with SEQ ID NO: 2) for combination with a heterologous promoter that is functional in a plant cell for use in producing transgenic plants that are tolerant to damage by an aryloxyalkanoate herbicide.

As a way to identify genes which possess herbicide degrading activities in planta, it is possible to mine current public databases such as NCBI (National Center for Biotechnology Information). To begin the process, it is necessary to have a functional gene sequence already identified that encodes a protein with the desired characteristics (i.e., α-ketoglutarate dioxygenase activity). This protein sequence is then used as the input for the BLAST (Basic Local Alignment Search Tool) (Altschul et al., 1997) algorithm to compare against available NCBI protein sequences deposited. Using default settings, this search returns upwards of 100 homologous protein sequences at varying levels of sequence identity. These range from highly identical (85-98%) to very low identity (23-32%) at the amino acid level. Traditionally, only sequences with high homology would be expected to retain similar properties to the input sequence. In this case applicant chose only those sequences with $\leq 50\%$ homology. **Proceeding** contrary to the accepted wisdom (i.e., selecting low sequence identity homologs to test) is an **indicia of nonobviousness**. Surprisingly, applicant discovered that cloning and recombinantly expressing homologues with as little as 31% amino acid conservation can be used to impart commercial levels of resistance not only to the intended herbicide, but also to <u>substrates never</u> previously tested with these enzymes.

Thus, the subject AAD-12 enzyme was only 1 of over 100 BLAST search results having some degree of identity with tfdA. As discussed above, there were great numbers of other possible choices that could have been selected and would have logically been more likely to provide phenoxy auxin tolerance when expressed in a plant.

Furthermore, the subject invention offers surprising advantages that none of the cited prior art references taught. As illustrated by the subject specification, the data of Table 1 demonstrates AAD-12 is able to degrade the pyridyloxyacetates auxins (e.g., triclopyr, fluroxypyr) in addition to achiral phenoxy auxins (e.g., 2,4-D, MCPA, 4-chlorophenoxyacetic acid). Previous to applicants disclosure, there was no expectation that such plants could effectively produce an AAD-12 enzyme to render the plants resistant a phenoxyacetic acid herbicide (such as 2,4-D) and/or one or more pyridyloxyacetates herbicides such as triclopyr and

fluroxypyr. Thus, the subject invention provides many advantages that were not heretofore thought to be possible in the art.

Thus, it is not seen how the secondary references of Schleinitz or Pallett would support the reasonable expectation of success to produce a plant that is resistant to pyridyloxyacetates herbicides and thus protect a crop plant from damage by pyridyloxyacetates herbicide such as triclopyr and fluroxypyr or why a skilled practitioner would select a gene having low sequence identity to tdfA for testing. Accordingly, there was no reason to select the nucleic acid of SEQ ID NO: 1, or derivative thereof, to be operably linked to a plant specific promoter, as there was no reasonable expectation that such a construct would produce the desired effect when transfected into plant cells.

The Examiner has cited Schleinitz as teaching the nucleic acid sequence of SEQ ID NO: 1 which encodes the protein of SEQ ID NO: 2 and contend it was obvious to try to substitute this gene for *tfdA* aryloxyalkanoate dioxygenase gene disclosed in Kaphammer. However, there is no teaching provided by any of the cited prior art to indicate that the *sdpA* bacterial gene would provide tolerance to phenoxy auxin herbicides <u>upon introduction into plant cells</u>. On page 9 of the office action the Examiner makes reference to Schleinitz et al as teaching "that *tfdA* and *sdpA* share substantial structural similarity with group II aKG-dependent dioxygenases". However a careful reading of the reference reveals that Schleinitz is comparing the amino acid sequences of *sdpA* and *rdpA* relative to group II aKG-dependent dioxygenases not to *tfdA* specifically. Due to the unpredictability of the activity of bacterial genes in plant systems, contrary to the Examiner's assertions, there was no reasonable expectation of success based on the combined teachings of Kaphammer and Schleinitz that a plant transformed with the *rdpA* gene would produce a plant having tolerance to a phenoxy auxin herbicide, nor was there any rationale for selectively choosing the *sdpA* gene from the many other *tfdA* -like bacterial genes for combination with a plant promoter.

The Federal Circuit has differentiated between proper and improper applications of "obvious to try" in *In re O'Farrell*, 853 F.2d 894, 903, 7 USPQ2d 1673, 1681 (Fed. Cir.). More particularly, two classes of improper obvious to try were exemplified as follows: (1) when what would have been "obvious to try" would have been to vary all parameters or try each of numerous possible choices until one possibly arrived at a successful result, where the prior art gave either no indication of which parameters were critical or no direction as to which of many

possible choices is likely to be successful; and (2) when what was "obvious to try" was to explore a new technology or general approach that seemed to be a promising field of experimentation, where the prior art gave only general guidance as to the particular form of the claimed invention or how to achieve it.

As noted above, the subject *sdpA* gene was only 1 of over 100 BLAST search results having some degree of identity with *tfdA*. The Examiner has failed to provide any rationale for specifically selecting the *sdpA* gene from the other 100 candidates other than rely on an improper obvious to try standard requiring one to "try each of numerous possible choices until one possibly arrived at a successful result". However, even such efforts would not be likely to identify the present invention as many of the 100 BLAST search results could potentially produce a plant that exhibits the minimal phenoxy auxin herbicide tolerance (cited by the Examiner as motivation for substituting the *tfdA* gene with the *sdpA* gene) without revealing a protein having the activity of enzymatically degrading a phenoxy auxin herbicide and a pyridyloxy auxin herbicide as required by the claims. Accordingly, the combined teachings of the cited prior art fail to suggest or provide any guidance of how to obtain a gene and recombinant plant that has the functionality of the present claimed invention.

Applicant respectfully requests the withdrawal of the rejection of claims 27-39, 41-54 and 57-58 as being obvious over the combined teachings of Kaphammer in view of Schleinitz et al and Pallett et al.

Claims 27-58 are rejected for obviousness type double patenting over claims 1-20 of US Patent No 8,916,752. Claims 27-58 are rejected for obviousness type double patenting over claims 1-14 of US Patent No 8,283,522. Claims 27-58 are rejected for obviousness type double patenting over claims 1-6, 11-15 and 19-24 of US Patent no 9,944,944. Applicants will file the necessary terminal disclaimers to overcome these rejections upon a finding of allowable subject matter.

With the entry of the foregoing amendments, the application is believed to be in condition for allowance. Consideration of the claims, leading to their allowance and passage of the application to issuance, is respectfully requested.

Respectfully submitted,

/John P. Breen/

John P. Breen Attorney Reg. No. 38,833

JPB:glt Indianapolis, Indiana 46204 317-261-7940

Exhibit A: Alignment of tfdA-type proteins

		1 50
BAB92964.1 (TfdARd)	(1)	<u>M</u>
BAB92966.1 (TfdAHw)	(1)	M
WP_011171694.1 (TfdAAx)	(1)	M
WP_031942830.1 (TfdABc)	(1)	MMTSE
WP_011178399 (TfdA)	(1)	MWVAN
US_8283522_B2_2 (aad-12)	(1)	MQT XX
WP_005771906.1	(1)	
WP_012412111.1	(1)	MPTSTLTK
WP_010955168.1	(1)	MLTKNK
VTQ23612.1 (tauD)	(1)	MSER#\$\$#T
WP_011083759.1	(1)	MSPIKMIGRHAMSSLAGKQGPRYRHMAEDGAPYE
WP_011031608.1	(1)	MTTDSARDSARDTATDGTTG
WP_011084919.1	(1)	MTAMILSDNVIPRAD
WP_011031711.1	(1)	MSVTSVTSAGPRTVLREARIPGDGMYEGRRTLRRLPKGWEERPYELFE V
WP_041807264.1	(1)	MSAVESLLSAPAQ#FE#R
WP_054985508.1	(1)	MPAASLASSSAQPASQ#FD#R
		51 100
BAB92964.1 (TfdARd)	(7)	51 100 QËHKHFV ER SQ E VLRKPËTKQEAIDEA N DK X AVL K EHGQ-D X
BAB92964.1 (TfdARd) BAB92966.1 (TfdAHw)	(7) (7)	
, ,	, ,	QEHKHFV
BAB92966.1 (TfdAHw)	(7)	QEHKHFV XEX SGNOLRKPETKQEAIDEEANADKXAVLXFHGQ-DX QEHKHFV XEX SGNOLRKPETKQEAIDXEANADKXAVLXFHGQ-DX
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx)	(7) (7)	QEHKHFVEEKSGEDLRKPETKQEAIDEEAGEDKKAVLEFHGQ-DE QEHKHFVEEKSGEDLRKPETKQEAIDEEAGEDKKAVLEFHGQ-DE YEHPLFVEOKOKALQGAESPTEVRDEHQEDQKAVLEFRGQ-PE
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc)	(7) (7) (7)	QLHKHFVEEKSGNOLRKPLTKQEAIDEANDKNAVLNEHGQ-DN QLHKHFVEEKSGNOLRKPLTKQEAIDNEANDKNAVLNEHGQ-DN YLHPLFVNONDKNALQGALSPTEVRDNEHQNDQKAVLNERGQ-PL YLHPLFVNONDKNALQGALSPTEVRDNEHQNDQKAVLNERGQ-PL
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) WP_011178399 (TfdA)	(7) (7) (7) (7)	QLHKHFVEENSGNDLRKPLTKQEAIDEANDKNAVLVEHGQ-DN QLHKHFVEENSGNDLRKPLTKQEAIDNEANDKNAVLVEHGQ-DN YLHPLFVEONDKNALQGALSPTEVRDNEHQNDQKAVLVERGQ-PL YLHPLFVEONDKNALQGALSPTEVRDNEHQNDQKAVLVERGQ-PL PLHPLFNAGNEDNDLREALGSTEVRERLNDEKSVLVERGQ-PL
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) WP_011178399 (TfdA) US_8283522_B2_2 (aad-12)	(7) (7) (7) (7) (7)	QLHKHFVEEKSGEDLRKPLTKQEAIDEAGEDKSAVLEFHGQ-DE QLHKHFVEEKSGEDLRKPLTKQEAIDEAGEDKSAVLEFHGQ-DE YLHPLFVEOSDKEALQGALSPTEVRDEHQEDQKAVLEFRGQ-PL YLHPLFVEOSDKEALQGALSPTEVRDEHQEDQKAVLEFRGQ-PL PLHPLFNAGEDEDDLREALGSTEVRERLEDEKSVLEFRGQ-PL PTGATIGATISTECHLATLDDAGFAABHAKWLQSANLEFFGQ-PL
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) WP_011178399 (TfdA) US_8283522_B2_2 (aad-12) WP_005771906.1	(7) (7) (7) (7) (7) (9) (6)	QLHKHFVEENSGNDLRKPLTKQEAIDEALOR AVLUEHGQ-DEALOR AVLUEHGQ-DEALOR AVLUEHGQ-DEALOR AVLUEHGQ-DEALOR AVLUEHGQ-DEALOR AVLUEHGQ-DEALOR AVLUEHGQ-DEALOR AVLUEHGQ-PLYLHPLFVEON DK. ALQGALSPTEVR
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) WP_011178399 (TfdA) US_8283522_B2_2 (aad-12) WP_005771906.1 WP_012412111.1	(7) (7) (7) (7) (9) (6) (13)	QLHKHFVEENSGEDLERKPLTKQEAIDEALEDKNAVLEFHGQ-DEQLHKHFVEENSGEDLERKPLTKQEAI
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) WP_011178399 (TfdA) US_8283522_B2_2 (aad-12) WP_005771906.1 WP_012412111.1 WP_010955168.1	(7) (7) (7) (7) (9) (6) (13)	QLHKHFVEENSGNDLRKPLTKQEAIDEAMOKNAVLVEHGQ-DNQLHKHFVEENSGNDLRKPLTKQEAIDEAMOKNAVLVEHGQ-DNQLHKHFVEENSGNDLRKPLTKQEAI
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) WP_011178399 (TfdA) US_8283522_B2_2 (aad-12) WP_005771906.1 WP_012412111.1 WP_010955168.1 VTQ23612.1 (tauD)	(7) (7) (7) (7) (9) (6) (13) (11) (9)	QLHKHFVEENSGEDLERKPLTKQEAIDEALEDKNAVLEFHGQ-DEQLHKHFVEENSGEDLERKPLTKQEAI
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) WP_011178399 (TfdA) US_8283522_B2_2 (aad-12) WP_005771906.1 WP_012412111.1 WP_010955168.1 VTQ23612.1 (tauD) WP_011083759.1	(7) (7) (7) (7) (9) (6) (13) (11) (9) (40)	QLHKHFVEENSGEDLRKPLTKQEAIDEALEDKEAVLEFHGQ-DEQLHKHFVEENSGEDLRKPLTKQEAI
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) WP_011178399 (TfdA) US_8283522_B2_2 (aad-12) WP_005771906.1 WP_012412111.1 WP_010955168.1 VTQ23612.1 (tauD) WP_011083759.1 WP_011031608.1	(7) (7) (7) (7) (9) (6) (13) (11) (9) (40) (25)	QLHKHFVEENSGNDLRKPLTKQEAIDEAMOKNAVLVEHGQ-DNQLKHFVEENSGNDLRKPLTKQEAIDEAMOKNAVLVEHGQ-DNQLKHFVEENSGNDLRKPLTKQEAI
BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) WP_011178399 (TfdA) US_8283522_B2_2 (aad-12) WP_005771906.1 WP_012412111.1 WP_010955168.1 VTQ23612.1 (tauD) WP_011083759.1 WP_011031608.1 WP_011084919.1	(7) (7) (7) (7) (9) (6) (13) (11) (9) (40) (25) (18)	QLHKHFVEENSGEDLERKPLTKQEAI

150 101 (51) DDCCMAFALNFERENARGGTTKKEDYRLSSGNWSKNGKDGKPLPK BAB92964.1 (TfdARd) BAB92966.1 (TfdAHw) (51) SDDOG AFALNE KRENARGGT TKKEDYRLSSG NEWSKINGKOGKPLPK (51) DODOOMAFARNE OLEGG-FIKINORPSRFKYAZIJANISHISVOGKVRER WP_011171694.1 (TfdAAx) (51) DQDQQXAFARNEXQLEGG-FIKKNQRPSRFKYAEXAXISMXSVDGKVAER WP_031942830.1 (TfdABc) (51) SQUQQXAFARNESPLEGG-FIKNORPSRFKYAEXAXISMSLUGKVAQR WP 011178399 (TfdA) (52) NDOONTEANRENAMERIGGGDWAISNVKADGTWRQHSPAEWDDMMKVI US_8283522_B2_2 (aad-12) WP 005771906.1 (50) %PDQQ%KF%RNF%ELVSY----TRSQFSEKTQPE%LI%SN%TKDGKLIG-WP_012412111.1 (57) *DKELNNE%LYE&ALFVPSDETPVLASKPGETPV%IPISA%DGGYTGTG-WP 010955168.1 VTQ23612.1 (tauD) (89) POOH AF REFERENCE HERP-----AAPHEDEDPA MK YADKNSPR-WP_011083759.1 (69) DHAGHWAFARLE PVVLPRRGKASPAGFPEIETTA RLENGGRFGMEHD WP 011031608.1 (63) DDAEGE WAIR ELTPHP-----TLGAIKGTASTIE DSTRAGSR-WP_011084919.1 (95) SDOORDWAGN ALETN-----PLLAAGSSD VRFDKGDGAVP-WP 011031711.1 (63) RPROCNAF REFERENCIH---- LKQFLLAGHPENFILSAN VENGRPIG-WP_041807264.1 (66) XPQQQXDFXXRFXVLQIH----XLKQFLLVNHPEXLIXXXXVENDKPVG-WP 054985508.1 151 200 BAB92964.1 (TfdARd) (101) DHRTHLFNLGNC WASSISSERPIPAKES SARWINEK WEEFADMRAN BAB92966.1 (TfdAHw) (101) DHRTHLFNLGNCXWASASSERPIPAKFSALSARAWNPKANDEFADMRAA WP_011171694.1 (TfdAAx) (100) DAREVVGNFANON SISSFQQPARYSKLSAI PESSISSEFCOMRAN WP_031942830.1 (TfdABc) (100) DAREVVGNFANONWESSSEQOPARYSKESÄINEPESSOOREECDMRAA (100) DAREVVGNFANONWASSISSFQQPARYSMUSAVWEESSISSEECDMRAA WP 011178399 (TfdA) US_8283522_B2_2 (aad-12) (102) VG----NMAWAY STAMPVMAQGANFSÄENTEAVARRICEADMRA (95) ----SPVSGRWANNIGHTLEVPPAGSWLHALEXPPECKENTANMFAR WP_005771906.1 (106) ----ELTRESCHKNTPTPSSGSSKLYALESPSQSSNSYNLNTNL WP 012412111.1 WP_010955168.1 (101) ----ALGNSEATWAY TWEYEREPAGANTERS IASPET SONY WVSMYON VTQ23612.1 (tauD) (130) -----ANGEGWASSVSCDLERPMGSSVYIKQCPPR WP 011083759.1 WP_011031608.1 (119) EWLRRRHTLLRGWCCHGARVDPPA WP_011084919.1 (104) -----ADWAY GREVDAYPKI KERSI KE (135) -----TYEN WALLOW FREEPALGAN EQLRENCEF SOUMARDMARA WP 011031711.1 WP 041807264.1 (108) -----LGDAGK WASSLISKEIPSMGANILYAR SEEE SECULIANOQLA

WP 054985508.1

(111) -----LGDAGKYWSSLSSKELPSLGSKLYÄQESESESSÖSLFÄDMHL

201 250 (151) YDANOSETKTENNONICERSLNYS-----RGSNGFLDYNDEEKEMFKPV BAB92964.1 (TfdARd) (151) YDANDSETKTENNOMICERSLAYS-----RGSNGFLDYNDEEKEMFKPY BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) WP_031942830.1 (TfdABc) (150) YDANERDNOSENNESTRAERYANNS----RFLNGDTDYNEAQRNAMEPY WP 011178399 (TfdA) US_8283522_B2_2 (aad-12) WP 005771906.1 WP_012412111.1 WP 010955168.1 (135) XXXX SVPFXQLXSGLRAEHDFRKSFPEYKYRKTEEEHQRWREAVAKNEPX VTQ23612.1 (tauD) WP 011083759.1 WP 011031608.1 (144) ¥LD%APP%QGLA%RXWÄVÄSNAFDYAILARVSE%DKKHLDEVFTKTIYRT WP_011084919.1 (177) YON PRESER GALAVEDE PG----FARFYGPERLLPHQDLLPP WP 011031711.1 WP_041807264.1 (155) TEQHERDA RESEVES YTARY - AEGHNAANWRPTL AEQLAQVVEY WP 054985508.1 251 300 BAB92964.1 (TfdARd) (195) LOREVRTSPAHSRASSINSS----HAGATROSSMPENRLLERDETEHAT (195) LQREVRTEPAHERKSINGSS----HAGATRGESMPERRLEERDETEHAT BAB92966.1 (TfdAHw) WP_011171694.1 (TfdAAx) (194) SWPLVRTRAG REFERENCE ----HAGHIEGREVAEGRMLLAELLEHAT (194) SWPLVKTRAG KEFTERGE----HAGHTEGREVAEGRMLTAELLEHAT WP_031942830.1 (TfdABc) (194) NWPLVRTNAGWEKFNENGN----HASHNEGNPVAEGRMILAELLEHAT WP 011178399 (TfdA) US_8283522_B2_2 (aad-12) (193) LRPIVAVAPANTERPANTLAGR----HAHAIPGADAAESERFIEGIVAWAC (185) AQPIVRREV RANGAGGN----VPWRIEGNPLEESAPINTFIQEFNV WP_005771906.1 (193) PHPLVRING SAKH WORAT---EVENVINEPEEESKENEQERQHLN WP 012412111.1 WP_010955168.1 (193) VHPLVRVRGS SRQ NAVAGENGUS GENERALES NOLKAQÜWERTL (185) LHPWYRTNEV ON ENNEG----FTTREVD SEKESEALESFEFARIT VTQ23612.1 (tauD) WP 011083759.1 (215) EHPWRINEVISRIANWING----FIRHING PROESDANLAYEYOHAE WP 011031608.1 (214) VHPLVRVRPREERVERNGY----YVEQIAGESRPESAAELELEVEQAT (194) EHPWVRVNPSTERTSVNGN----LVQNFVGNPKYNGQKEFDLFQSHIT WP_011084919.1 WP 011031711.1 (221) EHPWYRTEPENTERMENNS----FTTRETGEDRDESDREERFEFQOAH WP 041807264.1 (201) VHPWVRTEPERKGSFWNEN----FTTRELDSPEDESRRELAEEYAHSI (204) SHPWRITER SEG----FITRELD PEDESRONENCE YAHSV WP 054985508.1

301 350 (240) OPEFWYVH WITCHEN WOONROIN HRVRR NOOSQ-----PRONRRAINA BAB92964.1 (TfdARd) (240) OPEFWYVHWTVHDWWWWRQTWHRVRRWDQSQ-----PRDWRRATWA BAB92966.1 (TfdAHw) (239) ORKENYRHSWKVGDXVMDNRCVXHRXRRXDITA-----RREXRRATTL WP_011171694.1 (TfdAAx) (239) ORKENYRHSWKVGDSVMDNRCVSHRSRRSDITA-----RRESRRATTL WP_031942830.1 (TfdABc) (239) OREFWYRENINVGD VNWDNRCVNHRORR DISA-----RRENRRATTL WP 011178399 (TfdA) (238) CAPRINAHOWAACONOWINRCLEHRAEPROFKLPRVMWHSRLAGRPETE US_8283522_B2_2 (aad-12) WP_005771906.1 (231) OPOENYREWVPGD WOMRSANHKATV ODKY-----RELEHRTING WP_012412111.1 (239) QRKKYYQHXWSVGDXVYWDNQATXXYRQAXDPNE-----RRVXXXXXA WP 010955168.1 (243) KGEIFVOO-WRLEDWYWYNRCTWHRRDSWSPEH-----IRIWHRTTTA (231) KPEFQVRCAGNQMIXRFGITA-----VTQ23612.1 (tauD) (261) XPLEQCER XVTENA AF WOMECTOREAMWD YWPH-----TRSGTEVTXK WP_011083759.1 (260) RESTURE WEPGS AFWONEAT HAPGDHAHLD----HPRT HRVM T WP 011031608.1 (239) ALENTVRWSWRDGGWANWONRATQHYAVNDYGDQ-----HRLWHRATWA WP_011084919.1 (267) VPENOVRWWOPGDNAFWENRATORYAVGDYGSE-----RRVAERVANE WP 011031711.1 (247) REETTREMOLEND FWOMRAL HLATGCPNHL-----PAR HRTTMO WP_041807264.1 WP_054985508.1 (250) KREHNYRHOWQANEWYFWINKSLWHLAAGCPAHL-----RKKNHRTINO 351 373 BAB92964.1 (TfdARd) (284) GTORTVAQEAAE-----BAB92966.1 (TfdAHw) (284) GTOPTVAOEAAE-----(283) DNAVV-----WP_011171694.1 (TfdAAx) (283) DXAVV-----WP_031942830.1 (TfdABc) WP_011178399 (TfdA) (283) DXAVV-----(288) GAALW-----US_8283522_B2_2 (aad-12) WP_005771906.1 (275) **%**RATESRYRKROA-----(283) GSREF-----WP 012412111.1 (286) GERPV-----WP_010955168.1 (252) -----VTQ23612.1 (tauD) (305) GREV-----WP 011083759.1 WP_011031608.1 (306) GVPVGVDGTPSEPVVGSEAGRW WP_011084919.1 (283) SEVEVSIDGRSSVTRITGDHOAV WP 011031711.1 (311) **GNR**F-----(291) (3) ARF-----WP 041807264.1 WP_054985508.1 (294) GTARF-----

Electronic Patent Application Fee Transmittal					
Application Number:	154	168494			
Filing Date:	24-	Mar-2017			
Title of Invention:	NCC	VEL HERBICIDE RES	SISTANCE GENES		
First Named Inventor/Applicant Name:	Tei	ry R. WRIGHT			
Filer:	Joł	nn P. Breen/Garla Tv	vyman		
Attorney Docket Number:	14	764-262737			
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 1 month with \$0 paid	1251	1	200	200
Miscellaneous:				
RCE- 1ST REQUEST	1801	1	1300	1300
	Tot	al in USD	(\$)	1500

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Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES		
First Named Inventor/Applicant Name:	Terry R. WRIGHT		
Customer Number:	107540		
Filer:	John P. Breen/Garla Twyman		
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Authorized User	Garla Twyman

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)37 CFR 1.20 (Post Issuance fees)37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Request for Continued Examination (RCE)	RCE_Request1.pdf	92508 t1.pdf d134123f80900c79285d6059ba558e32ba5 b5e48		3	
Warnings:						
This is not a USF	PTO supplied RCE SB30 form.					
Information:						
			193990			
2		Amendment_and_Response_A ccompanying_RCE.pdf	0693a36f6a827572d55c003b455def3a608 46ebd	yes	23	
	Multip	part Description/PDF files in .	zip description			
	Document Des	scription	Start	E	nd	
	Amendment Submitted/Entere	d with Filing of CPA/RCE	1		1	
	Claims		2		6	
	Applicant Arguments/Remarks	Made in an Amendment	7	2	23	
Warnings:						
Information:						
			36803			
3	Transmittal Letter	Supplemental_IDS.pdf	b394f361017a458d7e9653bd78cca6e19f1f 4484	no 3		
Warnings:						
Information:						
			454568			
4	Non Patent Literature	Mueller.pdf	fc238577e5e9fa762a2f14da02b602c06d84 47be	no	13	
Warnings:						
Information:						

Warnings:					
6	Fee Worksheet (SB06)	fee-info.pdf	45c2a71a23de8475e0499c38a1a68b18542 047c7	no	2
			32493		
Information:					
Warnings:		•			
5	Non Patent Literature	Hotopp.pdf	3839c8bf597ff335b65061f66432e33f1898e 59d	no	8
			248709		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

BARNES & THORNBURG LLP

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.:	107540	
Group:	1663	
Confirmation No.:	6867	
Application No.:	15/468,494	
Invention:	NOVEL HERBICIDE RESISTANCE GENES	FILED ELECTRONICALLY
Applicant:	Terry Wright et al.	April 17, 2020
Filed:	March 24, 2017	
Attorney Docket:	14764-262737	
Examiner	David Kruse	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

This Statement is filed pursuant to 37 C.F.R. § 1.56. No representation is intended that a complete search has been made of the prior art or that no better art references than the references cited in this IDS are available. The filing of this IDS shall not be construed to be an admission that the information cited in the IDS is, or is considered to be, material to patentability as defined in §1.56(b). Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. patents and patent publications are not provided herewith; a copy of each cited foreign and non-patent reference is provided herewith for review by the Examiner.

As this IDS is being filed with an accompanying RCE, applicant believe no fees are required for this filing. However, the Commissioner is hereby authorized to charge any fee necessary to affect this filing to our Deposit Account No. 10-0435, with reference to our Matter No. 14764-262737.

Consideration of the claims, leading to their allowance and passage of the application to issuance, is respectfully requested. If any further discussion of this matter would speed prosecution of this application, the Examiner is invited to call the undersigned at (434) 220-2866.

Respectfully submitted,

John P. Breen

Registration No. 38,833

Attorney for Applicants

JPB:glt (317) 261-7940 Indianapolis, Indiana 46204

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry Wright et al.	13/100,171
	Filing Date March 24, 2017	Art Unit 1663

	U.S. PATENT DOCUMENTS					
Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)

	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Document Number	Publication Date	Country	Trans Yes	lation No	

OTHER DOCUMENTS			
Müller et al, Protein Science (2006), 15:1356–1368			
Hotopp et al, Biochemistry 2002, 41, 9787-9794			

EXAMINER:	DATE CONSIDERED:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					or Docket Number 5/468,494	Filing Date 03/24/2017	To be Mailed		
	ENTITY: LARGE SMALL MICRO								
					CATION AS FIL	_ED - PAR	T I		
	FOR		(Column 1 JMBER FI		(Column 2) NUMBER EXTRA		RATE (\$)		FEE (\$)
	BASIC FEE	INC		LED				+	ΓΕΕ (Φ <i>)</i>
	(37 CFR 1.16(a), (b), c	or (c))	N/A		N/A		N/A		
🗆 :	SEARCH FEE (37 CFR 1.16(k), (i), or	r (m))	N/A		N/A		N/A		
	EXAMINATION FEE (37 CFR 1.16(o), (p), c	E	N/A		N/A		N/A		
	TAL CLAIMS DER 1.16(i))		mir	nus 20 = *			x \$80 =		
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	APPLICATION SIZE CFR 1.16(s))	FEE (37 of pa for s fract	aper, the a mall entit	application size : y) for each addit	ngs exceed 100 s fee due is \$310 (tional 50 sheets o C. 41(a)(1)(G) an	(\$155 or			
	MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))								
* If th	ne difference in co	olumn 1 is less t	nan zero,	, enter "0" in colı	umn 2.		TOTAL		
				APPLICAT	TION AS AMEI	NDED - PA	ART II		
		(Column 1)		(Column 2)	(Column 3)			
AMENDMENT	04/17/2020	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDIT	IONAL FEE (\$)
	Total (37 CFR 1.16(i))	* 32	Minus	** 32	= 0		x \$100 =		0
	Independent (37 CFR 1.16(h))	* 2	Minus	*** 3	= 0		x \$460 =		0
¥		Size Fee (37 CF	R 1.16(s)))					
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FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Application	n or Docket Number 15/468,494	Filing Date 03/24/2017	To be Mailed	
ENTITY: LARGE SMALL MICRO									
	APPLICATION AS FILED - PART I								
			(Column		(Column 2)			_	
	FOR BASIC FEE		NUMBER FI	LED	NUMBER EXTRA		RATE (\$)		FEE (\$)
	(37 CFR 1.16(a), (b), c	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), o	r (m))	N/A		N/A		N/A		
	EXAMINATION FEE (37 CFR 1.16(o), (p), c		N/A		N/A		N/A		
	AL CLAIMS DFR 1.16(i))		mii	nus 20 = *			x \$80 =		
IND	EPENDENT CLAIM DER 1.16(h))	s	m	inus 3 = *			x \$420 =		
	APPLICATION SIZE CFR 1.16(s))	FEE (37 f. f.	of paper, the or small entit	ation and drawin application size y) for each addit of. See 35 U.S.C	fee due is \$310 ional 50 sheets	(\$155 or			
	MULTIPLE DEPENI	DENT CLAIM	PRESENT (37	7 CFR 1.16(j))					
* If th	ne difference in co	olumn 1 is le	ss than zero	enter "0" in colu	ımn 2.		TOTAL		
				APPLICA1	TION AS AME	NDED - PA	ART II		
		(Column	1)	(Column 2)	(Column 3	3)			
≅NT	04/24/2020	CLAIMS REMAINING AFTER AMENDME		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	(TRA	RATE (\$)	ADDIT	IONAL FEE (\$)
Į≅į	Total (37 CFR 1.16(i))	* 32	Minus	** 32	= 0		x \$100 =		0
AMENDMENT	Independent (37 GFR 1.16(h))	* 2	Minus	*** 3	= 0		x \$460 =		0
₹	Application S	Size Fee (37	' CFR 1.16(s))					
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AMENDMEN	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$0 =		
≝	Application S	Size Fee (37	CFR 1.16(s))					
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Acknowledgement Receipt			
EFS ID:	39262393		
Application Number:	15468494		
International Application Number:			
Confirmation Number:	6867		
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES		
First Named Inventor/Applicant Name:	Terry R. WRIGHT		
Customer Number:	107540		
Filer:	John P. Breen		
Filer Authorized By:			
Attorney Docket Number:	14764-262737		
Receipt Date:	24-APR-2020		
Filing Date:	24-MAR-2017		
Time Stamp:	17:11:32		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			46542		
1	Supplemental Response or Supplemental Amendment	Supp_Response.pdf	13526e2bea80f23a7d6c23c88148f6422623 54a4	no	7
Warnings:			<u> </u>		

Information:	
Total Files Size (in bytes):	46542

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

BARNES & THORNBURG LLP

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.	107540	}
Group:	1663	} }
Confirmation No.:	6867	} }
Application No.:	15/468,494	}
Invention:	NOVEL HERBICIDE RESISTANCE	} ELECTRONICALLY FILED ON:
	GENES	April 24, 2020
Inventor:	Wright et al.	} }
Filed:	March 24, 2017	} }
Attorney Docket:	14764-262737	} }
Examiner:	David Kruse	} }

SUPPLEMENTAL AMENDMENT AND RESPONSE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In response to the final Office Action mailed December 20, 2019, Applicant submitted an RCE, and an accompanying Amendment and Response on April 17, 2020. Applicant hereby submits a supplemental response and request consideration of the further claim amendment submitted herewith in conjunction with the response of April 17, 2020. Applicant hereby authorizes the Commissioner to charge any fee necessary to affect this filing, to the account of Barnes & Thornburg, Deposit Account No. 10-0435 with reference to our file 14764-262737.

