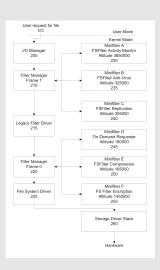
Claims Charts (Windows OneDrive + cldflt.sys)

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Assignee:	O'BRIEN ROYAL
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Legal Status (PAIR):	Patented Case



Claims:

A streaming on demand system comprising an ondemand requester object installed on a computing device, said on-demand requester object being configured to receive I/O requests on behalf of an application for which data is available in data packs for streaming delivery, said on-demand requester object being responsive to application I/O requests, said

The limitations of Claim 1 are satisfied by an application program running on a computer system using Windows. Windows satisfies application I/O requests utilizing an I/O stack, which includes at least a filter manager and one or more software components (drivers) that work in combination to produce the necessary response to the application's I/O request.

The term "Windows" means at least the current and more recent versions of Windows 10 running on a computer.

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application I/O requests corresponding to sequential and non-sequential data packs, said data packs being portions of a complete data stream, said I/O requests determining an order in which data packs are sought for the application, said on-demand requester object comprising a minifilter associated with a filter manager in an I/O stack, said filter manager being configured to call the minifilter, said minifilter having a pre-operation callback routine for the I/O request registered with the filter manager, and said minifilter being configured to receive each I/O request from the application, said minifilter being further configured to reference a table that includes names and paths with at least one offset address and length where each data pack required to fulfill each I/O request is located, said minifilter being further configured to determine if the data pack has been streamed to the system, and said application being a program configured to use the data packs that fulfill each I/O request when the data packs are available on the computing device.

The term "OneDrive" is used to describe a data provider element, which is part of the on-demand requester object defined by the claim, that consists of components that are both resident on the local computer system (e.g., the "OneDrive for Windows" service, which is part of Windows) and the supporting infrastructure, including software and hardware, provided and/or managed by Microsoft to at least manage, read, write, replicate, and enable transfer of data from a remote storage location to the local Windows system, as needed by Windows to satisfy the I/O requests of the application that generated the I/O request. This use is consistent with Microsoft's own use of the name **OneDrive**. Of these required elements, the following are present when running an application on Windows:

- The application program that issues I/O requests within the accused environment, though the application itself is normally not part of the on-demand requester object. Any application program can trigger the behavior described within the patent because executing an application inherently requires generating I/O request, simply to execute the application. Given that the function of a modern computer system is to run application programs, this element is always present. The application's generation of I/O requests is not part of the claim but is a necessary pre-requisite to their receipt and processing of the on-demand requester object.
- A filter manager. All versions of Microsoft Windows include a component known as the "Filter Manager". Microsoft documents this: "The filter manager is installed with Windows, but becomes active only when a minifilter driver is loaded. The filter manager attaches to the file system stack for a target volume. A minifilter driver attaches to the file system stack indirectly, by registering with the filter manager for the I/O operations the minifilter driver chooses to filter." (https://docs.microsoft.com/en-us/windows-hardware/drivers/ifs/filter-manager-concepts).
- An I/O stack. Windows has included an I/O stack in all versions of Windows since at least Windows XP. Microsoft documents this in numerous places and uses a variety of terms to describe how this I/O stack is implemented, such as describing the layers of the I/O stack

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(https://docs.microsoft.com/en-us/windows-hardware/drivers/kernel/overview-of-the-windows-i-o-model). Thus, this claim element is inherent in Windows and is always present.

A nonlimiting example of how Microsoft describes the action of the accused product is presented in Windows. Windows includes **OneDrive**. Microsoft documents that this is included in Windows: "If you have Windows 10, OneDrive is already installed on your PC. If you are using another version of Windows, install OneDrive to get started." (https://www.microsoft.com/en-us/microsoft-365/onedrive/download)

OneDrive, which is part of Windows, implements a streaming on-demand data storage service, in which data is stored in data packs within a Microsoft data center and streaming downloaded, on demand, to the local computer system, said demand being initiated by the I/O requests of the application and if the data has not previously been downloaded and stored on the local computer system running Windows.

The on-demand requester object of the claim is realized when **OneDrive** is combined, directly or indirectly, with the **cldflt.sys** minifilter driver, which is distributed as part of Windows. This ondemand requester object satisfies the application I/O request, either by using data that has been stored locally, or data that it retrieves over the network from Microsoft's data center. Since its introduction to Windows, Microsoft has formalized the use of **cldflt.sys** by implementing and documenting a library that can be used by specialized applications to allow both Microsoft and third parties to develop "Cloud Sync Engines". Microsoft documents these on their website (https://docs.microsoft.com/en-us/windows/win32/cfapi/cloud-files-api-portal). Microsoft explains in detail how Windows incorporates support for streaming on demand file download. The **cldflt.sys** minifilter is sufficient to activate the function of the Windows Filter Manager.

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The version of **OneDrive** that is included in Windows is now an implementation of a "Cloud Sync Engine" within Windows (https://docs.microsoft.com/en-us/windows/win32/cfapi/cloud-files-api-portal).

The combination of **OneDrive** and **cldflt.sys** correspond to the "on-demand requester object" defined by this claim. To satisfy this definition, the on-demand requester object must include a minifilter and must have a mechanism for retrieving the necessary data on-demand, which need not be part of the minifilter. The storage data provider service, which is an inherent part of the on-demand requester object, downloads data as needed and may store a copy of that data on the local computer. **OneDrive** works with the **cldflt.sys** minifilter, the Windows I/O stack, filter manager, and other necessary components to realize an on-demand requester object as defined by the claim.

