.2	42.0 $\pm$ 2.6

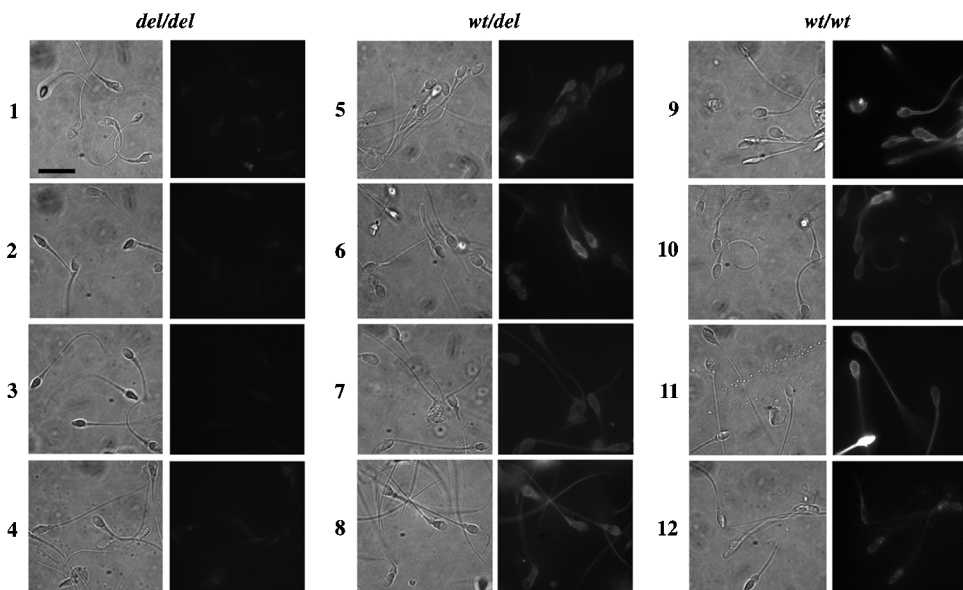
### *DEFB126* gene polymorphism in men is associated with reduced fertility

We examined the prevalence of the sequence variant in men and its association with fertility in a population-based, prospective cohort study of newly married couples in Anhui Province, China. Couples had no history of infertility and began attempting to conceive by natural means soon after enrollment (between July 2003 and February 2005). The median time from enrollment to follow-up was 22 months (minimum, 21 months; maximum, 40 months). Pregnancy and birth outcome were defined by self-report at the time of the follow-up interview, and the date of birth was verified with birth certificates.

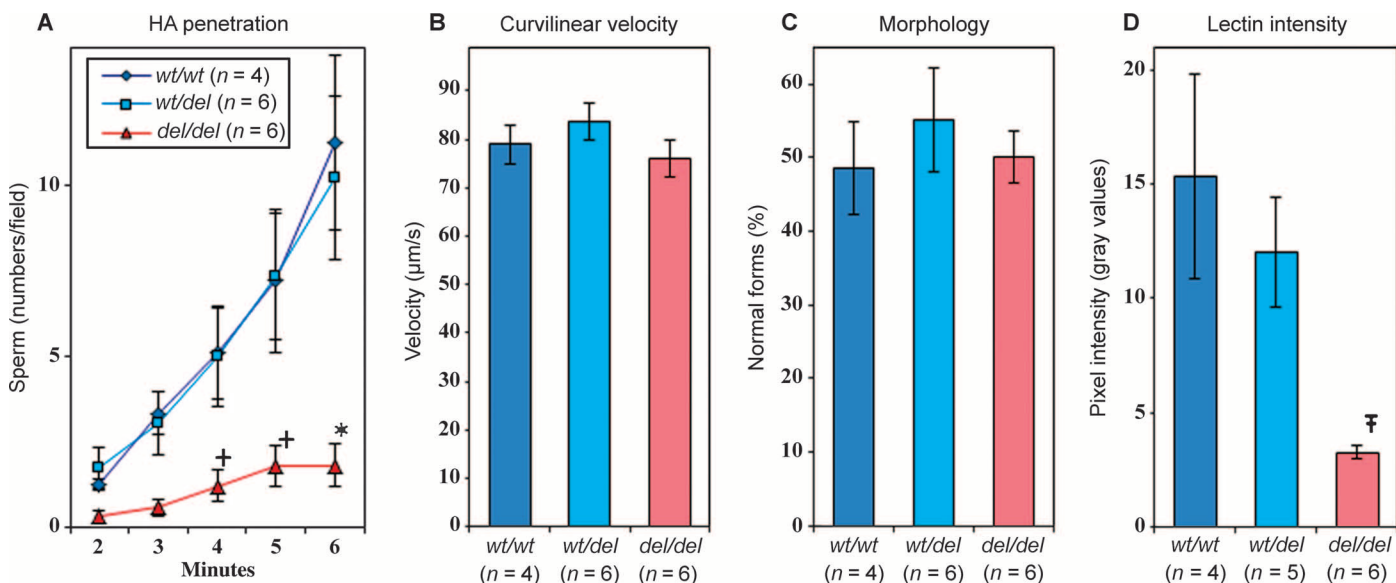
Of 812 men from couples at baseline who provided a blood sample, we randomly selected 664 for *DEFB126* genotyping. We successfully genotyped 638 men for whom we obtained DNA of sufficient quality and quantity. The *DEFB126* genotype frequencies for the 638 men were 187 (29%) homozygous *wt/wt*, 328 (51%) heterozygous *wt/del*, and 123 (19%) homozygous *del/del* (Table 1), which approximated Hardy-Weinberg equilibrium (using Pearson goodness-of-fit test) (44).

