# ANDREW F. CALMAN, M.D., PH.D. - 07/12/2017

-1	
1	UNITED STATES PATENT AND TRADEMARK OFFICE
2	BEFORE THE PATENT TRIAL AND APPEAL BOARD
3	
4	MYLAN PHARMACEUTICALS INC.,
5	Petitioner,
6	v.
7	ALLERGAN, INC.,
8	Patent Owner.
9	/
10	
11	Case IPR2016-01127
12	Patent 8,685,930
13	Case IPR2016-01128
14	Patent 8,629,111
15	Case IPR2016-01129
16	Patent 8,642,556
17	Case IPR2016-01130
18	Patent 8,633,162
19	Case IPR2016-01131
20	Patent 8,648,048
21	Case IPR2016-01132
22	Patent 9,248,191
23	
24	DEPOSITION OF ANDREW F. CALMAN, M.D., PH.D.
25	WEDNESDAY, JULY 12, 2017

## ANDREW F. CALMAN, M.D., PH.D. - 07/12/2017 Pages 2..5

REPORTER PV: MeSAN F. MYNARFS, RPS, CRE 12478   2   10.		ANDREW F. CALMAN, M.I			
2 JON 14-13836	1	Page 2 REPORTED BY: MEGAN F. ALVAREZ, RPR. CSR 12470	1	EXHIBITS MARKED FOR IDENTIFI	Page 4
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### ARITH REMINSORED that, pursuant to Notice, and 9 on Wednesday, JULY 12, 2017, commencing at WILSON 10 SURNING COMDRICH & SOSATI, Com Market Street. Spear 11 Tower, Suite 3300, San Francisco, California, before me, 10 1 Mesan F. Alvarez, a Certified Shorthard Reporter. 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			5	1562, Ophthalmology Arch	ives
9 on Wednesday, JULY 12, 2017, commencing at WILSON 8 10 SONSINI GOODERICH & ROSATI, One Market Street, Spear 9 11 Tower, Solds 3300, Sam Francisco, California, before me. 10 12 Wegan F. Alvarez, a Certified Shorthand Reporter, 11 13 Registered Professional Reporter, personally appeared 12 14 for their deposition 15 2 MINGROW F. CALMAN, M.D., PH.D. 14 15 16		DE LE DEVENDEDED LIVE A COMPANY AND A COMPAN	6		
10 SONSINI GOODSTCH & BOSATT, One Market Streek, Spear 1 1 Tower, Suite 3300, San Francisco, California, before me, 10 1 2 1 2 1 1 2 1 2 1 2 1 2 1 2 1 2 1			7	000	
11 Tower, Suite 3300, San Francisco, California, before me, 12 Megas F. Alvarez, a Certified Shorthand Reporter. 14 for their deposition 15 ANDREW F. CALMAN, N.D., PH.D. 16			8		
12 Megan F. Alvarez, a Certified Shorthand Reporter, 13 Registered Professional Reporter, personally appeared 14 for their deposition 15			9		
13 Registered Professional Reporter, Personally appeared 14 for their deposition 15			10		
14 for their deposition 15	12		11		
15	13				
15		for their deposition			
16   17		ANDREW F. CALMAN, M.D., PH.D.			
18	16	<del></del>			
18	17	called as a witness by Patent Owner, who, having been			
19	18	first duly sworn, was examined and testified as follows:			
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21 22 23 24 25  Page 3  1	20				
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                     APPEARANCES
                                                           1 that she can get our both of our comments down. I'm
   APPEARANCES:
2
                                                           2 a fast talker as well, so we'll both have to try to
                                                           3 watch that a little bit and not try to step on each
 4
   FOR THE PATENT OWNER:
             MICHAEL J. KANE, ESQ.
5
                                                             other. Okay?
             TASHA M. FRANCIS, PH.D., ESQ.
                                                                   A.
                                                                       Yes.
              FISH & RICHARDSON P.C.
              3200 RBC PLAZA
                                                                   Q.
                                                                       If I ask you a question that you don't
              60 SOUTH SIXTH STREET
                                                             understand, please let me know and I'll to do a
              MINNEAPOLIS, MINNESOTA 55402
              612.335.5070
                                                             better job on that. Okay?
              KANE@FR COM
                                                           9
                                                                   Α.
                                                                       Okav.
9
              TFRANCIS@FR.COM
10
                                                          10
                                                                        It's also important that since we are
   FOR THE REMAINING PETITIONERS:
                                                          11 trying to create a transcript here that you need to
11
   (APPEARING TELEPHONICALLY)
                                                              answer verbally. Yeses, nos, not nods of the head
12
             GARY SPEIER, ESO.
             CARLSON, CASPERS, VANDENBURGH, LINDOUIST
                                                          13 or shaking of the head or the uh-huhs or huh-uhs,
13
              & SCHUMAN, P.A.
                                                              that kind of thing. All right?
                                                          14
              225 SOUTH SIXTH STREET, SUITE 4200
14
              MINNEAPOLIS, MN 55402
                                                          15
                                                                   Α.
                                                                        Yes.
              {\tt GSPEIER@CARLSONCASPERS.COM}
                                                          16
                                                                       We'll try to take a break approximately on
15
   FOR THE RESPONDENT:
16
                                                          17 an hourly basis or so. But if you need a break
17
             JAD A. MILLS, ESO.
                                                          18 somewhere in the middle, let us know. We can do
              ANNA PHILLIPS, ESQ.
18
              WILSON SONSINI GOODRICH & ROSATI
                                                          19
                                                              that. We just won't -- we'll just ask if there's an
              701 FIFTH AVENUE, SUITE 5100
                                                             outstanding question, that you answer the question
19
              SEATTLE, WASHINGTON 98104
                                                          21 before we take a break. All right?
              206.883.2554
2.0
             JMILLS@WSGR.COM
                                                          22
                                                                   Α.
                                                                        Yes.
21
                                                          23
                                                                        Of the 18 times you've been deposed, how
22
                            --000--
23
                                                             many of those related to patent issues?
24
                                                          25
                                                                       Two, to the best of my recollection.
25
                                                 Page 7
                                                                                                           Page 9
1
              WEDNESDAY, JULY 12, 2017, 9:08 A.M.
                                                                       And can you describe generally what those
 2
                                                              depositions were?
3
                ANDREW F. CALMAN, M.D., PH.D.,
                                                                       Well, there was one in the Markman case,
                                                                   Α.
                                                           4 and I believe there's just one -- in the Markman
 4
       having been first duly sworn, was examined and
 5
                     testified as follows:
                                                           5 phase of Allergan v. Teva Mylan, et al., for this
 6
                                                           6 product. And there was one or two, but I believe
7
                                                           7 it's just one, on the invalidity and noninfringement
                          EXAMINATION
8
  BY MR. KANE:
                                                             phase of that same case.
9
        Q.
             Good morning. Could you state your name
                                                                      So both of your depositions that related
10 for the record?
                                                          10 to patents were in connection with the district
11
             Andrew Frederick Calman.
                                                          11 court litigation over Restasis currently pending in
12
             And what's your current business address,
                                                          12 Texas?
13 Dr. Calman?
                                                          13
                                                                   Α.
                                                                        Correct.
14
        Α.
              2480 Mission Street, San Francisco,
                                                          14
                                                                   Q.
                                                                        Okay.
15 California 94110.
                                                          15
                                                                        There was another matter, but it was an
16
             And I believe you've been deposed before,
                                                          16 antitrust matter that had grown out of a patent
17 right?
                                                          17 matter. But I was not involved in the patent phase
18
                                                          18 at all.
        Α.
19
        Q.
             How many times?
                                                          19
                                                                        Okay. And then, in general, in the other
                                                             16 or so depositions that you've given, what did
20
        Α.
             About 18.
                                                          20
             Okay. So you know the general ground
                                                              they generally relate to?
22 rules, then. But before we get started, I'm going
                                                          22
                                                                        Injuries and medical malpractice.
                                                                   Α.
23 to ask you a series of questions, you're going to
                                                          23
                                                                        Okav.
24 provide the answers. It will be important to let me
                                                          24
                                                                        With a smattering of other things. There
25 finish my question before you start your answer so
                                                          25 was an employment discrimination case.
```

I think that's it.

- 2 Q. Okav.
- Off the top of my head. 3 A.
- The other --
- Oh, there was a wrongful death case.
- Okay. The other matter that related --
- 7 the antitrust matter that you mentioned, did that
- 8 relate to a drug?
- A. Yes.
- 10 What kind of drug was that? 0.
- 11 Α. An antibiotic eye drop.
- 12 Who were the parties?
- 13 Apotex and Allergan.
- 14 And who did you represent in that case?
- Well, I was engaged by Allergan -- excuse
- 16 me -- by Apotex.
- 17 Q. Where was that case located, if you
- 18 recall?
- 19 Δ I think Delaware. But it never went to
- 20 trial, so I don't know for sure.
- Q. What was the name of the product?
- 22 Α. Zymaxid.
- Q. Do you recall the time frame when that
- 24 occurred?
- A. It settled earlier this year.

Page 10

- 25
- Page 11
- Okay. And generally --
- You know what? I don't think I was
- 3 deposed for that case, though. I didn't actually
- 4 testify.
- Q. Did you provide a report?
- Yeah.
- And, again, just at a high level, what did
- 8 the report relate to?
- Well, it's subject to a protective order
- 10 which I believe is still in effect. So what I think
- 11 I can probably safely share is what I've seen in
- 12 public press releases, that it was -- not my term
- 13 but press term -- was a product switching case
- 14 related to this drug.
- 15 Okay. You understand that this deposition
- 16 relates to several -- what are called IPRs pending
- 17 in the patent office?
  - A. That's my understanding.
- Q. And what do you understand an IPR to be? 19
- Well, my understanding of inter partes
- 21 review is it's a pathway where parties can challenge
- 22 the validity of patents through -- rather than going
- 23 through the court system, going through what's
- 24 called the PTAB, which I believe stands for Patent
- 25 and Trademark Appeals Board, which is a part of

- Page 12 1 the -- to my knowledge, is part of the U.S. Patent
- 2 and Trademark Office.