All versions of Microsoft Windows 10 since at least the release of Version 1709 include the required elements:

- An on-demand requester object installed on the computing device configured to receive I/O
 requests on behalf of that application and either satisfy the I/O request if the data is located
 on the local computer system, or fetched from a remote location. Said on-demand
 requester object consisting of:
 - OneDrive which is a cloud storage solution provided by Microsoft as part of current Windows versions. OneDrive permits seamless integration of streaming ondemand cloud storage with Windows (https://www.windowscentral.com/how-get-started-onedrive-windows-10).
 - A dynamic link library, cldapi.dll that:
 - Is included with Windows and provides a programmatic mechanism for OneDrive to communicate with the cldflt.sys minifilter, described below, that,

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when combin	ed, are consistent with the "on-demand requester object" of the
patent.	
(2) Provides at le	east one mechanism for transferring data from a remote storage
service to the	local file system service. For example, CfGetTransferKey is a
documented	mechanism that is made available from cldapi.dll that Microsoft
describes as	" an alternative to CfHydratePlaceholder to proactively initiate
data transfer	into a placeholder." https://docs.microsoft.com/en-
us/windows/v	vin32/api/cfapi/nf-cfapi-cfgettransferkey
(3) Provides at le	east one mechanism for determining information about the status of
regions of a s	specific locally maintained file. The application programming
interface (AP	I) CfGetPlaceholderRangeInfo, which is made available from
cldapi.dll, sp	pecifies a file handle, which is sufficient to determine the identity of
a file, includir	ng a path and name, the status of the requested range (the
CF_PLACEH	IOLDER_RANGE_INFO_CLASS parameter), the StartingOffset
and Length p	parameters, as well as a location in which the requested
information is	returned (InfoBuffer, InfoBufferLength, and ReturnedLength).
https://docs.n	nicrosoft.com/en-us/windows/win32/api/cfapi/nf-cfapi-
cfgetplacehol	derrangeinfo. The cldapi.dll and cldflt.sys minifilter work in
tandem to ma	aintain this information and ensure it is updated properly within the
minifilter. Th	is is accomplished by using a communications protocol. From this
it appears like	ely that the minifilter maintains at least one table of state
information.	
o A minifilter, cldflt	.sys that:
	with the Microsoft Windows filter manager
` '	microsoft.com/en-us/windows-hardware/drivers/ifs/filter-manager-
,	ccording to Microsoft the filter manager is included in all versions

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	of Windows, as it	is universal (https://docs.microsoft.com/en-us/windows-
	hardware/drivers/	ddi/fltkernel/nf-fltkernel-fltregisterfilter);
	(2) The filter manage	r is configured to call the minifilter. The cldflt.sys minifilter
	does this by invok	ing FltRegisterFilter and specifying entry points that filter
	manager is to invo	oke to handle specific input and output (I/O) operations, at
	least including op-	ening, reading, and writing the contents of files, such files
	residing on the loa	cal computer system and/or on the cloud storage system;
	(3) The cldflt.sys min	nifilter driver uses existing functionality of the Windows
	operating system	core operating system to maintain tables of information,
	including RtIInser	tElementGenericTableAvI (https://docs.microsoft.com/en-
	us/windows-hardy	vare/drivers/ddi/ntddk/nf-ntddk-
	rtlinsertelementge	nerictableavl), FsRtIGetNextMbaseMcbEntry
	(https://docs.micro	psoft.com/en-us/windows-hardware/drivers/ddi/ntifs/nf-ntifs-
	_fsrtl_advanced_f	cb_header-fsrtlgetnextmcbentry),
	FltParseFileNam	eInformation (https://docs.microsoft.com/en-us/windows-
	hardware/drivers/	ddi/fltkernel/nf-fltkernel-fltparsefilename),
	FltSetStreamCor	ntext (https://docs.microsoft.com/en-us/windows-
	hardware/drivers/	ddi/fltkernel/nf-fltkernel-fltsetstreamcontext), and
	FltSetFileContex	t (https://docs.microsoft.com/en-us/windows-
	hardware/drivers/	ddi/fltkernel/nf-fltkernel-fltsetfilecontext). These routines are
	consistent with bo	th the use of tables and tracking regions of files that have
	been allocated. T	his, combined with the detailed range information provided by
	cldflt.dll, at a min	imum corresponds to the table that includes this information
	and which data pa	acks have been streamed to the local system.
	(4) The cldflt.sys min	nifilter driver ensures that the data required to satisfy an
	application I/O red	quest is present on the local system before that I/O operation
	is completed.	

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		requester objective demand behavior is apparent if of the cldflt.system environment of the computer system with Winderequirements of this claim: at least Manager, a filter manager, and an	is minifilter driver is a necessary component of the on-demand ect. When cldflt.sys is bypassed, we do not observe the onvior of normal Windows applications when using OneDrive . This one uses Windows Subsystem for Linux (WSL), which bypasses driver functionality. Thus, Linux applications within the WSL do not see the correct data content of the file. Indows installed includes all of the necessary elements to meet the one application that generates I/O requests, an I/O stack, an I/O on-demand requester object in which the on-demand requester isters and handles specific I/O operations.
3	A streaming on demand system according to claim 1, said minifilter attaching to the I/O stack by registering with a filter manager in the I/O stack for I/O requests for the application for which data is available in data packs for streaming delivery.	satisfied by the cldflt.sys minifilter with the Windows Filter manager in minifilter calls the Windows kernel requests for any application access constructed in such a way that if the I/O requests for the application are	rporated by reference. In addition, the limitations of Claim 3 are a component of the "on-demand requester object" by registering in the I/O stack. This is satisfied by the fact that the cldflt.sys routine FltRegisterFilter and specifies a list of callbacks for I/O sing the specific storage device. The cldflt.sys minifilter is ne data is not provided by the "on-demand requester object" the a passed along the I/O stack. Thus, cldflt.sys does intercept lication for which the data is available in data packs for streaming claim.

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4 A streaming on demand system according to claim 1, said table including a size indicator for each data pack.