Amendments to the Claims are submitted herewith beginning on page 2. **Remarks** begin on page 7 of this paper.

AMENDMENTS TO THE CLAIMS:

This listing of claims will replace all prior versions, and listings of claims in the application:

Claims 1-26 (Canceled).

- 27. (Previously presented) A transgenic plant cell comprising a recombinant polynucleotide that encodes an AAD-12 protein that exhibits aryloxyalkanoate dioxygenase activity wherein said activity enzymatically degrades a phenoxy auxin herbicide and a pyridyloxy auxin herbicide, further wherein said AAD-12 protein comprises:
- i) an amino acid sequence having at least 85% sequence identity with SEQ ID NO: 2; and
 - ii) an AAD-12 motif having the general formula of: $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R, \text{ wherein}$

 X_{109} represents a single amino acid at position 109, relative to the sequence of SEQ ID NO: 2;

(X)₁₁₁₋₁₃₄ represents a sequence of 24 amino acids;(X)₁₃₆₋₂₆₁ represents a sequence of 126 amino acids; and

 $(X)_{263-272}$ represents a sequence of 10 amino acids.

- 28. (Previously Presented) The plant cell of claim 27 wherein the AAD-12 protein comprises an amino acid sequence having at least 90% sequence identity with SEQ ID NO: 2 wherein the AAD-12 differs from the amino acid sequence of SEQ ID NO: 2 by one or more conservative amino acid substitutions.
- 29. (Previously presented) The plant cell of claim 27 wherein said plant cell is dicotyledonous and selected from the group consisting of a cotton cell, a tobacco cell, a canola cell, a soybean cell, and an Arabidopsis cell.
- 30. (Previously presented) A transgenic plant comprising a plurality of the plant cell of claim 27, wherein expression of said polynucleotide renders said plant tolerant to an

aryloxyalkanoate herbicide.

- 31. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is a phenoxy auxin herbicide.
- 32. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is selected from the group consisting of 2,4-dichlorophenoxyacetic acid, and MCPA.
- 33. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is a pyridyloxy auxin.
- 34. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is selected from the group consisting of triclopyr and fluroxypyr.
- 35. (Previously presented) The plant of claim 30 wherein expression of said polynucleotide renders said plant resistant to both a phenoxy auxin herbicide and a pyridyloxy auxin herbicide.
- 36. (Previously presented) The plant of claim 30 wherein said plant further comprises a second herbicide resistance gene.
- 37. (Previously presented) The plant of claim 36 wherein said second herbicide resistance gene renders said plant resistant to an herbicide selected from the group consisting of glyphosate, glufosinate, ALS inhibitors, inhibitors of 4-hydroxyphenyl-pyruvate-dioxygenase (HPPD), dicamba and inhibitors of protoporphyrinogen oxidase (PPO).
- 38. (Previously presented) A method of controlling at least one weed in a field, wherein said field contains at least one plant of claim 30, wherein said method comprises applying to at least a portion of said field a first herbicide selected from the group consisting of a phenoxy auxin herbicide and a pyridyloxy auxin herbicide.

- 39. (Previously presented) The method of claim 38 wherein said aryloxyalkanoate herbicide is an achiral phenoxy auxin selected from the group consisting of 2,4-D and MCPA.
- 40. (Previously presented) The method of claim 38 wherein said aryloxyalkanoate herbicide is a pyridyloxy auxin selected from the group consisting of triclopyr and fluroxypyr.
- 41. (Previously presented) The method of claim 38 wherein said method comprises applying a second herbicide.
- 42. (Previously presented) The method of claim 41 wherein said first herbicide and said second herbicide are applied sequentially.
- 43. (Previously presented) The method of claim 41 wherein said first herbicide and said second herbicide are applied concurrently.
- 44. (Previously presented) The method of claim 41 wherein said first herbicide is a phenoxy auxin and said second herbicide is a pyridyloxy auxin.
- 45. (Previously presented) The method of claim 41 wherein said second herbicide is selected from the group consisting of glyphosate, glufosinate, dicamba, acetolactate synthase inhibitors, protoporphyrinogen oxidase inhibitors, and hydroxyphenyl-pyruvate-dioxygenase inhibitors.
- 46. (Previously presented) The method of claim 41, wherein said first herbicide is 2,4-D and said second herbicide is glyphosate.
- 47. (Previously presented) The method of claim 41, wherein said first herbicide is 2,4-D and said second herbicide is glufosinate.

- 48. (Previously presented) The method of claim 41 wherein said plant further comprises a second herbicide resistance gene that renders said plant resistant to said second herbicide.
- 49. (Previously presented) The method of claim 48 wherein said second gene is selected from the group consisting of a modified AHAS (acetohydroxyacid synthase) gene, a glyphosate resistance gene, glufosinate resistance gene, and a gene encoding a dicambadegrading enzyme.
 - 50. (Previously presented) The method of claim 49 wherein:
 - (a) said modified AHAS (acetohydroxyacid synthase) gene is selected from the group consisting of a SurA, SurB, Csr1, Csr1-1, Csr1-2;
 - (b) said glyphosate resistance gene is selected from the group consisting of modified EPSPS (5-enolpyruvylshikimate-3-phosphate synthase), GOX, GAT; and, said glufosinate resistance gene is selected from the group consisting of

phosphinothricin-N-acetyltransferase (PAT) and bar.

- 51. (Previously presented) The method of claim 41 wherein said method further comprises applying a third herbicide.
- 52. (Previously presented) The method of claim 51, wherein said third herbicide is selected from the group consisting of glyphosate, glufosinate, HPPD-inhibitors, PPO-inhibitors, ALS inhibitors, and dicamba.
- 53. (Previously presented) The method of claim 52 wherein said first, second and third herbicides are 2,4-D, quizalofop, and glyphosate.
 - 54. (Previously presented) A seed comprising a plurality of the plant cell of claim 27.
- 55. (Previously presented) A method of controlling weeds in a field, wherein said method comprises applying a phenoxy auxin herbicide or a pyridyloxy auxin herbicide to said

field and planting a seed of claim 54 in said field within 14 days of applying said aryloxyalkanoate or pyridyloxy auxin herbicide.

- 56. (Canceled).
- 57. (Previously presented) A plant grown from the seed of claim 54 wherein said plant comprises said polynucleotide.
- 58. (Previously presented) A part, progeny, or asexual propagate of the plant of claim 57, wherein said part, progeny, or sexual propagate comprises said polynucleotide.
- 59. (Currently Amended) An (R) aryloxyphenoxypropionate herbicide tolerant A transgenic plant cell comprising a recombinant polynucleotide that encodes an AAD-12 protein that exhibits aryloxyalkanoate dioxygenase activity wherein said activity enzymatically degrades a phenoxy auxin herbicide and a pyridyloxy auxin herbicide, further wherein said AAD-12 protein comprises:
- i) an amino acid sequence having at least 85% sequence identity with SEQ ID NO: 2; and
 - ii) an AAD-12 motif having the general formula of: $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R$, wherein

 X_{109} represents a single amino acid at position 109, relative to the sequence of SEQ ID NO: 2;

 $(X)_{111-134}$ represents a sequence of 24 amino acids;

(X)₁₃₆₋₂₆₁ represents a sequence of 126 amino acids; and

(X)₂₆₃₋₂₇₂ represents a sequence of 10 amino acids, wherein said

AAD-12 motif has 90% sequence identity with corresponding amino acids of position 108 to 273 of SEQ ID NO: 2.

REMARKS

Amendments to the Claims

Applicant has amended the preamble of recently added claim 59 to be consistent with the

preamble of co-pending claim 27. Claim 59 is distinguished from claim 27 in that claim 59

further requires the AAD-12 protein to comprise an amino acid sequence that is 90% identical to

the 166 amino acid AAD-12 motif sequence of SEQ ID NO: 2 as represented by amino acids 108

to 273 of SEQ ID NO: 2. Exemplary support for claim 59 is found in numbered paragraphs

[0023-24], [0045], [0053], [0061], [0065], and [00108].

Applicant respectfully requests that amended claim 59 as presented herein be considered

along with the remarks provided in applicant's April 17, 2020 submission in response to the

rejections raise in the final office action issued on December 20, 2019.

With the entry of the foregoing amendments, the application is believed to be in

condition for allowance. Consideration of the claims, leading to their allowance and passage of

the application to issuance, is respectfully requested.

Respectfully submitted,

/John P. Breen/

John P. Breen

Attorney Reg. No. 38,833

JPB:glt

Indianapolis, Indiana 46204

317-261-7940

- 7 -

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/468,494	03/24/2017 Terry R. WRIGHT		14764-262737	6867
	7590 07/24/202 nburg LLP (Dow)	EXAMINER		
11 South Merid	lian Street	KRUSE, DAVID H		
Indianapolis, IN	N 46204	Г	ART UNIT	PAPER NUMBER
		1663		
			NOTIFICATION DATE	DELIVERY MODE
			07/24/2020	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

INDocket@btlaw.com

	Application No. 15/468,494	Applicant(s) WRIGHT et al				
Office Action Summary	Examiner	Art Unit	AIA (FITF) Status			
ŕ	DAVID H KRUSE	1663	No No			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address						
Period for Reply			0 444.000			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 17. A declaration(s)/affidavit(s) under 37 CFR 1 2a) This action is FINAL.		_•				
3) An election was made by the applicant in res		ent set forth c	during the interview			
on; the restriction requirement and elec						
4) Since this application is in condition for allow closed in accordance with the practice under						
Disposition of Claims*						
5) 🗹 Claim(s) <u>27-55 and 57-59</u> is/are pendin	Ŧ ''					
5a) Of the above claim(s) is/are withdra	awn from consideration.					
6) Claim(s) is/are allowed.						
7) ② Claim(s) <u>27-55 and 57-59</u> is/are rejected.						
8) Claim(s) is/are objected to.						
9) Claim(s) are subject to restriction are	<u>•</u>					
* If any claims have been determined <u>allowable</u> , you may be eli-		=	vay program at a			
participating intellectual property office for the corresponding ap http://www.uspto.gov/patents/init_events/pph/index.jsp or send						
	arringary to <u>1.1.1100000000000000000000000000000000</u>	9011				
Application Papers 10) The specification is objected to by the Examin	ner					
11) The drawing(s) filed on is/are: a) a		the Evamine	ar l			
Applicant may not request that any objection to the di			,,,			
Replacement drawing sheet(s) including the correction	• • •	• ,	CFR 1.121(d).			
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreighted copies:	gn priority under 35 U.S.C. § 11	9(a)-(d) or (f)).			
a)□ All b)□ Some** c)□ None of t	he:					
 Certified copies of the priority docun 	nents have been received.					
Certified copies of the priority docun	nents have been received in Ap	plication No.	·			
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
** See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892)	3) Interview Summary	(PTO-413)				
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S	Paper No/s\/Mail D					
Paper No(s)/Mail Date						

PTOL-326 (Rev. 11-13)

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DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 17 April 2020 has been entered.

Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the first paragraph of 35 U.S.C. 112(a):
 - (a) IN GENERAL.—The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor or joint inventor of carrying out the invention.

The following is a quotation of the first paragraph of pre-AIA 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claims 27-55 and 57-59 remain rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA), first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of the claimed invention.

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Applicant claims a transgenic plant cell comprising a recombinant polynucleotide that encodes an AAD-12 protein having at least 85% or 90% sequence identity with SEQ ID NO: 2 and an AAD-12 motif having the general formula of HX₁₀₉D(X)₁₁₁₋₁₃₄T(X)₁₃₆₋₂₆₁H(X)₂₆₃₋₂₇₂R. Applicant claims a transgenic plant comprising said plant cell and methods of using said transgenic plant.

Applicant describes an AAD-12 protein in instant SEQ ID NO: 2 and a single variant having an additional amino acid at position 2 in instant SEQ ID NO: 4.

Applicant does not describe the genus of AAD-12 proteins. The "AAD-12 motif" recited in the claims appears to be the specific motif in instant SEQ ID NO: 2 that represents the general motif found in α -ketoglutarate-dependent dioxygenases (paragraph 0053 on pages 12-13 of the instant Specification). Applicant does not describe a structural/functional relationship between the "AAD-12 motif" and enzymatically degrading a phenoxy auxin herbicide and a pyridyloxy auxin herbicide. In particular, Applicant does not describe what specific features (amino acids) in the "AAD-12 motif" are critical to the recited function.

Hence, it is unclear that Applicant was in possession of the invention as broadly claimed. See University of Rochester v. G.D. Searle & Co., 68 USPQ2d 1424, 1433 (DC WNY 2003) which teaches knowing the "starting point" is not enough; that is little more than a research plan. The court held that the disclosure of screening assays and general classes of compounds was not adequate to describe compounds having the desired activity: without disclosure of which peptides, polynucleotides, or small organic molecules have the desired characteristic, the claims failed to meet the description requirement of § 112.

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Applicant rebuts this rejection in the Remarks filed on 17 April 2020.

Applicant argues that there is an art-recognized structure-function correlation described in the arguments on pages 7-11 of the Remarks.

Applicant argues that Muller *et al* (2006 a disclosure that is postdated to Applicant's priority date of 28 October 2005) demonstrates that those skilled in the art are well familiar with the structure of α -keoglutarate dependent dioxygenases. Applicant further argues that one skilled in the art appreciates that regions of conservation between homologous proteins suggests a structure/function role for such sequences (pages 11-12 of the Remarks).

Applicant argues that at the time of the invention a large amount of information was known regarding the structure/function of tfdA and related α -ketoglutarate-dependent dioxygenases. Applicant has used such information and further described a subset of α -ketoglutarate-dependent dioxygenases that retain the ability to enzymatically degrade phenoxy auxin herbicides and pyridyloxy auxin herbicides, due to the presence of the AAD-12 specific motif (page 13, 2^{nd} paragraph of the Remarks).

Applicant argues that each peptide of the claimed genus is required to have at least 85% sequence identity with an amino acid of SEQ ID NO: 2. Applicant argues that applicant has provided guidance as to where modifications can be made in the AAD-12 sequence by requiring all peptides of the claimed genus to comprise the AAD-12 motif. Applicant argues that additional structure is also provided by the extensive analysis of homologous α KG dioxygenases provided in the published literature and alignments of the disclosed amino acids sequences. Applicant argues that those skilled in the art are well aware that proteins can be modified while retaining the activity of the original

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protein, provided that the active site remains intact. Applicant argues that the claimed genus is further limited by the requirement that the claimed analogs of SEQ ID NO: 2 comprise the AAD-12 motif and retain enzymatic activity for degrading phenoxy auxin herbicides and pyridyloxy auxin herbicides (page 13, last paragraph of the Remarks).

Applicant argues that the skilled practitioner would know not to make modifications to amino acids that are conserved. Applicant argues that applicant's required functional limitations effectively add these structural elements to the claimed genus of proteins. Applicant argues that this information in combination with applicant's description of the AAD-12 motif (defining the key structural elements of the enzyme active site) as well as the requirement that claimed protein has at least 85% sequence identity with the protein of SEQ ID NO: 2 provides a detailed description of the structural elements of the claimed genus members (page 14, 3rd paragraph of the Remarks).

Applicant's arguments have been fully considered but are not found to be persuasive. Applicant's argument that there is a well-known structure/function relationship between the claimed "motif" and the claimed function is not found to be persuasive. The claimed motif represents the family of α-ketoglutarate dioxygenases which have a broad genus of substrates. Further, the Muller *et al* (2006) document submitted by Applicant shows that there are critical amino acids outside of the "AAD-12 motif" that are critical to the claimed function as illustrated in Figure 2 on page 1360, critical structure not described in the instant Application. Finally, Applicant has failed to describe a representative number of species that would represent the variation within the claimed genus. The rejection is maintained.

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Claim Rejections - 35 USC § 103

4. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 27-39, 41-54 and 57-58 remain and claim 59 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kaphammer (U.S. Patent 5,608,147) in view of Schleinitz *et al* (September 2004, Applied and Environmental Microbiology 70(9): 5357-5365) and Pallett *et al* (U.S. Patent 7,205,561 B1, § 371(c)(1) date of 15 June 1998). This rejection is repeated for the reason of record as set forth in the last Office action mailed 20 December 2019. Applicant's arguments filed 24 April 2020 have been fully considered but they are not persuasive.

Kaphammer teaches a plant cell comprising a polynucleotide that encodes a protein having aryloxyalkanoate dioxygenase activity, resistance to 2,4-dichlorophenoxyacetate (2,4-D) at claim 11, and a plant regenerated therefrom at claim 15. Kaphammer teaches an isolated polynucleotide that encodes a protein having aryloxyalkanoate dioxygenase activity at SEQ ID NO: 1. Kaphammer teaches transforming a soybean cell at Example 11, columns 21-22. Kaphammer teaches a method of controlling weeds by applying 2,4-D herbicide to a crop field at column 2, lines 26-28. Kaphammer teaches that a transgene that confers resistance to glyphosate can also be introduced into the plant cell (column 3, lines 11-6).

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Kaphammer does not teach an isolated nucleic acid encoding instant SEQ ID NO: 2 or having the nucleotide sequence of instant SEQ ID NO: 1. Kaphammer does not teach introducing a polynucleotide that confers glufosinate resistance.

Schleinitz *et al* teach an isolated polynucleotide comprising instant SEQ ID NO: 1 at GenBank accession number AY327575 (page 5359, right column, 5th paragraph). The encoded protein (see FIG 2 on page 5360) would naturally have aryloxyalkanoate dioxygenase activity.

Pallett *et al* teach introducing polynucleotides to a transformed plant cell that renders said plant cell resistant to multiple herbicides including glyphosate (column 1, lines 62-64) and glufosinate (column 2, lines 27-29).

It would have been *prima face* obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the teachings of Kaphammer to introduce a polynucleotide encoding instant SEQ ID NO: 2 as taught by Schleinitz *et al* in addition to a polynucleotide encoding resistance to glyphosate and glufosinate as suggested Pallett *et al*. Given the success of Pallett *et al*, and Kaphammer one of ordinary skill in the art would have had a reasonable expectation of success. Given dichlorprop was a known herbicide as taught by Schleinitz *et al* (page 5357, left column, 1st paragraph), one of ordinary skill in the art would have been motivated to introduce said polynucleotide encoding instant SEQ ID NO: 2 into a plant cell. Application of herbicides concurrently or sequentially would have been obvious to one of ordinary skill in the art at the time of Applicants' invention as such applications would have been a design choice in the instant art (instant claims 42 and 43). Instant claim 28 had been amended to recite that the AAD-12 differs from the amino acid sequence of SEQ ID NO: 2 by one or more

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conservative amino acid substitutions, but this limitation would have been obvious in view of the teachings of Kaphammer who teaches that the *tdfA* gene can be altered by substitutions, additions or deletions that provide for functionally equivalent molecules at column 5, line 66 to column 6, line 39.

Applicant argues that the Examiner fails to provide any rationale for why one of ordinary skill would specifically select a gene encoding a peptide of SEQ ID NO: 2, or a derivative thereof having at least 85% sequence identity to a peptide of SEQ ID NO: 2, to substitute for the gene disclosed in Kaphammer. Applicant argues that there are many other known sequences that share sequence identity with the *tfdA* gene and can serve as possible candidates for providing aryloxyalkanoate dioxygenase activity. Applicant argues that at the time of applicant's invention it cannot be reasonably said that one would have turned to the specific gene disclosed in Schleinitz with the expectation that it would be expressed in plants, particularly to convey herbicide tolerance to the plants (page 15, 4th paragraph of the Remarks).

Applicant argues that at the time of the invention there was simply no motivation to select a bacterial gene having specific sequence of SEQ ID NO: 2 (page 15, last paragraph of the Remarks).

Applicant argues that "proceeding contrary to the accepted wisdom" (i.e., selecting low sequence identity homologs to test) is an indicia of nonobviousness.

Applicant argues that applicant discovered that cloning and recombinantly expressing homologues with as little as 31% amino acid conservation can be used to impart commercial levels of resistance not only to the intended herbicide, but also to substrates never previously tested with these enzymes (page 16, 2nd paragraph of the Remarks).

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Applicant argues that the subject AAD-12 enzyme was only 1 of over 100 BLAST search results having some degree of identity with *tfdA*. Applicant argues that there were great numbers of other possible choices that could have been selected and would have logically been more likely to provide phenoxy auxin tolerance when expressed in a plant (page 16, 3rd paragraph of the Remarks).

Applicant argues that the subject invention offers surprising advantages that none of the cited prior art references taught (page 16, 4th paragraph of the Remarks).

Applicant argues that it is not seen how the secondary references of Schleinitz or Pallett would support the reasonable expectation of success to produce a plant that is resistant to pyridyloxyacetates herbicides and thus protect a crop plant from damage by pyridyloxyacetates herbicide such as triclopyr and fluroxypyr or why a skilled practitioner would select a gene having low sequence identity to *tdfA* for testing. Applicant argues that there was no reason to select the nucleic acid of SEQ ID NO: 1, or derivative thereof, to be operably linked to a plant specific promoter, as there was no reasonable expectation that such a construct would produce the desired effect when transfected into plant cells (page 17, 1st paragraph of the Remarks).

Applicant argues that due to the unpredictability of the activity of bacterial genes in plant systems, contrary to the Examiner's assertions, there was no reasonable expectation of success based on the combined teachings of Kaphammer and Schleinitz that a plant transformed with the *rdpA* gene would produce a plant having tolerance to a phenoxy auxin herbicide, nor was there any rationale for selectively choosing the *sdpA* gene from the many other *tfdA*-like bacterial genes for combination with a plant promoter (page 17, 3rd paragraph of the Remarks).

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Applicant argues that the subject *sdpA* gene was only 1 of over 100 BLAST search results having some degree of identity with *tfdA*. Applicant argues that the Examiner has failed to provide any rationale for specifically selecting the *sdpA* gene from the other 100 candidates other than rely on an improper obvious to try standard requiring one to "try each of numerous possible choices until one possibly arrived at a successful result". Applicant argues that even such efforts would not be likely to identify the present invention as many of the 100 BLAST search results could potentially produce a plant that exhibits the minimal phenoxy auxin herbicide tolerance (cited by the Examiner as motivation for substituting the *tfdA* gene with the *sdpA* gene) without revealing a protein having the activity of enzymatically degrading a phenoxy auxin herbicide and a pyridyloxy auxin herbicide as required by the claims (page 18, 2nd paragraph of the Remarks).

Applicant's arguments have been fully considered but are not found to be persuasive. Schleinitz *et al* had taught that the *sdpA* gene product metabolized the phenoxy auxin herbicide 2(2,4-Dichlorophenoxy)propionate (aka dichlorprop) in Figure 1 on page 5358 to the non-herbicidal compound 2,4-dichlorophenol just as the *tfdA* gene product metabolizes 2,4-dichlorophenoxyacetate to the non-herbicidal compound 2,4-dichlorophenol. In response to applicant's argument that the cited prior art did not recognize that the *sdpA* gene could produce tolerance to pyridyloxy auxin herbicides in a transgenic plant, the fact that applicant has recognized another advantage which would flow naturally from following the suggestion of the prior art cannot be the basis for patentability **when the differences would otherwise be obvious**. See *Ex parte Obiaya*, 227 USPQ 58, 60 (Bd. Pat. App. & Inter. 1985).

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As for Applicant's assertion of unexpected results, what would have been considered unexpected would have been a non-obvious \underline{use} of an obvious product, that being use of pyridyloxy auxin herbicides on a plant transformed with the sdpA gene (instant SEQ ID NO: 2) to control weeds, but it is the Examiner's opinion that the \underline{use} of said obvious product using a phenoxy auxin herbicide such as dichlorprop would have been obvious to one of ordinary skill in the art at the time of Applicants' invention. Schleinitz $et\ al$ only teaches 11 group II α KG-dependent dioxygenases, thus it would not have required undue experimentation for one of ordinary skill in the art to modify the teachings of Kaphammer to try each of them, it would have only required routine experimentation.

Double Patenting

6. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

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A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on nonstatutory double patenting provided the reference application or patent either is shown to be commonly owned with the examined application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP §§ 706.02(l)(1) - 706.02(l)(3) for applications not subject to examination under the first inventor to file provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO Internet website contains terminal disclaimer forms which may be used. Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26) should be used. A web-based e-Terminal Disclaimer may be filled out completely online using web-screens. An e-Terminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about e-Terminal Disclaimers, refer to www.uspto.gov/patents/process/file/efs/guidance/eTD-info-l.jsp.

7. Claims 27-55 and 57-59 rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-20 of U.S. Patent No. 8,916,752. Although the claims at issue are not identical, they are not patentably distinct from each other because the subgenus claimed in the '752 Patent both anticipates and renders obvious the instantly claimed genus. Applicant argues that the necessary terminal disclaimer(s)

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will be filed to overcome the rejection upon a finding of allowable subject matter (page 18 of the Remarks). Because the Examiner does not find the claims otherwise allowable the rejection is maintained for the reasons of record.

- 8. Claims 27-55 and 57-59 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-14 of U.S. Patent No. 8,283,522. Although the claims at issue are not identical, they are not patentably distinct from each other because the subgenus claimed in the '522 Patent both anticipates and renders obvious the instantly claimed genus. Applicant argues that the necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of allowable subject matter (page 18 of the Remarks). Because the Examiner does not find the claims otherwise allowable the rejection is maintained for the reasons of record.
- 9. Claims 27-55 and 57-59 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-6, 11-15 and 19-24 of U.S. Patent No. 9,944,944. Although the claims at issue are not identical, they are not patentably distinct from each other because the species claimed in the '944 Patent renders obvious the instantly claimed genus as the instant claims include soybean cells at instant claim 29. Applicant argues that the necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of allowable subject matter (page 18 of the Remarks). Because the Examiner does not find the claims otherwise allowable the rejection is maintained for the reasons of record.
- 10. Claims 27-55 and 57-59 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-25 of U.S. Patent No. 10,167,483.

 Although the claims at issue are not identical, they are not patentably distinct from each

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other because the instantly claimed transgenic plant cell would have been obvious over

the expression cassette of claim 1 of the '483 Patent and the claimed methods herein

overlap in scope with those of the '483 Patent.

Conclusion

11. No claims are allowed.

12. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to David H. Kruse, Ph.D. whose telephone number is (571)

272-0799 and direct fax number (571) 273-0799. The examiner can normally be

reached on Monday to Friday from 7:30 a.m. to 4:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Amjad Abraham can be reached at (571) 270-7058. The central FAX

number for official correspondence is 571-273-8300.

Any inquiry of a general nature or relating to the status of this application or

proceeding should be directed to the Group Receptionist whose telephone number is

(571) 272-1600.