- Okay. And you understand you're
- testifying under oath today?
- A. Of course.
  - So the testimony today is just as though
- 7 you were in a courtroom giving it in front of a
- judge or jury.
  - A. Of course.
- 10 Q. Okay. Any reason you can't give accurate
- 11 or truthful, complete testimony today?
- 12 Α. No.
- 13 Q. What did you do to prepare for the

### 14 deposition today?

- 15 I reviewed various documents. I met with
- 16 counsel, reviewed -- you know, obviously reviewed my
- declaration and others and prior art.
- When did you meet with counsel? 18
  - Α. Yesterday and the day before.
- 20 How long?
  - About eight hours each day. Α.
- 22 Q. Okay. And who -- who was present at those
- 23 meetings?

19

21

- A. Jad Mills. Anna Phillips. I think
- 25 Wendy Devine may have poked her head in for a brief

### Page 13

- 1 period.
  - Anyone else? 0.
  - Not that I recall. Α.
  - 0. Anybody on the phone?
- A. Not that I'm aware of.
- Q. Okay. Do you recall what documents you
- 7 reviewed?
- Α. Well, I'm sure I can't remember every
- 9 single thing. But, in general, I reviewed the
- 10 various declarations in the case, the various -- not
- 11 court, but the various PTAB documents such as
- 12 institution, petition, response, order, and then the
- 13 various prior art references.
- Q. Okay. Okay. Did you talk to anyone other
- than counsel in preparation for your deposition? 15
  - Α. No.
- 17 0. Did you talk to anyone at Mylan in
- 18 preparation for the deposition?
  - A.
- 20 Q. Have you ever spoken to anyone at Mylan
- 21 about this case?
  - Not to the best of my recollection.
- Okay. All right. We have -- handing you 24 what's been marked previously as Exhibit 1039.
  - And do you recognize this document,

16

19

Page 14 Page 16 1 Dr. Calman? The drafting process generally included 2 Α. Yes. 2 sending drafts back and forth and changing them.

16

17

19

21

This is my declaration for the six IPR 5 cases.

6 Okay. And if you turn to page 55, that's your electronic signature?

9 And it's dated June 30th, 2017? 0.

What is this?

10 Α. Yes.

And you understand this same declaration

12 was filed in all six of the IPR proceedings?

13 That is my understanding.

Okay. When did you begin working on the 14

15 declaration for the IPRs?

16 It would have been late May or early June,

17 to the best of my recollection.

Okay. Do you recall approximately how 18

19 much time you spent drafting the declaration? A. I don't have a precise idea of that. I

21 can give you an estimate. It's probably somewhere

22 between 20 and 30 hours.

Q. Okay. Did you draft the declaration

24 yourself?

25 Well, it was a -- it was a collaboration 3 BY MR. KANE: Q. And did you -- did you originate the first

5 draft that was exchanged back and forth, or did 6 counsel do the drafting?

8 of it were initially written by me, and parts were 9 initially written by counsel, if I recall correctly. 10 I don't -- there were a lot of drafts. I don't have

I think there were parts of both. Parts

11 a precise recollection.

12 Okay. Let's take a quick look and hand 13 you another exhibit here, Dr. Calman.

Handing you what's been marked previously 15 as Exhibit 42.

Do you recognize this?

I do. Α.

What is this? 18 0.

> Α. This is my CV.

20 And is this dated June 29, 2017?

Α.

22 0. Was it accurate as of that date?

23 Α. Yes.

24 Have there been any changes?

Α. Let me look.

Page 15 1 Not to the best of my knowledge.

And --

Actually, there's one change. Let me look A. 4 at this. And I have changed this on my subsequent

Page 17

5 versions.

13

17

There are two hospitals here where I no 7 longer practice in the list of admitting privileges, 8 and those are San Francisco General Hospital and

St. Mary's Hospital.

10 Q. Okay. Thank you for that update. 11 And when you say "practice," you are a

12 practicing ophthalmologist, right?

Correct. Α.

And in preparing your analysis, you relied 15 on lawyers for the legal principles that you were to incorporate?

Α. In part.

18 And where else did you obtain information 19 about the legal principles you were to use?

20 I have done some background reading over 21 the last couple of years.

In what -- can you explain what kind of 23 background reading you've done?

A. I actually enrolled in a course called 24 25 OmniPrep Patent Course, which I didn't complete, but

And which counsel collaborated?

3 WSGR. Α.

1 with counsel.

4 0. Anyone else?

Α. No, not unless -- not that I'm aware of.

And which counsel at Wilson?

7 I'm sorry? Α.

8 Which counsel at Wilson Sonsini?

Let's see. Jad Mills. Grace Winschel,

10 W-I-N-S-C-H-E-L. Anna Phillips. Wendy Devine. I

11 think Jacqueline Altman may have been involved.

12 And there may have been others involved,

13 but those are the ones that I'm aware of.

14 Q. And can you describe the drafting process 15 generally?

16 MR. MILLS: And I'm -- at this point, I'm

17 just going to issue an instruction that, on the 18 basis of work product and privilege, you should

19 disclose in answering the questions posed to you

20 today your opinions as well as the bases for your

21 opinions, but you should not disclose the contents

22 of any confidential communications you may have had 23 with counsel.

THE WITNESS: So let me look at the 24 25 question again.

Page 18 1 I did enroll in it and went through some of their

- 2 materials.
- 3 I went to two continuing legal education
- 4 courses over the last two years on Hatch-Waxman.
- 5 And I read a book called -- it's called 6 "The Generic Challenge."
- 7 And I've read various articles online
- 8 about various patents' issues.
- 9 Q. Okay. In forming your opinions, you 10 relied on that additional outside reading?
- 11 A. Well, I would say that that was background 12 information.
- 13 Q. Okay. In forming your opinions, did you
- 14 follow any guidance that the lawyers provided in 15 terms of the legal principles that you were to
- 15 terms of the legal principles that you were to 16 apply?
- 17 A. I would say that's a fair statement.
- 18 Q. I understand that you're not a lawyer; is 19 that correct?
- 20 A. That is correct.
- 21 Q. What caused you to be interested in the
- 22 subject of patents over the last couple of years?
- 23 A. I saw -- I thought it was very
- $24\,$  interesting. I had some exposure to patents. My
- 25 brother holds 55 patents in the technology field and
  - Page 19
- 1 has been an expert witness in high-tech patent 2 cases.
- I had an invention a few years ago which I
- 4 thought about patenting and elected not to.
- 5 So that was my exposure, and my brother
- 6 encouraged me to explore this. And I started
- 7 reading about Hatch-Waxman. Some people may think
- 8 it's dry; I actually found it kind of interesting.
- 9 So I saw it as a natural outgrowth of my expert
- 10 witness work on smaller cases.
- 11 Q. When you say "smaller cases," what are you 12 referring to?
- 10
- 13 A. Mostly ocular injuries and medical
- 14 malpractice.
- 15 Q. I see.
- 16 You don't consider yourself an expert in
- 17 patent law?
- 18 A. I don't consider myself an expert in any
- 19 form of law.
- 20 Q. You don't consider yourself an expert in 21 statistics, do you?
- ${\tt 22}$  A. Well, what I would say is that there are
- 23 degrees of expertise. And through my knowledge,
- 24 skills, experience, training, and education as a
- 25 scientist and as a clinician over many years, I do

- 1 have the ability to read and understand and apply,
- 2 to some degree, statistical principles. That does
- 3 not mean that I hold myself out to be an expert in
- 4 statistics per se.
- Q. Okay. Are you offering opinions in these matters as a expert in statistics?
- A. Well, again, I think that there's some
- 8 semantics here. I think my prior answer is
- 9 applicable to your question. I do have an ability
- 10 to read and understand statistical data. I did not
  - perform my own statistical analysis.

    Q. And we'll come to that.
    - You talked to Dr. Bloch, I understand?
      - MR. MILLS: Objection. Foundation.
- 15 THE WITNESS: Not in connection with this
- 16 case.

12

13

14

- 17 BY MR. KANE:
- 18 Q. Okay. You reviewed Dr. Bloch's 19 declaration in connection with this case?
- 20 A. I did.
- 21 Q. Okay. Do you consider yourself an expert 22 in pharmacokinetics?
- 23 A. Again, through my knowledge, skills,
- 24 training, education in 27-plus years -- more if you
- 25 count my lab career working in labs on various
- Page 21
- 1 projects, reviewing scientific and clinical
  - 2 publications, working on clinical trials, and
  - 3 serving as an expert on various cases, and, you
  - 4 know, taking various courses, including, you know,
  - 5 courses on evidence-based medicine and epidemiology,
  - 6 I have acquired some expertise in understanding and
  - 7 interpreting pharmacokinetic studies.
  - 8 That said, I do not hold myself out an
  - expert in pharmacokinetics per se.
  - 10 Q. Do you still work at Premier Eyecare in 11 San Francisco?
  - 12 A. I do.
  - 13 Q. And you're also an associate clinical
  - 14 professor?

- 15 A. Yes
- 16 Q. Have you taken any additional roles
- 17 besides those that are not listed in your CV?
  - A. Not to my knowledge.
- 19 Q. Okay.
- 20 A. "Roles" is a pretty broad category, but I
- 21 think I've listed the relevant professional roles
- 22 that I've had.
- 3 Q. Okay. If we look at the list of
- 24 publications that starts on page 8 of
- 25 Exhibit 1042...

- Yeah. Α.
- 2 ٥. To the best of your knowledge, is that a
- 3 complete list of your publications?
- 5 Q. Do any of these publications relate to 6 dry eye?
- Α. No. Well, not directly anyway.