The limitations of Claim 1 are incorporated by reference. In addition, the limitations of Claim 4 are satisfied by the cldflt.sys minifilter because it maintains detailed size information for each data pack, which may include one or more portions of a single file. The cldflt.syshas a function called HsmiRecallAllocateHydrationContext, which is part of the code within cldflt.sys, which is used to construct the on-demand requester object. In addition, that this size information is maintained both within the operating memory of cldflt.sys as well as the "placeholder" data that it uses to maintain information. Further, this information is maintained within a table, which is demonstrated by the use of the Adelson-Velsky and Landis tree ("AVL Tree") package, which is a part of the Windows operating system kernel; for example, the function RtlInsertElementGenericTableAVL, which is documented by Microsoft (https://docs.microsoft.com/en-us/windows-hardware/drivers/ddi/ntddk/nfntddk-rtlinsertelementgenerictableavl). These functions are used when a cloud file is opened (HsmiFltpECPCreate) and when responding to specific requests by Windows OneDrive, which is a data provider that is part of the on-demand requester object (CldiPortProcessServiceCommands). Microsoft also documents that the cloud filter API allows the data provider component of the ondemand requester object to retrieve size information (CF_FS_METADATA) using the API CfHydratePlaceholder, which includes a handle to identify the specific file (FileHandle), the starting offset of the file region (StartingOffset), and the length of that region (Length). In order to implement this API, the on-demand requester object must maintain a table of regions within the file that are present.

An additional example of how the **cldflt.sys** minifilter component of the on-demand requester object in Windows meets this claim limitation is that it uses the "map control block" (MCB) API that is used by Windows file systems for tracking the allocation of media blocks to a file; this is a second implementation of a table and media control blocks are an example of "data packs" within the meaning of the specification. Microsoft documents the MCB API. For example, the routine **FsRtlLookupBaseMcbEntry** is documented on the Microsoft website

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		(https://docs.microsoft.com/en-us/windows-hardware/en-us/windows-har	
5	A streaming on demand system according to claim 1, said minifilter being configured to pass on a read call if a required data pack is available locally and if all data in the required data pack has been received.	The limitations of Claim 1 are incorporated by reference satisfied by the cldflt.sys minifilter, which is part of the minifilter passes read operations to the next compone available within the local file. The cldflt.sys minifilter • The minifilter modifies the file meta-data so the attaching, or by removing the in-memory minifical (HsmpCldNullifyStreamContext) • When a file is completely downloaded and store reparse point (HsmpRpRemove) that is store is persistently stored. Microsoft documents he (https://docs.microsoft.com/en-us/windows/windis a standard feature of the Windows operation. This satisfies the limitations of this claim.	e on-demand requester object. The cldflt.sys nt of the I/O stack for a given file if the data is achieves this in at least two ways: at it can be satisfied locally by either not filter stream context ored locally, the minifilter can remove the ed on the underlying media where the file data ow reparse points work in Windows n32/fileio/reparse-points) and support for them
6	A streaming on demand system according to claim 1, said minifilter being configured to withhold a read call if a required data pack is not available locally.	The limitations of Claim 1 are incorporated by reference satisfied by the cldflt.sys minifilter component of the 'blocking ("withhold") a read call if data required to satisthe locally downloaded copy of the file.	on-demand requester object". It does so by

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		The cldflt.sys minifilter meets this claim	m limitation because cldflt.sys blocks the read call while the
		OneDrive component of the on-demand requester object downloads the necessary data. The	
		function HsmiRecallInitiateHydration	Ex is invoked by HsmFltPreREAD. The function
		HsmFltPreREAD is the read call hand	ller that cldflt.sys registers with the Filter Manager and is
		invoked to handle read calls from the a	application that have been sent to the I/O stack, and have
		been routed to the Filter Manager, whi	ch then invokes the cldflt.sys minifilter. Once OneDrive has
		fetched the data, the read call is permi	tted to continue, and the original application read is satisfied.
		This satisfies the limitations of this clai	m.
7	A streaming on demand system according to claim 1, said minifilter being configured to withhold a read call if all data in the required data pack has not been received.	·	ated by reference. In addition, to the limitations of Claim 7, read call if the data pack containing the data required to cally downloaded copy of the file.
		the OneDrive component of the on-de (remote) location. The function Hsmp behavior. The minifilter inspects the ta and if it does not find the required data from the given file. OneDrive then ret until at least the entire data region req copy of the file. Once the data is present	laim limitation because cldflt.sys withholds the read call while mand requester object downloads the data from its alternative RecallInitiateHydrationEx in cldflt.sys demonstrates this able that it maintains of data which has been written locally at then notifies OneDrive that an application requires the data rieves the specified data and blocks the original read request uired by the application has been downloaded to the local ent, the cldflt.sys minifilter permits the read request to attely provides the application with the data that it read.
		This can also be observed by an ordin	ary user of Windows by accessing a file that is not stored

locally when using Microsoft OneDrive in Windows. The application blocks until the contents of at

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		least some portion of the file have been retrieved and The second access to that file once it has been stored request can be satisfied without withholding the read. This satisfies the limitations of this claim.	d locally is much faster because the read
8	A streaming on demand system according to claim 1, said at least one address including and address for at least one local source for a first data pack and an address for at least one remote source for a second data pack.	 to local storage, which requires having addre data pack; The cldflt.sys file uses the "placeholder file" downloaded data, the unique combination of constitute the address of the local source. The OneDrive service combined with cldflt.s remote data to be removed, leaving a "placeholder file" 	Idress". The limitations of Claim 8 are satisfied address for at least one local source for a first object must utilize an address for at least one a pack. and cldflt.sys satisfies the limitations of this sys permits the data of a file to be downloaded as information for at least one remote source to identify the location of the locally device, file identifier, path name, and file name sys permits the locally stored copy of the holder file" behind. This requires that the aintained by these services. Microsoft alludes

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		this functionality and thus require OneDrive to CfHydratePlaceholder function (https://docsus/windows/win32/api/cfapi/nf-cfapi-cfhydrate specific data is stored locally. In this situation	vides additional detail about how this is that by itself, these components do not provide to implement this behavior. See the s.microsoft.com/en-
sai lea soi hai me	a streaming on demand system according to claim 1, aid at least one address including and address for at east one local source for a first data pack, said local ource being a source from the group consisting of a eard disk, an optical storage medium, a nonvolatile nemory, a magnetic storage medium, and an address for a least one remote source for a second data pack.		includes Onedrive and Windows, which utilizes one local source for a first data pack. Further, and disk, an optical storage medium, a ion, the on-demand requester object maintains

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- The cldflt.sys file uses the "placeholder file" to identify the location of the locally downloaded data, the unique combination of device, file identifier, path name, and file name constitute the address of the local source.
- The OneDrive service combined with cldflt.sys permits the locally stored copy of the remote data to be removed, leaving a "placeholder file" behind. This requires that the address of the remote storage location be maintained by these services. Microsoft alludes to this in their "Cloud Sync Engine" documentation where they describe placeholder files and say "The file has been hydrated implicitly and could be dehydrated by the system if space is needed."