/David H Kruse/

Primary Examiner, Art Unit 1663

Nation of Botavanaga Citad					15/468,494			WRIGHT et al.		
		Notice of Reference	s Cited		Examiner DAVID H K	RUSE	- 1	Art Unit 1663	Page 1 of 1	
				U.S. P	ATENT DOCU	MENTS				
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Nam	е	CP	C Classification	US Classification	
*	Α	US-10167483-B2	01-2019	Wright;	Terry R.		С	12N9/0069	1/1	
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Application/Control No.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20200717

Applicant(s)/Patent Under

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/468,494	WRIGHT et al.
	Examiner	Art Unit
	DAVID H KRUSE	1663

CPC - Sea	arched*			
Symbol		Date	Examiner	
C12N 9/0071		04/16/2019	/DK/	
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US Classi	fication - Searched*			
Class	Subclass	Date	Examiner	

 $^{^{\}star}$ See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes					
Search Notes	Date	Examiner			
Inventor Name Search	04/16/2019	/DK/			
EAST: USPAT, USPGPUB, EPO, JPO, DERWENT	04/16/2019	/DK/			
STN: AGRICOLA, BIOSIS, CAPLUS, EMBASE, CABA	04/16/2019	/DK/			
Sequence Search SEQ ID NO: 2	02/01/2019	/DK/			
Update EAST	12/16/2019	/DK/			
Update EAST	07/17/2020	/DK/			

Interference Search					
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner		

/DAVID H KRUSE/ Primary Examiner, Art Unit 1663	

SHEET 1 of 1

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494	
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry Wright et al.		
	Filing Date March 24, 2017	Art Unit 1663	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.H.K/

	U.S. PATENT DOCUMENTS								
Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)			

	FOREIGN PATENT DOCUMENTS									
Examiner Initials	Document Number	Publication Date	Country	Trans Yes	lation No					

OTHER DOCUMENTS
Müller et al, Protein Science (2006), 15:1356–1368
Hotopp et al, Biochemistry 2002, 41, 9787-9794

EXAMINER:	/DAVID H KRUSE/	DATE CONSIDERED: 07/17/20	20
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EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	56	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2020/07/17 09:18
L2	21	Kaphammer.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2020/07/17 09:18
S1	7	(("8283522") or ("9944944") or ("8916751") or ("8685677") or ("9232803") or ("8916752") or ("10167483")).PN.	USPAT; USOCR	OR	OFF	2019/04/16 13:07
S2	0	"AAD-12.clm"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S3	0	"AAD-12"".clm"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S4	663	AAD-12	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S5	50	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S6	52	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/16 09:34
S7	1	("7205561").PN.	USPAT; USOCR	OR	OFF	2019/12/17 15:03
S8	507	Pallett.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:06
S9	16	S8 and herbicide.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:06

S10	0	Kaphammer.in. and herbicide.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:08
S11	19	Kaphammer.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:08

 $7/17/2020~9:20:59~AM \\ C:\Users\dkruse\Documents\EAST\Workspaces\15468494.wsp$

Electronic Patent Application Fee Transmittal							
Application Number:	15468494						
Filing Date:	24-Mar-2017						
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES						
First Named Inventor/Applicant Name:	Terry R. WRIGHT						
Filer:	Joł	nn P. Breen/Priscilla	York				
Attorney Docket Number:	14	764-262737					
Filed as Large Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:	Miscellaneous-Filing:						
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Extension - 3 months with \$0 paid	1253	1	1480	1480		
Miscellaneous:						
Total in USD (\$) 1480						

Electronic Acknowledgement Receipt					
EFS ID:	41701223				
Application Number:	15468494				
International Application Number:					
Confirmation Number:	6867				
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES				
First Named Inventor/Applicant Name:	Terry R. WRIGHT				
Customer Number:	107540				
Filer:	John P. Breen/Priscilla York				
Filer Authorized By:	John P. Breen				
Attorney Docket Number:	14764-262737				
Receipt Date:	21-JAN-2021				
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Application Type:	Utility under 35 USC 111(a)				

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Authorized User	Priscilla York

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.21 (Miscellaneous fees and charges)

37 CFR 1.20 (Post Issuance fees)

37 CFR 1.19 (Document supply fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.16 (National application filing, search, and examination fees)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			117758		
1	Amendment/Req. Reconsideration-After Non-Final Reject	Response_to_7-24-20_OA.pdf	a98bb5461d322ea869c9cb816c9a22336e3 05b73	no	16
Warnings:	-				
Information:					
			31190		
2	Fee Worksheet (SB06)	fee-info.pdf	45ce406a5c6bbb7507191f7104508af085fb eafe	no	2
Warnings:	-				
Information:					
		Total Files Size (in bytes)	14	18948	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

BARNES & THORNBURG LLP

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.	107540	}
Group:	1663	}
Confirmation No.:	6867	}
Application No.:	15/468,494	}
Invention:	NOVEL HERBICIDE RESISTANCE	ELECTRONICALLY FILED ON:
	GENES	January 21, 2021
Inventor:	Wright et al.	}
Filed:	March 24, 2017	} }
Attorney Docket:	14764-262737	}
Examiner:	David Kruse	} }

AMENDMENT AND RESPONSE UNDER 37 CFR 1.111

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In response to the Office Action mailed July 24, 2020, Applicant requests consideration of the accompanying remarks and submits herewith a petition for a three-month extension of time. Applicant has submitted payment of the \$1,480.00 fee under 37 CFR § 1.17(a) for the requested three-month extension of time, and hereby authorizes the Commissioner to charge any additional fee necessary to effect this filing, to the account of Barnes & Thornburg, Deposit Account No. 10-0435 with reference to our file 14764-262737.

Amendments to the claims are submitted herewith beginning on page 2. **Remarks** begin on page 7 of this paper.

AMENDMENTS TO THE CLAIMS:

This listing of claims will replace all prior versions, and listings of claims in the application:

Claims 1-26 (Canceled).

- 27. (Previously presented) A transgenic plant cell comprising a recombinant polynucleotide that encodes an AAD-12 protein that exhibits aryloxyalkanoate dioxygenase activity wherein said activity enzymatically degrades a phenoxy auxin herbicide and a pyridyloxy auxin herbicide, further wherein said AAD-12 protein comprises:
- i) an amino acid sequence having at least 85% sequence identity with SEQ ID NO: 2; and
 - ii) an AAD-12 motif having the general formula of: $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R, \text{ wherein}$

 X_{109} represents a single amino acid at position 109, relative to the sequence of SEQ ID NO: 2;

(X)₁₁₁₋₁₃₄ represents a sequence of 24 amino acids;
(X)₁₃₆₋₂₆₁ represents a sequence of 126 amino acids; and
(X)₂₆₃₋₂₇₂ represents a sequence of 10 amino acids.

- 28. (Currently Amended) The plant cell of claim 27 wherein the AAD-12 protein comprises an amino acid sequence having at least 90% sequence identity with SEQ ID NO: 2 wherein the AAD-12 differs from the amino acid sequence of SEQ ID NO: 2 by one or more conservative amino acid substitutions.
- 29. (Previously presented) The plant cell of claim 27 wherein said plant cell is dicotyledonous and selected from the group consisting of a cotton cell, a tobacco cell, a canola cell, a soybean cell, and an Arabidopsis cell.
- 30. (Currently amended) A transgenic plant comprising a plurality of the plant eellcells of claim 27, wherein expression of said polynucleotide renders said plant tolerant to an

aryloxyalkanoate herbicide.

- 31. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is a phenoxy auxin herbicide.
- 32. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is selected from the group consisting of 2,4-dichlorophenoxyacetic acid, and MCPA.
- 33. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is a pyridyloxy auxin.
- 34. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is selected from the group consisting of triclopyr and fluroxypyr.
- 35. (Previously presented) The plant of claim 30 wherein expression of said polynucleotide renders said plant resistant to both a phenoxy auxin herbicide and a pyridyloxy auxin herbicide.
- 36. (Previously presented) The plant of claim 30 wherein said plant further comprises a second herbicide resistance gene.
- 37. (Previously presented) The plant of claim 36 wherein said second herbicide resistance gene renders said plant resistant to an herbicide selected from the group consisting of glyphosate, glufosinate, ALS inhibitors, inhibitors of 4-hydroxyphenyl-pyruvate-dioxygenase (HPPD), dicamba and inhibitors of protoporphyrinogen oxidase (PPO).
- 38. (Previously presented) A method of controlling at least one weed in a field, wherein said field contains at least one plant of claim 30, wherein said method comprises applying to at least a portion of said field a first herbicide selected from the group consisting of a phenoxy auxin herbicide and a pyridyloxy auxin herbicide.

- 39. (Previously presented) The method of claim 38 wherein said aryloxyalkanoate herbicide is an achiral phenoxy auxin selected from the group consisting of 2,4-D and MCPA.
- 40. (Previously presented) The method of claim 38 wherein said aryloxyalkanoate herbicide is a pyridyloxy auxin selected from the group consisting of triclopyr and fluroxypyr.
- 41. (Previously presented) The method of claim 38 wherein said method comprises applying a second herbicide.
- 42. (Previously presented) The method of claim 41 wherein said first herbicide and said second herbicide are applied sequentially.
- 43. (Previously presented) The method of claim 41 wherein said first herbicide and said second herbicide are applied concurrently.
- 44. (Previously presented) The method of claim 41 wherein said first herbicide is a phenoxy auxin and said second herbicide is a pyridyloxy auxin.
- 45. (Previously presented) The method of claim 41 wherein said second herbicide is selected from the group consisting of glyphosate, glufosinate, dicamba, acetolactate synthase inhibitors, protoporphyrinogen oxidase inhibitors, and hydroxyphenyl-pyruvate-dioxygenase inhibitors.
- 46. (Previously presented) The method of claim 41, wherein said first herbicide is 2,4-D and said second herbicide is glyphosate.
- 47. (Previously presented) The method of claim 41, wherein said first herbicide is 2,4-D and said second herbicide is glufosinate.

- 48. (Previously presented) The method of claim 41 wherein said plant further comprises a second herbicide resistance gene that renders said plant resistant to said second herbicide.
- 49. (Currently amended) The method of claim 48 wherein said second <u>herbicide</u> resistance gene is selected from the group consisting of a modified AHAS (acetohydroxyacid synthase) gene, a glyphosate resistance gene, glufosinate resistance gene, and a gene encoding a dicamba-degrading enzyme.
 - 50. (Currently Amended) The method of claim 49 wherein:
 - (a) said modified AHAS (acetohydroxyacid synthase) gene is selected from the group consisting of [[a]] SurA, SurB, Csr1, Csr1-1, and Csr1-2;
- (b) said glyphosate resistance gene is selected from the group consisting of modified EPSPS (5-enolpyruvylshikimate-3-phosphate synthase), GOX, <u>and GAT</u>; and, said glufosinate resistance gene is selected from the group consisting of phosphinothricin-N-acetyltransferase (PAT) and bar.
- 51. (Previously presented) The method of claim 41 wherein said method further comprises applying a third herbicide.
- 52. (Previously presented) The method of claim 51, wherein said third herbicide is selected from the group consisting of glyphosate, glufosinate, HPPD-inhibitors, PPO-inhibitors, ALS inhibitors, and dicamba.
- 53. (Previously presented) The method of claim 52 wherein said first, second and third herbicides are 2,4-D, quizalofop, and glyphosate.
- 54. (Currently amended) A seed comprising a plurality of the plant <u>cellcells</u> of claim 27.
 - 55. (Previously presented) A method of controlling weeds in a field, wherein said

method comprises applying a phenoxy auxin herbicide or a pyridyloxy auxin herbicide to said field and planting a seed of claim 54 in said field within 14 days of applying said aryloxyalkanoate or pyridyloxy auxin herbicide.

- 56. (Canceled).
- 57. (Previously presented) A plant grown from the seed of claim 54 wherein said plant comprises said polynucleotide.
- 58. (Previously presented) A part, progeny, or asexual propagate of the plant of claim 57, wherein said part, progeny, or sexual propagate comprises said polynucleotide.
- 59. (Previously presented) A transgenic plant cell comprising a recombinant polynucleotide that encodes an AAD-12 protein that exhibits aryloxyalkanoate dioxygenase activity wherein said activity enzymatically degrades a phenoxy auxin herbicide and a pyridyloxy auxin herbicide, further wherein said AAD-12 protein comprises:
- i) an amino acid sequence having at least 85% sequence identity with SEQ ID NO: 2; and
 - ii) an AAD-12 motif having the general formula of: $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R$, wherein

 X_{109} represents a single amino acid at position 109, relative to the sequence of SEQ ID NO: 2;

 $(X)_{111-134}$ represents a sequence of 24 amino acids;

(X)₁₃₆₋₂₆₁ represents a sequence of 126 amino acids; and

(X)₂₆₃₋₂₇₂ represents a sequence of 10 amino acids, wherein said

AAD-12 motif has 90% sequence identity with corresponding amino acids of position 108 to 273 of SEQ ID NO: 2.

REMARKS

Amendments to the Claims

Applicant has amended claim 28 to clarify the scope of the claim and claim 50 has been amended to conform to the Markush format using the conjunction "and" between the penultimate and final listed elements. Claims 30 and 54 have been amended to correct prepositional phrases, and Claim 49 has been amended to clarify antecedent basis. No new matter is added by way of these amendments.

Claim Rejections

Double Patenting Rejections

Claims 27-55 and 57-59 are rejected for obviousness type double patenting over claims 1-20 of US Patent No 8,916,752. Claims 27-55 and 57-59 are rejected for obviousness type double patenting over claims 1-14 of US Patent No 8,283,522. Claims 27-55 and 57-59 are rejected for obviousness type double patenting over claims 1-6, 11-15 and 19-24 of US Patent no 9,944,944. Claims 27-55 and 57-59 are rejected for obviousness type double patenting over claims 1-25 of US Patent no 10,167,483. Applicant will file the necessary terminal disclaimers to overcome these rejections upon a finding of allowable subject matter.

The Written Description Rejection Should Be Withdrawn

Claims 27-55 and 57-59 stand rejected under 35 USC 112, first paragraph for failing to comply with the written description requirement. Applicant respectfully traverses this rejection, as the Examiner has not met his burden of establishing applicant's failure to comply with the written description requirement of 35 USC 112.

As set forth below, under a proper common-structure analysis, the specification adequately describes the claimed structural motif such that as of the filing date a skilled artisan would have recognized that the inventors were in possession of the claimed subgenus.

Traversal of Examiner's Assertion that Applicant Failed to Establish Features of AAD-12 Motif

The Examiner contends that "Applicant does not describe what specific features (amino acids) in the 'AAD-12 motif' are critical to the recited function." Applicants respectfully traverse and note that the pending claims include the following features: (i) 85% sequence

identity to SEQ ID NO: 2, (ii) designation of specific amino acid residues as well as (iii) the designated spacing indicated by the motif and (iv) a specific function - aryloxyalkanoate dioxygenase activity. The structural features of the claims are relevant elements to the recited function and establish a clear structure function relationship for the claimed activity.

As noted by the Examiner, the specification of the current application and the US priority applications each disclose a general α -ketoglutarate-dependent dioxygenase motif, as described on page 12, paragraph [0053] of the present application. The motif described in paragraph [0053] discloses an amino acid sequence comprising a tripeptide of HX(D/E) separated by 23 to 26 amino acids from a (T/S) residue which is separated by 114 to 183 amino acids from a histidine residue which is separated by 10 to 13 amino acids from an arginine residue (i.e., HX(D/E)X₂₃₋₂₆(T/S) X₁₁₄₋₁₈₃HX₁₀₋₁₃R).

The present claims are directed to enzymes and genes that have the unique ability to degrade 2,4-D (and other phenoxyalkanoic (phenoxy) auxin herbicides) as well as a distinctly different auxin chemical class, the pyridyloxy auxin herbicides, and the use of such enzymes to render plants resistant to 2,4-D and pyridyloxy auxin herbicides.

Applicant has described the complete amino acid sequence of AryloxyAlkanoate Dioxygenase (AAD-12) proteins (SEQ ID NOs: 2 and 4) that have been found to exhibit activity in degrading 2,4-D as well as pyridyloxy auxin herbicides. Applicant further recognized at the time of the invention that variant peptides of these exemplified species could be prepared that retain the desired activity. In particular, the specification describes at [0108]: "Certain proteins of the subject invention have been specifically exemplified herein. As these proteins are merely exemplary of the proteins of the subject invention, it should be readily apparent that the subject invention comprises variant or equivalent proteins (and nucleotide sequences coding for equivalents thereof) having same or similar activity of the exemplified proteins." Furthermore, those skilled in the art appreciate that biologically active variants of known proteins can be prepared provided the active site remains intact.

In analyzing the structure of the identified AAD-12 proteins in light of the known α -ketoglutarate-dependent dioxygenase motif, applicant has derived a specific "AAD-12 motif", wherein the first histidine residue of the α -ketoglutarate-dependent dioxygenase motif corresponds to the histidine found at position 108 of SEQ ID NO:2, the (D/E) residue of the α -ketoglutarate-dependent dioxygenase motif corresponds to the aspartate residue at position 110 of SEQ ID NO:2, the (T/S) residue of the α -ketoglutarate-dependent dioxygenase motif corresponds to the threonine residue at position 135 of SEQ ID NO:2, the second histidine residue of the α -ketoglutarate-

dependent dioxygenase motif corresponds to the histidine residue at position 262 of SEQ ID NO:2, and the arginine residue of the α -ketoglutarate-dependent dioxygenase motif corresponds to the arginine residue at position 273 of SEQ ID NO:2, thus generating the AAD-12 motif of: $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R$.

The original specification of priority document PCT/US2006/042133 as filed on October 27, 2006 provides two species of the claimed genus of peptides that exhibit the recited functionality of having aryloxyalkanoate dioxygenase activity, wherein said activity enzymatically degrades a phenoxy auxin herbicide and a pyridyloxy auxin herbicide. Additionally, the original specification highlights the amino acid residues of aryloxyalkanoate dioxygenases by providing the structure of a motif comprising the active site of the enzyme. One of ordinary skill in the art would know that the motif described on page 12, paragraph [0053] provides a roadmap to readily identify the corresponding positions in the AAD-12 proteins of SEQ ID NOs 2 and 4 to generate a "AAD-12 motif" of HX₁₀₉D(X)₁₁₁₋₁₃₄T(X)₁₃₆₋₂₆₁H(X)₂₆₃₋₂₇₂R, that is specific to the claimed genus of AAD-12 proteins. Moreover, the claims are directed to AAD-12 proteins that enzymatically degrade a phenoxy auxin herbicide and a pyridyloxy auxin herbicide by requiring the claimed peptides to comprise the functional element of the AAD-12 motif.

As disclosed at paragraph [0055], the disclosure relates to the identification and use of genes encoding an AAD-12 type enzyme capable of degrading phenoxy auxin and pyridyloxy auxin herbicides. The specification describes how one skilled in the art would readily recognize proteins (and genes) as claimed and their use as herbicide resistance proteins (See paragraphs [0061]-[0063]). Variant proteins (or equivalent proteins) of the subject invention are described as having amino acid substitutions as long as the proteins retain the desired biological/functional relationship. (See paragraphs [0098], [0100] and [0104]).

In support of the objective teachings relating to the structure/function relationship of the claimed AAD-12 genes, applicant notes that the use of the aryloxyalkanoate dioxygenase motif provided on page 12, paragraph [0053] to define the structure the active site of a specific AAD protein, such as AAD-12, has been validated for other structurally similar enzymes.

Accordingly, there is no reason to doubt the objective teaching of the structure/function associated with the AAD-12 motif as described in the present invention.

The Examiner Incorrectly Analogizes to Representative-Species Cases

To support a genus or sub-genus claim, a patent specification may disclose "either a representative number of species falling within the scope of the claims or structural features common to the members of the genus." *Ajinomoto Co., Inc. v. International Trade Commission*, 932 F.3d 1342, 1358-59 (2019) (quoting *Ariad Pharm., Inc. v. Eli Lilly & Co.*, 598 F.3d 1336, 1350 (Fed. Cir. 2010) (en banc)). In the Office Action, the Examiner incorrectly relies on representative-species cases to reject the claims, overlooking the common structure disclosed in Applicant's specification and expressly recited in Applicant's claims.

The Office Action fails to distinguish or address Applicant's reliance on *Ajinomoto*, in which the Federal Circuit held that common structural features supported claims to a genus. There the court made reference to known consensus sequences that provided structure to the claimed functional limitation, analogous to Applicant's claimed AAD-12 motif, and found that this in combination with the background knowledge of a skilled artisan conferred adequate written description support. *Ajinomoto*, 932 F.3d at 1359-60. In particular, the ITC concluded that a skilled artisan would have recognized that promoters having fewer departures from a "consensus sequence in a promoter" were "generally stronger than promoters with more departures from such a sequence," and the Federal Circuit affirmed. *Id.* Rejecting arguments for a heightened written-description standard involving common structure, the *Ajinomoto* Court emphasized that the law "does not require a perfect correspondence between the members of the genus and the asserted common structural feature." *Id.* Instead, the Court has "spoken more modestly of a 'correlation between structure and function." (citation omitted) (emphasis in original)).

Here, as detailed below, *Ajinomoto* is closely analogous and supports withdrawal of the written-description rejection.

The Specification Discloses Common Structure Supporting Applicant's Subgenus Claims

Applicant's specification describes the common structure of the catalytic core of α -ketoglutarate-dependent dioxygenases. Indeed, as noted earlier, the specification describes the structural motif $HX(D/E)X_{23-26}(T/S)X_{114-183}HX_{10-13}R$ that characterizes the α -ketoglutarate-dependent dioxygenase family. Specification at ¶ [0053]. The specification further discloses that

this motif "comprises the active site" of the claimed dioxygenases, as the histidine residues "coordinate [the] Fe^{2+} ion in the active site that is essential for catalytic activity." Specification at \P [0053].

The art also recognized the common structure and importance of the general structural motif as the active site for α-ketoglutarate-dependent dioxygenases, including for the "Group II" subfamily encompassing TfdA and AAD, *inter alia. See, e.g.*, Hogan et al., *J Biol Chem*, 2000; 275(17): 12400-12409 at Table 1. Hogan, which is cited in the specification at paragraph [0053], describes the general motif of α-ketoglutarate-dependent dioxygenases and shows the importance of residues within that motif for the catalytic activity of TfdA via site-directed mutagenesis studies. *See, e.g.*, Hogan at 12406 (disclosing that site-directed mutagenesis studies "support the proposal that the residues in the HX(D/E) motif are ligands to the metal I TfdA and, most likely, other Group II enzymes"). Hogan initially identified His-113, Asp-115, and His-262, deemed a "consensus sequence," as active site residues for TfdA. *Id.* By 2002, the same research group then identified two more residues, Thr-141 and Arg-274, as also part of the active site. *See* Hotopp et al., *Biochem.* 41:9787-9794, (2002). These five residues correspond to Applicant's disclosed general structural motif for the common catalytic core: HX(D/E)X₂₃₋₂₆(T/S)X₁₁₄₋₁₈₃HX₁₀₋₁₃R. *see Ajinomoto*, 932 F.3d at 1359-60 (finding adequate written description based on a known promoter "consensus sequence" and other supporting background knowledge in the art).

The specification further describes, as the active site, the specific AAD-12 motif recited in the claims: " $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R$ ". Indeed, the specification discloses (1) the sequence of AAD-12 (SEQ ID NO: 2), which has the conserved α -ketoglutarate-dependent dioxygenase center motif (i.e., structure), (2) the classification of AAD-12 within the same family as TfdA, (3) the conserved catalytic center across this subfamily of dioxygenases, and (4) the additional sequences of AAD-12 variants sharing the common motif and common properties. Specification at ¶ [0053]; *see also id.* at SEQ ID NOs: 2 and 4. The specification specifically discloses this active-site motif, which is identifiable in SEQ ID NOs: 2 and 4.

A skilled artisan would have recognized that the $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R$ motif in the AAD-12 sequence is the catalytic core in view of its relation to the disclosed "active site" motif in paragraph [0053] of the specification, as well as based on background knowledge in the art in which researchers had investigated and characterized other α -ketoglutaratedependent dioxygenases. Hogan, for example, investigated TfdA, which is in the same family as

AAD (*see* Specification at ¶ [0053]), by comparing it to other enzymes in the family and showing the conservation of the common active-site structure. Hogan at Fig. 8. A skilled artisan would have readily identified the $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R$ motif in the AAD-12 sequence as the active site through similar sequence alignment.

The Office Action thus errs in requiring "actual sequence information" to satisfy the written-description requirement where Applicant's specification clearly describes the common structural motif conferring the active site to the claimed dioxygenases—just as the art had previously recognized for other α-ketoglutarate-dependent dioxygenases within the same subfamily, see Hogan at abstract; *Ajinomoto*, 932 F.3d at 1358-59 (section 112 requires only common structure *or* a representative number of species). Because the claimed common structure of the HX₁₀₉D(X)₁₁₁₋₁₃₄T(X)₁₃₆₋₂₆₁H(X)₂₆₃₋₂₇₂R motif corresponds to the recognized active site of dioxygenases, a skilled artisan would have readily appreciated that the disclosed AAD-12 common structural motif confers the specific activity of the claimed dioxygenases, including the phenoxy auxin and pyridyloxy auxin degrading activity. *Ajinomoto*, 932 F.3d at 1359-60 (affirming adequate written description where "a skilled artisan could identify more [embodiments] given the well-known link between consensus sequence and [activity]" (internal quotation marks omitted)).

Furthermore, a skilled artisan would have appreciated that Applicant was in possession of the full scope encompassed by the current claims. The family of α -ketoglutarate dioxygenases were a well-studied class of enzymes by 2004. Many members of this class of proteins were fully sequenced, and those skilled in the art recognized that members of this class varied widely in their respective sequence identity to one another (ranging down to about 78-85% amino acid identity to the Cupriavidus necator TfdA among beta-and gamma-proteobacteria, to about 44-45% amino acid identity among alpha-proteobacteria) while retaining functional activity against phenoxy auxin herbicides (e.g. the ability to metabolize 2,4-D). Furthermore, the crystal structure of TauD (a member of the Group II sub-family) had been obtained, providing additional data on the structure of Group II α -KG dioxygenases, and this crystal structure had been used to make mutations in TfdA, another Group II family member. Accordingly, based on the general knowledge of the skilled practitioner at the time of filing the application, in combination with applicant's disclosed structure of the exemplified embodiments and the AAD-12 motif, one of ordinary skill would readily have been able to envision the structure of variants

that meet the limitations of the claimed invention and would thus understand that applicant was in possession of the invention as claimed.

Accordingly, Applicant respectfully requests withdrawal of the written-description rejection in view of the specification's specific disclosure of common structure, supported by the high level of background knowledge in the art. *Ajinomoto*, 932 F.3d at 1359-60.

The Obviousness Rejection Should Be Withdrawn

Claims 27-39, 41-54 and 57-58 stand rejected under 35 USC 103 as being obvious over the combined teachings of Kaphammer (US Patent 5,608,147) in view of Schleinitz et al (Applied and Environmental Microbiology 70(9): 5357-5365) and Pallett et al (US Patent 7,205,561). Kaphammer is cited as teaching plants that comprise a gene for conferring aryloxyalkanoate dioxygenase activity to those plants, and the use of such plants in methods of weed control using 2, 4-D. The Examiner admits that Kaphammer does not teach the AAD-12 protein (SEQ ID NO:2) or a nucleic acid sequence encoding such a protein. Schleinitz is cited as teaching the nucleic acid sequence of SEQ ID NO: 1 which encodes the protein of SEQ ID NO: 2. Pallett et al is cited for teaching transgenic plants that comprise various genes that confer herbicide tolerance to the plant.

The Examiner contends that it would have been *prima facie* obvious to substitute the gene of Schleinitz or Pallett for the gene disclosed in Kaphammer to generate the currently claimed plant. Applicants respectfully traverse this rejection.

The Office Action Fails to Establish Motivation to Combine

First of all, applicant respectfully submits that the Examiner fails to provide any rationale for why one of ordinary skill would specifically select a gene encoding a peptide of SEQ ID NO: 2, or a derivative thereof having at least 85% sequence identity to a peptide of SEQ ID NO: 2, to substitute for the gene disclosed in Kaphammer. Applicant notes there are many other known sequences that share sequence identity with the *tfdA* gene and can serve as possible candidates for providing aryloxyalkanoate dioxygenase activity. At the time of applicant's invention it cannot be reasonably said that one would have turned to the specific gene disclosed in Schleinitz with the expectation that it would be expressed in plants, particularly to convey herbicide tolerance to the plants.

At the time of the invention there was simply no motivation to select a bacterial gene having specific sequence of SEQ ID NO: 2 (or a peptide having at least 85% sequence identity with SEQ ID NO: 2) for combination with a heterologous promoter that is functional in a plant cell for use in producing transgenic plants that are tolerant to damage by an aryloxyalkanoate herbicide. For example, as the specification states, identifying the *sdpA* (AAD-12) enzyme required mining an extensive database that, even under default search options, provide more than 100 other genes as potential selections:

As a way to identify genes which possess herbicide degrading activities *in planta*, it is possible to mine current public databases such as NCBI (National Center for Biotechnology Information). To begin the process, it is necessary to have a functional gene sequence already identified that encodes a protein with the desired characteristics (i.e., α -ketoglutarate dioxygenase activity). This protein sequence is then used as the input for the BLAST (Basic Local Alignment Search Tool) (Altschul et al., 1997) algorithm to compare against available NCBI protein sequences deposited. Using default settings, this search returns upwards of 100 homologous protein sequences at varying levels of sequence identity. These range from highly identical (85-98%) to very low identity (23-32%) at the amino acid level. Traditionally, only sequences with high homology would be expected to retain similar properties to the input sequence. In this case applicant chose only those sequences with $\leq 50\%$ homology. Specification at \P [0156] (emphases added).

Proceeding contrary to the accepted wisdom (i.e., selecting low sequence identity homologs to test) is an indicia of nonobviousness. Surprisingly, applicant discovered that cloning and recombinantly expressing homologues with as little as 31% amino acid conservation can be used to impart commercial levels of resistance not only to the intended herbicide, but also to substrates never previously tested with these enzymes.

As discussed above, there were other possible choices. e.g., with a higher % identity to tfdA that would have been selected with the expectation that they would have been more likely to provide phenoxy auxin tolerance when expressed in a plant. Yet, applicant demonstrated to the contrary, that by selecting a lower % identity enzyme, applicant demonstrated a novel function.

None of the cited prior art references taught the characteristics as claimed. As illustrated by the subject specification, the data of Tables 9 & 10 demonstrates AAD-12 is able to degrade the pyridyloxyacetate auxins (e.g., triclopyr, fluroxypyr) in addition to achiral phenoxy auxins (e.g., 2,4-D, MCPA, 4-chlorophenoxyacetic acid). Previous to applicants' disclosure, there was

no expectation that such plants could effectively produce an AAD-12 enzyme to render the plants resistant to a phenoxyacetic acid herbicide (such as 2,4-D) and/or one or more pyridyloxyacetate herbicides such as triclopyr and fluroxypyr. Thus, the subject invention provides many advantages that were not heretofore thought to be possible in the art.

Thus, it is not seen how the secondary references of Schleinitz or Pallett would support the reasonable expectation of success to produce a plant that is resistant to pyridyloxyacetate herbicides, thus protecting a crop plant from damage by pyridyloxyacetate herbicides such as triclopyr and fluroxypyr, or why a skilled practitioner would select a gene having low sequence identity to tdfA for testing. Accordingly, there was no reason to select the nucleic acid of SEQ ID NO: 1, or a derivative thereof, to be operably linked to a plant specific promoter, as there was no reasonable expectation that such a construct would produce the desired effect when transfected into plant cells.

The Examiner has cited Schleinitz as teaching the nucleic acid sequence of SEQ ID NO: 1 which encodes the protein of SEQ ID NO: 2 and contends it was obvious to try to substitute this gene for the *tfdA* aryloxyalkanoate dioxygenase gene disclosed in Kaphammer. However, there is no teaching provided by any of the cited prior art to indicate that the *sdpA* bacterial gene would provide tolerance to phenoxy auxin herbicides <u>upon introduction into plant cells</u>. Due to the unpredictability of the activity of bacterial genes in plant systems, contrary to the Examiner's assertions, there was no reasonable expectation of success based on the combined teachings of Kaphammer and Schleinitz that a plant transformed with the *sdpA* gene would produce a plant having tolerance to a phenoxy auxin herbicide, nor was there any rationale for selectively choosing the *sdpA* gene from the many other *tfdA* -like bacterial genes for combination with a plant promoter.