- 8 0. Okay. Have you published in any papers on 9 KCS?
- 10 Α.
- 11 Q. Have you published any papers on making
- 12 ophthalmic formulations?
- 13 Not to the best of my recollection, no.
- And I guess on that front, do you consider 14
- 15 yourself to be an expert in making ophthalmic
- 16 formulations?
- 17 Again, I've had 27 years in labs -- well,
- 18 27 years in clinical work plus 12 years in labs
- 19 doing basic research. And through that knowledge,
- 20 education, skills, training, and experience, I have
- 21 acquired certain knowledge about pharmaceutical
- 22 formulations, including ophthalmic formulations and
- 23 their application in clinical practice, in clinical
- 24 trials, et cetera.
- 25 So although I do have -- I have acquired

- Page 24 No, with the caveat that I did -- I was
- 2 working with professors as a resident in
- 3 ophthalmology, and we certainly used ophthalmic
- 4 cyclosporin formulations at that time. Whether any
- 5 of them published anything on any of the patients
- 6 that I collaborated with them on, I don't know. So
- 7 not to the best of my knowledge.
- Q. What were the nature of those formulations 9 that you were working on?
- To the best of my recollection -- again,
- 11 this was a long time ago -- we were using 2 percent
- cyclosporin in olive oil.
- 13 Q. And was it a commercial product?
  - Α. It was a compounded product.
- 15 Q. What do you mean when you say "compounded 16 product"?
- 17 A. So you go through what's called a
- 18 compounding pharmacy -- in this case, it was the
- UCSF pharmacy -- and the pharmacist makes up the
- drug custom for you.
- 21 Q. Okay. And this is during the time you
- 22 were a resident?
- 23 Yes. Α.

14

24

- And --0.
- 25 And possibly part of the time I was a

Page 23

Page 22

- 1 some expertise in that area, I don't hold myself out
- 2 to be an expert in formulation per se.
- Q. Okay. You mentioned you thought about
- 4 filing a patent application a couple years ago.
- Have you ever filed a patent application?
  - A. No.

6

- In connection with that potential
- 8 invention a couple years ago, did you talk to a
- 9 patent lawyer?
- 10 I don't remember if I actually talked to a
- 11 patent lawyer. And it was more than a couple; it
- 12 was about 12 years ago.
- 13 Okay. I assume that means there was never
- 14 an application drafted?
- 15 Α. That's correct.
- 16 And, again, just generally, what was the
- 17 nature of the potential invention?
- 18 It was a surgical instrument.
- 19 Q. For ophthalmic surgery?
- 20 Α.
- 21 So that -- do I understand correctly,
- 22 then, you've never applied for a patent?
- 23 Α. That is correct.
- Have you ever been involved in developing 24
- 25 a cyclosporin ophthalmic product?

1 medical student as well.

- Okay. And what were you using that
- 3 compounded product on patients for?
- A. Well, we used it for sure on some patients

Page 25

- 5 who had had corneal transplants, and we used it on a
- 6 rare condition called ligneous, L-I-G-N-E-O-U-S,
- 7 conjunctivitis.
- You know, It's been a long time. I don't
- remember whether we used it on other conditions as
- well. I just don't remember.
- Q. Okay. And did you have any involvement in 12 deciding what the formulation would be made by the
- compounding pharmacy? 13
- 14 A. Well, there may have been some
- 15 discussions, but I don't remember specifically.
- 16 Have you ever been involved in any other 17 development of a cyclosporine ophthalmic
- 18 formulation?

- Not to the best of my recollection.
- 20 Have you been involved in the development 21 of any ophthalmic formulation?
- I guess it depends what you mean by
- 23 "development." I've been involved certainly in
- 24 clinical trials.
- Q. Do you recall which clinical trials?

Well, I believe they're listed in my CV.

2 Page 11 --

Q. Okay.

-- and 12.

All right. And that's a complete list?

Well, to the best of my recollection and Α.

7 knowledge, yes.

And in these clinical trials, did you have 9 any input into the formulations that would be used

10 in the trials?

Not to the best of my recollection. But I

12 should add that there were some additional

13 discussions with personnel in the research

14 department at Alcon over some clinical trials of

15 glaucoma drugs where I would have had input into

16 which formulations were used. However, we never got

17 past the initial discussion.

18 In that sort of a discussion, though, are

19 you talking about the specific, say, excipients and

20 other ingredients, or are you just talking about

21 choosing between formulations that have been

22 developed already?

Definitely the second part. Potentially

24 the first part. We didn't get to that stage --

25 Q. Okay.

> Page 27 -- in the discussions.

Were there any other projects you were

3 involved in where you were having detailed

4 discussions about excipients and other components in

5 ophthalmic formulations in development stages?

Well, again, it depends what stages you're 7 talking about. The Travatan, or travoprost, studies

8 were in part an effort to minimize toxicity to the

9 ocular surface. And so the excipients were an

10 important issue in those clinical trials. I was

11 definitely involved in Phase 3 and Phase 4. I don't

12 think I was involved in Phase 1 or Phase 2 or

13 preclinical.

14 Q. And in those trials, what was the

15 excipient of concern?

16 Well, the goal was to eliminate BAK. And 17 so they were using a novel -- a novel preservative

18 which the name is escaping me at this point. But

19 that was the goal.

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Q. Okay. All right. 20

21 A. And there were similar considerations. I

22 don't know if they applied to the ones that I was

23 directly involved with, but some of the Brimonidine

24 formulations were also formulated -- reformulated in

25 such a way as to eliminate BAK. And that was a

1 focus of their research.

Q. Okay. In the Brimonidine formulations,

3 did you have any input into what could be used in

4 place of the BAK?

A. No. I believe that was -- that was at an

earlier stage than my involvement.

Okay. All right. And likewise in the

8 Travatan, did you have any input into which

preservative would be used in place of the BAK?

A. Well, I know I was certainly involved in

11 discussions. I don't remember if they were at --12 you know, certainly at the stage where they were

13 disclosing the treatment protocols and rationale and

14 basic science to the clinical investigators.

15 Whether I was involved in discussions earlier than

that, I don't have a recollection.

Q. All right. Have you ever performed 17

18 bioavailability analysis for the delivery of ocular

drugs in animals?

A. Not that I can recall.

21 Q. Okay. Do you use Restasis in your

22 practice?

20

23 A. I do.

And how do you use Restasis in your

25 practice?

Page 29

Page 28

Well, as I discussed in my declaration, I

2 individualize treatment. Some individuals with some

3 types of dry eye and some clinical pictures, I

4 employ it as one treatment modality.

Can you explain what you mean by that?

Well, it's a -- it would be a rather

7 lengthy discussion. But I think to summarize, I

8 typically use it in patients with moderate to severe

aqueous-deficient dry eye where I believe there is

10 an inflammatory component as one component of their

11 treatment plan.

12 Okay. And you understand that Restasis

13 has been indicated to increase tear production in

14 certain patients?

A. Well, it's a very specific labeled 15

16 indication. To paraphrase without having the label

17 in front of me, it's indicated to increase tear

production in patients whose tear production is

19 presumed to be suppressed by inflammation associated 20 with KCS.

21

Q. And are you using it consistent with that 22 labeling?

To some extent, yes, but I do

24 individualize treatment, and I don't necessarily

25 perform before and after measurement of

Page 30 1 tear production.

Q. Okay. If we look back at the clinical

- 3 trials you've been involved in, you mentioned
- 4 Brimonidine as an example. And isn't it -- is it
- 5 true that many of these studies involve
- 6 glaucoma-related drugs?
- A. That is true.
- 8 Q. Did any of them involve cyclosporin?
- 9 A. I don't believe so.
- 10 Q. Did any of them involve treatments for 11 dry eye patients?
- 12 A. In a sense because the -- again, the
- 13 thrust of eliminating the BAK was to facilitate
- 14 treatment of patients with ocular surface
- 15 conditions, including that broad constellation of
- 16 entities collectively known as dry eye, to be able
- 17 to treat those patients for their glaucoma without
- 18 comprising their ocular surface.
- 19 And so that was a major focus of the
- 20 Brimonidine and travoprost development. And
- 21 probably was -- I mean, this was a long time ago; I
- 22 don't remember the exact study protocols. But it
- 23 probably was reflected in the -- in the patients --
- 24 in the target patient population and the monitoring
- 24 In the target patient population and the monitoring
- 25 modalities.
  - Page 31
    If I understand correctly, the active
- 2 ingredient in the treatment was being offered for
- 3 glaucoma, but the concern or the issue with BAK was
- $4\,\,$  not to aggravate patients that were also suffering
- 5 with some sort of a dry eye condition?
- 6 A. That's close. I wouldn't say it exactly
- 7 that way. But, yes, many of the patients who have
- 8 glaucoma are also elderly. And there is a strong
- 9 overlap in the target population between dry eye and
- 10 glaucoma, and so that was underlying this effort.
- 11 Q. But the focus was the treating the
- 12 glaucoma?13 A. Well, these are glaucoma drugs, obviously.
- 14 But at least in some cases -- and I -- I would have
- 15 to go read these study protocols from 15, 20 years
- 16 ago -- was towards protecting the ocular surface
- 17 while treating the glaucoma.
- 18 Q. Turn back to your declaration, Dr. Calman.
- 19 And if we turn to appendix at page 56,
- 20 please. This is entitled "List of Exhibits."
- 21 Do you see that?
- 22 A. I do.
- Q. And is this the documents that you relied
- 24 upon in forming your opinions in these matters?
- A. Well, it's not meant to be an exhaustive

Page 32

Page 33

- 1 list. These are some of the documents that I relied
- 2 upon, yes.
- Q. Okay. What other documents did you rely
- 4 upon?