The "Cloud Sync Engine" documentation provides additional detail about how this is supported by **cldflt.sys** via **cldapi.dll**. Note that by itself, these components do not provide this functionality and thus require **OneDrive** to implement this behavior. See the **CfHydratePlaceholder** function (https://docs.microsoft.com/en-us/windows/win32/api/cfapi/nf-cfapi-cfhydrateplaceholder). This function ensures that specific data is stored locally. In this situation, one unit of data (the "first data pack") is local and the second unit of data (the "second data pack") is not local, which corresponds to the ordinary meaning of the term "remote".

Modern computers with Windows installed typically utilize either solid state ("solid state drive" or "SSD") or rotating magnetic media devices ("hard disk"), or variations of these devices ("hybrid" drives). The NTFS file system, which is required by Microsoft to support **OneDrive**, supports any writeable form of media, including re-writeable optical media ("read/write bluray", "read/write DVD", and "read/write CD"), magnetic media (including hard disks), and **Intel® Optane® DC Persistent**Memory (https://www.intel.com/content/www/us/en/architecture-and-technology/optane-dc-persistent-memory.html), which is an exemplary form of nonvolatile memory.

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e.

Thus, Windows, when combined with the on-demand requester object that includes **OneDrive** and **cldflt.sys** implements a streaming on demand system, with at least one local address, which is stored on at least one of a hard disk, an optical storage device, a non-volatile memory, or a magnetic storage medium, and the data maintained by **OneDrive** and **cldflt.sys** includes the address of the remote location where the original file data is stored, which can be any suitable network location, which includes both a server and a peer computerThis satisfies the limitations of this claim.

The limitations of Claim 1 are incorporated by reference. In addition the limitations of Claim 10 are satisfied by the on-demand requester object that includes **Onedrive** and Windows, which utilizes at least one address including an address for at least one local source for a first data pack and an address for at least one remote source for a second data pack, said remote source being a source from the group consisting of a server and a peer computing device.

The on-demand requester object including **OneDrive** and **cldflt.sys** satisfies the limitations of this claim because it:

- Enables the downloading of the file data from remote to local storage, which requires having address information for both at least one local source data pack and at least one remote source data pack;
- Uses the "placeholder file" to identify the location of the locally downloaded data, the unique combination of device, file identifier, path name, and file name constitute an address of the local source.
- Enables the locally stored copy of the remote data to be removed, leaving a "placeholder file" behind. This requires that the address of the remote storage location be maintained by these services. Microsoft alludes to this in their "Cloud Sync Engine" documentation where

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		they describe placeholder files and say "The fi dehydrated by the system if space is needed." with the local address. • Microsoft documents the use of "cloud storage https://www.microsoft.com/en-us/microsoft-368 on-demand-and-additional-features-making-it-ordinary meaning of "cloud" in this context is "a Such a computer might be a server, such as is OneDrive service The "Cloud Sync Engine" documentation provides add cldflt.sys via cldapi.dll. Note that by itself, these com thus require OneDrive to implement this behavior with CfHydratePlaceholder function (https://docs.microsofcfhydrateplaceholder). This function ensures that specione unit of data (the "first data pack") is local and the senot local, which corresponds to the ordinary meaning climitations of this claim.	Further, it associates the remote address as an inherent part of OneDrive. See 5/blog/2017/05/11/introducing-onedrive-files- easier-to-access-and-share-files/. The another computer, accessible via the network". a used by Microsoft to implement their itional detail about how this is supported by aponents do not provide this functionality and in the on-demand requester object. See the t.com/en-us/windows/win32/api/cfapi/nf-cfapi- cific data is stored locally. In this situation, second unit of data (the "second data pack") is
11	A streaming on demand system according to claim 1, said minifilter being configured to request to retrieve a required data pack from a first source corresponding to a first address in the table if the data pack is available from the first source, and to identify an alternative address in	The limitations of Claim 1 are incorporated by reference because Microsoft's on-demand streaming system utilito retrieve the data for at least one data pack. The on-demand requester object including OneDrive at claim:	izes at least two addresses that can be used

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The OneDrive data provider implements th	e ability to retrieve data from multiple remote
servers, as Microsoft documents because it	t is an inherent part of the guarantees they
provide for data stored remotely by the One	eDrive component. This is described by
Microsoft on their website (https://docs.micr	rosoft.com/en-us/sharepoint/safeguarding-your-
data).	

• The minifilter retrieves and updates the data within the placeholder file, which includes all information needed by OneDrive to access those multiple servers. The cldapi.dll exposes this mechanism to the OneDrive data provider via APIs such as CfConvertToPlaceholder (https://docs.microsoft.com/en-us/windows/win32/api/cfapi/nf-cfapi-cfconverttoplaceholder). This placeholder information is provided back to OneDrive, including all information necessary to identify the multiple remote servers, when a file is subsequently needed to satisfy I/O operations from an application.

Thus, the on-demand requester object that includes **OneDrive** and **cldflt.sys** does utilize multiple addresses for downloading the data from one of the remote locations where it is stored.

This satisfies the limitations of this claim.