The data for couples with male *DEFB126* genotype information were analyzed by logistic regression for the relative odds of self-reported pregnancy at follow-up according to the male's *DEFB126* genotype. Couples were excluded from this analysis for history of female-related factors of infertility including cervical polyps, uterine myoma, ovarian tumor, pelvic inflammation, and smoking ( $n = 38$ ). Forty-eight couples were excluded who had used oral contraceptives or an intrauterine device within the year before enrollment. Data were missing for another 43 couples who were lost to follow-up, leaving 509 couples for analysis of the odds of pregnancy. The mean (SD) ages in this group were 25.8 (2.6) years for

men and 23.4 (2.2) years for women. The means (SDs) for months of follow-up during which a pregnancy could have occurred were 26 (6), 25 (5), and 25 (5) for the *wt/wt*, *wt/del*, and *del/del* groups, respectively.



**Fig. 3.** Sperm surface O-linked oligosaccharides as determined by labeling with ABA lectin. Sperm from donors with *DEFB126 del/del* genotype exhibit reduced surface O-linked oligosaccharides. Human sperm were treated with neuraminidase, fixed, and incubated with FITC-conjugated lectin ABA (see Materials and Methods). Micrographs of sperm fluorescence (right panel) and corresponding phase contrast (left panel) are shown for each donor. Sperm from *del/del* donors (rows 1 to 4) exhibit lower ABA label intensity than from *wt/del* donors (rows 5 to 8) and *wt/wt* donors (rows 9 to 12). Scale bar (left panel of row 1), 10  $\mu$ m.



**Fig. 4.** *DEFB126* genotype and sperm penetration of HA gel. Sperm from donors with *del/del* genotype exhibit reduction in HA penetration that is consistent with ABA lectin intensity but not with sperm morphology or progressive motility. **(A)** HA penetration: sperm penetration of HA gels was measured as average number of sperm penetrating 2.75 mm past the sperm-gel interface at 1-min intervals. **(B)** Curvilinear velocity: sperm suspensions were analyzed by CASA for average curvilinear ve-

Our analysis showed that the odds of pregnancy (ratio of those who became pregnant to those who did not) among couples in which males had the *del/del* genotype were 60% of those observed for couples in which males had either *wt/wt* or *del/wt* genotypes [odds ratio (OR) = 0.6,  $P = 0.029$ , Table 3]. These data reveal a statistically significant decrease in fertility for males with *DEFB126 del/del* genotype, when considering odds of pregnancy.