The Federal Circuit has differentiated between proper and improper applications of "obvious to try" in *In re O'Farrell*, 853 F.2d 894, 903, 7 USPQ2d 1673, 1681 (Fed. Cir.). More particularly, two classes of improper obvious to try were exemplified as follows: (1) when what would have been "obvious to try" would have been to vary all parameters or try each of numerous possible choices until one possibly arrived at a successful result, where the prior art gave either no indication of which parameters were critical or no direction as to which of many possible choices is likely to be successful; and (2) when what was "obvious to try" was to explore a new technology or general approach that seemed to be a promising field of

experimentation, where the prior art gave only general guidance as to the particular form of the

claimed invention or how to achieve it.

The Examiner has failed to provide any rationale for specifically selecting the sdpA gene

from the other 100 candidates other than rely on an improper obvious to try standard requiring

one to "try each of numerous possible choices until one possibly arrived at a successful result".

However, even such efforts would not be likely to come up with the invention as claimed. Many

of the 100 BLAST search results could potentially produce a plant that exhibits the minimal

phenoxy auxin herbicide tolerance (cited by the Examiner as motivation for substituting the tfdA

gene with the sdpA gene) without revealing a protein having the activity of enzymatically

degrading a phenoxy auxin herbicide and a pyridyloxy auxin herbicide as required by the claims.

Accordingly, the combined teachings of the cited prior art fail to suggest or provide any guidance

of how to obtain a gene and recombinant plant that has the functionality of the present claimed

invention.

Applicant respectfully requests the withdrawal of the rejection of claims 27-39, 41-54

and 57-58 as being obvious over the combined teachings of Kaphammer in view of Schleinitz et

al and Pallett et al.

With the entry of the foregoing amendments, the application is believed to be in

condition for examination and allowance. Consideration of the claims, leading to their

allowance and passage of the application to issuance, is respectfully requested.

Respectfully submitted,

/John P. Breen/

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Attorney Reg. No. 38,833

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Indianapolis, Indiana 46204

317-261-7940

DMS 15088277v1

- 16 -

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875 Substitute for Form PTO-875 Substitute for Form PTO-875 Substitute for Form PTO-875 One persons are required to respond to a collection of information unless it displays a valid OMB control of information unless it displays a valid OMB contro									To be Mailed
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
15/468,494	03/24/2017	Terry R. WRIGHT	14764-262737	6867	
	7590 05/03/202 nburg LLP (Dow)	1	EXAMINER		
11 South Merid	lian Street		KRUSE, I	DAVID H	
Indianapolis, IN	N 46204		ART UNIT	PAPER NUMBER	
			1663		
			NOTIFICATION DATE	DELIVERY MODE	
			05/03/2021	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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	Application No. 15/468,494							
Office Action Summary	Examiner	WRIGHT et al	AIA (FITF) Status					
ŕ	DAVID H KRUSE	1663	No No					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address								
Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
 □ A declaration(s)/affidavit(s) under 37 CFR 1 2a) ☑ This action is FINAL. 3) □ An election was made by the applicant in reson; the restriction requirement and election was application is in condition for allow. 	1) Responsive to communication(s) filed on 21 January 2021. □ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on 2a) This action is FINAL. 2b) □ This action is non-final. 3) □ An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.							
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D. 11	, 453 O.G. 2	13.					
Disposition of Claims* 5) ☑ Claim(s) 27-55 and 57-59 is/are pending in the application. 5a) Of the above claim(s) is/are withdrawn from consideration. 6) ☐ Claim(s) is/are allowed. 7) ☑ Claim(s) 27-55 and 57-59 is/are rejected. 8) ☐ Claim(s) is/are objected to. 9) ☐ Claim(s) are subject to restriction and/or election requirement * If any claims have been determined allowable, you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.								
Application Papers 10) ☐ The specification is objected to by the Examin	ner							
11) The drawing(s) filed on is/are: a) a		the Examine	er.					
Applicant may not request that any objection to the di								
Replacement drawing sheet(s) including the correction	• • •	• •	CFR 1.121(d).					
Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). Certified copies:								
a) ☐ All b) ☐ Some** c) ☐ None of t								
	1. Certified copies of the priority documents have been received.							
2. Certified copies of the priority documents have been received in Application No3. Copies of the certified copies of the priority documents have been received in this National Stage								
application from the International Bureau (PCT Rule 17.2(a)).								
** See the attached detailed Office action for a list of the certified copies not received.								
Attachment(s)	_							
1) Notice of References Cited (PTO-892) 3) Interview Summary (PTO-413)								
Paper No(s)/Mail Date Paper No(s)/Mail Date Paper No(s)/Mail Date Selection and Trademark Office.								

PTOL-326 (Rev. 11-13)

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Status of the Application

This Office Action is in response to the Amendments and Remarks filed 21
 January 2021.

2. The rejection under 35 U.S.C. 112(a) is withdrawn in view of Applicant's Remarks.

Claim Rejections - 35 USC § 112

The following is a quotation of 35 U.S.C. 112(b):
(b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph: The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claims 30 and 54 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or a joint inventor (or for applications subject to pre-AIA 35 U.S.C. 112, the applicant), regards as the invention.

At claims 30 and 54, the limitation "plant cells of claim 27" lacks proper antecedent basis in claim 27 because said limitation is not in number agreement with "A transgenic plant cell" recited in claim 27.

Claim Rejections - 35 USC § 103

- 5. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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6. Claims 27-39, 41-54 and 57-59 remain rejected under 35 U.S.C. 103(a) as being unpatentable over Kaphammer (U.S. Patent 5,608,147) in view of Schleinitz *et al* (September 2004, Applied and Environmental Microbiology 70(9): 5357-5365) and Pallett *et al* (U.S. Patent 7,205,561 B1, § 371(c)(1) date of 15 June 1998). This rejection is repeated for the reason of record as set forth in the last Office action mailed 24 July 2020. Applicant's arguments filed 21 January 2021 have been fully considered but they are not persuasive.

Kaphammer teaches a plant cell comprising a polynucleotide that encodes a protein having aryloxyalkanoate dioxygenase activity, resistance to 2,4-dichlorophenoxyacetate (2,4-D) at claim 11, and a plant regenerated therefrom at claim 15. Kaphammer teaches an isolated polynucleotide that encodes a protein having aryloxyalkanoate dioxygenase activity at SEQ ID NO: 1. Kaphammer teaches transforming a soybean cell at Example 11, columns 21-22. Kaphammer teaches a method of controlling weeds by applying 2,4-D herbicide to a crop field at column 2, lines 26-28. Kaphammer teaches that a transgene that confers resistance to glyphosate can also be introduced into the plant cell (column 3, lines 11-6).

Kaphammer does not teach an isolated nucleic acid encoding instant SEQ ID NO: 2 or having the nucleotide sequence of instant SEQ ID NO: 1. Kaphammer does not teach introducing a polynucleotide that confers glufosinate resistance.

Schleinitz *et al* teach an isolated polynucleotide comprising instant SEQ ID NO: 1 at GenBank accession number AY327575 (page 5359, right column, 5th paragraph). The encoded protein (see FIG 2 on page 5360) would naturally have aryloxyalkanoate dioxygenase activity.

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Pallett *et al* teach introducing polynucleotides to a transformed plant cell that renders said plant cell resistant to multiple herbicides including glyphosate (column 1, lines 62-64) and glufosinate (column 2, lines 27-29).

It would have been *prima face* obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the teachings of Kaphammer to introduce a polynucleotide encoding instant SEQ ID NO: 2 as taught by Schleinitz et al in addition to a polynucleotide encoding resistance to glyphosate and glufosinate as suggested Pallett et al. At instant claim 38, Applicant recites the "first herbicide" to use in the claimed method in the alternative. Given the success of Pallett et al, and Kaphammer one of ordinary skill in the art would have had a reasonable expectation of success. Given dichlorprop was a known herbicide as taught by Schleinitz et al (page 5357, left column, 1st paragraph), one of ordinary skill in the art would have been motivated to introduce said polynucleotide encoding instant SEQ ID NO: 2 into a plant cell. Application of herbicides concurrently or sequentially would have been obvious to one of ordinary skill in the art at the time of Applicants' invention as such applications would have been a design choice in the instant art (instant claims 42 and 43). Instant claim 28 had been amended to recite that the AAD-12 differs from the amino acid sequence of SEQ ID NO: 2 by one or more conservative amino acid substitutions, but this limitation would have been obvious in view of the teachings of Kaphammer who teaches that the tdfA gene can be altered by substitutions, additions or deletions that provide for functionally equivalent molecules at column 5, line 66 to column 6, line 39.

Applicant argues that the Examiner fails to provide any rationale for why one of ordinary skill would specifically select a gene encoding a peptide of SEQ ID NO: 2, or a

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derivative thereof having at least 85% sequence identity to a peptide of SEQ ID NO: 2, to substitute for the gene disclosed in Kaphammer. Applicant argues that there are many other known sequences that share sequence identity with the *tfdA* gene and can serve as possible candidates for providing aryloxyalkanoate dioxygenase activity. Applicant argues that at the time of applicant's invention it cannot be reasonably said that one would have turned to the specific gene disclosed in Schleinitz with the expectation that it would be expressed in plants, particularly to convey herbicide tolerance to the plants (page 13, 5th paragraph of the Remarks).

Applicant argues that at the time of the invention there was simply no motivation to select a bacterial gene having specific sequence of SEQ ID NO: 2 (page 14, 1st paragraph of the Remarks).

Applicant argues that contrary to the accepted wisdom (i.e., selecting low sequence identity homologs to test) is an indicia of nonobviousness. Applicant argues that discovery that cloning and recombinantly expressing homologues with as little as 31% amino acid conservation can be used to impart commercial levels of resistance not only to the intended herbicide, but also to substrates never previously tested with these enzymes (page 14, 2nd paragraph of the Remarks).

Applicant argues that none of the cited prior art references taught the characteristics as claimed. Applicant argues that as illustrated by the subject specification, the data of Tables 9 & 10 demonstrates AAD-12 is able to degrade the pyridyloxyacetate auxins (e.g., triclopyr, fluroxypyr) in addition to achiral phenoxy auxins (e.g., 2,4-D, MCPA, 4-chlorophenoxyacetic acid). Applicant argues that previous to applicants' disclosure, there was no expectation that such plants could effectively

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produce an AAD-12 enzyme to render the plants resistant to a phenoxyacetic acid herbicide (such as 2,4-D) and/or one or more pyridyloxyacetate herbicides such as triclopyr and fluroxypyr. Applicant argues that the subject invention provides many advantages that were not heretofore thought to be possible in the art (paragraph spanning pages 14-15 of the Remarks).

Per Applicant's arguments on page 15, 4th paragraph of the Remarks: There is no apparent requirement for one of ordinary skill in the art to vary all parameters or try each of numerous possible choices, and the instant invention is NOT directed to a new technology as illustrated by the cited prior art.

Applicant's arguments are not found to be persuasive. The teachings of the prior art appears to be clear, if one of ordinary skill in the art identifies a gene that encodes a protein that enzymatically degrades a known herbicide (2,4-D for example) by a known process (oxidation) it would have been obvious to transform a plant with such a gene to try to make an herbicide tolerant plant thereby. In fact, this process would have been considered routine experimentation in the art at the time of Applicant's invention.

Schleinitz *et al* had taught that a polypeptide having instant SEQ ID NO: 2 would catalyze cleavage of 2(2,4-Dichlorophenoxy)propionate which is a known herbicide (see Schleinitz *et al*, page 5357, left column, 2nd paragraph). The fact that applicant has recognized another advantage, that being tolerance to a pyridyoloxy auxin herbicide, which would flow naturally from following the suggestion of the prior art cannot be the basis for patentability when the differences would otherwise be obvious. See *Ex parte Obiaya*, 227 USPQ 58, 60 (Bd. Pat. App. & Inter. 1985).

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Applicant argues that the Examiner has failed to provide any rationale for specifically selecting the *sdpA* gene from the other 100 candidates other than rely on an improper obvious to try standard requiring one to "try each of numerous possible choices until one possibly arrived at a successful result" (page 16, 2nd paragraph of the Remarks).

In response to applicant's argument that there is no teaching, suggestion, or motivation to combine the references, the examiner recognizes that obviousness may be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988), In re Jones, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992), and KSR International Co. v. Teleflex, Inc., 550 U.S. 398, 82 USPQ2d 1385 (2007). In this case, Schleinitz et al had recognized that not all bacteria that carry a tfdA-like gene would be able to degrade 2,4-D (a phenoxy auxin herbicide) on page 5357, right column, 1st paragraph, but that the SdpA gene product does degrade 2,4-D. Schleinitz et al teach that the primary sequence of SdpA most closely resembled that of TfdA on page 5363, left column, 2nd paragraph. It would have been obvious to one of ordinary skill in the instant art that the SdpA taught by Schleinitz et al would have represented a functional equivalent to the TfdA gene used by Kaphammer to make a herbicide tolerant transgenic plant cell.

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Double Patenting

7. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper time-wise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on nonstatutory double patenting provided the reference application or patent either is shown to be commonly owned with the examined application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP § 2146 *et seq.* for applications not subject to examination under the first inventor to file provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

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The USPTO Internet website contains terminal disclaimer forms which may be used. Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26) should be used. A web-based e-Terminal Disclaimer may be filled out completely online using web-screens. An e-Terminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about e-Terminal Disclaimers, refer to www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

- 1. Claims 27-55 and 57-59 remain rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-20 of U.S. Patent No. 8,916,752.

 Although the claims at issue are not identical, they are not patentably distinct from each other because the subgenus claimed in the '752 Patent both anticipates and renders obvious the instantly claimed genus. Applicant argues that the necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of allowable subject matter (page 7 of the Remarks). Because the Examiner does not find the claims otherwise allowable the rejection is maintained for the reasons of record.
- 2. Claims 27-55 and 57-59 remain rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-14 of U.S. Patent No. 8,283,522.

 Although the claims at issue are not identical, they are not patentably distinct from each other because the subgenus claimed in the '522 Patent both anticipates and renders obvious the instantly claimed genus. Applicant argues that the necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of allowable subject

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matter (page 7 of the Remarks). Because the Examiner does not find the claims otherwise allowable the rejection is maintained for the reasons of record.

- 3. Claims 27-55 and 57-59 remain rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-6, 11-15 and 19-24 of U.S. Patent No. 9,944,944. Although the claims at issue are not identical, they are not patentably distinct from each other because the species claimed in the '944 Patent renders obvious the instantly claimed genus as the instant claims include soybean cells at instant claim 29. Applicant argues that the necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of allowable subject matter (page 7 of the Remarks). Because the Examiner does not find the claims otherwise allowable the rejection is maintained for the reasons of record.
- 4. Claims 27-55 and 57-59 remain rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-25 of U.S. Patent No. 10,167,483. Although the claims at issue are not identical, they are not patentably distinct from each other because the instantly claimed transgenic plant cell would have been obvious over the expression cassette of claim 1 of the '483 Patent and the claimed methods herein overlap in scope with those of the '483 Patent. Applicant argues that the necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of allowable subject matter (page 7 of the Remarks). Because the Examiner does not find the claims otherwise allowable the rejection is maintained for the reasons of record.

Conclusion

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

Art Unit: 1663

§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37

CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not

mailed until after the end of the THREE-MONTH shortened statutory period, then the

shortened statutory period will expire on the date the advisory action is mailed, and any

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later

than SIX MONTHS from the date of this final action.

9. No claims are allowed.

10. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to David H. Kruse, Ph.D. whose telephone number is (571)

272-0799 and direct fax number (571) 273-0799. The examiner can normally be

reached on Monday to Friday from 7:30 a.m. to 4:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Amjad Abraham can be reached at (571) 270-7058. The central FAX

number for official correspondence is 571-273-8300.

Any inquiry of a general nature or relating to the status of this application or

proceeding should be directed to the Group Receptionist whose telephone number is

(571) 272-1600.

/David H Kruse/

Primary Examiner, Art Unit 1663

PGR2023-00022 Page 00364

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/468,494	WRIGHT et al.
	Examiner	Art Unit
	DAVID H KRUSE	1663

CPC - Sea	rched*		
Symbol		Date	Examiner
C12N 9/0071		04/16/2019	/DK/
		•	
CPC Com	bination Sets - Searched*		
Symbol		Date	Examiner
		•	•
US Classi	fication - Searched*		
Class	Subclass	Date	Examiner

^{*} See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes					
Search Notes	Date	Examiner			
Inventor Name Search	04/16/2019	/DK/			
EAST: USPAT, USPGPUB, EPO, JPO, DERWENT	04/16/2019	/DK/			
STN: AGRICOLA, BIOSIS, CAPLUS, EMBASE, CABA	04/16/2019	/DK/			
Sequence Search SEQ ID NO: 2	02/01/2019	/DK/			
Update EAST	12/16/2019	/DK/			
Update EAST	07/17/2020	/DK/			
Update EAST	04/27/2021	/DK/			

/DAVID H KRUSE/ Primary Examiner, Art Unit 1663	

Search Notes 15/468,494 Examiner DAVID H KRUSE Applicant(s)/Patent Under Reexamination WRIGHT et al. Art Unit 1663

Interference Search						
US Class/CPC Symbol						

/DAVID H KRUSE/ Primary Examiner, Art Unit 1663	

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	63	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/04/27 09:58
L2	9,690	C12N9/0071.CPC.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/04/27 09:58
L3	120	L2 AND "aad-12"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/04/27 09:59
L4	4	(("10167483") or ("8916752") or ("8283522") or ("9944944")).PN.	USPAT; USOCR	OR	OFF	2021/04/27 10:00
L5	3	(("9232803") or ("8685677") or ("8916751")).PN.	USPAT; USOCR	OR	OFF	2021/04/27 10:10

EAST Search History (Interference)

<This search history is empty>

 $\begin{tabular}{ll} 4/27/2021 & 10:12:25 & AM \\ C:\Users\dkruse\Documents\EAST\Workspaces\15468494.wsp \end{tabular}$

Doc code: RCEX
Doc description: Request for Continued Examination (RCE)

PT®/SB/30EFS (07-08)
Approved for use through 07/31/2012, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. BEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1985, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)								
			(Submitted	I Only via EFS	-Web)			
Application Number	15/468,494	Filing Date	March 24, 2017	Docket Number (if applicable)	14764-262737	Art Unit	1863	
First Named Inventor	Terry Wright			Examiner Name	David Kruse			
This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV								
		S	UBMISSION REQ	UIRED UNDER 37	CFR 1.114			
in which they	were filed unless	applicant ins		ipplicant does not wi	nents enciosed with the R sh to have any previously			
	y submitted. If a fii an even if this box			any amendments file	d after the final Office act	ion may be con	sidered as a	
Co	nsider the argume	ints in the A	ppeal Brief or Reply	Brief previously filed	on			
O#	ner 							
☑ Enclosed								
₹ An	nendment/Reply							
Infe	ormation Disclosu	re Statemer	nt (IDS)					
∏ Aff	idavit(s)/ Declarati	ion(s)						
Other								
MISCELLANEOUS								
	Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for all period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)							
Other	Other							
				FEES				
The Dire	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filled. The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 100435							
		SIGNATUR	RE OF APPLICANT	T, ATTORNEY, OF	AGENT REQUIRED			
(X) Patent	Practitioner Sign	ature						
Applica	ant Signature							

Doc code: RCEX

Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012, OMB 0951-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Signature of Registered U.S. Patent Practitioner					
Signature	Signature /John P. Breen/ Date (YYYY-MM-DD) 2021-08-03					
Name	John P Breen	Registration Number	38833			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
 request involving an individual, to whom the record pertains, when the individual has requested assistance from the
 Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal						
Application Number:	15468494					
Filing Date:	24-Mar-2017					
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES					
First Named Inventor/Applicant Name:	tor/Applicant Name: Terry R. WRIGHT					
Filer:	John P. Breen					
Attorney Docket Number:	147	764-262737				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
CLAIMS IN EXCESS OF 20		1202	1	100	100	
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
RCE- 2ND AND SUBSEQUENT REQUEST	1820	1	2000	2000
	Tot	al in USD	(\$)	2100

Electronic Acknowledgement Receipt					
EFS ID:	43412401				
Application Number:	15468494				
International Application Number:					
Confirmation Number:	6867				
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES				
First Named Inventor/Applicant Name:	Terry R. WRIGHT				
Customer Number:	107540				
Filer:	John P. Breen				
Filer Authorized By:					
Attorney Docket Number:	14764-262737				
Receipt Date:	03-AUG-2021				
Filing Date:	24-MAR-2017				
Time Stamp:	12:35:15				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$2100
RAM confirmation Number	E202183C36354922
Deposit Account	100435
Authorized User	John Breen

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)37 CFR 1.20 (Post Issuance fees)37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
	Amendment Submitted/Entered with Filing of CPA/RCE	Response_Accompanying_RCE. pdf	94966			
1			c0af54d2f4206908b296bb8b28b9a8dee68 40e4d	no	13	
Warnings:				•		
Information:						
	Affidavit-traversing rejectns or objectns rule 132	Wright_Declaration.pdf	161282			
2			f2fff34877dd416b012e90bec29a36e30d56 b633	no	5	
Warnings:	-					
Information:						
	Request for Continued Examination (RCE)	RCE_Request1.pdf	481636			
3			039210498b633cc9fe6159f4380a35837f87 e27d	no	3	
Warnings:	-					
This is not a US	PTO supplied RCE SB30 form.					
Information:						
4	Fee Worksheet (SB06)	fee-info.pdf	40255			
			7090992592b929723b27dd2367465df6d5 361153	no	2	
Warnings:	-	_				
Information:						
		Total Files Size (in bytes)	7	78139		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

BARNES & THORNBURG LLP

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313 (317) 231-7433 Fax

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wright et al.

Serial No.: 15/468,494

Filed: March 24, 2017

For: Novel Herbicide Resistance Genes

Confirmation No.: 6867

Examiner: David H. Kruse

Group Art Unit: 1663

Attorney Docket No.: 14764-262737

(63979A-US-CNT[3])

DECLARATION UNDER 37 C.F.R. § 1.132 OF DR. TERRY R. WRIGHT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I, Terry R. Wright, declare:

 I am currently a Distinguished Laureate at Corteva Agriscience (formerly Dow AgroSciences, LLC), in Indianapolis, Indiana. I am a citizen of the United States of America. I have 29 years of research experience in the fields of agronomy, pesticide science, and plant biotechnology. I received two Bachelor of Science degrees (B.S.) in Crop and Soil Science and Agricultural Biochemistry from Michigan State University, a Master of Science (M.S.) degree in Crop Science/Weed Science from Washington State University, and a Doctorate of Philosophy (Ph.D.) from Michigan State University in Herbicide Physiology and Plant Biotechnology. I am a co-inventor on over 50 granted U.S. patents, and an author of 20 peer-reviewed scientific journal articles. My research has been in the areas of crop protection and trait discovery and development. I am an expert in the field of herbicide physiology and herbicide resistance, weed control, and trait discovery and development.

- 2. I am an inventor and am very familiar with the contents of the subject application, U.S. Patent Application No. 15/468,494 ("the '494 Application"). I am informed and believe that the claims currently pending in the '494 Application reflect those attached hereto as Appendix I. I have reviewed and understand those claims.
- 3. I understand that this Declaration will be filed in support of the patentability of the claims pending in the '494 application.
- 4. I understand that in the final Office Action of May 3, 2021 in the '494 Application, the U.S. Patent and Trademark Office has rejected claims 27-39, 41-54, and 57-59 of the '494 Application as being unpatentable over Kaphammer (U.S. Patent 5,608,147) in view of Schleinitz et al (September 2004, Applied and Environmental Microbiology 70(9): 5357-5365) and Pallett et al (U.S. Patent 7,205,561 B1, § 371 (c)(1) date of 15 June 1998). (Office Action at point 6).
- 5. I have been asked to comment on whether a person of ordinary skill in the art at the time of the invention in 2005 would have thought the transgenic plant cell, encompassed by the claims, or the use of a plant comprising such cells in a method to control weeds, was obvious in light of the prior art teachings.
- 6. Prior to 2005, the scientific community had recognized that the TfdA gene could be expressed in transgenic plants to impart 2,4-D resistance in dicot plants (e.g., cotton and tobacco) normally sensitive to 2,4-D (Streber et al., 1989; Lyon et al., 1989; Lyon et al.,

- 1993). Furthermore, a large number of *tfdA-type* genes that encode proteins capable of degrading 2,4-D had been identified from the environment and deposited into the Genbank database. However, although many α-ketoglutarate-dependent dioxygenases were known, no gene except *tfdA* had exhibited the ability to degrade phenoxy auxin herbicides when inserted into plant cells.
- 7. At the time of the invention, the standard practice used for identifying proteins exhibiting a similar functionality to a known protein was to screen for proteins having a high level of amino acid sequence identity with the known protein, and then test those selected proteins for activity. Accordingly, to identify tfdA-type genes that encode proteins capable of degrading 2,4-D when expressed in plants (similar to the activity of the tfdA gene), one would traditionally select a protein having high sequence identity with TfdA.
- 8. The sdpA gene from Delftia acidivorans (as disclosed in Westendorf et al., 2002, 2003 and Schleinitz et al) encodes an enzyme (SdpA) that is distantly related to TfdA. SdpA had previously been shown to degrade S-dichloroprop (Westendorf et al., 2002 and 2003) but also 2,4-D (albeit, relatively poorly) based on in vitro assays. More particularly, the Westendorf 2003 article (Acta Biotechnol 23:3 shows preference of S-dichloroprop over 2,4-D by >3-fold (see Table 3). Furthermore, Schleitniz et al (cited by the Examiner) states on page 5363 (first column, first full paragraph) that "SdpA shows greatest activity with the S enantiomers of mecoprop and dichloroprop but has some activity toward 2,4-D". However, the recited enzymatic activity of SdpA was limited to in vitro assays and SdpA was known to have low homology to TfdA (31% amino acid identity). At the time of the invention, SdpA had never been expressed in plants, nor was there any motivation to do so.
- 9. Those skilled in the art appreciate that there is a high level of unpredictability associated with expressing bacterial genes in plant systems. This fact is highlighted by comparing the activity of two tfdA homologs expressed in plants. Appendix II provides data originally presented in Example 17.1-17.5 of US Patent no 7,838,733 comparing the activity of two α-KG dioxygenase enzymes referred to as AAD-1 and AAD-2.

- 10. AAD-2 is more closely related (about 44%) at the sequence level to tfdA than is AAD-1 (about 27%). Furthermore, AAD-2 was determined to have V_{max} almost 8-fold higher than AAD-1 for 2,4-D, based on a standard *in vitro* assay. However, while, AAD-2 has a higher percentage sequence identity with tfdA relative to AAD-1, and in spite of AAD-2 having significantly higher *in vitro* activity for degrading 2,4-D, AAD-2 was surprisingly inactive when expressed in plants, while AAD-1 was very active.
- 11. In my opinion, one of ordinary skill in the art, being cognizant of the unpredictability associated with expressing bacterial genes in plant systems, would not have been motivated to specifically select a bacterial gene having low sequence identity to tfdA when attempting to express another gene that would provide tolerance to a phenoxy auxin herbicide. At the time of the invention there were over 100 other known tfdA homologs that share higher sequence identity with the tfdA gene than sdpA. Each of these homologs represent a gene that one could consider as a possible candidate for providing aryloxyalkanoate dioxygenase activity. Typically, one looking for a substitute gene would select a homolog having the highest sequence identity to the gene to be replaced, and selecting a gene having low sequence identity relative to the gene to be replaced, when other genes having higher sequence identity were available, would be acting contrary to conventional wisdom, in my opinion.
- 12. Although SdpA (AAD-12) had been identified at the time of the present invention as being capable of catalyzing a reaction analogous to that of TfdA, no examination of other herbicidal substrates of SdpA outside of the phenoxy auxin class were reported before the present invention. As a result of the present invention, it was discovered that SdpA render the plants resistant to one or more pyridyloxyacetate herbicides such as triclopyr and fluroxypyr. At the time of invention, no other α-KG dioxygenase enzymes had been reported to render the plants resistant to a phenoxyacetic acid herbicide (such as 2,4-D) and one or more pyridyloxyacetate herbicides such as triclopyr and fluroxypyr.
- 13. For the above reasons, it is evident to me, as one skilled in the art, that the combined teachings of Kaphammer in view of Schleinitz et al. and Pallett et al. fail to suggest or

provide any guidance of how to obtain a gene and recombinant plant that has the functionality of the present claimed invention.

14. I hereby declare that all statements made herein are true and that they are based on my own knowledge, information and belief.

Place and Date: 7/29/202/

By:

Indianapolis, Indiana

Terry R. Wright

Distinguished Laureate

Corteva Agriscience

BARNES & THORNBURG LLP

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.	107540	}
Group:	1663	}
Confirmation No.:	6867	}
Application No.:	15/468,494	}
Invention:	NOVEL HERBICIDE RESISTANCE GENES	ELECTRONICALLY FILED ON: August 3, 2021
Inventor:	Wright et al.	}
Filed:	March 24, 2017	}
Attorney Docket:	14764-262737	}
Examiner:	David Kruse	} }

AMENDMENT AND RESPONSE ACCOMPANYING RCE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In response to the final Office Action mailed May 3, 2021, Applicant transmits herewith an RCE, and requests entry of the following claim amendments and consideration of the accompanying remarks. Applicant submits herewith payment of the \$100.00 fee under 37 CFR § 1.16(i) for an additional new claim in excess of 20, and the 2,000.00 fee for the RCE request (large entity, second request), and hereby authorizes the Commissioner to charge any additional fee necessary to affect this filing, to the account of Barnes & Thornburg, Deposit Account No. 10-0435 with reference to our file 14764-262737.

Amendments to the claims are submitted herewith beginning on page 2. **Remarks** begin on page 8 of this paper.

AMENDMENTS TO THE CLAIMS:

This listing of claims will replace all prior versions, and listings of claims in the application:

Claims 1-26 (Canceled).

- 27. (Previously presented) A transgenic plant cell comprising a recombinant polynucleotide that encodes an AAD-12 protein that exhibits aryloxyalkanoate dioxygenase activity wherein said activity enzymatically degrades a phenoxy auxin herbicide and a pyridyloxy auxin herbicide, further wherein said AAD-12 protein comprises:
- i) an amino acid sequence having at least 85% sequence identity with SEQ ID NO: 2; and
 - ii) an AAD-12 motif having the general formula of: $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R, \text{ wherein}$

 X_{109} represents a single amino acid at position 109, relative to the sequence of SEQ ID NO: 2;

(X)₁₁₁₋₁₃₄ represents a sequence of 24 amino acids;

 $(X)_{136-261}$ represents a sequence of 126 amino acids; and

 $(X)_{263-272}$ represents a sequence of 10 amino acids.