A streaming on demand system according to claim 1, said minifilter being configured, if the I/O request is a write operation, to mark an area as delivered and then write an address and size indicator corresponding to the write operation to the table.

the table if the data pack is not available from the first

source.

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The limitations of Claim 1 are incorporated by reference. The limitations of Claim 12 are satisfied by Windows because the minifilter component of the on-demand requester object intercepts write I/O operations for files that are used by the streaming on-demand system and maintains information regarding the location, specified by the address and size, in a table.

The on-demand requester object including **OneDrive** and **cldflt.sys** satisfies the limitations of this claim:

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operations (HsmFltPreWrite). This resinvoked by the filter manager for write operations for files that are not part of • The cldflt.sys minifilter's HsmFltPreNoperations to a file. For example, it in which in turn invokes a function Hsmi invokes a function HsmiMarkFileRan HsmiMarkFileRangeNoLock uses be passed to it as function arguments and bitmap in which each block represents implement marking an area as deliver. • This range information is provided to read the modified data from the local of the bidirectional communications between the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges (addressed to the content of the status of ranges).	Write function includes logic for handling write wokes the function HsmRecallInitiateHydrationEx, MarkModificationBitmapNoLock, which in turn ageNoLock. The function of the offset and size of the write operation, which are ad then used to mark the modified region of the file. As a some region of the file represent one way to red. The OneDrive Cloud Sync Engine in order to allow it to file and store it on the remote server. See ample, which is exported by cldapi.dll and establishes ween OneDrive and cldflt.sys, which enables the
•	ng regions within a remotely stored file that tracks
address and size information in at least one ta	
This satisfies the limitations of this claim.	

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A streaming on demand system according to claim 1, said minifilter being configured, if the I/O request is a create operation, to attach extended data to a file stream, said extended data corresponding to the create operation.

The limitations of Claim 1 are incorporated by reference. The limitations of Claim 13 are satisfied by **OneDrive** running on Windows because the **cldflt.sys** minifilter attaches extended data to a file stream, said extended data corresponding to the create operation.

The on-demand requester object including **OneDrive** and **cldflt.sys** satisfies the limitations of this claim because:

- The cldflt.sys minifilter driver attaches extended data to the file stream. One example of this is that cldflt.sys minifilter uses the Filter manager "stream context" functionality. One instance of this is seen when the cldflt.sys minifilter function HsmpiFltPostECPCreate, which is invoked by the cldflt.sys minifilter as part of its processing of the create operation in turn invokes the function HsmpSetupContext and that function in turn invokes the Filter manager function FltSetStreamContext (https://docs.microsoft.com/en-us/windows-hardware/drivers/ddi/fltkernel/nf-fltkernel-fltsetstreamcontext).
- The OneDrive component of the on-demand requester object works with cldflt.sys to determine if this is the case by creating a second type of per-stream context within the Windows NTFS file system, known as a reparse point. Microsoft documents this: "The cloud files API implements the placeholder system using reparse points."

 (https://docs.microsoft.com/en-us/windows/win32/cfapi/build-a-cloud-file-sync-engine).
- The presence of the reparse point on a file that is managed by the streaming on-demand remote service implementing that includes **OneDrive** is what causes **cldflt.sys** to attach the "stream context" to the given file stream as part of processing the create operation.
- The use of reparse points is one reason why Microsoft restricts support for OneDrive to storage devices that are managed by the NTFS file system. Microsoft documents this restriction: "Cldflt.sys currently only supports NTFS volumes because it depends on some

		O'Brien v Microsoft	Claims Charts (Windows OneDrive + cldflt.sys)
		features unique to NTFS." (https://docs.microcloud-file-sync-engine) • The cldflt.sys minifilter stores this file stream reparse point on a specific file. This can be so (https://docs.microsoft.com/en-us/windows-hardware/drivers/ddi/fltkernel/nf-fltkernel-flttage (https://docs.microsoft.com/en-us/windows-hardware/drivers/ddi/fltkernel/nf-fltkernel-flttage (https://docs.microsoft.com/en-us/windows-hardware/drivers/ddi/fltkernel/nf-sltkernel-flttage (https://docs.microsoft.com/en-us/windows-hardware/drivers/ddi/fltkernel/nf-sltkerne	a context specific information in at least one een by its use of the FltTagFile ardware/drivers/ddi/fltkernel/nf-fltkernel-soft.com/en-us/windows-gfileex), and FltUntagFile ardware/drivers/ddi/fltkernel/nf-fltkernel-emove reparse points on the placeholder files. OneDrive and cldflt.sys attaches extended
14	A streaming on demand method comprising installing an on-demand requester object on a computing device having an I/O manager, said on-demand requester object being configured to receive I/O requests from the I/O manager on behalf of an application for which data is available in data packs for streaming delivery, said on-demand requester object being responsive to application I/O requests, said application I/O requests corresponding	The limitations of Claim 14 are satisfied by an application using Windows because the distinctive claim element software components. Windows satisfies application includes at least a filter manager and one or more soft combination to realize the I/O stack and produce the request. The term "Windows" means at least the current version running on a computer.	s are present after installation of one or more I/O requests utilizing an I/O stack, which itware components (drivers) that work in necessary response to the application's I/O

Claims Charts (Windows OneDrive + cldflt.sys)

to sequential and non-sequential data packs, said data packs being portions of a complete data stream, said I/O requests determining an order in which data packs are sought for the application, said on-demand requester object comprising a minifilter associated with a filter manager in an I/O stack, said filter manager being configured to call the minifilter, said minifilter having a preoperation callback routine for the I/O request registered with the filter manager, and said minifilter receiving each I/O request from the I/O manager, said minifilter referencing a table that includes names and paths with at least one offset address and length where each data pack required to fulfill each I/O request is located, said minifilter determining if the data pack required to fulfill each I/O request been streamed to the system, and said application using the data packs that fulfill each I/O request when the data packs are available on the computing device.