We also analyzed the time to live birth using Cox proportional hazards models. For this analysis, we excluded an additional 29 couples who achieved pregnancy, but who had spontaneous or induced abortion, leaving 480 couples for analysis of time to live birth. The mean (SD) ages in this group were 25.8 (2.6) years for men and 23.3 (2.3) years for women. Among couples in which males had the *del/del* genotype, the average (SD) time from enrollment to the live birth of a child (or to the end of follow-up if there was no birth) was 17.4 (7.4) compared to 15.7 (7.3) months for couples in which males had either *wt/wt* or *del/wt* genotypes. Using proportional hazards regression modeling, we calculated for couples, in which males had the *del/del* genotype, a probability of live birth per month that was 70% of that determined for couples in

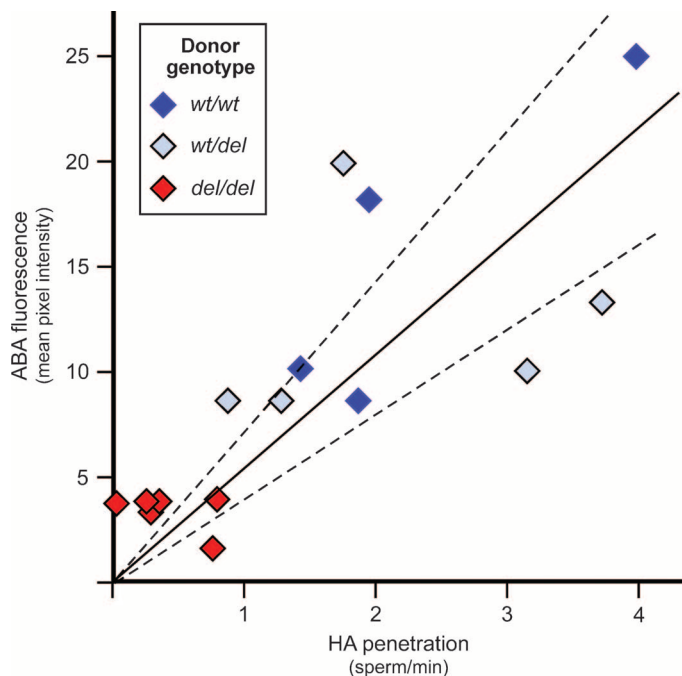
locity. **(C)** Morphology: sperm morphology was determined as described (52) and reported as average percent normal forms. Observations reported in (A) to (C) were paired and represent data averaged across three ejaculates (subsamples) from each donor. **(D)** ABA lectin-labeling intensity was determined with MetaMorph software for sperm from genotyped donors. Data reported as means  $\pm$  SEM. <sup>†</sup> $P = 0.030$ ; \* $P = 0.008$ ; <sup>‡</sup> $P = 0.0006$ .

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which males had either *wt/wt* or *wt/del* genotypes (OR = 0.7, *P* = 0.026, Table 4). Those who at follow-up had not yet become pregnant (*n* = 109), or who were pregnant but had not yet given birth (*n* = 40), contributed right-censored data for this analysis, which means that the follow-up times without events of live birth were included in the calculations of the probability per month of live birth. These data reveal a statistically significant decrease in fertility for males with *DEFB126 del/del* genotype, when considering time to live birth.

DISCUSSION

Studies in nonhuman primates have shown that DEFB126 is a major component of the sperm surface glycocalyx and is important for normal sperm function, including efficient sperm trafficking in the



**Fig. 5.** Sperm surface ABA lectin-labeling intensity as a function of HA penetration. Simple regression analysis (*r* = 0.800; *P* = 0.003) was performed by plotting the fluorescence intensity of ABA-labeled sperm (average pixel intensity) against the rate of sperm HA penetration (sperm/min) by donor. Dark blue, *wt/wt*; light blue, *wt/del*; red, *del/del*. Data are expressed as average values.

male reproductive tract (15–19, 45, 46). We now report that a mutation in human *DEFB126* is common in each of several disparate population cohorts interrogated (allele frequency, 0.44 to 0.61). The genetic variant is a frameshift two-nucleotide deletion, creating a non-stop mutation in the mRNA. Men who are homozygous for the deletion mutation produce sperm that have a deficit in surface O-linked oligosaccharides and that exhibit difficulty penetrating HA gels in vitro. Yet, with respect to common measures of semen quality (sperm density, percentage of motile sperm, sperm progressive motility, and sperm morphology), these men appear normal according to WHO criteria and resemble those who have the wild-type *DEFB126* allele. Our analysis of a population-based prospective cohort shows that men with the *del/del* genotype are significantly less fertile than men who carry the wild-type allele. Therefore, the *DEFB126* genotype could be a useful parameter in evaluation of male infertility.