- 5 A. Well, just off the top of my head, I'm not
- 6 seeing, for example, the petition, response,
- 7 institution decision. I'm looking for the various
- 8 declarations.
- 9 So I did review petitions, responses,
- 10 preliminary responses, institution decisions. I
- 11 think there were a couple of orders. And then the
- 12 declarations of Dr. Sheppard; Loftsson,
- 13 L-O-F-T-S-S-O-N; Amiji, A-M-I-J-I; and Bloch,
- 14 B-L-O-C-H.
- 15 Again, off the top of my head, that's --
- 16 that's what I remember reviewing. And I believe
- 17 that everything that is referenced in here is listed
- 18 the appendix. If not, then the reference would be
- 19 in the text.
- 20 Q. Okay. And so was this listing an attempt
- 21 to call out the specific documents referenced in
- 22 your opinion?
- 23 A. I think that would be the overall thrust
- 24 of it, yes.
- 25 Q. Were there any documents that you
- - 1 recall -- well, let me strike that.
  - 2 If you start, other than Dr. Amiji -- as
  - 3 you 1001 of the patents at issue, so those are  $\sin$
  - 4 patents that are subject to the IPRs, I assume?
  - A. Yes.
  - Q. And 1002 is the declaration of Dr. Amiji
  - 7 as filed in the IPRs?
  - 8 A. There were six different ones, and I read
  - 9 one in detail and skimmed the others looking for,
  - 10 you know, areas that were different.
  - 11 Q. All right. 1004 is the file history for
  - 12 the '930 patent in this list.
    - Is that a file history that you reviewed?
    - A. Well, I reviewed all of the six file
  - 15 histories about a year and a half ago. And I
  - 16 reviewed a few relevant sections of the '930 file
  - 17 history for the -- in preparation for this.
  - in history for the in preparation for this.
  - 18 So, yes, I have reviewed the entire file 19 history.
  - 20 Q. Okay. You have an syllabus, a file 21 history for a U.S. patent application.
  - 22 Do you see that?
  - 23 A. That's right.
    - Q. That's also something that you reviewed?
    - A. Well, again, I skimmed it and I read some

1 relevant portions, some in more detail.

- 2 Q. Okay. Starting at 1006 -- strike that.
- Again, are there other technical documents
- 4 that you relied upon in forming your opinions that
- 5 are not listed in the appendix?
- Well, I'm looking. Again, you know, there
- 7 are a lot of documents here, and I've reviewed a lot
- 8 of documents.
- What I would say is that there are
- 10 certainly other documents that I may have glanced at
- 11 but that I did not incorporate explicitly into the
- 12 declaration but that I reviewed over the years or
- 13 been exposed to during my training and practice
- 14 and/or during the earlier parts of the district
- 15 court case.
- 16 But that anything that substantively, you
- 17 know, I'm quoting and relying upon directly should
- 18 be referenced and/or listed in this -- in this list.
- 19 But, again, as I said at the outset, this is not
- 20 meant to be exhaustive.
- 21 Q. How did you come into possession of the
- 22 documents that are on this list?
- A. Most of them I encountered through the
- 24 district court proceeding. Some of them I found
- 25 during my literature searches.
  - Page 35 Some of them, I believe, came to my
- 2 attention through the various other declarations in
- 3 the case. That's -- you know, that's what I recall.
- Q. Did you request or look for any documents
- 5 that you weren't able to obtain?
- Α. Yes.
- 7 Q. What documents were those?
- The Restasis NDA. 8
- 9 Q. Okay. Anything else?
- 10 Not that I recall off the top of my head.
- 11 Okay. Did you look at any of the FDA
- 12 documents or filings related to the Restasis?
- 13 MR. MILLS: Objection. Form.
- 14 THE WITNESS: Not in conjunction with this
- 15 case.
- 16 BY MR. KANE:
- 17 0. Okav.
- 18 Which is to say the IPR case.
- 19 Understood. Thank you.
- 20 Did you look at any public FDA filings
- 21 with respect to Restasis?
- 22 MR. MILLS: Objection. Form.
- THE WITNESS: Well, that's what I thought
- 24 you were talking about. Not in conjunction with the
- 25 IPR case.

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1 BY MR. KANE:

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- Q. Okay. You didn't feel that those were
- 3 necessary to form your opinions in this case?
- A. It was more that I was not sure whether
- they would have been available to a POSA as a
- priority date.
- Q. Did you talk to Dr. Amiji in forming your
- 8 opinions in this case?
  - Α.
- Did you talk to Dr. Bloch in forming any 10 Q.
- 11 opinions in connection with this case?
  - Α.

12

14

18

19

- 13 Q. Did you talk to --
  - A. Well, I should say Dr. Bloch and I had a
- 15 conversation a few months ago in conjunction with
- the district court case. There may have been some
- overlap in materials, but they were different cases.
  - Q. Okay.
    - A. I have not had any discussions with
- 20 Dr. Bloch with regard to the IPR case.
- Okay. Have you ever had a discussion with 21 Q.
- 22 Dr. Amiji?
- 23 A. Not to the best of my recollection.
  - Have you had any discussions with
- 25 Mr. Hoffman?

Page 37

Page 36

- I think I may have had a discussion with
- 2 him during the district court case, but I'm not
- Q. Before you -- before filing the
- 5 declarations that you filed in the IPR, did you
- 6 review the declaration of Dr. Amiji filed in the
- 7 TPR?
  - MR. MILLS: Objection. Form.
- THE WITNESS: Yes. Well, the
- 10 declarations, yes, plural.
- 11 BY MR. KANE:
- Okay. And before filing your declarations
- 13 in the IPR, did you review the declarations of
- 14 Dr. Bloch?
- 15 MR. MILLS: Objection. Form.
- THE WITNESS: Yes. Or a version thereof, 16
- 17 yes.
- 18 BY MR. KANE:
- 19 Okay. Before filing your declarations in
- 20 the IPRs, did you review the declarations of
- 21 Mr. Hoffman?
- 22 I don't believe so.
  - In reviewing the matters related to the
- 24 IPR petitions, did you review the petitions filed by

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25 Apotex?

I don't think I've seen those documents.

2 Q. Did you review any petitions filed by

#### 3 Akorn?

- A. You know, I'm trying to remember whether
- 5 the petitions had other applicants besides -- or
- $\ensuremath{\text{6}}$  other petitioners besides Mylan on that front sheet.
- 7 I don't remember.
- 8 So if I did, it would have been only
- 9 because they were on the same -- you know, they were
- 10 cosignatories to the same petition as Mylan.
- 11 Q. You don't recall reviewing any petitions
- 12 other than petitions where Mylan was a party?
- 13 A. That's correct.
- 14 Q. Okay. You don't recall filing a --
- 15 reviewing a petition by Famy Care?
- 16 A. That's correct.
- 17 Q. And you don't recall reviewing a petition
- 18 by Teva?
- 19 A. We're talking about the IPR here. That is
- 20 correct.
- 21 Q. You don't recall reviewing a petition by
- 22 Argentum?
- 23 A. No, I don't.
- 24 Q. And you obviously mentioned you're aware
- 25 that there are district court cases ongoing and you

1 I'm sorry.

You see that a section with the heading

Page 40

- 3 "Claim Construction"?
  - A. I do.
- Q. And in paragraph 10 there, you describe
- 6 that you've been advised that the PTAB has construed
- 7 the claims of the patents in suit in a particular
- 8 wav.

9

- Do you see that?
- 10 A. I do.
- II Q. And you say that that -- that PTAB's
- 12 construction encompasses both palliative and
- 13 curative treatments of the various dry eye
- 14 conditions?
- 15 A. To give you a precise answer, I'd prefer
- 16 to have the actual institution decisions in front of
- 17 me.
- But off the top of my head, to the best of my recollection, this is what they said.
- 20 Q. Okay. And -- and this is what you used in
- 21 forming your opinions?
- 22 A. Correct.
- 23 Q. Okay. In forming your opinions, you have
- 24 relied upon the PTAB's construction as the term --
- 25 in terms of the patent is encompassing treatments

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- 1 filed declarations in connection with that, correct?
  - A. I think they were reports, but yes.
- Q. But you've stated a couple times that
- 4 those are separate cases and you view the opinions
- 5 here as being separate from the opinions that you
- 6 may be offering in the district court case?
- 7 A. Well, I guess it depends what you mean by
- 8 "separate." There are obviously different rules and 9 different legal standards and different bodies of
- 10 1 1 1 1 1 1 1 1 1 1 1 1 1 1
- 10 knowledge that can be considered in those two
- 11 venues. So I have done  ${\tt my}$  best to consider each one
- 12 as a separate matter.
- 13 Q. Okay. And you've done -- you've tried to
- 14 segregate the information that might be involved in
- 15 one matter versus the other and use the information
- 16 for the appropriate matter?
- 17 A. I probably used it in a -- say it in a
- 18 slightly different way. But my intent was to
- 19 basically follow the rules for each of the two
- 20 matters in terms of what information could be used
- 21 and what could not.
- 22 Q. Okay. If we turn to paragraph 10 of
- 23 Exhibit 1039, please.

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24

- A. I'm sorry. Which exhibit is that one now?
- 25 Q. Your report, 1039 -- or your declaration.

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  1 that are both palliative and curative in nature?
- 2 A. I have used the PTAB's construction in
- 3 that manner.

10

17

- Q. And you say at the bottom of the paragraph
- 5 11: "Any remedy that provides relief to the
- 6 patient's dry eye/KCS symptoms would be considered
- 7 by the patient as well as by the prescribing
- 8 physician to demonstrate therapeutic efficacy."
  - Do you see that?
  - A. I do see that.
- 11 Q. And how does that relate, then, to the
- 12 PTAB's construction regarding palliative and
- 3 curative treatments?
- 14 A. That's a broad question. And I think
- 15 there's been a lot of confusion in this between the
- 6 various parties as to what these terms mean.