The term "OneDrive" is used to describe a data provider element, which is part of the on-demand requester object defined within the claim, that consists of components which are both resident on the local computer system (e.g., the "OneDrive for Windows" service, which is part of Windows) and the supporting infrastructure, including software and hardware, provided and/or managed by Microsoft to at least manage, read, write, and replicate and enable transfer of data from a remote storage location to the local Windows system, as needed by Windows to satisfy the I/O requests of the application that generated the I/O request. Of these required elements, the following are present in every computer running an application in Windows after installation or an update of Windows:

- The application program that issues I/O requests within the accused environment, though the application itself is normally not part of the on-demand requester object. Any application program can trigger the behavior of the on-demand requester object described within the patent because executing an application inherently requires generating I/O requests, simply to execute the application. Given that the function of a modern computer system is to run application programs, this element is always present. The application's generation of I/O requests is not part of the claim but is a necessary pre-requisite to their receipt and processing of the on-demand requester object.
- A filter manager. All versions of Microsoft Windows include a component known as the "Filter Manager". Microsoft documents this: "The filter manager is installed with Windows, but becomes active only when a minifilter driver is loaded. The filter manager attaches to the file system stack for a target volume. A minifilter driver attaches to the file system stack indirectly, by registering with the filter manager for the I/O operations the minifilter driver chooses to filter." (https://docs.microsoft.com/en-us/windows-hardware/drivers/ifs/filtermanager-concepts).
- An I/O stack. Windows has included an I/O stack in all versions of Windows since at least Windows XP. Microsoft documents this in numerous places and uses a variety of terms to describe how this I/O stack is implemented, such as describing the layers of the I/O stack

Claims Charts (Windows OneDrive + cldflt.sys)

(https://docs.microsoft.com/en-us/windows-hardware/drivers/kernel/overview-of-the-windows-i-o-model). Thus, this claim element is inherent in Windows and is always present.

Nonlimiting examples of how Microsoft installs software that realizes a system meeting the limitations of this claim, including the presence of an on-demand requester object are:

- A clean installation of Windows onto a new computer;
- An upgrade installation of Windows onto a computer running Windows 7;
- An upgrade installation of Windows onto a computer running Windows 8;
- An upgrade installation of Windows onto a computer running Windows 8.1;
- An upgrade installation of Windows onto a computer running an older version of Windows,
 whether or not the prior version of Windows supported OneDrive and/or included cldflt.sys;
- Installation of Windows into a virtual machine, for example (but not limited to) Windows and Windows Server with Hyper-V (https://www.onmsft.com/how-to/how-to-install-a-virtual-machine-on-windows-10-using-hyper-v-now-even-easier-with-quick-create).

Each of these typically results in a Windows installation that includes support for **OneDrive** and uses **cldflt.sys** to provide access to Microsoft's streaming on-demand cloud storage service. The combination of these elements meets the claim definition of an on-demand requester object, working with a filter manager, and satisfying I/O requests of the application for the OneDrive maintained data, which utilizes one or more tables to determine if the data has been streamed to the local system and if not, retrieving said data by utilizing data packs when they are available on the local computing device.

A nonlimiting example of how Microsoft achieves this is their own description of how the accused product is realized in Windows. Windows includes **OneDrive**. Microsoft documents that this is included in Windows: "If you have Windows 10, OneDrive is already installed on your PC. If you're

Claims Charts (Windows OneDrive + cldflt.sys)

using another version of Windows, install OneDrive to get started." (https://www.microsoft.com/en-us/microsoft-365/onedrive/download)

OneDrive, which is part of Windows, implements a streaming on-demand data storage service, in which data is stored in data packs within a Microsoft data center and streaming downloaded, on demand, to the local computer system, said demand being initiated by the I/O requests of the application and if the data has not previous been downloaded and stored on the local computer system running Windows. This use is consistent with Microsoft's own use of the name **OneDrive**.

The on-demand requester object of the claim is realized when **OneDrive** is combined with the **cldflt.sys** minifilter driver, which is distributed as part of Windows. This on-demand requester object satisfies the application I/O request, either by using data that has been stored locally, or data that it retrieves over the network from Microsoft's data center. Since its introduction to Windows, Microsoft has formalized the use of **cldflt.sys** by implementing and documenting a library that can be used by specialized applications to allow both Microsoft and third parties to develop "Cloud Sync Engines". Microsoft documents these on their website (https://docs.microsoft.com/en-us/windows/win32/cfapi/cloud-files-api-portal). Microsoft explains in detail how Windows incorporates support for streaming on demand file download. The **cldflt.sys** minifilter is sufficient to activate the function of the Windows Filter Manager.

The version of **OneDrive** that is included in Windows is now an implementation of a "Cloud Sync Engine" within Windows (https://docs.microsoft.com/en-us/windows/win32/cfapi/cloud-files-api-portal).

OneDrive consists of both local and remote components, which includes executables, dynamic link libraries, and control panel "applets" that implement the streaming on-demand cloud storage system.

Claims Charts (Windows OneDrive + cldflt.sys)

The combination of **OneDrive** and **cldflt.sys** correspond to the "on-demand requester object" defined by this claim. To satisfy this definition, the on-demand requester object must include a minifilter and must have a mechanism for retrieving the necessary data on-demand, which need not be part of the minifilter. The storage data provider service, which is an inherent part of the on-demand requester object, downloads data as needed and may store a copy of that data on the local computer. **OneDrive** works with the **cldflt.sys** minifilter, the Windows I/O stack, filter manager, and other necessary components to realize an on-demand requester object as defined by the claim.