*DEFB126* is expressed by cells of the epididymis and deposited on the sperm surface as they mature and transit through this tissue. In men who are homozygous for the mutant allele, this maturation event results in an unusual (and perhaps unprecedented) situation in which the genetic variant that affects the expression of a protein of one tissue (the epididymis) alters the surface properties and function of a cell (sperm) that originates in a completely different tissue (the testis). Previous studies demonstrated that removal of DEFB126 from the surface of macaque sperm reduces sperm penetration of cervical mucus; the impaired penetration could be completely restored by adding soluble DEFB126 back to the sperm surface (19). Sperm surface charge appears to be critically important for cervical mucus penetration in the macaque (19), and much of the surface charge is contributed by the

**Table 3.** Relative OR of pregnancy by husband's *DEFB126* genotype in prospective cohort study.

Husband <i>DEFB126</i>	<i>n</i>	Pregnancies, <i>n</i> (%)	OR (95% CI)	Two-sided <i>P</i>
Additive model				
<i>wt/wt</i>	156	128 (82)	Reference	
<i>wt/del</i>	251	200 (80)	0.9 (0.5 to 1.4)	0.577
<i>del/del</i>	102	72 (71)	0.5 (0.3 to 0.9)	0.032
Recessive model				
<i>wt/wt</i> and <i>wt/del</i>	407	328 (81)	Reference	
<i>del/del</i>	102	72 (71)	0.6 (0.4 to 0.9)	0.029

**Table 4.** Relative probability of birth per month by husband's *DEFB126* genotype in prospective cohort study. HR, hazard ratio.

Husband <i>DEFB126</i>	<i>n</i>	Live birth, <i>n</i> (%)	Mean (SD) time to live birth or end of follow-up in months	HR (95% CI)	Two-sided <i>P</i>
Additive model					
<i>wt/wt</i>	145	106 (73)	15.4 (7.4)	Reference	
<i>wt/del</i>	235	165 (70)	15.8 (7.4)	0.9 (0.7 to 1.2)	0.581
<i>del/del</i>	100	60 (60)	17.4 (7.4)	0.7 (0.5 to 1.0)	0.026
Recessive model					
<i>wt/wt</i> and <i>wt/del</i>	380	271 (71)	15.7 (7.3)	Reference	
<i>del/del</i>	100	60 (60)	17.4 (7.4)	0.7 (0.5 to 1.0)	0.026

O-linked oligosaccharides that extend from the carboxyl half of the DEFB126 glycoprotein (16). On the basis of the macaque studies, we suggest that the change in composition of the human sperm glycocalyx associated with *del/del* genotype is due to a deficit of DEFB126, which results in loss of sperm surface properties important for penetration of negatively charged, viscous gel matrices.

Unexplained infertility is relatively common and often results in costly protracted clinical evaluations and emotional stress. The functional consequences reported here of the *del/del* variant of human *DEFB126* may provide new insight into factors contributing to male infertility. Because the proportion of men bearing the *del/del* *DEFB126* genotype in all populations we evaluated is about 20%, it is not surprising that the genetic variant does not result in sterility. We propose that couples in which males have the *del/del* genotype experience delays in conceiving, because of reduced sperm performance in the environment of the cervix. This supposition is supported by independent data indicating that reduced sperm-cervical mucus penetration is significantly correlated with lower per cycle conception rates (47–50). Because sperm must also pass through the mucin-rich utero-tubal junction and oviductal isthmus, and the highly viscoelastic HA matrix of the cumulus oophorus, the *del/del* genotype may also influence sperm function in the upper reproductive tract. In combination with female and/or other male factors, sperm from males with a *DEFB126 del/del* genotype could result in delays in achieving conception well beyond the 1-year fertility benchmark.