- 28. (Previously presented) The plant cell of claim 27 wherein the AAD-12 protein comprises an amino acid sequence having at least 90% sequence identity with SEQ ID NO: 2.
- 29. (Previously presented) The plant cell of claim 27 wherein said plant cell is dicotyledonous and selected from the group consisting of a cotton cell, a tobacco cell, a canola cell, a soybean cell, and an Arabidopsis cell.
- 30. (Currently amended) A transgenic plant comprising a plurality of the plant <u>celleells</u> of claim 27, wherein expression of said polynucleotide renders said plant tolerant to an aryloxyalkanoate herbicide.

- 31. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is a phenoxy auxin herbicide.
- 32. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is selected from the group consisting of 2,4-dichlorophenoxyacetic acid, and MCPA.
- 33. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is a pyridyloxy auxin.
- 34. (Previously presented) The plant of claim 30 wherein said aryloxyalkanoate herbicide is selected from the group consisting of triclopyr and fluroxypyr.
- 35. (Previously presented) The plant of claim 30 wherein expression of said polynucleotide renders said plant resistant to both a phenoxy auxin herbicide and a pyridyloxy auxin herbicide.
- 36. (Previously presented) The plant of claim 30 wherein said plant further comprises a second herbicide resistance gene.
- 37. (Previously presented) The plant of claim 36 wherein said second herbicide resistance gene renders said plant resistant to an herbicide selected from the group consisting of glyphosate, glufosinate, ALS inhibitors, inhibitors of 4-hydroxyphenyl-pyruvate-dioxygenase (HPPD), dicamba and inhibitors of protoporphyrinogen oxidase (PPO).
- 38. (Previously presented) A method of controlling at least one weed in a field, wherein said field contains at least one plant of claim 30, wherein said method comprises applying to at least a portion of said field a first herbicide selected from the group consisting of a phenoxy auxin herbicide and a pyridyloxy auxin herbicide.
- 39. (Previously presented) The method of claim 38 wherein said aryloxyalkanoate herbicide is an achiral phenoxy auxin selected from the group consisting of 2,4-D and MCPA.

- 40. (Previously presented) The method of claim 38 wherein said aryloxyalkanoate herbicide is a pyridyloxy auxin selected from the group consisting of triclopyr and fluroxypyr.
- 41. (Previously presented) The method of claim 38 wherein said method comprises applying a second herbicide.
- 42. (Previously presented) The method of claim 41 wherein said first herbicide and said second herbicide are applied sequentially.
- 43. (Previously presented) The method of claim 41 wherein said first herbicide and said second herbicide are applied concurrently.
- 44. (Previously presented) The method of claim 41 wherein said first herbicide is a phenoxy auxin and said second herbicide is a pyridyloxy auxin.
- 45. (Previously presented) The method of claim 41 wherein said second herbicide is selected from the group consisting of glyphosate, glufosinate, dicamba, acetolactate synthase inhibitors, protoporphyrinogen oxidase inhibitors, and hydroxyphenyl-pyruvate-dioxygenase inhibitors.
- 46. (Previously presented) The method of claim 41, wherein said first herbicide is 2,4-D and said second herbicide is glyphosate.
- 47. (Previously presented) The method of claim 41, wherein said first herbicide is 2,4-D and said second herbicide is glufosinate.
- 48. (Previously presented) The method of claim 41 wherein said plant further comprises a second herbicide resistance gene that renders said plant resistant to said second herbicide.

- 49. (Previously presented) The method of claim 48 wherein said second herbicide resistance gene is selected from the group consisting of a modified AHAS (acetohydroxyacid synthase) gene, a glyphosate resistance gene, glufosinate resistance gene, and a gene encoding a dicamba-degrading enzyme.
 - 50. (Previously presented) The method of claim 49 wherein:
 - (a) said modified AHAS (acetohydroxyacid synthase) gene is selected from the group consisting of SurA, SurB, Csr1, Csr1-1, and Csr1-2;
- (b) said glyphosate resistance gene is selected from the group consisting of modified EPSPS (5-enolpyruvylshikimate-3-phosphate synthase), GOX, and GAT; and, said glufosinate resistance gene is selected from the group consisting of phosphinothricin-N-acetyltransferase (PAT) and bar.
- 51. (Previously presented) The method of claim 41 wherein said method further comprises applying a third herbicide.
- 52. (Previously presented) The method of claim 51, wherein said third herbicide is selected from the group consisting of glyphosate, glufosinate, HPPD-inhibitors, PPO-inhibitors, ALS inhibitors, and dicamba.
- 53. (Previously presented) The method of claim 52 wherein said first, second and third herbicides are 2,4-D, quizalofop, and glyphosate.
- 54. (Currently amended) A seed comprising a plurality of the plant <u>celleells</u> of claim 27.
- 55. (Currently amended) A method of controlling weeds in a field, wherein said method comprises applying a phenoxy auxin herbicide or a pyridyloxy auxin herbicide to said field and planting a seed of claim 54 in said field within 14 days of after applying said aryloxyalkanoate or pyridyloxy auxin herbicide.

- 56. (Canceled).
- 57. (Previously presented) A plant grown from the seed of claim 54 wherein said plant comprises said polynucleotide.
- 58. (Previously presented) A part, progeny, or asexual propagate of the plant of claim 57, wherein said part, progeny, or sexual propagate comprises said polynucleotide.
- 59. (Previously presented) A transgenic plant cell comprising a recombinant polynucleotide that encodes an AAD-12 protein that exhibits aryloxyalkanoate dioxygenase activity wherein said activity enzymatically degrades a phenoxy auxin herbicide and a pyridyloxy auxin herbicide, further wherein said AAD-12 protein comprises:
- i) an amino acid sequence having at least 85% sequence identity with SEQ ID NO: 2; and
 - ii) an AAD-12 motif having the general formula of: $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R$, wherein

 X_{109} represents a single amino acid at position 109, relative to the sequence of SEQ ID NO: 2;

 $(X)_{111-134}$ represents a sequence of 24 amino acids;

 $(X)_{136-261}$ represents a sequence of 126 amino acids; and

(X)₂₆₃₋₂₇₂ represents a sequence of 10 amino acids, wherein said

AAD-12 motif has 90% sequence identity with corresponding amino acids of position 108 to 273 of SEQ ID NO: 2.

60. (New) A method of controlling at least one weed in a field, wherein said field has been planted with seeds wherein cells of said seeds comprise

a recombinant polynucleotide that encodes an AAD-12 protein that exhibits aryloxyalkanoate dioxygenase activity wherein said activity enzymatically degrades a phenoxy auxin herbicide and a pyridyloxy auxin herbicide, further wherein said AAD-12 protein comprises:

- i) an amino acid sequence having at least 85% sequence identity with SEQ ID NO: 2; and
 - ii) an AAD-12 motif having the general formula of:

 $HX_{109}D(X)_{111-134}T(X)_{136-261}H(X)_{263-272}R$, wherein

 $$X_{109}$$ represents a single amino acid at position 109, relative to the sequence of SEQ ID NO: 2;

 $(X)_{111-134}$ represents a sequence of 24 amino acids;

(X)₁₃₆₋₂₆₁ represents a sequence of 126 amino acids; and

(X)₂₆₃₋₂₇₂ represents a sequence of 10 amino acids,

wherein said method comprises applying to said field a pyridyloxy auxin herbicide.

REMARKS

Amendments to the Claims

Applicant has amended claims 30 and 54 to clarify antecedent basis. Claim 55 has been amended to clarify the timing of the application of the herbicide. Claim 60 is new and is directed to a method of controlling weeds by the application of a pyridyloxy auxin herbicide to a field comprising AAD-12 recombinant seeds/plants. Exemplary support for new claim 60 is found in claims 27 and 38 and at paragraph 19, lines 6-8, and paragraph 117, lines 5-8 of the application.

Claim Rejections

Indefiniteness Rejection

Claims 30 and 54 are rejected under 35 U.S.C. 112(b), as being indefinite. In particular, the Examiner contends that in claims 30 and 54, the limitation "plant cells of claim 27" lacks proper antecedent basis in claim 27 because said limitation is not in number agreement with "A transgenic plant cell" recited in claim 27. Applicant has amended claims 30 and 54 to replace "cells" with "cell" thus rendering the rejection moot.

The Obviousness Rejection Should Be Withdrawn

Claims 27-39, 41-54 and 57-59 stand rejected under 35 USC 103 as being obvious over the combined teachings of Kaphammer (US Patent 5,608,147) in view of Schleinitz et al (Applied and Environmental Microbiology 70(9): 5357-5365) and Pallett et al (US Patent 7,205,561). Kaphammer is cited as teaching plants that comprise a gene for conferring aryloxyalkanoate dioxygenase activity to those plants, and the use of such plants in methods of weed control using 2, 4-D. The Examiner admits that Kaphammer does not teach the AAD-12 protein (SEQ ID NO:2) or a nucleic acid sequence encoding such a protein. Schleinitz is cited as teaching the nucleic acid sequence of SEQ ID NO: 1 which encodes the protein of SEQ ID NO: 2. Pallett et al is cited for teaching transgenic plants that comprise various genes that confer herbicide tolerance to the plant.

The Examiner contends that it would have been *prima facie* obvious to substitute the gene of Schleinitz or Pallett for the gene disclosed in Kaphammer to generate the currently claimed plant. Applicants respectfully traverse this rejection.

The Office Action Fails to Establish Motivation to Combine

First of all, applicant respectfully submits that the Examiner fails to provide any rationale for why one of ordinary skill would specifically select a gene encoding a peptide of SEQ ID NO: 2, or a derivative thereof having at least 85% sequence identity to a peptide of SEQ ID NO: 2, to substitute for the gene disclosed in Kaphammer. Applicant notes there are many other known sequences that share a much higher sequence identity with the *tfdA* gene and can serve as possible candidates for providing aryloxyalkanoate dioxygenase activity. At the time of applicant's invention it cannot be reasonably said that one would have turned to the specific gene disclosed in Schleinitz with the expectation that it would be expressed in plants, particularly to convey herbicide tolerance to the plants.

At the time of the invention there was simply no motivation to select a bacterial gene having the specific sequence of SEQ ID NO: 2 for combination with a heterologous promoter that is functional in a plant cell for use in producing transgenic plants that are tolerant to damage by an aryloxyalkanoate herbicide. For example, as the specification states, identifying the SdpA (AAD-12) enzyme required mining an extensive database that, even under default search options, provide more than 100 other proteins as potential selections:

As a way to identify genes which possess herbicide degrading activities *in planta*, it is possible to mine current public databases such as NCBI (National Center for Biotechnology Information). To begin the process, it is necessary to have a functional gene sequence already identified that encodes a protein with the desired characteristics (i.e., α -ketoglutarate dioxygenase activity). This protein sequence is then used as the input for the BLAST (Basic Local Alignment Search Tool) (Altschul et al., 1997) algorithm to compare against available NCBI protein sequences deposited. Using default settings, this search returns upwards of 100 homologous protein sequences at varying levels of sequence identity. These range from highly identical (85-98%) to very low identity (23-32%) at the amino acid level. Traditionally, only sequences with high homology would be expected to retain similar properties to the input sequence. In this case applicant chose only those sequences with $\leq 50\%$ homology. Specification at ¶ [0156] (emphases added).

As noted in paragraph 7 of the attached 37 CFR 1.132 Declaration by Dr. Wright ('the "Wright Declaration"), at the time of the invention, the standard practice used for identifying proteins exhibiting a similar functionality to a known protein was to screen for proteins having a high level of amino acid sequence identity with the known protein, and then test those selected

proteins for activity. However, applicant selected sequences with relatively low sequence identity of $\leq 50\%$ homology with the *tfdA* gene. Proceeding contrary to the accepted wisdom (i.e., selecting low sequence identity homologs to test) is an indicia of nonobviousness. Surprisingly, applicant's unusual approach resulted in the discovery that cloning and recombinantly expressing homologues with as little as 31% amino acid conservation can be used to impart commercial levels of resistance in planta, to a phenoxy auxin herbicide as well as substrates never previously tested with these enzymes.

As noted in paragraph 6 of the Wright Declaration, although many α -ketoglutarate-dependent dioxygenases were known, no gene except tfdA had exhibited the ability to degrade phenoxy auxin herbicides when inserted into plant cells. Furthermore, those skilled in the art appreciate that there is a high level of unpredictability associated with expressing bacterial genes in plant systems. Thus the skilled practitioner would not have a reasonable expectation of success associated with the selection of the bacterial gene disclosed in Schleinitz for expression in a plant as a substitute for the gene disclosed in Kaphammer. On the contrary, the skilled practitioner would be motivated to select and test only those TfdA homologs that exhibit high sequence identity with the only α -ketoglutarate-dependent dioxygenase that had been demonstrated to provide herbicide tolerance to phenoxy auxin herbicides when expressed in plants.

As discussed above, a skilled practitioner searching for a suitable substitute for tfdA would have a large number of possible choices, including many having a higher % identity to TfdA than the SdpA protein disclosed by Schleinitz. Conventional wisdom would dictate that homologs having a high level of sequence identity would be the obvious choice for selection with the expectation that they would have been more likely to provide phenoxy auxin tolerance when expressed in a plant. Yet, applicant demonstrated that selecting a lower % identity enzyme could impart commercial levels of resistance in planta, and not only to a phenoxy auxin herbicide but also to substrates (i.e. a pyridyloxy auxin herbicide), never previously tested with these enzymes.

None of the cited prior art references taught the characteristics as claimed. As illustrated by the subject specification, the data of Tables 9 & 10 demonstrates AAD-12 is able to degrade the pyridyloxyacetate auxins (e.g., triclopyr, fluroxypyr) in addition to achiral phenoxy auxins (e.g., 2,4-D, MCPA, 4-chlorophenoxyacetic acid). Previous to applicants' disclosure, there was

no expectation that such plants could effectively produce an AAD-12 enzyme to render the plants resistant to a phenoxyacetic acid herbicide (such as 2,4-D) and/or one or more pyridyloxyacetate herbicides such as triclopyr and fluroxypyr. Accordingly, there was no teaching or suggestion that a method of treating weeds could be conducted in a field using a pyridyloxy auxin herbicide, wherein the crop plants growing in the field are tolerant against the pyridyloxy auxin herbicide due to expression of the AAD-12 gene. Thus, the subject invention provides many advantages that were not heretofore thought to be possible in the art.

Applicant respectfully submits that it is not seen how the secondary references of Schleinitz or Pallett would support the reasonable expectation of success to produce a plant that is resistant to pyridyloxyacetate herbicides, thus protecting a crop plant from damage by pyridyloxyacetate herbicides such as triclopyr and fluroxypyr, or why a skilled practitioner would select a gene having low sequence identity to tdfA for testing. Accordingly, there was no reason to select the nucleic acid of SEQ ID NO: 1, or a derivative thereof, to be operably linked to a plant specific promoter, as there was no reasonable expectation that such a construct would produce the desired effect when transfected into plant cells.

The Examiner has cited Schleinitz as teaching the nucleic acid sequence of SEQ ID NO: 1 which encodes the protein of SEQ ID NO: 2 and contends it was obvious to try to substitute this gene for the *tfdA* aryloxyalkanoate dioxygenase gene disclosed in Kaphammer. However, as noted in paragraph 8 of the Wright Declaration, Schleinitz only discloses *in vitro* enzymatic activity of SdpA, and there is no teaching provided by any of the cited prior art to indicate that the *sdpA* bacterial gene would provide tolerance to phenoxy auxin herbicides <u>upon introduction into plant cells</u>. Due to the unpredictability of the activity of bacterial genes in plant systems, contrary to the Examiner's assertions, there was no reasonable expectation of success based on the combined teachings of Kaphammer and Schleinitz that a plant transformed with the *sdpA* gene would produce a plant having tolerance to a phenoxy auxin herbicide, nor was there any rationale for selectively choosing the *sdpA* gene from the many other *tfdA* -like bacterial genes for combination with a plant promoter.

The Federal Circuit has differentiated between proper and improper applications of "obvious to try" in *In re O'Farrell*, 853 F.2d 894, 903, 7 USPQ2d 1673, 1681 (Fed. Cir.). More particularly, two classes of improper obvious to try were exemplified as follows: (1) when what would have been "obvious to try" would have been to vary all parameters or try each of

numerous possible choices until one possibly arrived at a successful result, where the prior art gave either no indication of which parameters were critical or no direction as to which of many possible choices is likely to be successful; and (2) when what was "obvious to try" was to explore a new technology or general approach that seemed to be a promising field of experimentation, where the prior art gave only general guidance as to the particular form of the claimed invention or how to achieve it. The prior art fails to provide any direction as to which of the many possible known tfdA homologs would impart commercial levels of resistance upon expression in plants.

The Examiner states on page 6 of the office action that "...if one of ordinary skill in the art identifies a gene that encodes a protein that enzymatically degrades a known herbicide (2,4-D for example) by a known process (oxidation) it would have been obvious to transform a plant with such a gene to try to make an herbicide tolerant plant thereby." However such a statement is inconsistent with the known unpredictability of bacterial gene expression/function in plants as noted in paragraphs 9 and 10 of the Wright Declaration. The skilled practitioner is well aware that an established *in vitro* activity of a bacterial enzyme is not a definitive predictor of the enzyme's activity when expressed in plants. This effect has been demonstrated in the very α -ketoglutarate dioxygenase family of the present invention (see paragraphs 9 and 10 and Appendix II of the Wright Declaration.

The Examiner has failed to provide any rationale for specifically selecting the *sdpA* gene from the other 100 candidates other than rely on an improper obvious to try standard requiring one to "try each of numerous possible choices until one possibly arrived at a successful result". However, even such efforts would not be likely to come up with the invention as claimed. Many of the 100 BLAST search results could potentially produce a plant that exhibits the minimal phenoxy auxin herbicide tolerance (cited by the Examiner as motivation for substituting the *tfdA* gene with the *sdpA* gene) without revealing a protein having the activity of enzymatically degrading a phenoxy auxin herbicide and a pyridyloxy auxin herbicide as required by the claims. Accordingly, the combined teachings of the cited prior art fail to suggest or provide any guidance of how to obtain a gene and recombinant plant that has the functionality of the present claimed invention.

Applicant respectfully requests the withdrawal of the rejection of claims 27-39, 41-54 and 57-58 as being obvious over the combined teachings of Kaphammer in view of Schleinitz et al and Pallett et al.

Double Patenting Rejections

Claims 27-55, and 57-59 are rejected for obviousness type double patenting over claims 1-20 of US Patent No 8,916,752. Claims 27-55 and 57-59 are rejected for obviousness type double patenting over claims 1-14 of US Patent No 8,283,522. Claims 27-55 and 57-59 are rejected for obviousness type double patenting over claims 1-6, 11-15 and 19-24 of US Patent no 9,944,944. Claims 27-55 and 57-59 are rejected for obviousness type double patenting over claims 1-25 of US Patent no 10,167,483. Applicant will file the necessary terminal disclaimers to overcome these rejections upon a finding of allowable subject matter.

With the entry of the foregoing amendments, the application is believed to be in condition for examination and allowance. Consideration of the claims, leading to their allowance and passage of the application to issuance, is respectfully requested.

Respectfully submitted,

/John P. Breen/

John P. Breen Attorney Reg. No. 38,833

Indianapolis, Indiana 46204 317-261-7940

United States Patent and Trademark Office



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/468,494	03/24/2017	Terry R. WRIGHT	14764-262737	6867
	7590 09/03/202 aburg LLP (Dow)	EXAMINER		
11 South Merid	lian Street	KRUSE, DAVID H		
Indianapolis, IN	N 46204			
			ART UNIT	PAPER NUMBER
			1663	
			NOTIFICATION DATE	DELIVERY MODE
			09/03/2021	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

INDocket@btlaw.com

	Application No.	Applicant(s)		
Office Action Summary	15/468,494	WRIGHT et al		
Office Action Summary	Examiner	Art Unit	AIA (FITF) Status	
	DAVID H KRUSE	1663	No	
The MAILING DATE of this communication app	ears on the cover sheet with the co	orrespondenc	e address	
Period for Reply				
A SHORTENED STATUTORY PERIOD FOR REPLY DATE OF THIS COMMUNICATION.	IS SET TO EXPIRE <u>3</u> MONTHS	S FROM THE	MAILING	
- Extensions of time may be available under the provisions of 37 CFR 1.13	36(a). In no event, however, may a reply be time	ely filed after SIX (6	6) MONTHS from the mailing	
date of this communication. - If NO period for reply is specified above, the maximum statutory period w	rill apply and will expire SIX (6) MONTHS from	the mailing date of	this communication.	
 Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing 				
adjustment. See 37 CFR 1.704(b).			·	
Status				
1) Responsive to communication(s) filed on 03				
☐ A declaration(s)/affidavit(s) under 37 CFR 1		<u>-</u> ·		
, —	This action is non-final.			
3) An election was made by the applicant in res on; the restriction requirement and election				
4) Since this application is in condition for allow	-			
closed in accordance with the practice under				
	,	,		
Disposition of Claims* 5) ✓ Claim(s) 27-55 and 57-60 is/are pending	a in the application			
,				
5a) Of the above claim(s) is/are withdr	awn nom consideration.			
6) Claim(s) is/are allowed.				
7) Claim(s) <u>27-55 and 57-60</u> is/are rejected.				
8) Claim(s) is/are objected to.				
9) Claim(s) are subject to restriction at	•	aautiam Uimbu		
* If any claims have been determined <u>allowable</u> , you may be eli participating intellectual property office for the corresponding ap		=	vay program at a	
http://www.uspto.gov/patents/init_events/pph/index.jsp or send				
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Application Papers 10) The specification is objected to by the Examiner.				
11) The drawing(s) filed on is/are: a) a		the Examine	ar	
Applicant may not request that any objection to the di			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Replacement drawing sheet(s) including the correction			CFR 1.121(d).	
Priority under 35 U.S.C. § 119				
12) Acknowledgment is made of a claim for foreign	an priority under 35 U.S.C. § 11	9(a)-(d) or (f)) <u>.</u>	
Certified copies:		() ()		
a) ☐ All b) ☐ Some** c) ☐ None of t	he:			
 Certified copies of the priority document 	nents have been received.			
Certified copies of the priority docun	nents have been received in Ap	plication No.	·	
 Copies of the certified copies of the application from the International But 		eceived in th	is National Stage	
** See the attached detailed Office action for a list of the certified copies not received.				
Attachmont/o)				
Attachment(s) 1) Notice of References Cited (PTO-892)	3) 🗍 Interview Summary	(PTO-413)		
	Paper No(s)/Mail Da			
Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	B/08b) 4) Other:			

U.S. Patent and Trademark Office

PTOL-326 (Rev. 11-13)

Art Unit: 1663

Status of the Application

Continued Examination Under 37 CFR 1.114

- 1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 3 August 2021 has been entered.
- 2. The rejection of claims 30 and 54 under 35 USC 112, second paragraph, is withdrawn in view of Applicant's amendments to the claims.
- 3. The Wright Declaration filed under 37 C.F.R. 1.132 on 3 August 2021 and signed on 29 July 2021 has been fully considered. In view of Inventor Wright's Declaration the rejection under 35 U.S.C. 103(a) is withdrawn.

Double Patenting

4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper time-wise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed.

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Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on nonstatutory double patenting provided the reference application or patent either is shown to be commonly owned with the examined application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP § 2146 *et seq.* for applications not subject to examination under the first inventor to file provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO Internet website contains terminal disclaimer forms which may be used. Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26) should be used. A web-based e-Terminal Disclaimer may be filled out completely online using web-screens. An e-Terminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about e-Terminal Disclaimers, refer to www.uspto.gov/patents/process/file/efs/guidance/eTD-info-l.jsp.

5. Claims 27-55 and 57-59 remain and claim 60 is rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-20 of U.S. Patent No. 8,916,752. Although the claims at issue are not identical, they are not patentably

Art Unit: 1663

distinct from each other because the subgenus claimed in the '752 Patent both anticipates and renders obvious the instantly claimed genus. Applicant argues that the necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of allowable subject matter (page 13 of the Remarks). Because the instant rejection is not provisional the rejection is maintained for the reasons of record.

- 6. Claims 27-55 and 57-59 remain and claim 60 is rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-14 of U.S. Patent No. 8,283,522. Although the claims at issue are not identical, they are not patentably distinct from each other because the subgenus claimed in the '522 Patent both anticipates and renders obvious the instantly claimed genus. Applicant argues that the necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of allowable subject matter (page 13 of the Remarks). Because the instant rejection is not provisional the rejection is maintained for the reasons of record.
- 7. Claims 27-55 and 57-59 remain and claim 60 is rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-6, 11-15 and 19-24 of U.S. Patent No. 9,944,944. Although the claims at issue are not identical, they are not patentably distinct from each other because the species claimed in the '944 Patent renders obvious the instantly claimed genus as the instant claims include soybean cells at instant claim 29. Applicant argues that the necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of allowable subject matter (page 13 of the Remarks). Because the instant rejection is not provisional the rejection is maintained for the reasons of record.

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8. Claims 27-55 and 57-59 remain and claim 60 is rejected on the ground of

nonstatutory double patenting as being unpatentable over claims 1-25 of U.S. Patent

No. 10,167,483. Although the claims at issue are not identical, they are not patentably

distinct from each other because the instantly claimed transgenic plant cell would have

been obvious over the expression cassette of claim 1 of the '483 Patent and the claimed

methods herein overlap in scope with those of the '483 Patent. Applicant argues that the

necessary terminal disclaimer(s) will be filed to overcome the rejection upon a finding of

allowable subject matter (page 13 of the Remarks). Because the instant rejection is not

provisional the rejection is maintained for the reasons of record.

Conclusion

9. No claims are allowed.

10. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to David H. Kruse, Ph.D. whose telephone number is (571)

272-0799 and direct fax number (571) 273-0799. The examiner can normally be

reached on Monday to Friday from 7:30 a.m. to 4:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Amjad Abraham can be reached at (571) 270-7058. The central FAX

number for official correspondence is 571-273-8300.

Any inquiry of a general nature or relating to the status of this application or

proceeding should be directed to the Group Receptionist whose telephone number is

(571) 272-1600.

/David H Kruse/

Primary Examiner, Art Unit 1663

ſ		Application/Control No.	Applicant(s)/Patent Under Reexamination
l	Search Notes	15/468,494	WRIGHT et al.
l		Examiner	Art Unit
L		DAVID H KRUSE	1663

CPC - Sea	rched*			
Symbol		Date	Examiner	
C12N 9/00	71	04/16/2019	/DK/	
		•		
CPC Com	bination Sets - Searched*			
Symbol		Date	Examiner	
		•		
US Classif	fication - Searched*			
Class	Subclass	Date	Examiner	

^{*} See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes				
Search Notes	Date	Examiner		
Inventor Name Search	04/16/2019	/DK/		
EAST: USPAT, USPGPUB, EPO, JPO, DERWENT	04/16/2019	/DK/		
STN: AGRICOLA, BIOSIS, CAPLUS, EMBASE, CABA	04/16/2019	/DK/		
Sequence Search SEQ ID NO: 2	02/01/2019	/DK/		
Update EAST	12/16/2019	/DK/		
Update EAST	07/17/2020	/DK/		
Update EAST	04/27/2021	/DK/		
Update EAST	08/30/2021	/DK/		

/DAVID H KRUSE/ Primary Examiner, Art Unit 1663	

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/468,494	WRIGHT et al.
	Examiner	Art Unit
	DAVID H KRUSE	1663

Interference Search			
US Class/CPC Symbol		Examiner	

/DAVID H KRUSE/	
Primary Examiner, Art Unit 1663	

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	9,986	C12N9/0071.CPC.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/08/30 10:11
L2	122	L1 AND "aad-12"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/08/30 10:11
L3	63	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/08/30 10:11
S1	7	(("8283522") or ("9944944") or ("8916751") or ("8685677") or ("9232803") or ("8916752") or ("10167483")).PN.	USPAT; USOCR	OR	OFF	2019/04/16 13:07
S2	0	"AAD-12.clm"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S3	0	"AAD-12"".clm"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S4	663	AAD-12	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S5	50	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S6	52	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/16 09:34
S7	1	("7205561").PN.	USPAT; USOCR	OR	OFF	2019/12/17 15:03
S8	507	Pallett.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:06

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S9	16	S8 and herbicide.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:06
S10	0	Kaphammer.in. and herbicide.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:08
S11	19	Kaphammer.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:08
S12	56	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2020/07/17 09:18
S13	21	Kaphammer.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2020/07/17 09:18
S14	1	("10167483").PN.	USPAT; USOCR	OR	OFF	2020/07/20 13:36
S15	63	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/04/27 09:58
S16	9,690	C12N9/0071.CPC.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/04/27 09:58
S17	120	S16 AND "aad-12"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/04/27 09:59
S18	4	(("10167483") or ("8916752") or ("8283522") or ("9944944")).PN.	USPAT; USOCR	OR	OFF	2021/04/27 10:00
S19	3	(("9232803") or ("8685677") or ("8916751")).PN.	USPAT; USOCR	OR	OFF	2021/04/27 10:10

EAST Search History (Interference)

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 $8/30/2021\ 10:12:17\ AM \\ C:\Users\dkruse\Documents\EAST\Workspaces\15468494.wsp$

Electronic Acknowledgement Receipt		
EFS ID:	44416842	
Application Number:	15468494	
International Application Number:		
Confirmation Number:	6867	
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES	
First Named Inventor/Applicant Name:	Terry R. WRIGHT	
Customer Number:	107540	
Filer:	John P. Breen	
Filer Authorized By:		
Attorney Docket Number:	14764-262737	
Receipt Date:	02-DEC-2021	
Filing Date:	24-MAR-2017	
Time Stamp:	18:47:26	
Application Type:	Utility under 35 USC 111(a)	

Payment information:

Submitted with Payment	no
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8	Non Patent Literature	BAB92956.pdf	95481a2146e82b2274143eea1841f049c03 a8013	no	1
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9	Non Patent Literature	BAB92964.pdf	e9b93503e004bb40751a9ce6bbe05da871 9c733a	no	1
			42538		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	44416942				
Application Number:	15468494				
International Application Number:					
Confirmation Number:	6867				
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES				
First Named Inventor/Applicant Name:	Terry R. WRIGHT				
Customer Number:	107540				
Filer:	John P. Breen				
Filer Authorized By:					
Attorney Docket Number:	14764-262737				
Receipt Date:	02-DEC-2021				
Filing Date:	24-MAR-2017				
Time Stamp:	18:47:58				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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7	Non Patent Literature	Chaudhry.pdf	0f41225099de985a77b6fc683f9af72dc326 b1af	no	6
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		Total Files Size (in bytes)	117	770382	
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9	Non Patent Literature Chekan_Supplemental_Molecu		9d42eab55a3cde0fb91adffbb5742887337e 7a32	no	22
			1282440		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

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National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

BARNES & THORNBURG LLP

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313 (317) 231-7433 Fax

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.:	107540	}
Group:	1663	}
Confirmation No.:	6867	}
Application No.:	15/468,494	} }
Invention:	Novel Herbicide Resistance Genes	<pre>} FILED ELECTRONICALLY: } December 2, 2021</pre>
Applicant:	Terry Wright et al.	}
Filed:	March 24, 2017	} }
Attorney Docket:	14764-262737	} }
Examiner	DAVID KRUSE	} }

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

This Statement is filed in the captioned application identified above pursuant to 37 C.F.R. § 1.56. No representation is intended that a complete search has been made of the prior art or that no better art references than those listed are available. The filing of this Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in §1.56(b). Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. patents and patent publications are not provided herewith; a copy of each cited foreign and non-patent reference is provided herewith for review by the Examiner.