All versions of Microsoft Windows since at least the release of Version 1709 include the required elements:

- An on-demand requester object installed on the computing device configured to receive I/O
 requests on behalf of that application and either satisfy the I/O request if the data is located
 on the local computer system, or fetched from a remote location. Said on-demand
 requester object consisting of:
 - OneDrive which is a cloud storage solution provided by Microsoft as part of current Windows versions. OneDrive permits seamless integration of streaming ondemand cloud storage with Windows (https://www.windowscentral.com/how-get-started-onedrive-windows-10).
 - o A dynamic link library, cldapi.dll that:
 - (4) Is included with Windows and provides a programmatic mechanism for OneDrive to communicate with the cldflt.sys minifilter, described below, that, when combined, are consistent with the "on-demand requester object" of the patent.
 - (5) Provides at least one mechanism for transferring data from a remote storage service to the local file system service. For example, **CfGetTransferKey** is a documented mechanism that is made available from **cldapi.dll** that Microsoft

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about the status of
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StartingOffset
uested
eturnedLength).
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otocol . From
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ed in all versions
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oints that filter

manager is to invoke to handle specific input and output (I/O) operations, at

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least including ope	ening, reading, and writing the contents of files, such files
residing on the loc	al computer system and/or on the cloud storage system;
(8) The cldflt.sys mir	nifilter driver uses existing functionality of the Windows
operating system	core operating system to maintain tables of information,
including RtlInser	tElementGenericTableAvI (https://docs.microsoft.com/en-
us/windows-hardw	vare/drivers/ddi/ntddk/nf-ntddk-
<u>rtlinsertelementge</u>	nerictableavl), FsRtIGetNextMbaseMcbEntry
(https://docs.micro	soft.com/en-us/windows-hardware/drivers/ddi/ntifs/nf-ntifs-
_fsrtl_advanced_fe	cb_header-fsrtlgetnextmcbentry),
FltParseFileName	eInformation (https://docs.microsoft.com/en-us/windows-
hardware/drivers/d	ddi/fltkernel/nf-fltkernel-fltparsefilename),
FltSetStreamCon	text (https://docs.microsoft.com/en-us/windows-
hardware/drivers/d	ddi/fltkernel/nf-fltkernel-fltsetstreamcontext), and
FltSetFileContex	t (https://docs.microsoft.com/en-us/windows-
hardware/drivers/d	ddi/fltkernel/nf-fltkernel-fltsetfilecontext). These routines are
consistent with bo	th the use of tables and tracking regions of files that have
been allocated. T	his, combined with the detailed range information provided by
cldflt.dll, at a min	imum corresponds to the table that includes this information
and which data pa	cks have been streamed to the local system.
(9) The cldflt.sys mir	nifilter driver ensures that the data required to satisfy an
application I/O req	uest is present on the local system before that I/O operation
is completed.	
(10)The cldflt.sys mir	nifilter driver is a necessary component of the on-demand
requester object.	When cldflt.sys is bypassed, we do not observe the on-
demand behavior	of normal Windows applications when using OneDrive . This
is apparent if one	uses Windows Subsystem for Linux (WSL), which bypasses
- apparone ii ono	

		O'Brien v Microsoft	Claims Charts (Windows OneDrive + cldflt.sys)
		the cldflt.sys driver functionality environment do not see the correction. Thus, a computer system with Windows installed increquirements of this claim: at least one application the Manager, a filter manager, and an on-demand reque object includes a minifilter that registers and handles. This satisfies the limitations of this claim.	ludes all of the necessary elements to meet the nat forms I/O requests, an I/O stack, an I/O ester object in which the on-demand requester
15	A streaming on demand method according to claim 14 further comprising attaching said minifilter to the I/O stack by registering with a filter manager in the I/O stack for I/O requests for the application for which data is available in data packs for streaming delivery.	The limitations of Claim 14 are incorporated by refere satisfied by the cldflt.sys minifilter component of the the Windows Filter manager in the I/O stack. This is calls the Windows kernel routine FltRegisterFilter are for any application accessing the specific storage desuch a way that if the data is not provided by the "onthe application are passed along the I/O stack. Thus requests for application for which the data is available." This satisfies the limitations of this claim.	e "on-demand requester object" registering with satisfied by the fact that the cldflt.sys minifilter and specifies a list of callbacks for I/O requests vice. The cldflt.sys minifilter is constructed in demand requester object" the I/O requests for s, cldflt.sys does intercept operations for I/O
16	A streaming on demand method according to claim 14 further comprising, said minifilter passing on a read call if a required data pack is available locally and if all data in the required data pack has been received.	The limitations of Claim 14 are incorporated by refere satisfied by cldflt.sys minifilter blocks the read call component of the "on demand requester object", retr (HsmiRecallInitiateHydrationEx which is invoked be	while OneDrive for Windows , the data provider rieves the data from its alternative location

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		O'Brien v Microsoft	Claims Charts (Windows OneDrive + cldflt.sys		
		handler that cldflt.sys registers with the Filter Manager and is invoked to handle read calls from the			
		application) and once that data has been retrieved sa	tisfies the application request.		
		Further, the cldflt.sys minifilter blocks the read call w	hile the data provider component of the		
		"streaming on-demand requester object" retrieves the data from its alternative location and once t			
		data has been retrieved satisfies the application request. One example of how cldflt.sys minifilter			
		implements this is in HsmpRecallInitiateHydrationE	x , where the minifilter inspects the table that it		
		maintains of data which has been written locally and i	f it does not find the required data, then		
		requests that the data provider retrieve the specified of	data and blocks the original read request until		
		the data has been provided by the data provider and	can then be returned to the application. Thus,		
		once the conditions are satisfied and the data needed by the application is locally resident ("required			
		data pack") the minifilter completes the read request and the application is provided with the relevant			
		data.			
		This can also be observed by an ordinary user of Win	dows by accessing a file that is not stored		
		locally when using OneDrive in Windows. The applic	eation blocks until the contents of at least some		
		portion of the file have been retrieved and the delay ir	nvolved is generally noticeable. The second		
		access to that file, once it has been stored locally, is r	nuch faster.		
		This satisfies the limitations of this claim.			
17	A streaming on demand method according to claim 14	The limitations of Claim 14 are incorporated by refere			
	further comprising, said minifilter withholding a read	satisfied by the cldflt.sys minifilter component of the			
	call if a required data pack is not available locally.	blocking ("withhold") a read call if data required to sati the locally downloaded copy of the file.	isfy the application read I/O is not present in		
		, , , , , , , , , , , , , , , , , , ,			