Although our results would suggest that there is a strong selective pressure against the *DEFB126* variant allele, this allele is common in the Asian, European, and African populations that we analyzed (Table 1). These observations suggest that the allele is old and has been maintained in the human population by balancing selection. For example, reproductive success and time to conception appear to be the same for males with *wt/wt* and *del/wt* genotypes; this may indicate that there is a selective advantage for heterozygotes as a result of another function of the *del* allele. In support of this, when the populations are analyzed as a group and a sensitive test is used to detect a higher than expected heterozygote frequency, heterozygotes are indeed more frequent than expected given the allele frequencies and Hardy-Weinberg equilibrium ( $P = 0.0375 \pm 0.0003$ ). However, the two-nucleotide deletion reported here generates a nonstop mRNA. The evidence that nonstop mRNAs yield null alleles (26, 27, 31) is consistent with the apparent lack of sperm surface glycosylation suggested by our lectin studies. One explanation for the high frequency of the *del* allele could be that lower expression of DEFB126 in the heterozygote confers some selective advantage, perhaps by changing the manner in which other epididymal proteins interact with the sperm surface. An alternative possibility is that a variant gene product is expressed from the *del* allele that cannot adhere to sperm, but that provides some benefit to fecundity. In conclusion, our results point to a potential cause of impaired human fertility and, consequently, the possibility of new clinical treatments. Genotype analysis of subfertile males for the *DEFB126* deletion polymorphism could assist in determining the most efficient steps for fertility interventions. By establishing genotype early in the infertility evaluation, clinicians could justify rapid progression to directed interventions such as intrauterine insemination and in vitro fertilization, thus saving couples the time and expense of a protracted workup. In addition, on the basis of experiments in cynomolgus macaques, addition of a glycosylated recombinant DEFB126 to deficient sperm might augment other therapeutic approaches to infertility such as vaginal or cervical artificial insemination.

## MATERIALS AND METHODS

### Quantitative reverse transcription–polymerase chain reaction analysis

Total RNA from epididymal tissue (lots A703139 and A703144) was obtained from Biochain Institute Inc. For cDNA synthesis, 5 µg of total RNA was reverse-transcribed with SuperScript II reverse transcriptase (50 U) with an oligo(dT)<sub>12–18</sub> primer as described (51). Real-time polymerase chain reaction (PCR) was performed with the single-stranded epididymal cDNA and oligonucleotide primer pairs *DEFB125*-220s/404a, *DEFB126*-199s/330a, *DEFB129*-441s/546a, and hGAPDH-597s/722a (Table 5) as described by Wehkamp *et al.* (51). Assays were performed in triplicate (SD between assays <10%). Values for β-defensins were normalized to expression of glyceraldehyde-3-phosphate dehydrogenase (GAPDH) mRNA as a control.

### DEFB126 genotype analysis

*DEFB126* genotype analysis on sperm was performed with isolated genomic DNA (10 ng) as a template in a standard PCR with oligonucleotide primer pairs DEFB126-154s/409a (Table 5). The DNA product was then subjected to dideoxysequence analysis with DEFB126-278s as a primer.

In the prospective cohort study, DNA was extracted from blood lymphocytes with standard procedures, and husbands were genotyped for the *DEFB126* sequence variant with single-tube bidirectional allelic specific amplification. We designed wild-type allele-specific primers (forward: 5'-AAGGGACTGCTGTGTTCCAG-3'; backward: 5'-ACCAGTGGGAGAAACGGGCGT-3') for amplification of 169-base pair (bp) fragments from the homozygous *wt/wt* genotype. Similarly, we designed deletion allele-specific primers (forward: 5'-CTTCGATGGCTCCTACGCG-3'; backward: 5'-GCTGTGGGCTAGAACTGTC-3') for amplification of 295-bp fragments from the homozygous *del/del* genotype. We performed PCR amplification in a volume of 10 µl containing 60 ng of genomic DNA, 10× PCR buffer, 1 mM MgCl<sub>2</sub>, 200 µM each deoxynucleotide triphosphate (dNTP), 200 nM each primer, and 0.25 U of Taq DNA polymerase with the GeneAmp PCR system 2700 (Applied Biosystems). The PCR cycle included an initial denaturation at 94°C for 3 min, amplification for 38 cycles consisting of denaturation at 94°C

**Table 5.** Oligonucleotides for PCR analysis.

Name	Sequence
DEFB125-220s	5'-CGACGACCAGCATTCCTGTGATTG-3'
DEFB125-404a	5'-GGTGGCATAGTAGTCTCGGGAGTAGTGG-3'
DEFB126-154s	5'-AAGAATGGTTGGGCAATGTGC-3'
DEFB126-199s	5'-GCAAACAAGGGACTGCTGTGTTCC-3'
DEFB126-278s	5'-CAGCAACAACAATTGATGATGAC-3'
DEFB126-330a	5'-AGGAGCCATCGAAGACATCGAAGC-3'
DEFB126-409a	5'-CCTCTTTGCTTTAATGAGTCGGG-3'
DEFB129-441s	5'-CCATCAGCACTATGACCCAGGAC-3'
DEFB129-546a	5'-GTTGGCAGTATGTTTGGTGGAGGTG-3'
hGAPDH-597s	5'-TGCCATCACTGCCACCCAGAAG-3'
hGAPDH-722a	5'-ATGACCTTGCCACAGCCTTGG-3'