None of the cited art is believed to disclose or suggest the invention recited in the claims of the captioned application. It is therefore believed that the claimed invention is patentably distinguishable over these references.

Applicant submits herewith the \$260.00 fee set forth in 37 CFR 1.17 (p) and authorize the Commissioner to charge any additional fee necessary to affect this filing to our Deposit Account No. 10-0435, with reference to our Matter No. 14764-262737.

Respectfully submitted,

/John P. Breen/

John P. Breen Registration No. 38,833 Attorney for Applicants

JPB:pey (317) 261-7940 Indianapolis, Indiana 46204

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry WRIGHT et al.	
	Filing Date 3/24/2017	Art Unit 1663

	U.S. PATENT DOCUMENTS						
Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)	
	5135867	August 1992	Payne et al.				
	5273894	December 1993	Strauch et al.				
	5316931	May 1994	Donson et al.				
	<u>5463175</u>	October 1995	Barry et al.				
	5500360	March 1996	Ahlquist et al.				
	5561236	October 1996	Leemans et al.				
	5589367	December 1996	Donson et al.				
	5637489	June 1997	Strauch et al.				
	5646024	July 1997	Leemans et al.				
	<u>5648477</u>	July 1997	Leemans et al.				
	5879903	March 1999	Strauch et al.				
	5910626	June 1999	Haselkorn				
	6087563	July 2000	DellaPenna				
	6107549	August 2000	Feng et al.				
	6153401	November	Streber et al.				
	6268547	July 2001	Weeks				
	6518222	February 2003	Arndt et al.				
	7112665	September 2006	Leemans et al.				
	7405074	July 2008	Castle et al.				
	7462481	December 2008	Castle et al.				
	7659448	February 2010	Ahrens et al.				
	7838733	November 2010	Wright et al.				
	7863503	January 2011	Castle et al.				
	7998703	August 2011	Castle et al.				

EXAMINER:	DATE CONSIDERED:

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry WRIGHT et al.	
	Filing Date 3/24/2017	Art Unit 1663

U.S. PATENT DOCUMENTS						
Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)
	8222489	July 2012	Castle et al.			
	8598413	December 2013	Cui et al.			
	9074007	July 2015	Danilevskaya et al.			
	9127289	September 2015	Wright			
	10174337	January 2019	Wright			
	2002/0059659	May 2002	Stemmer			
	2003/0041357	February 2003	Jepson			
	2003/0056245	March 2003	Chatterjee et al.			
	2003/0135879	July 2003	Weeks et al.			
	2009/0069182	March 2009	Castle et al.			
	2012/0245339	September 2012	Castle et al.			
	2014/0325713	October 2014	Kovalic et al.			
	2016/0108422	April 2016	Ellis			

EXAMINER:	DATE CONSIDERED:

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry WRIGHT et al.	
	Filing Date 3/24/2017	Art Unit 1663

Examiner	Examiner Document Publication Country Translation					
Initials	Number	Date	Country	Yes	No	
	1471533	Jan 2004	CN			
	1025250	May 1999	EP			
	1167531	Jan 2002	EP			
	1740039	Jun 2012	EP			
	EP1695983B1	Aug 2006	EP			
	2005/287415	Oct 2005	JP			
	87/05629	Sep 1987	WO			
	96/33270	Oct 1996	WO			
	97/13402	Apr 1997	WO			
	98/02562	Jan 1998	WO			
	9808963	Mar 1998	WO			
	9820144	May 1998	WO			
	98/20144	May 1998	WO			
	9838294	Sep 1998	WO			
	9838336	Sep 1998	WO			
	9844139	Oct 1998	WO			
	9910513	Mar 1999	WO			
	1999/063092	Dec 1999	WO			
	2000/006757	Feb 2000	WO			
	0009727	Feb 2000	WO			
	0066748	Nov 2000	WO			
	2001/038513	May 2001	WO			
	03/013224	Feb 2003	WO			
	2003/056904	Jul 2003	WO			

EXAMINER:	DATE CONSIDERED:

Form PTO-1449 (Modified)	Atty. Docket No.	Serial No.
	14764-262737	15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s)	
INFORMATION DISCLUSURE STATEMENT	Terry WRIGHT et al.	
	Filing Date	Art Unit
	3/24/2017	1663

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EXAMINER:	DATE CONSIDERED:

		M
Form PTO-1449 (Modified)	Atty. Docket No.	Serial No.
	14764-262737	15/468,494
INFODMATION DISCLOSUDE STATEMENT	Applicant(s)	
INFORMATION DISCLOSURE STATEMENT	Terry WRIGHT et al.	
	Filing Date	Art Unit
	3/24/2017	1663

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EXAMINER:	DATE CONSIDERED:
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Form PTO-1449 (Modified)	Atty. Docket No.	Serial No.
	14764-262737	15/468,494
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Application Number:	154	15468494			
Filing Date:	24-	24-Mar-2017			
Title of Invention:	NC	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT				
Filer:	Joł	nn P. Breen			
Attorney Docket Number:	14	764-262737			
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Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
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Petition:					
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Post-Allowance-and-Post-Issuance:					
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Miscellaneous:					
SUBMISSION- INFORMATION DISCLOSURE STMT	1806	1	260	260	
	Total in USD (\$)			260	

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EFS ID:	44416284			
Application Number:	15468494			
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First Named Inventor/Applicant Name:	Terry R. WRIGHT			
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

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Representation	Warnings:	•			•	
No. Price	Information:					
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6 Foreign Reference W03-013224.pdf 076dc4699c77d3b54598c977b4be869129 no 54 Warnings:	Information:					
076dc4699c77d3b54598c977b4be869129 3f304f Warnings:				8724539	no	54
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37 CFR 1.19 (Document supply fees)

37 CFR 1.21 (Miscellaneous fees and charges)

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt		
EFS ID:	44417214	
Application Number:	15468494	
International Application Number:		
Confirmation Number:	6867	
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES	
First Named Inventor/Applicant Name:	Terry R. WRIGHT	
Customer Number:	107540	
Filer:	John P. Breen	
Filer Authorized By:		
Attorney Docket Number:	14764-262737	
Receipt Date:	02-DEC-2021	
Filing Date:	24-MAR-2017	
Time Stamp:	18:48:29	
Application Type:	Utility under 35 USC 111(a)	

Submitted with Payment	no
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt		
EFS ID:	44417326	
Application Number:	15468494	
International Application Number:		
Confirmation Number:	6867	
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES	
First Named Inventor/Applicant Name:	Terry R. WRIGHT	
Customer Number:	107540	
Filer:	John P. Breen	
Filer Authorized By:		
Attorney Docket Number:	14764-262737	
Receipt Date:	02-DEC-2021	
Filing Date:	24-MAR-2017	
Time Stamp:	18:49:00	
Application Type:	Utility under 35 USC 111(a)	

Submitted with Payment no

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9	Non Patent Literature	Han_World_Journal.pdf	0e53db86d95d3517ea89583848f828e1d9f 0ac16	no	10
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt		
EFS ID:	44417518	
Application Number:	15468494	
International Application Number:		
Confirmation Number:	6867	
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES	
First Named Inventor/Applicant Name:	Terry R. WRIGHT	
Customer Number:	107540	
Filer:	John P. Breen	
Filer Authorized By:		
Attorney Docket Number:	14764-262737	
Receipt Date:	02-DEC-2021	
Filing Date:	24-MAR-2017	
Time Stamp:	18:49:57	
Application Type:	Utility under 35 USC 111(a)	

Submitted with Payment	no
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt				
EFS ID:	44417739			
Application Number:	15468494			
International Application Number:				
Confirmation Number:	6867			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT			
Customer Number:	107540			
Filer:	John P. Breen			
Filer Authorized By:				
Attorney Docket Number:	14764-262737			
Receipt Date:	02-DEC-2021			
Filing Date:	24-MAR-2017			
Time Stamp:	18:51:24			
Application Type:	Utility under 35 USC 111(a)			

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8	Non Patent Literature	Loos.pdf	ad1ae2a2a4771dbc46d6b8a75c61120b6fa 8416e	no	3
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Information:			683860		
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11	Non Patent Literature	Lyon_Expression.pdf	63e37c0ff6bdc280382c6f1a8aeea6e844f37 537	no	8
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9	Non Patent Literature	Ludwig.pdf	cdec5c4d3c5a917b183847cfffeca1cb329ac e39	no	7
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt				
EFS ID:	44417619			
Application Number:	15468494			
International Application Number:				
Confirmation Number:	6867			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT			
Customer Number:	107540			
Filer:	John P. Breen			
Filer Authorized By:				
Attorney Docket Number:	14764-262737			
Receipt Date:	02-DEC-2021			
Filing Date:	24-MAR-2017			
Time Stamp:	18:50:40			
Application Type:	Utility under 35 USC 111(a)			

Submitted with Payment	no
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Information:					
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8	Non Patent Literature	Kohler_Sphingomonas.pdf	3f189d83018f17d65abbf9357dcc8312886ff bb9	no	5
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Ack	knowledgement Receipt
EFS ID:	44417839
Application Number:	15468494
International Application Number:	
Confirmation Number:	6867
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES
First Named Inventor/Applicant Name:	Terry R. WRIGHT
Customer Number:	107540
Filer:	John P. Breen
Filer Authorized By:	
Attorney Docket Number:	14764-262737
Receipt Date:	02-DEC-2021
Filing Date:	24-MAR-2017
Time Stamp:	18:52:56
Application Type:	Utility under 35 USC 111(a)

Submitted with Payment	no
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7	Non Patent Literature	Mueller_Insights.pdf	76c7337654f6f3cfdf45092df288f3e8c8d90f 4d	no	1
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			454351		
8	Non Patent Literature	Mueller_Structural_basis.pdf	ed665f03c933c0c61bca098a06501ec77224 a6aa	no	13
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		Total Files Size (in bytes):	119	951864	
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12	Non Patent Literature	Muller_Degradability.pdf	4c1ac7d099f756d66b76c0d7c6f43130cd26 5279	no	9
			2487522		
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11	Non Patent Literature	Muller_Comamonas.pdf	fd95749e9db884469b7454b274a15f8a747 3d2fb	no	6
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Warnings:					
10	Non Patent Literature	Muller_Activity_and_reaction. pdf	033ac54db8e5d377b7e1a8a345fed51bbc9 9159f	no	11
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Information:					
Warnings:		-	1		
9	Non Patent Literature	Mueller_SupplMaterials.pdf	c6cb7817f44b2c3f4f055cbd26ae2be9cbe6 20ae	no	5
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Ack	knowledgement Receipt
EFS ID:	44418008
Application Number:	15468494
International Application Number:	
Confirmation Number:	6867
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES
First Named Inventor/Applicant Name:	Terry R. WRIGHT
Customer Number:	107540
Filer:	John P. Breen
Filer Authorized By:	
Attorney Docket Number:	14764-262737
Receipt Date:	02-DEC-2021
Filing Date:	24-MAR-2017
Time Stamp:	18:53:52
Application Type:	Utility under 35 USC 111(a)

Submitted with Payment	no
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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Non Patent Literature	Muller_Genetic_analysis.pdf	234156 8f2db89faf46ba76e25c114e44a6c2c6c1d8 d00c	no	10
Warnings:		!	,	•	

Information:					
			224806		
2	Non Patent Literature	Muller_Physiological.pdf	e3962963140aaf4fd7e8421376f7143d7dc1 1e15	no	11
Warnings:					
Information:					
			268197		
3	Non Patent Literature	Muller_Pseudo.pdf	6da514c9c8913feb678754c51848066b082 083a3	no	16
Warnings:			•		
Information:					
			1444599		
4	Non Patent Literature	Muller_Purification.pdf	9f64186d8d3ed69dcdf298aa82f87b228a08 f5cc	no	10
Warnings:			•		
Information:					
			414010		
5	Non Patent Literature	$Muller_Separation.pdf$	0f5f8e4cd3fd98513afa5942739f3fb722449 8f6	no	7
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			1844655		
6	Non Patent Literature	Muller_Thesis.pdf	28c640f08947e372a4746f571977112b91b e223f	no	182
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7	Non Patent Literature	Nickel.pdf	9b05b58657657e97816934084684426843 3a5b1f	no	6
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Information:					
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8	Non Patent Literature	Nielsen_Novel_insight.pdf	f4983e9c7effec23973a2bbbab40c78453f8f d94	no	9
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		Total Files Size (in bytes)	50	85135	

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Ack	knowledgement Receipt
EFS ID:	44418438
Application Number:	15468494
International Application Number:	
Confirmation Number:	6867
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES
First Named Inventor/Applicant Name:	Terry R. WRIGHT
Customer Number:	107540
Filer:	John P. Breen
Filer Authorized By:	
Attorney Docket Number:	14764-262737
Receipt Date:	02-DEC-2021
Filing Date:	24-MAR-2017
Time Stamp:	18:56:33
Application Type:	Utility under 35 USC 111(a)

Submitted with Payment no

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Non Patent Literature	Nippon_Noyaku_Gakkaishi_20 01_Tamura_Japanese.pdf	2938918 417ab2c96c1f86f9904791dceccaa885d522 6d43	no	9
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Information:					
			1724099		
2	Non Patent Literature	Ohkouchi.pdf	aad4e0ea7bcc6a74dfe27c3f9c42673b9083 2784	no	7
Warnings:					
Information:					
		Total Files Size (in bytes):	46	663017	

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt				
EFS ID:	44418174			
Application Number:	15468494			
International Application Number:				
Confirmation Number:	6867			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT			
Customer Number:	107540			
Filer:	John P. Breen			
Filer Authorized By:				
Attorney Docket Number:	14764-262737			
Receipt Date:	02-DEC-2021			
Filing Date:	24-MAR-2017			
Time Stamp:	18:56:01			
Application Type:	Utility under 35 USC 111(a)			

Submitted with Payment	no
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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Non Patent Literature	Opposition_filed_against_EP_1 0012199_5.pdf	1070125 e0575a8671f60dfb09ef14a7c74bcb23eaaa 4747	no	18
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Information:					
			1142920		
2	Non Patent Literature	Opposition_filed_against_EP_1 0012200_1.pdf	28d71545557f860b75317cf2d1ede3bc78b a99fc	no	18
Warnings:	•				
Information:					
			168073		
3	Non Patent Literature	Travkin.pdf	8eba3788bc259c629c32b89907a5fe94430 c14ba	no	10
Warnings:	-				
Information:					
		Total Files Size (in bytes)	23	81118	

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt				
EFS ID:	44418524			
Application Number:	15468494			
International Application Number:				
Confirmation Number:	6867			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT			
Customer Number:	107540			
Filer:	John P. Breen			
Filer Authorized By:				
Attorney Docket Number:	14764-262737			
Receipt Date:	02-DEC-2021			
Filing Date:	24-MAR-2017			
Time Stamp:	18:57:09			
Application Type:	Utility under 35 USC 111(a)			

Submitted with Payment	no
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Information:					
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2	Non Patent Literature	Opposition_filed_against_EP_1 0012202_7.pdf	a1e8ca1bbf9a50b11ce012b77d501f464b6 4fSe1	no	18
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Information:					
			529400		
3	Non Patent Literature	Park_Isolation.pdf	405670535d003fc74f3973c684084a91decf Scda	no	8
Warnings:					
Information:					
			498741		
4	Non Patent Literature	Parker_Kinetics.pdf	3d17aad5c383a18485fabf2e9b77f4fea57f0 f6c	no	6
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Information:					
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Information:					
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Warnings:		•			
Information:					
			233405		
7	Non Patent Literature	Plumeier_Importance.pdf	58e6256a0d10765f234f0048bb617832c21 184d0	no	11
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Information:					
			2571806		
8	Non Patent Literature	Poh.pdf	90bdbf46037561c6b098bd054c8dbb379af 0cfdc	no	21
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Information:					

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		155435			
9	Non Patent Literature	Poh_Complete.pdf 4dc	4dc74440544c54ecb6e6c44bf7d0edaebf8a 5331	no	12
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			246261		
10	Non Patent Literature	Preston_Multiple.pdf 796	79cd82cee9cd314c7a77c1f408e566c5b415 d451	no	12
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			478329		
11 N	Non Patent Literature	prot_sci_15_1356_2006_Muell er.pdf	3f2435491eb29027f3e254e6036165b3298 c4cae	no	13
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Information:					
			1559108		
12	Non Patent Literature	Response_to_Opposition_EP_1 0012200_1.pdf	2faf4440dc8612896c96ecd763e264a9aa25 073e	no	19
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13	Non Patent Literature	Response_to_Opposition_EP_1 0012201_9.pdf	eb9d712728329bb737193e28084d15124c 368c4b	no	17
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Information:					
		Total Files Size (in bytes)	11:	377844	
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt				
EFS ID:	44418616			
Application Number:	15468494			
International Application Number:				
Confirmation Number:	6867			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT			
Customer Number:	107540			
Filer:	John P. Breen			
Filer Authorized By:				
Attorney Docket Number:	14764-262737			
Receipt Date:	02-DEC-2021			
Filing Date:	24-MAR-2017			
Time Stamp:	18:57:46			
Application Type:	Utility under 35 USC 111(a)			

Submitted with Payment	no
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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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Information:					
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Information:					
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3	Non Patent Literature Saari.pdf	Saari.pdf	73b907e3ef7fda9e090ee9cb02b7f23605d5 67ec	no	8
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Information:					
		SCHLEINITZ_Applied_and_Envi	1018512		
4	Non Patent Literature	ronmental_Microbiology-2004- Schleinitz-5357_full.pdf	e2de2d3c838083dc9fd2400f7ef91289dd9c a1fc	no	10
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	5 Non Patent Literature SCH		157650	no	8
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			418203	no	9
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Warnings:					
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			419601	no	4
7	Non Patent Literature	Shimojo_Analysis.pdf	fb8daddc2794f4219f4ccfd56c6fd99667b8a d97		
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Information:					
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8	Non Patent Literature	Literature Smejkal.pdf	de6320468f20ba2e1fbc8e3f316f729ac807 7723		7
Warnings:					
Information:					

10	Non Patent Literature	Streber.pdf	aa8547cf7602c5c41026cb5ddb949dc19e5 ef8e6	no	6
Warnings:					
Information:					
		Streber_Transgenic_tobacco. pdf	1529677	no	6
11	Non Patent Literature		670954e57ca902f0365dbc693f974ad55e1e f33c		
Warnings:		1			
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			186059		
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Warnings:			<u> </u>		l
Information:					
		Total Files Size (in bytes)	123		

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt				
EFS ID:	44418720			
Application Number:	15468494			
International Application Number:				
Confirmation Number:	6867			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT			
Customer Number:	107540			
Filer:	John P. Breen			
Filer Authorized By:				
Attorney Docket Number:	14764-262737			
Receipt Date:	02-DEC-2021			
Filing Date:	24-MAR-2017			
Time Stamp:	18:58:16			
Application Type:	Utility under 35 USC 111(a)			

Submitted with Payment no

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Non Patent Literature	Tett_Biodegradation.pdf	284390 34adf5de43d582f121d5666a0d5cd8e0479 7da3d	no	10
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Information:					
	2 Non Patent Literature Tett_Enantioselective.pdf		683145		
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Information:					
		Top_Capture.pdf	274965		7
3	Non Patent Literature		44134c7515271c37ff3c0e71ee8140fcca10d ed0	no	
Warnings:					
Information:					
			299279		
4	Non Patent Literature	Top_Methane.pdf	d547e09444ec1edb9d877b8aa4ab6dc91c 4a67f5	no	11
Warnings:		•	•		
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	Non Patent Literature	uniprot_P83309.pdf	150997		3
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Warnings:					
Information:					
	Non Patent Literature Uniprot_Q8KSC8.pdf		234378		
6		33f7b25cd3ae5604273381502b77d45288e 6153e	no	5	
Warnings:		•	•		
Information:					
	Non Patent Literature	Uniprot_Q700X4.pdf	177926	no	4
7			e95c1eecb9c230e0101cb80ece88d5d49fe 084ec		
Warnings:		•	•		
Information:					
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			388805db7febc0a2f150613d197a482087b b3587	no	2
Warnings:					
Information:					

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12	Non Patent Literature	Vedler_Analysis.pdf	6f97aad220d6d8c70c94ee7aa2ff15c88daa 8025	no	8
			219268		
Information:					
Warnings:		•	<u>'</u>		
11	Non Patent Literature	Vedler_Completely_sequenced .pdf	9dff64e6744f58ed198a0dcae3e0385881a0 e573	no	14
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Information:					
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10	Non Patent Literature	Vallaeys_PCR-RFLP.pdf	77d142e2a36b6ce2cc847c9d2882ee70e88 e7965	no	10
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Information:					
Warnings:					
9	Non Patent Literature	Vallaeys_Metabolic.pdf	5ed72f3bce2f2d4f335018c9d72d3031df34 bf1f	no	no 10
			836548	36548	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Ack	Electronic Acknowledgement Receipt			
EFS ID:	44418849			
Application Number:	15468494			
International Application Number:				
Confirmation Number:	6867			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT			
Customer Number:	107540			
Filer:	John P. Breen			
Filer Authorized By:				
Attorney Docket Number:	14764-262737			
Receipt Date:	02-DEC-2021			
Filing Date:	24-MAR-2017			
Time Stamp:	18:58:47			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			312543		
1	Non Patent Literature	Vedler_TfdR.pdf	fde2a71350f4a35e0022682a2c37271d0328 f6f9	no	8
Warnings:			•	•	

Information:						
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2	Non Patent Literature	Westendorf_Dissertation.pdf	962f74117b6b37a6a320dacc47898b95611 af01d	no	122	
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Information:						
			220653			
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Warnings:						
Information:						
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4	Non Patent Literature	Westendorf_The_two_enantios pecfic.pdf	95fe6487172f5b8605923665493f6ceb2808 1b27	no		
Warnings:						
Information:						
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5	Non Patent Literature	WP011084309.pdf	c1d21d10d6ec06f35c9232fcf020a68b4df0 93a5	no	1	
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Information:						
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6	Non Patent Literature	Wright_Robust_crop.pdf	3f495d19175ef818ab2ed9426574bb9c71a 53282		6	
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7	Non Patent Literature	Wu_Rapid_biodegradation.pdf	86a259e493ecf72e02560db71a216a4457a d7d39	NO 71a216a4457a		
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Information:						
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Information:		Total Files Size (in bytes)	100	306943	
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14	Non Patent Literature	Zipper_Enantioselective.pdf	92ddf77211af1cecd89eff2b208cb780dfc8f ec6	no	7
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Information:					
Warnings:					
13	Non Patent Literature	Zipper_Complete.pdf	4b60eb4b1eb01550eed604b599e612a588 99e263	no	5
			106309		
Information:					
Warnings:					
12	Non Patent Literature Zhang_In_vitro.pdf	Zhang_In_vitro.pdf	4b42f8eb01a685607ca0e38769dab25d796 8415e	no	5
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11	Non Patent Literature	Zhang_Enantioselective_enviro nmental.pdf	9b66cd7a18748348edf6a3f96edd25c3a38	no	7
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Warnings:					
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Information:					
Warnings:					
9	Non Patent Literature	Zaprasis_Abundance.pdf	63c99d291490845990e85780e0da1893c37 0c780	no	11
			1513962		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt				
EFS ID:	44416414			
Application Number:	15468494			
International Application Number:				
Confirmation Number:	6867			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT			
Customer Number:	107540			
Filer:	John P. Breen			
Filer Authorized By:				
Attorney Docket Number:	14764-262737			
Receipt Date:	02-DEC-2021			
Filing Date:	24-MAR-2017			
Time Stamp:	18:46:40			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Foreign Reference	WO8705629.pdf	15388968 30b32a715378684bf9bba81594708c02311 4cf37	no	98
Warnings:		1			

Information:					
			23192375		
2	Foreign Reference	WO9633270.pdf	e7cf044f099b9278c67f093ff7ab7a86f1042 214	no	107
Warnings:		+	1		
Information:					
			19895855		
3	Foreign Reference	WO9713402.pdf	5ad1c4f612bf1e174c873c97f3a16c90a247 5e54	no	118
Warnings:		+	1		
Information:					
			18918813		
4	Foreign Reference	WO-9808963.pdf	7583df56a20980415d491b419a38be3afee b9aeb	no	112
Warnings:		+	1		
Information:					
			14700312	no	91
5	Foreign Reference	WO9820144.pdf	e04fa6a223934ef83f5cda9767b6570ad119 e05e		
Warnings:		•			
Information:					
			6683269		
6	Foreign Reference	WO9832894.pdf	76507b582079b0806623887fe83481bcfdfa 3112	no	39
Warnings:		+	1		
Information:					
			16691802		
7	Foreign Reference	WO9838336.pdf	6955c88b4d38641edd27b902d2d1fe290fa 17210	no	97
Warnings:		1			
Information:					
			5980133	no	
8	Foreign Reference	WO9844139.pdf	52af84795a080045e149e92cc42b51639d7 b29a2		36
Warnings:		+			
Information:					

	23076534		23076534		
9	Foreign Reference	WO9910513.pdf	1ce315d696ea8cf1cf0c66de487b5170a885 f190	no	131
Warnings:					
Information	:				
			9006943		
10	10 Foreign Reference	WO1998002562A2.pdf	e63717c3e64ac12ed2bfb7a2daa28d2c448 45124	no	49
Warnings:					
Information	:				
			15521685		
11	Foreign Reference	WO2000066748A1.pdf	c8e214a4117fbfc0faac8c425790493b1ac40 30d	no	87
Warnings:	 				
Information					
		Total Files Size (in bytes)	169	056689	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Doc Code: DIST.E.FILE Document Description: Electron	ic Terminal Disclaimer - Filed	PTO/SB/26 U.S. Patent and Trademark Office Department of Commerce
Electronic Petition Request	TERMINAL DISCLAIMER TO O "PRIOR" PATENT	BVIATE A DOUBLE PATENTING REJECTION OVER A
Application Number	15468494	
Filing Date	24-Mar-2017	
First Named Inventor	Terry WRIGHT	
Attorney Docket Number	14764-262737	
Title of Invention	NOVEL HERBICIDE RESISTANC	E GENES
Filing of terminal disclaimer of Office Action	does not obviate requirement for re	sponse under 37 CFR 1.111 to outstanding
This electronic Terminal Disc	aimer is not being used for a Joint F	esearch Agreement.
Owner	l	Percent Interest
CORTEVA AGRISCIENCE LLC		100%
	of any patent granted on the instar	on hereby disclaims, except as provided below, the at application which would extend beyond the expiration
10167483		
9944944		
8283522		
8916752		

as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: expires for failure to pay a maintenance fee; is held unenforceable; - is found invalid by a court of competent jurisdiction; - is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; - is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer. Terminal disclaimer fee under 37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request. **(•**) I certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) ()required for this terminal disclaimer has already been paid in the above-identified application. Applicant claims the following fee status: Small Entity Micro Entity Regular Undiscounted \odot I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES I certify, in accordance with 37 CFR 1.4(d)(4) that I am: An attorney or agent registered to practice before the Patent and Trademark Office who is of record in ◉ this application Registration Number 38833 A sole inventor A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application A joint inventor; all of whom are signing this request Signature /John P Breen/ Name John P Breen

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.		

Electronic Patent Application Fee Transmittal					
pplication Number: 15468494					
Filing Date:	24-	Mar-2017			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES				
First Named Inventor/Applicant Name:	Terry R. WRIGHT				
Filer:	John P. Breen				
Attorney Docket Number:	14764-262737				
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
STATUTORY OR TERMINAL DISCLAIMER		1814	1	170	170
Pages:			·		
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	170

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved
Application No.: 15468494
Filing Date: 24-Mar-2017
Applicant/Patent under Reexamination: WRIGHT
Electronic Terminal Disclaimer filed on December 2, 2021
This patent is subject to a terminal disclaimer
DISAPPROVED
Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web
U.S. Patent and Trademark Office

Electronic Acknowledgement Receipt		
EFS ID:	44424927	
Application Number:	15468494	
International Application Number:		
Confirmation Number:	6867	
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES	
First Named Inventor/Applicant Name:	Terry R. WRIGHT	
Customer Number:	107540	
Filer:	John P. Breen	
Filer Authorized By:		
Attorney Docket Number:	14764-262737	
Receipt Date:	02-DEC-2021	
Filing Date:	24-MAR-2017	
Time Stamp:	21:46:12	
Application Type:	Utility under 35 USC 111(a)	

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$170
RAM confirmation Number	E2021B2L46082492
Deposit Account	100435
Authorized User	John Breen

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)
37 CFR 1.20 (Post Issuance fees)

37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			42572		
1	Terminal Disclaimer-Filed (Electronic)	e Terminal-Disclaimer.pdf	6ad5ec3c89090df9d9bf1dcfbf3811178efcd 74e	no	3
Warnings:	-			•	
Information:					
			37890		
2	Fee Worksheet (SB06)	fee-info.pdf	c7176792c127a1b158e05f4df6ca9b286672 788f	no	2
Warnings:	-			I	
Information:					
		Total Files Size (in bytes):	8	0462	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt		
EFS ID:	44425122	
Application Number:	15468494	
International Application Number:		
Confirmation Number:	6867	
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES	
First Named Inventor/Applicant Name:	Terry R. WRIGHT	
Customer Number:	107540	
Filer:	John P. Breen	
Filer Authorized By:		
Attorney Docket Number:	14764-262737	
Receipt Date:	02-DEC-2021	
Filing Date:	24-MAR-2017	
Time Stamp:	22:52:43	
Application Type:	Utility under 35 USC 111(a)	

Payment information:

Submitted with Payment no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After Non-Final Reject	Response.pdf	31913 3b02e44ddf527c3b0d0dcb0c81476a34ef1 e25b1	no	2
Warnings:			!		