  - But applying -- in my mind, "curative"
- 18 means that you give a treatment and that the
- 9 condition is cured, i.e., it's gone, it's done, it's
- 20 finished. It doesn't come back, which obviously
- 21 does not apply to, frankly, any of these topical
- 22 treatments. It may apply to some surgical
- treatments, for example, or in some of the other examples that I've given in the declaration.
  - What I was trying to say in the last

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- 1 sentence of 11 is that patient's concept of what's
- 2 therapeutically effective and a doctor -- practicing
- 3 clinician's concept of what's therapeutically
- 4 effective is something that makes the patient feel
- 5 better, patient's symptoms improve.
- Okay. And so that would include remedies
- that do not increase tear production, right?
- 9 Now, Dr. Calman, you mentioned in your 0.
- 10 declaration that there are, I guess, at least two
- 11 types of tears that patients form: Basal tears and
- 12 reflexive tears.
- 13 Do you recall that?
- 14 I think it's an oversimplification, but Α.
- 15 it's one that we use.
- 16 Basal tears are produced by the lacrimal
- 17 gland?
- 18 No, that's not right. Α.
- 19 Where are basal tears produced?
- They're produced in the various lacrimal
- 21 glands, plural.
- 22 Q. Okay.
- A. Which includes the main lacrimal gland and
- 24 the accessory lacrimal glands.
- 25 And reflexive tears are also produced in
  - Page 43

- 1 the lacrimal glands?
- Reflexive tears are produced in the
- 3 lacrimal glands. And the extent to which they're
- 4 produced by different types of lacrimal glands is an
- 5 area that is not fully known.
- Okay. And reflexive tears are produced in 7 response to an irritant to the eye?
- A. Typically, yes.
- Q. And basal tears are not typically produced
- 10 in response to an irritant?
- 11 That's a pretty good -- yeah, that's a
- 12 pretty close approximation, yes.
- 13 Q. Okay.
- 14 A. I -- to be very precise, I think -- you
- 15 know, to be more precise, basal tears are still
- 16 produced in the presence of an irritant as well.
- 17 They're produced both in the presence and absence of
- 18 an irritant.
- 19 Q. Okay.
- A. And they may -- you know, basal, it's
- 21 just -- it may vary by time of day and many other
- 22 factors, so it's not like it's some concrete thing.
- But reflexive tears are produced only in
- 24 response to an irritant?
- A. Well, generally in response to noxious

- 1 stimuli. And there are also emotional tears which
- 2 some people consider a form of reflex tears and
- 3 others consider to be a separate category.
  - Q. All right.
- MR. KANE: It's been about an hour. Take
- a short break?
  - THE WITNESS: Sure.
- (Off the record at 9:57 a.m. and back on
  - the record at 10:10 a.m.)
- 10 BY MR. KANE:

9

14

- Now, Dr. Calman, in your practice, have 0.
- you used the Schirmer tear test?
- 13 Α. Yes.
  - And you've used that to quantify Q.
- 15 tear production?
- 16 It's a rough clinical test that is proxy
- for tear production. 17
- And it provides a quantitative measurement 18 Q.
- of tear production?
- A. Well, it's quantitative in the sense that
- 21 it gives a number and has some limitations, some of
- 22 which were discussed in my declaration.
- Okay. And that -- that test can be done
- with or without anesthesia?
- Yes. There's actually at least three ways
- - - Page 45

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- 1 to do it, yes.
- Q. What are the three ways to do it?
- A. Without anesthesia, with a topical
- 4 anesthesia drop in the eye, or with nasal
- 5 stimulation.
- Okay. And is it true that Schirmer -- a
- 7 Schirmer test without anesthesia measures both basal
- and reflexive tears?
- A. Well, you know, all of these are somewhat
- 10 oversimplifications. But to a first approximation,
- 11 I think most clinicians would agree with that
- 12 statement.
- If you perform that Schirmer test without 0.
- 14 anesthesia, is there any way to distinguish between
- 15 the amount of basal tears being produced as opposed
- 16 to the amount of reflexive tears being produced?
  - A. Generally by subtracting the Schirmer
- 18 without anesthesia with all the caveats and 19 limitations of that test.
- 20 Q. Okay. But running the Schirmer test
- 21 without anesthesia alone, you can't distinguish
- 22 between the amount of the basal tears produced and
- 23 reflexive tears produced?
  - A. Pretty much what you're getting is the
- 25 total of those two.

1

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So as you said, then, to determine the --2 or strike that.

In order to distinguish between the amount 4 of basal tears and reflexive tears, you would run a

5 Schirmer with anesthesia?

Well, to a first approximation and within 7 the limitations and problems within all these --

8 problems with and limitations of all these tests,

10 It says "limitation," but it's

11 limitations.

12 And, in fact, at paragraph -- if you want

13 to look at paragraph 37 of your declaration,

14 Dr. Calman.

15 Α.

16 The second sentence says: "However, the

17 STT" -- and that stands for Schirmer tear test?

18 Correct. Α.

19 -- "with anesthesia, which is thought to

20 measure the basal aqueous tear secretion."

21 You see that?

22 Α. Yes.

So that's consistent with what you

24 discussed, that the Schirmer tear with anesthesia is

25 thought to measure the basal aqueous

Page 47

1 tear production?

Well, with the caveats I've expressed. I

3 mean, if I put every caveat in every sentence, the

4 thing would be a hundred pages long.

But, yeah, I think we all understand these

7 tests are imperfect, but they're common clinically

8 performed tests that are reasonable proxies for

9 these -- these entities -- these quantities.

10 Q. And if we turn to paragraph 34 of your

11 declaration, the last sentence is what I want to

12 focus on here.

13

14

16

A. I'm sorry. Which one?

Q. The last one.

15 Α. Okay.

All right. And you say there that "The

17 basal tear production as measured by the STT with

18 anesthesia is highly relevant to patient symptoms"?

19 Α. Correct.

20 Okay. And then you continue on to say:

21 "Total aqueous tear production capacity as measured

22 by Schirmer tear without anesthesia also provides

23 important information regarding the severity of the

24 patient's dry eye condition," right?

Α. Correct.

Page 48 Q. And you understand that Restasis was the

2 first drug approved by the FDA to increase

tear production?

MR. MILLS: Objection. Form.

THE WITNESS: Well, I think that depends,

6 again, semantically on what you mean by that. It's

7 the first prescription drug that says on the label

8 that it increases tear production, to the best of my

9 knowledge.

10 BY MR. KANE:

11 Q. Okay.

12 That doesn't mean it's the first drug that

13 increases tear production. And it doesn't mean it's

14 the first eye drop that, including nonprescription

15 eye drops, that increase tear production.

Okay. But, again, my question was this:

17 Restasis was the first drug that the FDA included a

18 reference on the label as increasing

tear production?

A. Well, not to be argumentative, that was

21 not your question; this is a new question phrased

22 differently.

And it was the first -- so what I'm

24 alluding to is that there was at least one

25 nonprescription drug that includes an ingredient

Page 49 1 that has been shown to increase tear production.

2 But as far as prescription drugs that I'm aware that

3 on the label it says "increases tear production,"

4 I'm not aware of any other than Restasis.

Okay. Hand you, Dr. Calman, what's been

previously marked as Exhibit 2008.

7 Have you seen this before?

> Α. Yes.

8

16

Q. And what is your understanding of what

10 this document is?

11 Α. This is at least a version of the FDA

12 label for Restasis.

Okay. Do you know when Restasis was

approved first by the FDA?

Not exactly off the top of my head, but I 15 A.

think 2002.

17 0. Okay. And were you using Schirmer tear

18 tests in your practice in 2002?

19 Α. Yes.

20 0. Okay. And were you using it to measure

tear production in 2002? 21

Tear production? Loosely speaking, yes.

23 All right. Hand you what's been marked as

24 Exhibit 1007, Dr. Calman.

Page 52 Page 50 Are you familiar with this document? 1 vehicle? 2 Yes. A. Let me look a second. Α. And do you understand this is the Sall I don't see that explicitly stated. 4 paper that's been referred to in the proceedings? Q. In fact, it says that the castor oil in 5 water emulsions were a proprietary formulation? MR. MILLS: Objection. Form. Q. And what do you understand this paper to 7 be describing? THE WITNESS: It says the precise This paper describes two Phase 3 pivotal 8 formulation is proprietary. 9 BY MR. KANE: 9 trials of two different cyclosporin emulsions for 10 treatment of moderate to severe KCS. Q. Okay. So there's nothing in Sall that 10 Okay. If we look at the second page, 11 tells you what the components in that proprietary 12 page 632, you see the section called "Materials and 12 formulation consist of? 13 Methods"? MR. MILLS: Objection. Form. 13 14 A. Yes. 14 THE WITNESS: Well, not explicitly in Q. And there's a section in the middle of the 15 black and white words on paper, no. 16 right-hand column called "Study Medications"? 16 BY MR. KANE: 17 A. Yes. 17 Q. Okay. 18 Q. And you would agree that this paper 18 A. And, again, I think what we're talking 19 describes that there was a 0.5 percent and a 19 about, if I'm assuming correctly when you say, 20 0.1 percent ophthalmic emulsion and vehicle that 20 "There's nothing that would tell you," I think you 21 included cyclosporin A? 21 mean nothing to tell a person of ordinary skill in 22 MR. MILLS: Objection. Form. 22 the art as of the priority date. THE WITNESS: Well, the vehicle didn't 23 Q. Yes. That's what --24 include cyclosporin A. I would disagree with that A. As of the priority date. I didn't hear 25 what you said. 25 part. Page 53 Page 51 1 BY MR. KANE: I was going to say, and so you've answered Okay. So there was a 0.5 percent CsA 2 the questions with that understanding of my 3 ophthalmic formulation? 3 question? Α. Yes. A. Well, the questions that you've asked me And there was a 0.1 percent CsA ophthalmic 5 about Sall, yes. emulsion formulation? Okay. So let's look at Figure 1 of Sall 7 7 which is on page 635. 8 Q. And then there was a vehicle? Α. 9 That's what's described. Q. And this shows the results of the study 10 Okay. And you'd agree that Sall does not 10 formulations with respect to corneal staining. 11 describe what the vehicle used in the studies? 11 Do you see that? A. Not. --12 12 Yes. Α. 13 MR. MILLS: Objection. Form. And what does corneal staining indicate to 0. THE WITNESS: Not explicitly. 14 a physician? 15 BY MR. KANE: Well, typically with corneal staining, 15 16 Okay. It doesn't -- it doesn't tell you 16 most of the time you're using fluorescein, 17 how much castor oil is used in the vehicle? 17 F-L-U-O-R-E-S-C-E-I-N. And basically you're Not explicitly. 18 instilling a fluorescein solution or using a 19 fluorescein impregnated test strip to instill a 19 Q. Does it tell how much surfactant is used 20 in the vehicle? 20 small amount of dye in the eye. This dye has 21 Not explicitly. 21 different colors depending on its concentration and Α. Does it describe the number of surfactants 22 depending on the underlying tissue, but typically 23 used in the vehicle? 23 it's orange.