for 1 min, annealing at 57°C for 1 min, and extension at 72°C for 1 min followed by a final extension step at 72°C of 7 min. We resolved PCR fragments using electrophoresis on 2% agarose gels and ethidium bromide staining. For quality control, we genotyped 10% of samples using separate tubes for wild-type allele- and deletion allele-specific primers. Some genotype assignments were based on PCR amplification of a 250-bp segment of genomic DNA encompassing the dinucleotide deletion, followed by direct dideoxysequence analysis of the PCR product. Other genotypes were assigned by with a modified primer to generate an Mnl I restriction enzyme site on the wild-type allele, followed by enzyme digestion. Genomic DNA (5 to 10 ng) was amplified in 10  $\mu$ l of 1 $\times$  Kappa A PCR buffer (Kapa Biosystems) including a final concentration of 1.5 mM MgCl<sub>2</sub>, 0.2 mM dNTP, 0.5  $\mu$ M each primer (reverse: 5'-GTTCAAC-CAGTGGGAGAAACGAG-3' with 5'-hydroxyl labeled with the fluorescent dye HEX; forward: 5'-AGAATGGTTGGGCAATGTGC-3'), and 0.5 U of Taq DNA polymerase (Kapa Biosystems). Amplification conditions were 95°C for 30 s, 61°C for 30 s, and 70°C for 30 s, for a total of 26 cycles. To the 10  $\mu$ l of PCR product, 2  $\mu$ l of appropriate restriction enzyme buffer (1 $\times$  NEB Buffer 2) containing 0.5 U of Mnl I (New England Biolabs) was added and incubated at 37°C for at least 16 hours. An aliquot (1  $\mu$ l) of the digest was analyzed by capillary electrophoresis (ABI3130xl) according to the manufacturer's instructions, and fragment analysis was performed with GeneScan software.

### Sperm preparation and analysis

Semen samples from 21 donors were collected by masturbation into non-spermatotoxic specimen containers and allowed to liquefy for 20 min before use. A small fraction (<100  $\mu$ l) of each sample was used for *DEFB126* genotyping. Two of these donors moved out of the vicinity before semen evaluations could be performed. Semen samples from 19 of the donors were evaluated for volume, sperm density, percentage of motile sperm, and total numbers of motile sperm (Table 2) according to the WHO 1987 methods (52). Semen from three of the donors had total motile sperm counts that were consistently too low for performance of HA penetration assays. For the 16 remaining donors, 10- $\mu$ l drops of semen were layered onto glass slides, dried, and stained with the method of Papanicolaou as described by Katz *et al.* (53). Two hundred sperm per male per treatment were scored for various categories of abnormal forms (Table 2) according to the WHO 1987 methods (52). For CASA and HA penetration experiments, sperm were washed twice by centrifugation (~300g) in modified BWW (Biggers-Whitten-Whittingham) medium with 0.3% bovine serum albumin (BSA) (mBWW) and resuspended into mBWW at a motile sperm concentration of 25  $\times$  10<sup>6</sup>/ml.

For measurements of sperm motion characteristics with CASA, video-micrography was performed as described (39, 54). Briefly, 4- $\mu$ l drops of sperm suspension were loaded into two microcell semen analysis chambers (Fertility Technologies Inc.) with a 10- $\mu$ m depth. In each chamber, 8 to 10 randomly selected microscope fields were video-recorded, capturing several hundred sperm. Motion characteristics of the recorded sperm were analyzed with the HTM Ceros, version 10.9d (Hamilton Thorne Biosciences Inc.). Sperm tracks were digitally captured with a frame rate of 60 Hz and a minimum track time of 1 s. At least 200 sperm per semen sample were analyzed for VCL, straight-line velocity (VSL), and amplitude of lateral head displacement (ALH) (Table 2).