		O'Brien v Microsoft	Claims Charts (Windows OneDrive + cldflt.sys)
		OneDrive component of the on-demand	mitation because cldflt.sys blocks the read call while the requester object downloads the necessary data. This ne named HsmFltPreREAD , which is part of cldflt.sys .
18	A streaming on demand method according to claim 14 further comprising, said minifilter withholding a read call if all data in the required data pack has not been received.	Specifically, the cldflt.sys minifilter composition of the cldflt.sys minifilter block demand requester object" retrieves the data been retrieved by the data provider, the accldflt.sys minifilter implements this function. Further, an ordinary user of Windows can is stored in OneDrive . The application block application becomes available. Once the	ted by reference. In addition, the limitations of Claim 18 are onent of the "on-demand requester object". Is the read call while OneDrive component of the "on atta from its alternative location. Until the required data has application is normally blocked from proceeding. The ionality in the code routine named HsmFltPreREAD . In demonstrate that it blocks simply by accessing a file that pocks until the contents of the file requested by the econditions are satisfied and the data needed by the atta pack") the application is provided with the relevant data.
19	A streaming on demand method according to claim 14, said at least one address including and address for at least one local source for a first data pack, said local source being a source from the group consisting of a	by the on-demand requester object. It do	ted by reference. The limitations of Claim 19 are satisfied bes this by utilizing an address for at least one locally stored back and must utilize a local storage source that consists of ia.

Claims Charts (Windows OneDrive + cldflt.sys)

hard disk, an optical storage medium, a nonvolatile memory, a magnetic storage medium, and an address for at least one remote source for a second data pack, said remote source being a source from the group consisting of a server and a peer computing device.

Specifically, the on-demand requester object including **OneDrive** and **cldflt.sys** by:

- Enabling the data of a file to be downloaded to local storage to satisfy I/O requests issued by an application. The process of downloading the data from a remote location requires having address information for at least one remote source data pack;
- The cldflt.sys file uses the "placeholder file" to identify the location of the locally downloaded data, the unique combination of device, file identifier, path name, and file name constitute the address of the local source.
- The OneDrive service combined with cldflt.sys permits the locally stored copy of the remote data to be removed, leaving a "placeholder file" behind. This requires that the address of the remote storage location be maintained by these services. Microsoft alludes to this in their "Cloud Sync Engine" documentation where they describe placeholder files and say "The file has been hydrated implicitly and could be dehydrated by the system if space is needed."
- Microsoft documents the use of "cloud storage" as an inherent part of OneDrive. See https://www.microsoft.com/en-us/microsoft-365/blog/2017/05/11/introducing-onedrive-files-on-demand-and-additional-features-making-it-easier-to-access-and-share-files/. The ordinary meaning of "cloud" in this context is "another computer, accessible via the network". Such a computer might be a server, such as is used to implement Microsoft's OneDrive service, or another individual user computer system, such as is described by Microsoft as "fetching"; the file comes from one computer running Windows, to a second computer running Windows. See https://www.pcmag.com/how-to/how-to-manage-sync-and-share-files-in-microsoft-onedrive for a description of this feature. This is determined on at least a file-by-file basis: local storage for files that have already been downloaded to the computer system, OneDrive storage, which is maintained on Microsoft owned and managed servers,

		O'Brien v Microsoft	Claims Charts (Windows OneDrive + cldflt.sys
		and other peer devices, which in being properly configured to ena	cludes computers running Windows with OneDrive and ble fetch.
		"SSD") or rotating magnetic media dedictives). The NTFS file system, which any writeable form of media, includin DVD", and "read/write CD"), magnetic Persistent Memory (https://www.inte	s typically utilize either solid state ("solid state drive" or evices ("hard disk"), or variations of these devices ("hybrid" h is required by Microsoft to support OneDrive , supports ag re-writeable optical media ("read/write bluray", "read/write to media (including hard disks), and Intel® Optane® DC el.com/content/www/us/en/architecture-and-mory.html), which is an exemplary form of nonvolatile
		The "Cloud Sync Engine" documentation cldflt.sys via cldapi.dll. Note that by its thus require OneDrive to implement this (https://docs.microsoft.com/en-us/window function ensures that specific data is storpack") is local and the second unit of data	provides additional detail about how this is supported by self, these components do not provide this functionality and behavior. See the CfHydratePlaceholder function ws/win32/api/cfapi/nf-cfapi-cfhydrateplaceholder). This red locally. In this situation, one unit of data (the "first data a (the "second data pack") is not local, which corresponds
		to the ordinary meaning of the term "remo	
20	A streaming on demand method according to claim 14, said minifilter requesting to retrieve a required data pack from a first source corresponding to a first address in the table if the data pack is available from the first source,	 by the system including OneDrive and c The OneDrive data provider imp 	ted by reference. The limitations of claim 20 are satisfied Idflt.sys: elements the ability to retrieve data from multiple remote because it is an inherent part of the guarantees they

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and identifying an alternative address in the table if the data pack is not available from the first source.

provide for data stored remotely by the **OneDrive** component. This is described by Microsoft on their website (https://docs.microsoft.com/en-us/sharepoint/safeguarding-your-data).

• The minifilter retrieves and updates the data within the placeholder file, which includes all information needed by OneDrive to access those multiple servers. The cldapi.dll exposes this mechanism to the OneDrive data provider via APIs such as CfConvertToPlaceholder (https://docs.microsoft.com/en-us/windows/win32/api/cfapi/nf-cfapi-cfconverttoplaceholder). This placeholder information is provided back to OneDrive, including all information necessary to identify the multiple remote servers, when a file is subsequently needed to satisfy I/O operations from an application.

Thus, the on-demand requester object that includes **OneDrive** and **cldflt.sys** does utilize multiple addresses for downloading the data from one of the remote locations where it is stored.

This satisfies the limitations of this claim.