24

24

A. The what?

Q. The number of surfactants used in the

But if it adheres to devitalized spots or

25 spots that are what we call epithelial defects on

Page 54 1 the cornea, you will see a green glow or a green

- 2 spot when you illuminate it with cobalt blue light.
- 3 So it's a way of staining or identifying devitalized
- 4 or denuded, D-E-N-U-D-E-D, areas of the cornea.
- Q. What is the clinical significance ofidentifying those areas?
- 7 A. It's a proxy for basically an unhealthy
- 8 corneal or stressed epithelium, which is the outer
- 9 layer of the cornea, which is seen in various dry
- 10 eye conditions and other types of -- many other
- 11 types of eye conditions.
- 12 Q. Okay. But it provide a measure --
- 13 A. I'm sorry. I'm just looking at the 14 transcript here.
- 15 It's a proxy for basically an unhealthy
- 16 corneal epithelium, E-P-I-T-H-E-L-I-U-M.
- 17 A proxy for -- maybe I shouldn't look at
- 18 this.
- 19 Q. Does it provide a measure of
- 20 tear production in the patient?
- 21 A. Not directly.
- Q. Okay. And at six months, the 0.5
- 23 formulation was found to have been statistically
- 24 significantly better than vehicle?
- 25 A. I think you meant to say .05. And at  $\sin$ 
  - Page 55
- 1 months, both the .05 and -- well, first of all, to
- 2 state the data more completely for that time point,
- 3 all of the solutions, including vehicle, showed a
- 4 statistically significant improvement from baseline
- 5 at all follow-up visits including Month 6.
- 6 And the -- I believe that's correct. Let
- 7 me just double-check that.
- 8 Yes. "The improvement" -- and I'm reading
- 9 from the text here. "The improvement in corneal
- 10 staining was significantly greater in both CsA
- 11 groups than the vehicle group (P less than 0.044) at
- 12 Month 4, and in the CsA 0.05 percent group at
- 13 Month 6 (P equals 0.008)."
- 14 And then it also says -- let's see.
- 15 "There was also a trend (P equals 0.062) toward a
- 16 significantly greater improvement in the CsA
- $17\,$  0.1 percent group than the vehicle group at Month 6  $\,$
- 18 (Figure 1)."
- 19 That's a quotation from Sall, page 635.
- 20 And just to put this in context -- well,
- 21 I'll just stop there.
- 22 Q. Okay. And so what Sall, the portion you
- 23 just read, states is that the P value at Month 6 for
- 24 the 0.05 percent was less than .05, whereas the
- 25 P value for the 0.1 percent formulation was greater

- 1 than 0.5. -- .05 -- excuse me.
- 2 A. That is factually correct. I think the
- 3 way that most clinicians would interpret that and
- 4 that scientists would interpret that is that if you
- 5 set the level of significance at P equals .05, which
- 6 is a fairly standard level, with caveats that we
- 7 could perhaps discuss later, then this achieved
- 8 statistical significance for the .05 approached but
- $9\,$  did not quite reach statistical significance for the
- 10 .1.

11

12

- Q. Okay.
- A. And if you look at the bar graph, that's
- 13 illustrated graphically.
- 14 And, you know, if you -- if you look at
- 15 the Month 4, you see a numerically better result
- 16 with the .1, although the difference between the
- 17 groups was not significant. And -- the difference
- 18 between significant -- between the .05 and the .1
- 19 was not reported as being significant at any time
- 20 point.
- 21 Q. But it's -- and at six months, the
- 22 0.05 percent was numerically superior to either --
- 23 to both the 0.1 percent and the vehicle?
  - MR. MILLS: Objection. Form.
- THE WITNESS: Numerically superior, yes.
- Page 57

Page 56

- 1 Just as at Month 1, the .1 was numerically superior  $\,$
- 2 to the other two.
- 3 BY MR. KANE:
  - Q. Okay.
- 5 A. And I'm not asserting that there's a
- $\ensuremath{\text{6}}$  statistically significant difference between the .1
- 7 and .05 at Month 4, just that there is none at any
- 8 of the other time points, including Month 6.
- 9 Q. Okay. And then if we look at Sall
- 10 Figure 2, at three months the 0.05 percent
- 11 formulation has a P value of less than 0.5 compared
- 12 to vehicle, correct?
  - MR. MILLS: Objection. Form.
- 14 THE WITNESS: Well, I think you meant to
- 15 say .05. And to put that in context, this is
- 16 categorized Schirmer values with pitfalls that I
- 17 discussed at length, as did Dr. Bloch, in our
  - 8 declarations, measured with anesthesia at the -- at
- 19 a time point that -- and which was measured only at
- 20 two time points in contrast to most of the other
- 21 measures.

13

- 2 And at the time point that was not the key
- 23 time point of six months as identified by Allergan,
- 24 none of these emulsions achieve any significant
- 25 change or seen -- none of these emulsions achieve a

1 significant change compared to baseline at Month 3.

But there was a statistically significant

- 3 difference between the .05 and vehicle but not
- 4 between .05 and .1.
- So I think it's -- it's a big issue and
- 6 can't be capsulized in one sentence.
- 7 BY MR. KANE:
- And, in fact, at Month 3, as reported in 9 Sall, there was a significant worsening in patients
- 10 treated with vehicle, correct?
- 11 MR. MILLS: Objection. Form.
- 12 THE WITNESS: Well, again, I think you're
- 13 taking that out of context because if you look -- it
- 14 was a result that's very odd. Because if you look
- 15 at the Schirmer's without, which measures both basal
- 16 and reflex to a first approximation, all of the
- 17 groups, including the vehicle group, showed a
- 18 statistically significant improvement at all time
- 19 points. And they measured four time points: 1, 3,
- 20 4, and 6.
- 21 So how do you explain that the Schirmer's
- 22 without anesthesia was statistically significantly
- 23 increased at the same time point as the Schirmer's
- 24 with anesthesia categorized would significantly
- 25 decrease.

- Page 59
- So as a person of ordinary skill, when I 2 look at that, I'm thinking this is very strange.
- 3 And I would like to see the underlying raw Schirmer
- 4 data, which we asked for which the patent owner did
- 5 not want to disclose. But I would be surprised if
- 6 the -- if the raw data would bear this out, and I
- 7 would be surprised if Allergan had bothered to test
- 8 this at other time points whether this decrease
- 9 would -- would bear out.
- 10 So I see that time point, that particular
- 11 one data point out of this entire study where they
- 12 studied 15 or 20 different efficacy variables, as an
- 13 outlier. And -- and that is difficult to
- 14 understand.
- 15 BY MR. KANE:
- 16 Okay. But you agree that Schirmer states
- 17 at Month 3 -- excuse me -- Sall states: "At
- 18 Month 3, there was a significant worsening with the
- 19 vehicle group (P equals 0.014) and a significant
- 20 difference among the treatment groups"?
- 21 I'm looking for that in the text. Can you
- 22 point me to where that is?
- Yes. It's above Sall Figure 2 there,
- 24 middle of the first paragraph. Starts off "At
- 25 Month 3."

- Page 60
- A. Yes. So, as I said, I can read -- of
- 2 course, I can read that text there. And as a person 3 of ordinary skill accustomed to reading scientific
- 4 papers, I'm very troubled by this use of categorized
- 5 Schirmer value, especially with these very broad
- 6 categories and especially when changes -- small
- 7 clinically insignificant changes up or down from
- 8 baseline may have unpredictable effects on the
- 9 score.

16

- 10 For example, if you had a Schirmer of 3
- 11 and you're in that Category 2, if you have a
- .1 millimeter decrease in Schirmer, that's going to
- show up as one unit decrease. If you have a 2.9 or
  - even a 3-millimeter increase, it's not going to show
- 15 up as a change at all.
  - So it's a very strange way to obscure --
- 17 well, to put an additional layer from the original
- 18 data to what's being reported graphically here, that
- 19 I don't understand why they did it. At least I
- don't agree with why they did it. And I think
- 21 creates data points that just don't make sense in
- 22 the overall context, particularly the Schirmer's
- 23 without anesthesia, which showed an increase at all
- 24 time points, four different time points with the
- 25 vehicle.

- Page 61
- So how do you explain that? And the 2 authors couldn't and didn't. They didn't make any
- 3 attempt to explain that.
- Q. Okay. But, again, my question is: Sall
- 5 reports what it -- that there was a -- let me just 6 read it.
- "At Month 3, there was a significant
- 8 worsening with the vehicle group (P equals 0.014)
- 9 and a significant difference among the treatment
- 10 groups, with CsA 0.05 percent group significantly
  - greater than the vehicle group (P equals 0.009)."