A 20- $\mu$ m-deep slide chamber containing HA was prepared for HA penetration experiments as described previously for HA and cervical mucus penetration assays (19, 39). HA gel was composed of 5 mg of purified hyaluronate (220-kD fraction) per ml of Hepes-buffered

BWW medium supplemented with 3% BSA. The slide chamber was warmed for 5 min on a microscope stage warmer (Motion Analysis Inc.) set at 37°C before the addition of sperm. Twenty microliters of sperm samples was introduced to the open side of the HA chamber and was immediately drawn by capillary action to the HA interface. Sperm were observed in HA with an Olympus BH2 microscope and a 10 $\times$  phase objective and video-recorded as described previously (19, 39). After 2 min from the time sperm were introduced to the chamber, video recordings were initiated, capturing a region in the center of the microscope field that was about 2.75 mm from the sperm-HA interface. Recordings continued for a minimum of 4 min. HA penetration was quantified from video recordings by counting the number of sperm in the video field that was paused at the beginning of the recording ( $t = 2$  min) and every minute thereafter ( $t = 3$  to 6 min) of the 4-min recording interval.

Measures of HA penetration, CASA, and sperm morphology were determined for three semen samples from each donor. Rates of HA penetration, VCL, and percent normal forms were averaged for each donor and analyzed by genotype with one-way analysis of variance (ANOVA) ( $\alpha = 0.05$ ) followed by Tukey's multiple range testing. All data met assumptions of normality of distribution and homogeneity of variance as determined with the Shapiro-Wilk and Levene's tests, respectively (55).

Lectin-labeling studies were performed on sperm from 15 of the donors (1 of the remaining 16 donors left the program before initiation of the lectin experiments). Donor semen was washed over 40% Percoll to remove most of the white cells and then washed by centrifugation in mBWW. Total sperm concentration was adjusted to 5  $\times$  10<sup>6</sup> sperm/ml sperm, and sperm were fixed with 1% paraformaldehyde/0.1% glutaraldehyde for 30 min. Sperm were washed repeatedly in Dulbecco's phosphate-buffered saline (DPBS), treated with neuraminidase (0.5 U per 5 million sperm), washed into blocking solution, and incubated with fluorescein isothiocyanate (FITC)-conjugated lectin ABA as described by Yudin *et al.* (16). Digital micrographs of sperm were digitally captured, and fluorescence intensity was analyzed with MetaMorph 6.1 Image Analysis (Universal Imaging Corp.) software as described by Tollner *et al.* (17, 45). Fluorescence (pixel) intensity data for individual sperm were averaged for each donor and analyzed by genotype. Because the SDs of lectin-labeling intensity were roughly proportional to the means determined for each genotype, data initially did not meet assumptions of homogeneity of variance (Levene's test,  $P = 0.024$ ). After log transformation of pixel intensity data, all assumptions of the ANOVA were met. Transformed data were analyzed by genotype with one-way ANOVA ( $\alpha = 0.01$ ). Differences between genotypes in mean average pixel intensity were further evaluated with Tukey's multiple range testing. Analyses were conducted with SAS statistical program (SAS Institute) according to the principles described by Steel *et al.* (55).

### Prospective cohort study

The protocols for the prospective cohort study were approved before implementation by the institutional review boards of the Harvard School of Public Health and the Anhui Medical University Institute of Biomedicine. Approval for secondary analysis of data from human subjects and preparation of this manuscript were obtained from Simon Fraser University.

After obtaining contact information from registrations of marriages with the provincial government and planned pregnancies with the family planning bureau, we contacted couples at their homes. After obtaining oral consent, we explained the study and invited eligible couples to participate. The inclusion criteria were as follows: (i) the marriage

was the first for both the wife and the husband; (ii) the wife's age was between 20 and 34 years; (iii) the wife was not a smoker and had never been one in the past; (iv) both the wife and the husband were available for the study; and (v) the couple currently lived together or planned to live together after marriage. Couples were eligible for inclusion in the study if they planned to stop contraception (or begin sexual activity) and try to conceive in the near future. Those who agreed to participate were invited to a field office at a later date for baseline procedures, which commenced only after the study was explained again in detail, couples had an opportunity to receive answers to any questions they had about the study, and both signed a written consent.

This study was originally designed to investigate gene-environment interactions associated with pesticide exposure and human fertility and healthy pregnancy. We originally planned to study young couples who were farmers and therefore would have been exposed to pesticides. However, because of rapid economic changes, when our field operations began, most young couples from this agricultural region had begun migrating to urban centers for nonagricultural employment and so did not have occupational exposures to pesticides. According to custom, most returned to their native homes during the period around the lunar New Year and it was common for young couples to register their marriages and marry during this period. As a consequence, 75% of our recruitment occurred within the 60 days before the lunar New Years, which were 22 January 2004 and 9 February 2005. All subjects who met the inclusion criteria were invited to participate in the study, and more than 90% took part and completed the study.