Information:	
Total Files Size (in bytes):	31913

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application

BARNES & THORNBURG LLP

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.	107540	}
Group:	1663	} }
Confirmation No.:	6867	} }
Application No.:	15/468,494	} }
Invention:	NOVEL HERBICIDE RESISTANCE	ELECTRONICALLY FILED ON:
	GENES	December 2, 2021
Inventor:	Wright et al.	} }
Filed:	March 24, 2017	} }
Attorney Docket:	14764-262737	} }
Examiner:	David Kruse	} }

AMENDMENT AND RESPONSE UNDER 37 CFR 1.111

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In response to the Office Action mailed September 3, 2021, Applicant requests consideration of the accompanying remarks. Applicant believes that no fees are required for submission of this response. If any fees are required to affect this filing, the Commissioner is authorized to charge the same to the account of Barnes & Thornburg, Deposit Account No. 10-0435 with reference to our file 14764-262737.

No Amendments to the claims are submitted herewith.

Remarks begin on page 2 of this paper.

REMARKS

Claim Rejections

Double Patenting Rejections

Claims 27-55 and 57-60 are rejected for obviousness type double patenting over claims 1-20 of US Patent No 8,916,752. Claims 27-55 and 57-60 are rejected for obviousness type double patenting over claims 1-14 of US Patent No 8,283,522. Claims 27-55 and 57-60 are rejected for obviousness type double patenting over claims 1-6, 11-15 and 19-24 of US Patent no 9,944,944. Claims 27-55 and 57-60 are rejected for obviousness type double patenting over claims 1-25 of US Patent no. 10,167,483.

Applicants have filed terminal disclaimers over each of US Patent Nos. 8,916,752, 8,283,522, 9,944,944 and 10,167,483 and paid the requisite fees, thus rendering the rejections for double patenting moot.

With the entry of the above referenced terminal disclaimers, the application is believed to be in condition for examination and allowance. Consideration of the claims, leading to their allowance and passage of the application to issuance, is respectfully requested.

Respectfully submitted,

/John P. Breen/

John P. Breen Attorney Reg. No. 38,833

JPB:glt Indianapolis, Indiana 46204 317-261-7940

DMS 15088277v1

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

107540 7590 03/01/2022 Barnes & Thornburg LLP (Dow) 11 South Meridian Street Indianapolis, IN 46204 EXAMINER

KRUSE, DAVID H

ART UNIT PAPER NUMBER

1663

DATE MAILED: 03/01/2022

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/468,494	03/24/2017	Terry R. WRIGHT	14764-262737	6867

TITLE OF INVENTION: NOVEL HERBICIDE RESISTANCE GENES

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	06/01/2022

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

PART B - FEE(S) TRANSMITTAL

Complete and send	this form, together v	vith applicable fee(s	s), by mail or fax, or	via EFS-Web.				
By mail, send to:	Mail Stop ISSUE I Commissioner for P.O. Box 1450 Alexandria, Virgin	Patents				By fax, send t	0:	(571)-273-2885
further correspondence	form should be used for traincluding the Patent, advarwise in Block 1, by (a) spe	nce orders and notificatio	on of maintenance fees wil	l be mailed to the cu	irrent co	rrespondence address a	s indi	cated unless correcte
	DENCE ADDRESS (Note: Use Blo		No Fe pa	ote: A certificate of e(s) Transmittal. Tl pers. Each addition	f mailing nis certif al paper	g can only be used for ficate cannot be used for such as an assignment iling or transmission.	r dom or any	nestic mailings of the other accompanying
107540 Barnes & Tho 11 South Merid Indianapolis, IN			Sta ad	ereby certify that t ttes Postal Service dressed to the Mail	his Fee(with suf Stop IS	e of Mailing or Transn (s) Transmittal is being efficient postage for firs SSUE FEE address about facsimile to (571) 27	depo t class ve, or	sited with the United s mail in an enveloper being transmitted to
1								(Typed or printed name
			_					(Signature
			L					(Date
APPLICATION NO.	FILING DATE	I	FIRST NAMED INVENTO	R	ATTO	DRNEY DOCKET NO.	СО	NFIRMATION NO.
15/468,494	03/24/2017		Terry R. WRIGHT			14764-262737		6867
TITLE OF INVENTION	N: NOVEL HERBICIDE I	RESISTANCE GENES						
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUI	E PREV. PAID ISSU	UE FEE	TOTAL FEE(S) DUE	\top	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00		\$1200		06/01/2022
EXA	MINER	ART UNIT	CLASS-SUBCLASS	7				
KRUSE,	DAVID H	1663	800-300000					
	dence address or indication	of "Fee Address" (37	2. For printing on the	patent front page, l	ist		—	
CFR 1.363). Change of corres	pondence address (or Cha AIA/122 or PTO/SB/122) a	nge of Correspondence	(1) The names of up or agents OR, alterna (2) The name of a sin	tively, gle firm (having as	a memb	1 per a		
urFee Address" in	dication (or "Fee Address" 47; Rev 03-02 or more rec	' Indication form PTO/	registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
	AND RESIDENCE DATA	TO BE PRINTED ON	THE PATENT (print or ty	/pe)				
PLEASE NOTE: Un recorded, or filed for	less an assignee is identific recordation, as set forth in	ed below, no assignee dan n 37 CFR 3.11 and 37 CI	ta will appear on the pater FR 3.81(a). Completion o	t. If an assignee is f this form is NOT	identifie a substi	ed below, the document tute for filing an assign	must ment.	have been previously
(A) NAME OF ASS			(B) RESIDENCE: (CIT					
Please check the approp	riate assignee category or	categories (will not be p	rinted on the patent) : 🖵	Individual 🖵 Corp	oration	or other private group ϵ	entity	Government
4a. Fees submitted:		lication Fee (if required)						
4b. Method of Payment	: (Please first reapply any	previously paid fee show						
Electronic Payme	ent via EFS-Web	Enclosed check	Non-electronic payment b	y credit card (Attac	ch form	PTO-2038)		
The Director is he	ereby authorized to charge	the required fee(s), any	deficiency, or credit any	overpayment to Dep	osit Ac	count No		
5 Ch	- A = - (6							
	atus (from status indicated in the indic	· · · · · · · · · · · · · · · · · · ·	NOTE: Absent a valid of					
	•		fee payment in the micr NOTE: If the application	n was previously u	ider mic	cro entity status, checki	applic ng thi	ation abandonment. s box will be taken
Applicant asserting smart entity status. See 57 CFR 1.27 to be a second of the status.			to be a notification of lo <u>NOTE</u> : Checking this b entity status, as applical	ox will be taken to	micro e be a not	entity status. ification of loss of entit	lemer	at to small or micro
NOTE: This form must	be signed in accordance w	vith 37 CFR 1.31 and 1.3	* 11		s and ce	rtifications.		
Authorized Signature	e			Date				

Typed or printed name

Registration No.

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 03/24/2017 15/468,494 Terry R. WRIGHT 14764-262737 6867 **EXAMINER** 107540 7590 03/01/2022 Barnes & Thornburg LLP (Dow) KRUSE, DAVID H 11 South Meridian Street ART UNIT PAPER NUMBER Indianapolis, IN 46204 1663 DATE MAILED: 03/01/2022

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice Requiring Inventor's Oath or Declaration

* *	Applicant(s) Terry R. WRIGH	T
	Art Unit 1663	

This notice is an attachment to the Notice of Allowability (PTOL-37), or the Notice of Allowability For A Design Application (PTOL-37D).

An inventor's oath or declaration in compliance with 37 CFR 1.63 or 1.64 executed by or with respect to each inventor has not yet been submitted.

An oath or declaration in compliance with 37 CFR 1.63, or a substitute statement in compliance with 37 CFR 1.64, executed by or with respect to each inventor (for any inventor for which a compliant oath, declaration, or substitute statement has not yet been submitted) MUST be filed <u>no later than the date on which the issue fee is paid.</u> See 35 U.S.C. 115(f). Failure to timely comply will result in ABANDONMENT of this application.

A properly executed inventor's oath to declaration has not been received for the following inventor(s):

If applicant previously filed one or more oaths, declarations, or substitute statements, applicant may have received an informational notice regarding deficiencies therein.

The following deficiencies are noted:

INFORMAL ACTION PROBLEMS

• A properly executed inventor's oath or declaration has not been received for the following inventor(s): Terry R. WRIGHT, Justin M. LIRA, Terence Anthony WALSH, Donald MERLO, Jayakumar Pon SAMUEL, and Gaofeng LIN.

Applicant may submit the inventor's oath or declaration at any time before the Notice of Allowance and Fee(s) Due, PTOL-85, is mailed.

Questions relating to this Notice should be directed to the Application Assistance Unit at 571-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of potentia

	Applicat 15/468,4		Applicant(s) WRIGHT et al.					
Notice of Allowability		er I KRUSE	Art Unit 1663	AIA (FITF) Status				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Ill claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included rerewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS IOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative if the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.								
 1. ✓ This communication is responsive to the Response filed 2 December 2021. ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on 2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action. 								
3. The allowed claim(s) is/are 27-55 and 57-60. As a result of Prosecution Highway program at a participating intellectual please see http://www.uspto.gov/patents/init_events/pp	al property	office for the corresponding	g application.	For more information				
4. Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.0	C. § 119(a)-(d) or (f).						
Certified copies: a) All b) Some* c) None of the: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).								
* Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.								
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the								
attached Examiner's comment regarding REQUIREMENT F Attachment(s)	OR THE							
. Notice of References Cited (PTO-892) 5. ☑ Examiner's Amendment/Comment 6. ☐ Examiner's Statement of Reasons for Allowance Paper No./Mail Date 12/2/2021. 7. ☐ Other 9. ☐ Interview Summary (PTO-413), Paper No./Mail Date								
/DAVID H KRUSE/ Primary Examiner, Art Unit 1663								

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20220214

Application/Control Number: 15/468,494 Page 2

Art Unit: 1663

1.

Examiner's Comment

Terminal Disclaimer

The terminal disclaimer filed on 2 December 2021 disclaiming the terminal portion of any patent granted on this application which would extend beyond the

expiration date of U.S. Patents 10167483 and 9944944 and 8283522 and 8916752 has

been reviewed and is accepted. The terminal disclaimer has been recorded.

2. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to David H. Kruse, Ph.D. whose telephone number is (571)

272-0799 and direct fax number (571) 273-0799. The examiner can normally be

reached on Monday to Friday from 7:30 a.m. to 4:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Amjad Abraham can be reached at (571) 270-7058. The central FAX

number for official correspondence is 571-273-8300.

Any inquiry of a general nature or relating to the status of this application or

proceeding should be directed to the Group Receptionist whose telephone number is

(571) 272-1600.

/David H Kruse/

Primary Examiner, Art Unit 1663

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/468,494	WRIGHT et al.
	Examiner	Art Unit
	DAVID H KRUSE	1663

CPC - Sea	arched*			
Symbol		Date	Examiner	
C12N 9/00	771	04/16/2019	/DK/	
		•	<u>-</u>	
CPC Com	bination Sets - Searched*			
Symbol		Date	Examiner	
		•	-	
US Classi	fication - Searched*			
Class	Subclass	Date	Examiner	

^{*} See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes				
Search Notes	Date	Examiner		
Inventor Name Search	04/16/2019	/DK/		
EAST: USPAT, USPGPUB, EPO, JPO, DERWENT	04/16/2019	/DK/		
STN: AGRICOLA, BIOSIS, CAPLUS, EMBASE, CABA	04/16/2019	/DK/		
Sequence Search SEQ ID NO: 2	02/01/2019	/DK/		
Update EAST	12/16/2019	/DK/		
Update EAST	07/17/2020	/DK/		
Update EAST	04/27/2021	/DK/		
Update EAST	08/30/2021	/DK/		
Update EAST	02/14/2022	/DK/		
Interference sequence search of SEQ ID NO: 2	02/14/2022	/DK/		

/DAVID H KRUSE/	
Primary Examiner, Art Unit 1663	

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/468,494	WRIGHT et al.
	Examiner	Art Unit
	DAVID H KRUSE	1663

Interference Search					
US Class/CPC Symbol	US Subclass/CPC Group Date Examiner				
EAST	EAST	02/14/2022	/DK/		

/DAVID H KRUSE/	
Primary Examiner, Art Unit 1663	

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15/468,494	WRIGHT et al.
	Examiner	Art Unit
	DAVID H KRUSE	1663

CPC								
Symbol		Туре	Version					
C12N	/ 15	8274	F	2013-01-01				
C12N	/ 9	/ 0071	I	2013-01-01				
C12N	/ 15	8275	I	2013-01-01				
C12N	/ 9	0069	I	2013-01-01				
C12Y	/ 113	/ 11	A	2013-01-01				

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

NONE	Total Claims Allowed:			
(Assistant Examiner)	(Date)	33	3	
/DAVID H KRUSE/ Primary Examiner, Art Unit 1663	14 February 2022	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	NONE	

U.S. Patent and Trademark Office

	Application/Contr	ol No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15/468,494		WRIGHT et al.
	Examiner		Art Unit
	DAVID H KRUSE		1663
INTERNATIONAL CLASSIFICATION			
CLAIMED			
C12N	/ 15		82
NON-CLAIMED			
US ORIGINAL CLASSIFICATION		-	
CLASS			SUBCLASS

CROSS REFERENCES(S)								
CLASS		SUBCLASS (ONE SUBCLASS PER BLOCK)						

NONE	Total Claims Allowed:		
(Assistant Examiner)	(Date)	33	3
/DAVID H KRUSE/ Primary Examiner, Art Unit 1663	14 February 2022	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

U.S. Patent and Trademark Office

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15/468,494	WRIGHT et al.
	Examiner	Art Unit
	DAVID H KRUSE	1663

V	Claims r	enumb	ered in t	the sar	ne ordei	as pre	esented	by app	licant		CPA (✓ T.D). 🗌	R.1.4	7
CLAIM	CLAIMS														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	1		10		19	2	28	11	37	20	46	29	55		
	2		11		20	3	29	12	38	21	47		56		
	3		12		21	4	30	13	39	22	48	30	57		
	4		13		22	5	31	14	40	23	49	31	58		
	5		14		23	6	32	15	41	24	50	32	59		
	6		15		24	7	33	16	42	25	51	33	60		
	7		16		25	8	34	17	43	26	52				
	8		17		26	9	35	18	44	27	53				
	9		18	1	27	10	36	19	45	28	54	•			

NONE	Total Claims Allowed:		
(Assistant Examiner)	(Date)	33	3
/DAVID H KRUSE/ Primary Examiner, Art Unit 1663	14 February 2022	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

U.S. Patent and Trademark Office Part of Paper No.: 20220214

Bibliographic Data

Application No: 15/468,49	94				
Foreign Priority claimed:	O Yes	⊙ No			
35 USC 119 (a-d) conditions met:	Yes	□No		☐ Met After Allowance	
Verified and Acknowledged:	/DAVID H	I KRUSE/			
	Examiner's	Signature		Initials	
Title:	NOVEL HERBICIDE RESISTANCE GENES				

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
03/24/2017	800	1663	14764-262737
RULE			

APPLICANTS

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CONTINUING DATA

This application is a CON of 14491197 09/19/2014 PAT 10167483

14491197 is a CON of 13647081 10/08/2012 PAT 8916752

13647081 is a CON of 12091896 11/03/2008 PAT 8283522

12091896 is a 371 of PCT/US06/42133 10/27/2006

PCT/US06/42133 has PRO of 60731044 10/28/2005

FOREIGN APPLICATIONS

IF REQUIRED, FOREIGN LICENSE GRANTED**

04/17/2017

STATE OR COUNTRY

UNITED STATES

ADDRESS

Barnes & Thornburg LLP (Dow) 11 South Meridian Street

Indianapolis, IN 46204

UNITED STATES

FILING FEE RECEIVED

\$2,560

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	69	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2022/02/14 09:29
L2	3	(("6153401") or ("9127289") or ("20120245339")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2022/02/14 09:29
S1	7	(("8283522") or ("9944944") or ("8916751") or ("8685677") or ("9232803") or ("8916752") or ("10167483")).PN.	USPAT; USOCR	OR	OFF	2019/04/16 13:07
S2	0	"AAD-12.clm"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S 3	0	"AAD-12"".clm"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S4	663	AAD-12	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S5	50	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/04/16 13:17
S6	52	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/16 09:34
S7	1	("7205561").PN.	USPAT; USOCR	OR	OFF	2019/12/17 15:03
S8	507	Pallett.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:06
S9	16	S8 and herbicide.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:06

S10	0	Kaphammer.in. and herbicide.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:08
S11	19	Kaphammer.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2019/12/17 15:08
S12	56	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2020/07/17 09:18
S13	21	Kaphammer.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2020/07/17 09:18
S14	1	("10167483").PN.	USPAT; USOCR	OR	OFF	2020/07/20 13:36
S15	63	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/04/27 09:58
S16	9,690	C12N9/0071.CPC.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/04/27 09:58
S17	120	S16 AND "aad-12"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/04/27 09:59
S18	4	(("10167483") or ("8916752") or ("8283522") or ("9944944")).PN.	USPAT; USOCR	OR	OFF	2021/04/27 10:00
S19	3	(("9232803") or ("8685677") or ("8916751")).PN.	USPAT; USOCR	OR	OFF	2021/04/27 10:10
S20	9,986	C12N9/0071.CPC.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/08/30 10:11
S21	122	S20 AND "aad-12"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/08/30 10:11
S22	63	AAD-12.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2021/08/30 10:11

EAST Search History (Interference)

<This search history is empty>

 $2/14/2022 \ 9:31:48 \ AM \\ C:\Users\dkruse\Documents\EAST\Workspaces\15468494.wsp$

Receipt date: 12/02/2021 15/468,494 - GAU: 1663

BARNES & THORNBURG LLP

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313 (317) 231-7433 Fax

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.:	107540	}
Group:	1663	}
Confirmation No.:	6867	} }
Application No.:	15/468,494	} }
Invention:	Novel Herbicide Resistance Genes	FILED ELECTRONICALLY: December 2, 2021
Applicant:	Terry Wright et al.	}
Filed:	March 24, 2017	} }
Attorney Docket:	14764-262737	; } }
Examiner	DAVID KRUSE	}

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

This Statement is filed in the captioned application identified above pursuant to 37 C.F.R. § 1.56. No representation is intended that a complete search has been made of the prior art or that no better art references than those listed are available. The filing of this Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in §1.56(b). Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. patents and patent publications are not provided herewith; a copy of each cited foreign and non-patent reference is provided herewith for review by the Examiner.

None of the cited art is believed to disclose or suggest the invention recited in the claims of the captioned application. It is therefore believed that the claimed invention is patentably distinguishable over these references.

Applicant submits herewith the \$260.00 fee set forth in 37 CFR 1.17 (p) and authorize the Commissioner to charge any additional fee necessary to affect this filing to our Deposit Account No. 10-0435, with reference to our Matter No. 14764-262737.

Respectfully submitted,

/John P. Breen/

John P. Breen Registration No. 38,833 Attorney for Applicants

JPB:pey (317) 261-7940 Indianapolis, Indiana 46204

SHEET 1 of 13

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry WRIGHT et al.	
	Filing Date 3/24/2017	Art Unit 1663

Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)
	5135867	August 1992	Payne et al.			
	5273894	December 1993	Strauch et al.			
	<u>5316931</u>	May 1994	Donson et al.			
	<u>5463175</u>	October 1995	Barry et al.			
	5500360	March 1996	Ahlquist et al.			
	5561236	October 1996	Leemans et al.			
	5589367	December 1996	Donson et al.			
	5637489	June 1997	Strauch et al.			
	5646024	July 1997	Leemans et al.			
	<u>5648477</u>	July 1997	Leemans et al.			
	5879903	March 1999	Strauch et al.			
	5910626	June 1999	Haselkorn			
	6087563	July 2000	DellaPenna			
	6107549	August 2000	Feng et al.			
	6153401	November 2000	Streber et al.			
	6268547	July 2001	Weeks			
	6518222	February 2003	Arndt et al.			
	7112665	September 2006	Leemans et al.			
	7405074	July 2008	Castle et al.			
	7462481	December 2008	Castle et al.			
	7659448	February 2010	Ahrens et al.			
	7838733	November 2010	Wright et al.			
	7863503	January 2011	Castle et al.			
	7998703	August 2011	Castle et al.			

EXAMINER:	/DAVID H KRUSE/	DATE CONSIDERED:	02/14/2022

SHEET 2 of 13

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry WRIGHT et al.	
	Filing Date 3/24/2017	Art Unit 1663

	U.S. PATENT DOCUMENTS					
Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)
	8222489	July 2012	Castle et al.			
	<u>8598413</u>	December 2013	Cui et al.			
	9074007	July 2015	Danilevskaya et al.			
	9127289	September 2015	Wright			
	10174337	January 2019	Wright			
	2002/0059659	May 2002	Stemmer			
	2003/0041357	February 2003	Jepson			
	2003/0056245	March 2003	Chatterjee et al.			
	2003/0135879	July 2003	Weeks et al.			
	2009/0069182	March 2009	Castle et al.			
	2012/0245339	September 2012	Castle et al.			
	2014/0325713	October 2014	Kovalic et al.			
	2016/0108422	April 2016	Ellis			

EXAMINER:	/DAVID H KRUSE/	DATE CONSIDERED:	02/14/2022

SHEET 3 of 13

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry WRIGHT et al.	
	Filing Date 3/24/2017	Art Unit 1663

Examiner	Examiner Document Publication Country Translation				
Initials	Number	Date	Country	Yes No	
	1471533	Jan 2004	CN		
	1025250	May 1999	EP		
	1167531	Jan 2002	EP		
	1740039	Jun 2012	EP		
	EP1695983B1	Aug 2006	EP		
	2005/287415	Oct 2005	JP		
	87/05629	Sep 1987	WO		
	96/33270	Oct 1996	WO		
	97/13402	Apr 1997	wo		
	98/02562	Jan 1998	wo		
	9808963	Mar 1998	wo		
	9820144	May 1998	wo		
	98/20144	May 1998	WO		
	9838294	Sep 1998	WO		
	9838336	Sep 1998	WO		
	9844139	Oct 1998	wo		
	9910513	Mar 1999	WO		
	1999/063092	Dec 1999	WO		
	2000/006757	Feb 2000	wo		
	0009727	Feb 2000	WO		
	0066748	Nov 2000	WO		
	2001/038513	May 2001	WO		
	03/013224	Feb 2003	WO		
	2003/056904	Jul 2003	WO		

SHEET 4 of 13

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry WRIGHT et al.	
	Filing Date 3/24/2017	Art Unit 1663

OTHER DOCUMENTS
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Hogan et al, The Journal of Biological Chemistry, 275(17): 12400-12409 (Year: 2000).
Genbank Accession No. M16730, Apr. 1993.
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Opposition filed against EP 10012202.7, filed on Dec. 20, 2013.		
Opposition filed against EP 10012199.5, filed on Jun. 18, 2014.		

EXAMINER: /DAVID H KRUSE/	DATE CONSIDERED: 02/14/2022
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SHEET 13 of 13

Form PTO-1449 (Modified)	Atty. Docket No. 14764-262737	Serial No. 15/468,494
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Terry WRIGHT et al.	
	Filing Date 3/24/2017	Art Unit 1663

OTHER DOCUMENTS				
Molecular Cloning, a Laboratory Manual, Cold Spring Harbor Laboratory Press, Second Edition., 1989, vol. 2, p. 11.45.				
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Applicant Response to Opposition filed against EP 10012201.9, filed on Jul. 28, 2014.				
Applicant Response to Opposition filed against EP 10012202.7, filed on Jul. 28, 2014.				
Applicant Response to Opposition filed against EP 10012199.5, filed on Nov. 22, 2014.				
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Final Decision in Opposition filed against EP 10012201.9, filed on Aug. 1, 2016.				
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Kohler, Genbank Accession No. AJ628859, March 2004				

EXAMINER: /DAVID H KRUSE/ DATE CONSIDER	D: 02/14/2022
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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN **APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	NOVEL HERBICIDE RESISTANCE GENES
As the belo	w named inventor, I hereby declare that
This declar	g: ine anacteu appication, or
l believe tha I hereby ack	United States application or PCT international application number 4/491,197 filed on 09/19/2014 dentified application was made or authorized to be made by me. I I am the original inventor or an original joint inventor of a claimed invention in the application nowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001
by fine or im	prisonment of not more than five (5) years, or both. WARNING:
Pelitioner/a; contribute to (other then i to support a petitioners/a USPTO. Pe application (patent. Furt referenced i	WARNING: splicant is cautioned to avoid submitting personal information in documents filed in a patent application that may identify theft. Personal information such as social security numbers, bank account numbers, or credit card numbers is check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO petition or an application. If this type of personal information is included in documents submitted to the USPTO, policants should consider reducting such personal information from the documents before submitting them to the ditioner/applicant is advised that the record of a patent application is available to the public after publication of the unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a hermore, the record from an abandoned application may also be available to the public if the application is n a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms ubmitted for payment purposes are not retained in the application file and therefore are not publicly available.
	Terry R. Wright Daie (Optional):
Note: An app been previou	ication data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have sty filed. Use an additional PTO/AIA/01 form for each additional inventor.

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If you need assistance in complaing the form, cell 1-800-PTO-9199 and select option 2

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The information provided by you in this form will be subject to the following routine uses:

The information on this form will be treated confidentially to the extent allowed under the
Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552s). Records from
this system of records may be disclosed to the Department of Justice to determine whether
disclosure of these records is required by the Freedom of Information Act.

 A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to

opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as

amended, pursuant to 5 U.S.C. 552s(m).

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the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an

issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	NOVEL	. HERBICIDE RESISTANC	E GENES
As the belo	w named in	nventor, I hereby declare that:	
This declar is directed t		The attached application, or	
		United States application or PCT filed on 09/19/2014	international application number 14/491,197
The above-i	dentified ap	pplication was made or authorized to	o be made by me.
I believe tha	t I am the c	original inventor or an original joint ir	eventor of a claimed invention in the application.
I hereby ack by fine or im	nowledge i prisonment	ihal any willful false statement made t of not more than five (5) years, or i	in this declaration is punishable under 18 U.S.C. 1001 polin.
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LEGAL NA	ME OF IN	VENTOR	
Inventor: _	lustin M.	. Lira	Date (Optional) : <u>04/24/18</u>
Signature		2 January January	
Vote: An appli Seen occulous	cation data s	sheet (PTO/SB/14 or equivalent), includi	ng naming the entire inventive entity, must accompany this form or must have

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THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTC-9199 and select option 2.

Privacy Act Statement

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Title of Invention	NOVEL HERBICIDE RESISTANCE GENES
As the belo	w named inventor, I hereby declare that:
This declar	
	United States application or PCT international application number 14/491,197
The above-i	dentified application was made or authorized to be made by me
I believe tha	t I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby ack by fine or im	nowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 prisonment of not more than five (5) years, or both.
	WARNING:
contribute to (other than a to support a petitioners/ap USPTO. Pet application (to patent. Furth referenced in	plicant is cautioned to avoid submitting personal information in documents filed in a patent application that may identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO petition or an application. If this type of personal information is included in documents submitted to the USPTO policants should consider reducting such personal information from the documents before submitting them to the applicant is advised that the record of a patent application is available to the public after publication of the unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application polication is remove, the record from an abandoned application may also be available to the public the application is a published application or an issued patent (see 37 CFR 1.14). Checks and credit card, authorization forms ibmitted for payment purposes are not retained in the application file and therefore are not publicly available.
LEGAL NA	ME OF INVENTOR
Inventor:	Terence Anthony Walsh Date (Optional) 4亿億
Note: An applic been previous!	callon data sheat (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have y filed. Use an additional PTO/AiA/01 form for each additional inventor.

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LEGAL NA	ME OF INVENTOR
Inventor:	Donald Merio Date (Optional) 41/25, 2018
√ole: An applic een previous!	cation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have y filed. Use an additional PTO/AtA/01 form for each additional inventor.

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Title of Invention	NOVEL HERBICIDE RESISTANCE GENES
As the belo	w named inventor, i hereby declare that:
This declar	******
	United States application or PCT international application number 14/491,197 filed on 09/19/2014
The above-i	dentified application was made or authorized to be made by me.
I belleve tha	t I am the original inventor or an original joint inventor of a claimed invention in the application.
	nowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 prisonment of not more than five (5) years, or both.
	WARNING:
contribute to (other than a to support a petitioners/a; USPTO, Pet application (to patent, Furth referenced in	plicant is cautioned to avoid submitting personal information in documents flied in a patent application that may identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers is check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO pelition or an application. If this type of personal information is included in documents submitted to the USPTO, oplicants should consider redacting such personal information from the documents before submitting them to the titioner/applicant is advised that the record of a patent application is available to the public after publication of the unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a narmore, the record from an abandoned application may also be available to the public if the application is a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms abmitted for payment purposes are not retained in the application file and therefore are not publicly available.
LEGAL NA	ME OF INVENTOR
Inventor:	Jayakumar Pon Samuel Osta (Optional):
Note: An application	cation data sheet (PTC/SB/14 or equivalent), trictuding naming the entire inventive entity, must accompany this form or must have by filed. Use an additional PTC/AIA/01 form for each additional inventor.

This extension of information is required by 35 U.S.C. 115 and 37 CFR 1.83. The information is required to obtain or retain a beneall by the public which is to fite (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Sox 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, P.O. Sox 1450, Alexandria, VA 22313-1450.

If you need assistence in completing the farm, cell 1-800-PTO-9109 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the
 Freedom of information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from
 this system of records may be disclosed to the Department of Justice to determine whether
 disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of
 presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
 opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2984 and 2986. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, Stale, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

U.S. Patent and Trademark Office: U.S. DEFARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN **APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	NOVEL	HERBICIDE RESISTANCE GENES
As the belo	w named in	ventor, I hereby declare that:
This declar	(1	The attached application, or
		United States application or PCT international application number 14/491,197 filed on 09/19/2014
The above-i	dentified ap	plication was made or authorized to be made by me.
I believe tha	t I am the or	riginal inventor or an original joint inventor of a claimed invention in the application.
I hereby ack by fine or im	nowledge th prisonment	nat any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 of not more than five (5) years, or both
		WARNING:
continguie to (other than a to support a ; petitioners/a; USPTO. Pet application (to patent. Furth referenced in	toentry theil the control of a	utioned to avoid submitting personal information in documents filed in a patent application that may it. Personal information such as social security numbers, bank account numbers, or credit card numbers edit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO in application. If this type of personal information is included in documents submitted to the USPTO, ould consider redacting such personal information from the documents before submitting them to the cant is advised that the record of a patent application is available to the public after publication of the n-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a record from an abandoned application may also be available to the public if the application is dapplication or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms payment purposes are not retained in the application file and therefore are not publicly available.
LEGAL NA	ME OF INV	ENTOR
Inventor:	Gaofeng I	Lin Date (Optional) 25 Apr 2018
Vote: An applic Seen previousi	cation data sh y filed, Use a	neet (PTC/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1,63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1,11 and 1,14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Palents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTQ-9199 and select aption 2.

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disclosure of these records is required by the Freedom of Information Act.

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opposing counsel in the course of settlement negotiations.