    - Do you see that?
- 13 I do see that, and I think I agree that I 14 read that there. I just don't think that's the end
- 15 of the analysis as a person of ordinary skill.
  - 0. Okay.
- 17 Α. I agree that that sentence appears in the 18 text there.
- 19 Q. Okay. But you are choosing to reject that 20 sentence?
- Α. Well, it's not a matter of the choosing. 21
- 22 It's a matter of applying the -- you know, applying
- 23 the rest of the data set and other relevant data
- 24 sets and my knowledge in reading and understanding
- 25 clinical and scientific trials. I think it's an

12

1 outlier.

2 You know, I -- we -- we do use .05 P

- 3 value. We use it a lot. It's a convenient P value.
- 4 It's appropriate for a wide variety of tests, but
- 5 it's not perfect.
- And, you know, there is a concept --
- 7 there's a -- there's a concept called the Bonferroni
- 8 correction, B-O-N-F-E-R-R-O-N-I, and other similar
- 9 corrections which basically say when you're looking
- 10 at 50 or 100 or 200 data points, some of them are
- 11 going to come up as apparently statistically
- 12 significant changes just by random chance.
- And, you know, if I have 20 types of jelly
- 14 beans -- 20 different colors of jelly beans, and I
- 15 distribute them to people with cancer, one of those
- 16 20 is probably going to show statistically
- 17 significant effect in curing cancer. Does that mean
- 18 that grape jelly beans cure cancer? Probably not.
- 19 If you repeated the test, you would probably not get
- 20 that result.
- 21 So, as scientists, we see this come up all
- 22 the time. And so you look at and say that doesn't
- 23 make sense physiologically, it doesn't make sense in
- 24 the context of the rest of the data set, doesn't
- 25 make sense in the context of other studies, and it
  - Page 63

- 1 can be explained as the fact that you're measuring a 2 bunch of variables.
- It's as if your doctor ordered a panel of
- 4 100 blood tests. Probably five of them are going to
- 5 come back abnormal. If you retest them, they may
- 6 not be abnormal but just on the basis of random
- 7 chance.
- Okay. You would agree -- and in this
- 9 case, the P value compared between the 0.05 group
- 10 and the vehicle at three months is .009.
- 11 Do you see that?
- A. I see that. I'm not -- I'm not -- I
- 13 haven't done my own calculation, but I'm not
- 14 questioning their calculation.
- 15 Q. Right.
- 16 A. I'm just applying -- I'm putting it in
- 17 context, and I won't repeat that whole last
- 18 paragraph that I said.
- 19 Q. Okay. So that's far below the P value of
- 20 .05, true?
- 21 A. Well, it's -- yeah, it's .014, which is
- 22 less than .05.
- Q. I was actually pointing to the second P
- 24 value, .009.
- A. .009 is also less than .05.

- Page 64 Page 62 Q. At six months, the vehicle results shown
  - 2 in Schirmer tear -- excuse me -- in Figure 2 also
  - 3 show that the vehicle group was below baseline?
  - A. Not to a statistically significant value.
  - And, again, at the six-month time point as
  - 6 well as, just as at the one-, three-, and four-month
  - 7 time points, vehicle showed a statistically
  - 8 significant increase in baseline on Schirmer's
  - 9 without anesthesia, which measures total tear
  - 10 secretion ability. And not only that, there were no
  - 11 differences, no statistically significant
  - 12 differences between any of the formulations at any
  - 13 time point for Schirmer's without anesthesia.
  - So, number one, I'd like to see the raw
  - 15 Schirmer data with anesthesia, both with and
  - 16 without, but especially with.
  - 17 And, number two, nobody has explained to
  - 18 me, either Sall and their co-authors, nor any of
  - 19 Allergan's experts, exactly how it is
  - physiologically that supposedly this vehicle
  - 21 increases total tear production at the same time as
  - 22 it decreases basal tear production. That makes no
  - 23 sense. You know, it just doesn't make any sense
  - 24 based on anything that we have that we know.
    - Q. Okay. But you agree with what's reported
  - Page 65 1 in Sall Figure 2?
    - MR. MILLS: Objection.
    - THE WITNESS: Well, I think I've answered
  - 4 that. I've tried to. I've explained that I can
  - 5 read the numbers on the page and I can put them in
  - 6 context, and that's what I've attempted to do.
  - 7 BY MR. KANE:
    - Q. Okay.
    - A. Well, you know, the other thing is --
  - 10 well, I'll just leave it at that.
  - 11 Q. And you agree that the data in Sall
  - 12 Figure 2 shows that the 0.5 is numerically superior
  - 13 to both the 0.1 percent formulation and the vehicle
  - 14 at six months?
  - A. Well, I -- "numerically superior" is a 15
  - 16 little bit of a loaded term. It is not
  - 17 statistically significantly different.
    - The number, the average number, the mean
  - is higher. All of these are very small changes.
  - But the number -- the change is slightly higher for
  - the .05 on this particular time point.
  - You mentioned earlier that these are the 23 categorized Schirmer scores, correct?
  - 24 Α. Yes.
  - 25 Q. Do you know if the FDA relied upon

1 categorized Schirmer scores in approving Restasis? 2 A. So, again, using the available data for

3 the IPR, I have not seen -- the only thing I have

4 seen that is responsive to that question is --

5 actually, let me just double-check one other thing

6 here because I don't think there was a reference to

7 the FDA in here.

8 So I don't think there's anything in Sall

9 that refers to the FDA.

10 The label actually uses a different --

11 different set of data or a different analysis of the

12 data. It's not clear from, again, just using the

13 documents available for the IPR.

14 But what they are looking at -- let me

15 find the correct part of this label -- is they're

16 looking at a 10-millimeter increase in -- here it

17 is. Page 5 of the FDA label.

18 "Restasis demonstrated statistically

19 significant increases in Schirmer wetting" --

20 W-E-T-T-I-N-G -- "of 10 millimeters versus vehicle

21 at six months in patients whose tear production was

22 presumed to be suppressed due to ocular

22 presumed to be suppressed due to ocul

23 inflammation."

Now, that's a little different from --

 $25\,\,$  that's a lot different, actually, from Sall because

1 that related to categorized Schirmer tests --

2 categorized Schirmer values in approving Restasis?

MR. MILLS: Objection. Form.

THE WITNESS: I want to be careful here

5 because I have been shown information in the

6 district court case which is subject to protective

7 order. And I've also seen some materials in the

8 district -- some FDA documents in the district court

9 case which may not be subjected -- subject to the

10 protective order but which I have not considered for

11 the IPR because I'm not sure that they were

12 available to a POSA, P-O-S-A, as of the priority

13 date. So I want to be careful in answering that

14 question.

15 Using the data set that -- that we've

16 identified here -- and particularly the only FDA  $\,$ 

17 communication that I've identified is the FDA

18 label -- there's nothing that suggests that they

19 considered categorized Schirmer value.

O If you want me to go into information that

21  $\,$  I may be aware of from the district case, I would be

22 very -- I think I would be very cautious about doing

23 so.

7

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24 BY MR. KANE:

25 Q. Okay.

Page 69

Page 68

1 it's not categorized, it's millimeters, which is how
2 we as clinicians measure and interpret and read

we as crimicians measure and interpret and read

3 studies about Schirmer's. So it's not clear from...
4 And then the vehicle -- sorry. "This

5 effect was seen" -- I'm quoting: "This effect was

6 seen in approximately 15 percent of Restasis

7 ophthalmic emulsion-treated patients versus

8 approximately 5 percent of the vehicle-treated

9 patients. Increased tear production was not seen in

10 patients currently taking topical anti-inflammatory

11 drugs or using punctal" -- P-U-N-C-T-A-L -- "plugs."

12 So it doesn't mention categorized, and it

13 does mention Schirmer. And whether this patient

14 population is the same as the patient population in

15 Sall is not specified in the available documents.

16 And I think that's the only reference to

17 Schirmer, but let me just double-check that.

18 So that is the only reference to Schirmer

19 that I'm seeing just in rapidly skimming this FDA

20 label.

Q. Okay.

22 A. If there are others, please point them

23 out.

21

Q. So, as you sit here today, you don't know

25 whether the FDA relied on any of the Phase 3 data

1 A. I'm looking at the transcript. It says 2 "to oppose a POSA." It supposed to say "to a POSA," 3 P-O-S-A.

Q. Is it your understanding that unexpected results can only be shown by data that was available to a POSA as of the priority date?

MR. MILLS: Objection. Form. Foundation.

THE WITNESS: I think that's a legal

9 question, not a -- not a medical or scientific

O question. And so I'm reluctant to give a definitive

1 answer other than to say that what I have considered

2 in my declaration is the information that has either

3 been -- that was either clearly available to a POSA

14 at the time of the priority date or that has been

15 introduced and permitted by the PTAB.

16 BY MR. KANE:

17 Q. You don't have an opinion as to what 18 information can be used from a legal perspective to 19 show unexpected results?

20 A. I don't have a definite enough

21 understanding of that topic to express an opinion

22 other than what may be in my -- let me just look at

23 my...

You know, Dr. Amiji may have addressed

25 that as well because he had some information about

Α.

Page 70 1 the legal framework in his -- let me look at mine 2 first.

I see in Dr. Amiji's declaration,

- 4 paragraph 29, it says: "The conclusion of
- 5 obviousness must be firmly based on the knowledge
- 6 and skill of a person of ordinary skill in the art
- 7 at the time the invention was made."
- And there's a discussion of secondary
- 9 considerations in paragraphs 32 and 33 which do not
- 10 specifically address or answer that -- your
- 11 question.
- 12 The paragraph 34 also addresses the time
- 13 frame.
- 14 And let's see. One more place.
- 15 I need a minute to think.