We attempted to contact all participants in their urban homes by telephone in November 2006, which was 21 months after the last couples were enrolled. Our rationale for this follow-up period was to allow up to 12 months for couples to achieve pregnancy (the clinical cutoff for a definition of infertility) plus 9 months to allow follow-up of the birth outcome. Of 812 enrolled couples, we successfully contacted 749 (92%). All participants had either achieved pregnancy or attempted conception for at least 21 months before we contacted them.

In the 749 couples with follow-up data, the mean (SD) age and body mass index among men were 25.9 (2.6) and 21.6 (2.6) and among women were 23.4 (2.3) and 21.2 (2.6), respectively. The prevalence of smoking was 56% among men and 1% among women (however, these four women who reported smoking were excluded from our analysis). Considering these 749 as the total group of participants, we used ANOVA models to test for differences in the mean values of age and body mass index (both of which were about normally distributed) between couples included and excluded in our models of the odds or self-reported pregnancy (included  $n = 509$ ) and time to live birth (included  $n = 480$ ). (See Results section of the main text for details about exclusions.) At  $\alpha = 0.10$ , there were no statistically significant differences between included and excluded men and women in either model for mean age or body mass index. Nor were there differences between included and excluded men in either model for the prevalence of active smoking by  $\chi^2$  test.

We used logistic regression to model the relative odds of self-reported pregnancy at follow-up by male *DEFB126* genotype in 509 couples, which is appropriate for our binary outcome and categorical predictor (56). The odds of self-reported pregnancy within a genotype group are calculated as the number who achieved pregnancy divided by the number who did not. We report the OR [and its two-sided 95% confidence interval (CI)] of self-reported pregnancy, which is calculated as the odds of self-reported pregnancy in one genotype group (for example, *del/del*) divided by the odds in another group (for example, *wt/wt* and *wt/del* combined).

We also used Cox proportional hazards regression to model the relative hazard of live birth at follow-up by male *DEFB126* genotype in 480 couples (after excluding 29 with spontaneous or induced abortion). We did not have accurate information about the timing of the last menstrual period before pregnancy so we could not model the relative hazard of pregnancy. The hazard was defined as the probability of live birth occurring per month of follow-up. We report the hazard ratio (and its two-sided 95% CI) of live birth, which is calculated as the hazard of live birth in one genotype group (for example, *del/del*) divided by the hazard in another group (for example, *wt/wt* and *wt/del* combined). We used a plot of the standardized score process against time to live birth (57) to confirm that the ratio of the hazard functions between genotype groups was constant over follow-up time, which is an assumption of this model.

### Testing for departure from Hardy-Weinberg equilibrium

In the Chinese fertility cohort, comparison of genotype frequencies with those given Hardy-Weinberg expectations was performed with the Pearson goodness-of-fit test ( $\chi^2$  test), primarily as a check of genotyping accuracy. This test is rather conservative and relies on rejection of the null hypothesis. To detect any excess of heterozygotes on a larger cohort of individuals, we used the population genetics software GENEPOP v. 4 (58). This specifically tests for a higher than expected heterozygote count across all populations, without pooling populations, with SE estimated by Markov chain Monte Carlo methods (58). This "exact test" is more powerful than goodness-of-fit tests, and because rather than just rejecting the null hypothesis, we specify an alternative hypothesis, that is, a higher than expected frequency of heterozygotes, the  $U$  test can be used (59).

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**A Correction to the Research Article Titled: “A Common Mutation in the Defensin *DEFB126* Causes Impaired Sperm Function and Subfertility” by T. L. Tollner, S. A. Venners, E. J. Hollox, A. I. Yudin, X. Liu, G. Tang, H. Xing, R. J. Kays, T. Lau, J. W. Overstreet, X. Xu, C. L. Bevins, Gary N. Cherr**

There was an error in the accession numbers in the last reference. The correct numbers are AK225987 and NM030931. The corrected online version of the article is at <http://stm.sciencemag.org/content/3/92/92ra65.full>, and the corrected PDF version of the article is at <http://stm.sciencemag.org/content/3/92/92ra65.full.pdf>.

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