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 A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to

the Atomic Energy Act (42 U.S.C. 218(c)).

- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (/.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt				
EFS ID:	45113088			
Application Number:	15468494			
International Application Number:				
Confirmation Number:	6867			
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES			
First Named Inventor/Applicant Name:	Terry R. WRIGHT			
Customer Number:	107540			
Filer:	John P. Breen			
Filer Authorized By:				
Attorney Docket Number:	14764-262737			
Receipt Date:	01-MAR-2022			
Filing Date:	24-MAR-2017			
Time Stamp:	11:00:16			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			1571746		
1	Oath or Declaration filed	14491197 Declaration.pdf	4d1c29fed107c43965de9e741277fc0154d8 928c	no	12
Warnings:			'	•	

Information:		
Tot	Il Files Size (in bytes): 1571746	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).						
Thereby appoint:						
X	Practitioners associated with Customer Number: 186233					
	OR					
	Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):					
	Name Registra Numb	- 4	Name	Registration Number		
any and all	y(s) or agent(s) to represent the undersigned befor patent applications assigned <u>only</u> to the undersign or this form in accordance with 37 CFR 3.73(c).	re the Uni	ted States Patent and Trademark C ding to the USPTO assignment reco	Office (USPTO) in connection with ords or assignment documents		
Please o	hange the correspondence address i	or the	application identified in t	he attached statement		
,	7 CFR 3.73(c) to:					
X	The address associated with Customer Number:	18623	33			
	OR					
	Firm or individual name					
	Address					
	City	*************	State	Zip		
	Country	***************************************				
	Telephone		Email			
Assignee name and address: _{CORTEV} A AGRISCIENCE LLC 9330 Zionsville Road Indianapolis, Indiana 48288						
A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of the practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.						
SIGNATURE of Assignee of Record The individual whose signature and title is supplied below is authorized to act on behalf of the assignee.						
Signature Date 3 - 14-202						
Name	Remarks B. Ludu	·		37-4944		
Title Achievited Cyron Sembeline						

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public, which is to update (and by the USPTO to process) the file of a patent or reexamination proceeding. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 18 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need ussistance in completing the form, call 1-800-PTC-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system
 of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual
 Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt			
EFS ID:	45294527		
Application Number:	15468494		
International Application Number:			
Confirmation Number:	6867		
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES		
First Named Inventor/Applicant Name:	Terry R. WRIGHT		
Customer Number:	107540		
Filer:	John P. Breen		
Filer Authorized By:			
Attorney Docket Number:	14764-262737		
Receipt Date:	23-MAR-2022		
Filing Date:	24-MAR-2017		
Time Stamp:	08:50:52		
Application Type: Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Assignee showing of ownership per 37 CFR 3.73	373.pdf	120671		
			87562669c2f449217d769b731e52244951b 860bb	no	3
Warnings:					

Information:						
			453868			
2	Power of Attorney	Corteva_POA_EX2.pdf	38638fp8aaa698e42f41c16f88fafee2b39eb ca9	no	2	
Warnings:						
Information:						
Total Files Size (in bytes)			574539			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

STATEMENT UNDER 37 CFR 3.73(c)					
Applicant/Patent Owner: CORTEVA AGRISCIENCE LLC					
Application No./Patent No.: 15/468,494 Filed/Issue Date: March 24, 2017					
Titled: NOVEL HERBICIDE RESISTAN	CE GENES				
CORTEVA AGRISCIENCE LLC , a Corporation					
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)				
states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):					
1. The assignee of the entire right, title, and interest.					
2. An assignee of less than the entire right, title,	and interest (check applicable box):				
	p interest is%. Additional Statement(s) by the owners ubmitted to account for 100% of the ownership interest.				
There are unspecified percentages of owr right, title and interest are:	nership. The other parties, including inventors, who together own the entire				
Additional Statement(s) by the owner(s) ho right, title, and interest.	olding the balance of the interest must be submitted to account for the entire				
3. The assignee of an undivided interest in the of the other parties, including inventors, who together of	entirety (a complete assignment from one of the joint inventors was made). own the entire right, title, and interest are:				
Additional Statement(s) by the owner(s) ho right, title, and interest.	lding the balance of the interest <u>must be submitted</u> to account for the entire				
	te $(e.g.$, bankruptcy, probate), of an undivided interest in the entirety (a The certified document(s) showing the transfer is attached.				
The interest identified in option 1, 2 or 3 above (not o	ption 4) is evidenced by either (choose one of options A or B below):				
	tent application/patent identified above. The assignment was recorded in ce at Reel, Frame, or for which a copy				
B. A chain of title from the inventor(s), of the pat	ent application/patent identified above, to the current assignee as follows:				
1. From: Inventors	To: DOW AGROSCIENCES LLC				
	United States Patent and Trademark Office at 8, or for which a copy thereof is attached. LLC To: CORTEVA AGRISCIENCE LLC				
The document was recorded in the Reel 058044, Frame 018	United States Patent and Trademark Office at, or for which a copy thereof is attached.				

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

		<u>STATEME</u>	NT UNDER 37 CFR 3.7	<u>3(c)</u>	
3. From:			То:		
			United States Patent and Trace		
	Reel	, Frame	, or for which a copy	thereof is attached.	
4. From: _			To:		
The document was recorded in the United States Patent and Trademark Office at				demark Office at	
	Reel	, Frame	, or for which a copy	thereof is attached.	
5. From: _			To:		
	The docume	nt was recorded in the l	United States Patent and Trac	demark Office at	
	Reel	, Frame	, or for which a copy	thereof is attached.	
6. From: _			To:		
The document was recorded in the United States Patent and Trademark Office at					
	Reel	, Frame	, or for which a copy	thereof is attached.	
	Additional documents	in the chain of title are	e listed on a supplemental she	eet(s).	
			nentary evidence of the chair ted for recordation pursuant t	of title from the original owner to the to 37 CFR 3.11.	
				ent(s)) must be submitted to Assignment records of the USPTO. See MPEP 302.08]	
The under	signed (whose title is	supplied below) is aut	horized to act on behalf of the	e assignee.	
/John P Breen/			March 23, 2022		
Signature				Date	
John	P Breen			38833	
Printed or Typed Name				Title or Registration Number	

[Page 2 of 2]

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



United States Patent and Trademark Office

INITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 15/468,494 03/24/2017 Terry R. WRIGHT 14764-262737

107540 Barnes & Thornburg LLP (Dow) 11 South Meridian Street Indianapolis, IN 46204

CONFIRMATION NO. 6867 IMPROPER CPOA LETTER



Date Mailed: 03/25/2022

NOTICE REGARDING POWER OF ATTORNEY

This is in response to the power of attorney filed 03/23/2022. The power of attorney in this application is not accepted for the reason(s) listed below:

• The power of attorney has not been accepted because the party who is giving power has not been identified. Power of attorney may only be signed by the applicant for patent (37 CFR 1.42) or the patent owner. A party who is not the applicant must become the applicant in accordance with 37 CFR 1.46(c) and appoint any power of attorney in compliance with 37 CFR 3.71 and 3.73. For a reissue application, reexamination proceeding, or supplemental examination proceeding, a patent owner who was not the applicant under 37 CFR 1.46 must appoint any power of attorney in compliance with 37 CFR 3.71 and 3.73. See 37 CFR 1.32(b)(4).

/zabraha/	
Office of Data Management. Application Assistance	

186233 Barnes & Thornburg LLP (CORTEVA) 11 South Meridian Street Indianapolis, IN 46204 **UNITED STATES**



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PC. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

15/468,494 03/24/2017 Terry R. WRIGHT

14764-262737

107540 Barnes & Thornburg LLP (Dow) 11 South Meridian Street Indianapolis, IN 46204 CONFIRMATION NO. 6867 IMPROPER CFR REQUEST



Date Mailed: 03/25/2022

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Power of Attorney, Claims, Fees, System Limitations, and Miscellaneous

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

• Any request to correct or update the name of the applicant must include an application data sheet (ADS) in compliance with 37 CFR 1.76 specifying the correct or updated name of the applicant in the applicant information section. Any request to change the applicant after an original applicant has been specified under 37 CFR 1.46(b) must include a new ADS in compliance with 37 CFR 1.76 specifying the applicant in the applicant information section and comply with 37 CFR 3.71 and 3.73. See 37 CFR 1.46(c).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/zabraha/	

CORRECTED ADS FORM

Application Number 15468494				
Title of Invention		NOVEL HERBICIDE RESISTANCE GENES		
Inventor Informatio	on			
If no data is show	n, no data has bee	n corrected		
		Data of Record	Up	odated Data
Order Number				
Name				
Residence Informat	 ion			
Residency				
City				
State				
Country of Residence				
Mailing Address of	Inventor			
Address 1				
Address 2				
City,State/Province, Postal Code				

Country

Document Description: Application	Data Sheet to update/correct info
Doc Code: ADS CORR	

Application Information

	Data of Record	Updated Data
Title of Invention	NOVEL HERBICIDE RESISTANCE GENES	
Attorney Docket Number	14764-262737	
Entity Type	Regular Undiscounted	

Domestic Benefit/National Stage Information

If no data is shown, no data has been corrected

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121,365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S. C. 119(e) or 120, and 37 CFR 1.78(a).

	Data of Record	Updated Data
ation Status		
Number		
Туре		
ation		
DD)		
-		
DD)		
	Type cation Topo Cation Cation CDD) CDD)	Type cation DD) nber

Foreign Priority Information

Document Description: Application Data Sheet to update/correct info Doc Code: ADS.CORR

If no data is shown, no data has been corrected

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

	Data of Record	Updated Data
Application Num	ber	
Country		
Filing Date		
Access Code		
, recess code		

Applicant Information

If no data is shown, no data has been corrected

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

have an assignment record		<u></u>
	Data of Record	Updated Data
Applicant Type	ASG	
If applicant is the legal		
representative, indicate the		
authority to file the patent		
application, the inventor is		
Name of the Deceased or		
Legally Incapacitated		
Inventor		
Applicant is an		
Organization	Yes	
- · 9 a <u>-</u> a		
Name		
Organization Name		
Organization Name	DOW AGROSCIENCES LLC	CORTEVA AGRISCIENCE LLC
	DOW HOROSCIENCES EEC	COMENTATIONS CIENCE EEC
Address 1		
Address 2		

Document Description: Ap Doc Code: ADS.CORR	plication Data Sheet to update/correct info	
City,State/Province,Postal Code	Indianapolis IN	
Country		
Phone Number		
Fax Number		
Email Address		
Assignee Informa	tion including Non-Applicant Assign	ee Information
	data has been corrected** in the application data sheet does not substitute for conent recorded in the Office	mpliance with any requirement of part 3 of Title 37 of
	Data of Record	Updated Data
Order		
Applicant is an Organization		
Name		
Organization Name		
Mailing Address		
Address 1		
Address 2		
City,State/Province,Postal Code		
Country		
Phone Number		
Fax Number		
Email Address		

Document Description: Application Data Sheet to update/correct info Doc Code: ADS.CORR

Signature

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b).

This Application Data Sheet <u>must</u> be signed by a patent practitioner if one or more of the applicants is a **juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, <u>all</u> joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of <u>all</u> joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature	/John Breen/	Registration Number	38833
First Name	John	Last Name	Breen

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)			
Applicant/Patent Owner: CORTEVA AGR			
Application No./Patent No.: 15/468,494			
Titled: NOVEL HERBICIDE RESIST.			
CORTEVA AGRISCIENCE LLC	, a Corporation		
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)		
states that, for the patent application/patent ident	tified above, it is (choose one of options 1, 2, 3 or 4 below):		
1. The assignee of the entire right, title, and	Finterest.		
2. $\ \ \ \ $ An assignee of less than the entire right,	title, and interest (check applicable box):		
The extent (by percentage) of its owner holding the balance of the interest <u>must k</u>	ership interest is%. Additional Statement(s) by the owners be submitted to account for 100% of the ownership interest.		
There are unspecified percentages of right, title and interest are:	ownership. The other parties, including inventors, who together own the entire		
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire		
3. The assignee of an undivided interest in The other parties, including inventors, who together	the entirety (a complete assignment from one of the joint inventors was made). ner own the entire right, title, and interest are:		
right, title, and interest.	s) holding the balance of the interest must be submitted to account for the entire		
	ne like ($e.g.$, bankruptcy, probate), of an undivided interest in the entirety (a e). The certified document(s) showing the transfer is attached.		
The interest identified in option 1, 2 or 3 above (r	not option 4) is evidenced by either (choose one of options A or B below):		
	e patent application/patent identified above. The assignment was recorded in Office at Reel, Frame, or for which a copy		
B. A chain of title from the inventor(s), of the	e patent application/patent identified above, to the current assignee as follows:		
1. From: Inventors	To: DOW AGROSCIENCES LLC		
Reel <u>021361</u> , Frame <u>0</u>	the United States Patent and Trademark Office at 0768, or for which a copy thereof is attached. ES LLC		
	the United States Patent and Trademark Office at 0184, or for which a copy thereof is attached.		

[Page 1 of 2]
This collection of information is required by 37 GFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 GFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

		STATEME	NT UNDER 37 CFR 3.73	<u>8(c)</u>
3. From:			To:	
			United States Patent and Trad	
	Reel	, Frame	or for which a copy th	nereof is attached.
4. From:			To:	
	The docume	nt was recorded in the	United States Patent and Trad	emark Office at
	Reel	, Frame	, or for which a copy th	nereof is attached.
5. From:			To:	
			United States Patent and Trad	
	Reel	, Frame	, or for which a copy th	nereof is attached.
6. From:			To:	
	The docume	nt was recorded in the	United States Patent and Trad	emark Office at
	Reel	, Frame	, or for which a copy th	nereof is attached.
Ac	dditional document	s in the chain of title are	e listed on a supplemental shee	et(s).
			mentary evidence of the chain tted for recordation pursuant to	of title from the original owner to the 37 CFR 3.11.
				int(s)) must be submitted to Assignment ecords of the USPTO. See MPEP 302.08]
The undersi	gned (whose title is	supplied below) is aut	horized to act on behalf of the	assignee.
/John P I	Breen/			April 14, 2022
Signature				Date
John F	P Breen			38833
Printed or Ty				Title or Registration Number

[Page 2 of 2]

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	45478487				
Application Number:	15468494				
International Application Number:					
Confirmation Number:	6867				
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES				
First Named Inventor/Applicant Name:	Terry R. WRIGHT				
Customer Number:	107540				
Filer:	John P. Breen				
Filer Authorized By:					
Attorney Docket Number:	14764-262737				
Receipt Date:	14-APR-2022				
Filing Date:	24-MAR-2017				
Time Stamp:	16:43:44				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet to update/ correct info	Corrected ADS.pdf	99687 429dc9a40adde05a951ea5043914c810082 19db2	no	5
Warnings:			<u>, </u>	•	

Information:							
			89221				
2	Assignee showing of ownership per 37 CFR 3.73	373_final.pdf	e2dae309ab2f88026800a0d9426932d5e3e ea3c1	no	3		
Warnings:							
Information:							
		Total Files Size (in bytes):	1:	88908			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 WWW.18910.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
15/468 494	03/24/2017	1663	3200	14764-262737	32	2

107540 Barnes & Thornburg LLP (Dow) 11 South Meridian Street Indianapolis, IN 46204 CONFIRMATION NO. 6867 CORRECTED FILING RECEIPT



Date Mailed: 04/18/2022

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s)

Terry R. WRIGHT, Carmel, IN; Justin M. LIRA, Zionsville, IN; Terence Anthony WALSH, Zionsville, IN; Donald MERLO, Carmel, IN; Jayakumar Pon SAMUEL, Carmel, IN; Gaofeng LIN, Zionsville, IN;

Applicant(s)

CORTEVA AGRISCIENCE LLC, Indianapolis, IN;

Power of Attorney: The patent practitioners associated with Customer Number 107540

Domestic Priority data as claimed by applicant

This application is a CON of 14/491,197 09/19/2014 PAT 10167483 which is a CON of 13/647,081 10/08/2012 PAT 8916752 which is a CON of 12/091,896 11/03/2008 PAT 8283522 which is a 371 of PCT/US06/42133 10/27/2006 which claims benefit of 60/731,044 10/28/2005

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 04/17/2017

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 15/468,494**

Projected Publication Date: Not Applicable

Non-Publication Request: No Early Publication Request: No

Title

NOVEL HERBICIDE RESISTANCE GENES

Preliminary Class

800

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor

community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit http://www.SelectUSA.gov or call +1-202-482-6800.

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).							
I hereby appoint:							
X	X Practitioners associated with Customer Number: 186233						
	OR						
	Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):						
***************************************	Name	Registration Number	Name	Registration Number			
		таптта — памажал а ле маленала	***************************************				
harana.	TRANSPORTATION OF THE PROPERTY						
any and all	y(s) or agent(s) to represent the undersige patent applications assigned <u>only</u> to the o this form in accordance with 37 CFR 3.7.	undersigned acco					
	hange the correspondence ad	dress for the	application identified in	the attached statement			
under 3	7 CFR 3.73(c) to:						
X	The address associated with Customer No	umber: 1862	233				
	OR						
	Firm or individual name			777777			
	Address	THE RESIDENCE OF THE PARTY OF T					
	City		State	Zip			
	Country						
	Telephone		Email				
Assignee	name and address: _{CORTEVA} AGRISCIEN 9330 Zionsville Road Indianapolis, Indiana 46						
A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of the							
practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed. SIGNATURE of Assignee of Record							
Classia	The individual-whose signature and	title is supplied					
	Signature Date April 14 2022						
Name	A 0/mg / 4e (17-mg) L						
Title ,	MSDISTANT SECRETARY			***************************************			

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public, which is to update (and by the USPTO to process) the file of a patent or reexamination proceeding. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 18 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	45491536				
Application Number:	15468494				
International Application Number:					
Confirmation Number:	6867				
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES				
First Named Inventor/Applicant Name:	Terry R. WRIGHT				
Customer Number:	107540				
Filer:	John P. Breen				
Filer Authorized By:					
Attorney Docket Number:	14764-262737				
Receipt Date:	18-APR-2022				
Filing Date:	24-MAR-2017				
Time Stamp:	08:52:56				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			89221		
1	Assignee showing of ownership per 37 CFR 3.73	373_final.pdf	e2dae309ab2f88026800a0d9426932d5e3e ea3c1	no	3
Warnings:				•	

Information:							
			121538				
2	Power of Attorney	Corteva_POA.pdf	a14a4e2f902cf8db94a7b46d01ea6a815474 371a	no	1		
Warnings:							
Information:							
		Total Files Size (in bytes):	2	10759			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)
Applicant/Patent Owner: CORTEVA AGRISCIENCE LLC
Application No./Patent No.: 15/468,494 Filed/Issue Date: March 24, 2017
Titled: NOVEL HERBICIDE RESISTANCE GENES
CORTEVA AGRISCIENCE LLC , a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):
1. The assignee of the entire right, title, and interest.
2. An assignee of less than the entire right, title, and interest (check applicable box):
The extent (by percentage) of its ownership interest is%. Additional Statement(s) by the owners holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.
There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire right, title, and interest.
3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire right, title, and interest.
4. The recipient, via a court proceeding or the like (<i>e.g.</i> , bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.
The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
B. 🗹 A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: Inventors To: DOW AGROSCIENCES LLC
The document was recorded in the United States Patent and Trademark Office at Reel 021361 Frame 0768 or for which a copy thereof is attached. 2. From: DOW AGROSCIENCES LLC To: CORTEVA AGRISCIENCE LLC
The document was recorded in the United States Patent and Trademark Office at Reel 058044 , Frame 0184 , or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

		STATEME	NT UNDER 37 CFR 3.73(c)	
3. From:			To:	
			United States Patent and Trademark Off	
	Reel	Frame	or for which a copy thereof is a	ittached.
4. From:			To:	
	The documer	t was recorded in the	United States Patent and Trademark Off	ice at
	Reel	, Frame	, or for which a copy thereof is a	ittached.
5. From:			To:	***************************************
	The documer	t was recorded in the	United States Patent and Trademark Off	ice at
	Reel	, Frame	, or for which a copy thereof is a	ittached.
6. From:			To:	
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	Reel	, Frame	, or for which a copy thereof is a	uttached.
A	dditional documents	in the chain of title are	e listed on a supplemental sheet(s).	
			nentary evidence of the chain of title fro- ted for recordation pursuant to 37 CFR	
			ne original assignment document(s)) murrecord the assignment in the records of	
The unders	igned (whose title is	supplied below) is aut	horized to act on behalf of the assignee.	
/John P	Breen/		A	oril 14, 2022
Signature			Da	te
John I	P Breen		38	3833
Printed or T	yped Name		Titl	e or Registration Number

[Page 2 of 2]

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



186233

United States Patent and Trademark Office

United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER

11 South Meridian Street Indianapolis, IN 46204

FILING OR 371(C) DATE

FIRST NAMED APPLICANT Terry R. WRIGHT

ATTY. DOCKET NO./TITLE 14764-262737

15/468,494

Barnes & Thornburg LLP (CORTEVA)

03/24/2017

CONFIRMATION NO. 6867

POA ACCEPTANCE LETTER



Date Mailed: 04/19/2022

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 04/18/2022.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/dgela/	



United States Patent and Trademark Office

United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER 15/468,494

FILING OR 371(C) DATE 03/24/2017

FIRST NAMED APPLICANT Terry R. WRIGHT

ATTY. DOCKET NO./TITLE 14764-262737 **CONFIRMATION NO. 6867**

107540 Barnes & Thornburg LLP (Dow)

11 South Meridian Street Indianapolis, IN 46204

POWER OF ATTORNEY NOTICE



Date Mailed: 04/19/2022

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 04/18/2022.

• The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/dgela/

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450						By fax, send t	ю:	(571)-273-2883
further correspondence i	including the Patent, adva	nce orders and notification	E and PUBLICATION FEI n of maintenance fees will dence address; and/or (b) i	be mailed to the cur	rrent corre	spondence address a	s indic	ated unless correcte
CURRENT CORRESPOND	DENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	Fee pap	Note: A certificate of mailing can only be used for domestic mailings Fee(s) Transmittal. This certificate cannot be used for any other accompt papers. Each additional paper, such as an assignment or formal drawing have its own certificate of mailing or transmission.				
Barnes & Thom 11 South Merid Indianapolis, IN	rnburg LLP (Dow) ian Street	/2022	I he Star add	Cereby certify that these Postal Service versed to the Mail	rtificate on the control of the cont	f Mailing or Transi Transmittal is being cient postage for first JE FEE address abo facsimile to (571) 27	depos t class ve, or	ited with the United mail in an envelop being transmitted to
			E					(Signature
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	R	ATTOR	NEY DOCKET NO.	CON	FIRMATION NO.
15/468,494	03/24/2017	I	Terry R. WRIGHT		14	764-262737		6867
TITLE OF INVENTION	N: NOVEL HERBICIDE	RESISTANCE GENES	·					
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE		DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00		\$1200		06/01/2022
EXAM	MINER	ART UNIT	CLASS-SUBCLASS	1				
KRUSE,	DAVID H	1663	800-300000	_				
CFR 1.363). Change of correst Address form PTO/A "Fee Address" ind AIA/47 or PTO/SB/4	condence address or indication condence address (or Change AIA/122 or PTO/SB/122) dication (or "Fee Address 17; Rev 03-02 or more reco	nge of Correspondence attached.	2. For printing on the p (1) The names of up to or agents OR, alternating (2) The name of a sing registered attorney or 2 registered patent attolisted, no name will be	o 3 registered pater ively, gle firm (having as a agent) and the nam orneys or agents. If	nt attorney a member nes of up t	1 Barnes &	τ The	ornburg LLP
3. ASSIGNEE NAME A		A TO BE PRINTED ON T	L THE PATENT (print or ty	pe)				
PLEASE NOTE: Unl recorded, or filed for (A) NAME OF ASSI	recordation, as set forth i	ed below, no assignee dat n 37 CFR 3.11 and 37 CF	a will appear on the patent FR 3.81(a). Completion of (B) RESIDENCE: (CITY	this form is NOT a	ı substitut	e for filing an assign	must h ment.	nave been previously
Corteva Agris			Indianapolis, I			,		
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4a. Fees submitted:	Issue Fee Publication (Please first reapply any	lication Fee (if required) previously paid fee show	Advance Order -		эганоп ог	outer private group e	enuty 4	Government
Electronic Payme			Non-electronic payment by					
The Director is he	ereby authorized to charge	e the required fee(s), any	deficiency, or credit any o	verpayment to Dep	osit Acco	unt No. <u>100435</u>		
Applicant certifyi Applicant assertin	ntus (from status indicate ng micro entity status. Se ng small entity status. See ng to regular undiscounte	e 37 CFR 1.29 37 CFR 1.27	NOTE: Absent a valid cofee payment in the micro NOTE: If the application to be a notification of los NOTE: Checking this boentity status, as applicable	entity amount will was previously un ss of entitlement to ox will be taken to b	not be ac der micro micro ent	cepted at the risk of entity status, checking status.	applica ng this	ation abandonment. s box will be taken
	_		3. See 37 CFR 1.4 for sign	-				
	_/John P. Breen			Date <u>Ma</u> y	7 26, 20)22		
Typed or printed nam	_{ne} <u>John P. Breen</u>			Registration N	No. <u>388</u>	33		

(571)-273-2885

Electronic Patent Application Fee Transmittal								
Application Number:	154	168494						
Filing Date:	24-	Mar-2017						
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES							
First Named Inventor/Applicant Name:	Terry R. WRIGHT							
Filer:	John P. Breen/Priscilla York							
Attorney Docket Number:	14764-262737							
Filed as Large Entity								
Filing Fees for Utility under 35 USC 111(a)								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
UTILITY APPL ISSUE FEE		1501	1	1200	1200			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1200

Electronic Acknowledgement Receipt					
EFS ID:	45813585				
Application Number:	15468494				
International Application Number:					
Confirmation Number:	6867				
Title of Invention:	NOVEL HERBICIDE RESISTANCE GENES				
First Named Inventor/Applicant Name:	Terry R. WRIGHT				
Customer Number:	186233				
Filer:	John P. Breen/Priscilla York				
Filer Authorized By:	John P. Breen				
Attorney Docket Number:	14764-262737				
Receipt Date:	26-MAY-2022				
Filing Date:	24-MAR-2017				
Time Stamp:	15:51:45				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1200
RAM confirmation Number	E20225PF52191969
Deposit Account	100435
Authorized User	Priscilla York

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)37 CFR 1.20 (Post Issuance fees)37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			120401		
1	Issue Fee Payment (PTO-85B)	262737_Issue_Fee_Transmittal. pdf	d5696e8ddee352249e901ad81cf19b1d7c3 0f1a5	no	1
Warnings:				'	
Information:					
			38069		
2	Fee Worksheet (SB06)	fee-info.pdf	2de815076ec35a6c467b7894f8e4b10d58d abbc9	no	2
Warnings:		-		I	
Information:					
		Total Files Size (in bytes):	15	58470	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Receipt date: 04/09/2018 15/468,494 - GAU: 1663

Please type a plus sign (+) inside this box

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.H.K/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number: 15/468494
Filing Date: March 24, 2017
First Named Inventor: WRIGHT, Terry R.
Group Art Unit: 1663
Confirmation Number: 6867
Examiner Name: Kruse, David
Attorney Docket Number: 14764-262737

Complete if Known

(Use as many sheets as necessary)

Sheet 1 of 2

Att

					U.S. PATENT DOCUMENTS		
			U.S. Patent Doo	ument			
	Examiner Initials	Doc. No.	Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		ΑА	4683195		Mullis et al. 07/1987	07-28-1997	
		ΑB	4761373		Anderson et al.	08-02-1988	
		AC	5608147		Kaphammer	03-1997	
		ΑD	5656422		Crawford	08-1997	
		ΑE	6645497		Malvar et al.	11-2003	
		ΑF	6664384		Xu	12-2003	
C_1	()	ĄG	7205561		Pallet, et al. (helvayohan, et al.	6 1998 04/2007	
Chai	ige(s) appl	^{ie} ÅH	8278505		Lira	10-2012	
to do	cument,	ΑI	8283522		Wright, Terry R.	10-2012	
ZNIV	V.S./	ΑJ	8916752		Wright, Terry R.	12-2014	
		ΑK	9062284		Lira	06-2015	
3/10	/2022						
			•	•		•	4

FOREIGN PATENT DOCUMENTS										
		F	oreign Patent Documen	t			Tran	slation		
Examiner Initials	Doc. No.	Office	Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Yes	No*+		
	ΑL	WO	2003/034813		U.S. Secretary of Agriculture	05-01-2003				
	ΑM	WO	2005/107437		Dow Agrosciences LLC	11-17-2005				
	ΑN	WO	2007/053482		Dow Agrosciences LLC	05-10-2007				
		•								

	OTHER - NON PATENT LITERATURE DOCUMENTS						
Examiner Doc.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,		slation			
Initials	No.	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Yes	No*+			
	AO	Fourgoux-Nicol et al., Isolation of rapeseed genes expressed early and specifically during development of the male gametophyte, Plant Biology, 1999, Vol. 40, p. 857-872					
	ΑP	Gressel, Jonathan, Molecular biology of weed control, Transgenic Research 2000, Vol. 9, p. 355-382					
	AQ	Halford et al., Weed Technology, 2001, Vol. 15, p. 737-744					
	AR	Hegg, Eric L. et al., Herbicide-Degrading α-Keto Acid-Dependent Enzyme TfdA: Metal Coordination Environment and Mechanistic Insights, Biochemistry, 1999, Vol. 38, p. 16714-16726					
	AS	lowa State University Extension 2005 Herbicide manual for Agricultural Professionals, p. 50-72					
	ΑТ	Kasuga, Mie, Improving Plant Drought, Salt, and Freezing Tolerance by Gene Transfer of a Single Stress-Inducible Transcription Factor, Nature Biotechnology, March 1999, Vol. 17, p. 287-291					
	ΑU	Maliga, Current Opinion in Plant Biology, 5:164-172 (2002).					
	ΑV	Perlack, Frederick J., Modification of the Coding Sequence Enhances Plant Expression of Insect Control Protein Genes, <i>Proc. Natl., Acad. Sci.</i> , USA, Biochemistry, April 1991, Vol. 88, p. 3324-3328					

EXAMINER /DAVID H KRUSE/ DATE CONSIDERED 04/	04/16/2019
--	------------

^{*} A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

⁺ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

6867

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. ISSUE DATE ATTORNEY DOCKET NO. CONFIRMATION NO PATENT NO.

15/468,494

11371055

14764-262737

186233

7590

06/08/2022

06/28/2022

Barnes & Thornburg LLP (CORTEVA) 11 South Meridian Street Indianapolis, IN 46204

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 217 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Patents Stakeholder Experience (OPSE), Stakeholder Support Division (SSD) at (571)-272-4200.

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