- 16 I -- I'm thinking that in reading the
- 17 Schiffman and Attar declarations -- and, again,
- 18 although I'm somewhat reluctant to offer an opinion
- 19 that might verge on being a legal opinion -- my
- 20 understanding is that they offered their
- 21 declarations, which I do not have in front of me,
- 22 stating that there was new data to indicate that
- 23 the -- the claim formulation exhibited unexpected
- 24 results.
- 25 Now, as it happened, the new information

- That is Exhibit 1040. ٥. If you'd turn to paragraph 77 of
- 3 Dr. Amiji's declaration for me.
  - Α.
- Q. And you see there's -- the second sentence

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- 6 of that paragraph says: "At six months of
- treatment, Figure 2 in Sall depicts a negative
- change in Schirmer value (indicating worse dry eye
- 9 disease/KCS) and positive changes (indicating
- 10 improvement) for both CsA treatments, with the CsA
- 0.05 percent treatment having an average change in
- Schirmer score more than one standard deviation
- higher (better) than the CsA 0.1 percent treatment."
  - Do you see that?
- 15 A. I do see that.

14

- 16 And do you agree with Dr. Amiji's
- 17 interpretation of Sall Figure 2?
- A. Well, it's a literal -- it's a literal
- 19 statement that is not factually wrong.
- 20 The context that I would add in addition
- 21 to what I stated a few minutes ago is that --
- 22 because, you know, there is no statistically
- 23 significant difference between the .01 -- the .1 and
- 24 the .05, and that the actual changes in Schirmer
- 25 score, which is about .3 to .4 units, those are very

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- 1 that they offered was actually old information from
- 2 before the priority date. But the fact that they
- 3 offered supposedly new information would suggest to
- 4 me that at least Allergan's counsel was of the
- 5 opinion that information after the priority date
- 6 could be admissible for the purpose of unexpected 7 results.
- But I say that just as a piece -- as a
- 9 data point, not that I'm expressing a conclusive
- 10 legal opinion on that because I'm not.
- 11 Okay. So the record's clear, you've been
- 12 looking at the declaration of Dr. Amiji?
- 13 A. Yes, Amiji.
- 14 Is that marked as Exhibit 1002?
- 15 It is, yes. This is actually -- yes, it
- 16 is
- 17 I see you've brought some other documents. 18 What other documents do you have with you?
- I also have my declaration and Dr. Bloch's 19
- 20 declaration. And then you handed me my CV, the FDA
- 21 label, and Sall.
- 22 Okay. What's --
- 23 And another copy of my declaration.
- What's the exhibit number of Dr. Bloch's 24
- 25 declaration?

- 1 small and potentially, you know, either very mildly
- 2 clinically meaningful or not clinically meaningful.
- So literally speaking, I agree with his
- 4 statement.
- Q. Okay.
- You know, I think, looking at it -- I'm
- 7 sorry. Looking at it more closely, I'm not sure
- 8 that he's demonstrated that it's more than one
- 9 standard deviation higher. I don't know that you
- 10 can do that just by looking at the graph.
- 11 Q. So you disagree with Dr. Amiji's
- 12 statement?
- 13 Α. Well, I don't -- I don't -- I haven't done
- 14 a statistical analysis, and I don't know where he
- 15 got that conclusion. So I'm not agreeing or
- disagreeing; I'm just saying I don't know for sure
- 17 if that is correct. 18 Okay. If we look at Sall on page 637, Q.
- 19 please.

23

- 20 And there's a paragraph in the middle of
- the page. It begins "This study." 21
- 22 Uh-huh. Α.
  - Q. Do you see that?
  - This paragraph is talking about
- 25 improvements in categorized Schirmer value obtained

1 with anesthesia?

2 A. Yes.

Q. And it says -- the concluding sentence says: "Consequently, the results presented here suggests that the CsA treatment is affecting

6 baseline tearing, not reflexive tearing."

7 Do you see that?

A. I see that.

9 Q. Okay. And do you agree with that

10 interpretation of Sall?

11 A. It's -- it doesn't explain all of the

12 data. I -- I don't understand how, for example,

13 if -- you know, again, I think there's some --

14 there's some issues with the data set, particularly

15 the three-month figures for the .1 percent. Why

16 would it go down at three months and go up -- why

17 would the, quote/unquote, basal the category

18 Schirmer with anesthesia go down at three months

19 when the categorized Schirmer without anesthesia

20 goes up at the same time point? That would suggest

21 basal tearing went down and reflex tearing went up.

22 And how do you explain that?

23 And similarly -- and then how do you

24 explain that that's not -- you know, that that's

25 also the effect with the castor oil at three months?

Page 75

1 So, you know, I think they're making this 2 conclusion, and they probably had some access to the

3 raw Schirmer data which we do not have in this

 $4\,$  article. So I -- I think the data set raises some

5 questions in addition to the sort of pat answer.

6 And the other thing that I would say is 7 that they have not given us any actual numbers for

8 Schirmer's without anesthesia. So it may be that

9 cyclosporin is affecting both baseline and reflexive

10 tearing, but they have not shown their work so we

11 don't know. We just have this sentence.

12 And -- you know, again, I think if we

13 could get our hands on the NDA actual data in

14 millimeters, which is the way the data were

15 collected and the way that the clinicians used the

16 test, it might clarify some of these apparent

to test, it might clarify some of these apparent

17 disparities.

18 Q. Okay. But you do agree that the authors

19 of the paper reached the conclusion that the CsA

20 treatment is affecting the baseline tearing and not

21 reflexive tearing?

1-800-826-0277

22 MR. MILLS: Objection. Form.

THE WITNESS: That's one of the things

24 that they say. They say a lot of things. But that

25 sentence does appear in there.

1 BY MR. KANE:

Page 74

Q. Okay. All right. Let's look at Figure 3.

3 And this is measuring change from baseline in

4 blurred vision.

Do you see that?

A. Yes.

Q. And how do you measure blurred vision in

8 patients with dry eye disease?

9 A. So -- well, how do I measure it or how did

10 they measure it?

11

Q. How did they measure it?

12 A. Well, they measured it, at least on this

13 particular one that they're reporting, it appears to

be a subjective patient-reported zero to 4 scale.

15 It was one of many, many subjective symptoms that

16 they measured, including -- and I reviewed them at

17 length in my declaration.

18 So this is only one of a lot, including

19 OSDI, subjective facial expression rating scale,

20 stinging and burning, itching, sandiness and

21 grittiness, blurred vision, dryness, light

22 sensitivity, pain, soreness, investigator's global

23 evaluation, patient use of artificial tears, number

24 of artificial tears per day. Number of days per

25 week that they did not use the tears.

Page 77

Page 76

1 So there's a lot of these subjective 2 measures. They chose to emphasize this one in the

3 graph, but there were a whole bunch of them and...

4 So that's, you know -- and as far as I can

5 tell from what's provided in the "Materials and

6 Methods" section, this was the patient self-reported

7 zero to 4 for scale, "Doc, my eyes aren't blurry at

8 all. Doc, my eyes are really blurry." That's a 4

o all. Doc, my eyes are really blurry." That's a s

9 presumably.

10 I mean, how do we measure it in the

11 clinic? We determine a best corrected visual

12 acuity.

Q. Have you ever been involved in the design

14 of a Phase 3 study?

15 A. You know, I was certainly involved in

16 discussions with investigators who were doing

17 Phase 3 studies, and I don't remember exactly at

18 what stage.

19 Q. Have you ever been involved in discussions 20 with the FDA in design of a Phase 3 study?

21 A. Directly with the FDA, no.

Q. Okay. You would agree that, in Figure 3,

23 the 0.05 percent formulation is numerically superior

24 at all time frames?

MR. MILLS: Objection. Form.

Page 78 Page 80 THE WITNESS: Well, if you put it in 1 typically use their artificial tears more 2 context, this one of more than a dozen subjective 2 frequently. 3 measures shows numeric superiority showing small Now, that said, this graph, I believe, was 4 changes of .3 to .5 units on a zero to 4 scale at 4 disavowed because it appears to be a copy of 5 all time points. 5 Figure 3, which was probably just a -- an error in 6 BY MR. KANE: 6 production of this paper. Okay. Is change baseline -- excuse me. Yeah. Okay. But --Is change in blurred vision a measure of But I think I've answered your question 9 increased tear production? 9 too. 10 A. Well, you know, blurred vision can be 10 Q. Yes. Exactly. 11 caused by a lot of things. However, certainly one 11 I think in paragraph 58 of your 12 of many factors that can affect blurred vision is 12 declaration, Dr. Calman --13 tear production. But it is, at best, an indirect 13 A. I'm going to ask that we take a break very 14 measure. 14 soon. 15 Q. Does it provide any sort of quantification 15 Q. Why don't we just take it right now. 16 of increased tear production? 16 (Off the record at 11:07 a.m. and back 17 17 I think that would be a stretch. on the record at 11:21 a.m.) Q. Does that mean no? 18 18 BY MR. KANE: 19 I think that would be a stretch. 19 0. All right. I think we were going to 20 Q. What do you mean when you say it would be paragraph 58 of your declaration, Exhibit 1039. 21 a stretch? 21 22 Α. Well, I think that it would be a stretch 22 ٥. Okay. And we -- you go through a list of 23 to say decreased blurred vision implies increased 23 the parameters that were considered in the Allergan 24 tear production, although in many cases, many 24 Phase 3 study disclosed in Sall. 25 patients -- increased tear production across -- as a Do you see that? Page 79 Page 81 1 blanket statement, although that may be true for A. I go through a variety of the efficacy 2 some of these patients. 2 outcome measures, yes. And let's look at Figure 4 in Sall. I think we've already talked about the Q. 4 corneal staining in connection with the Figure 1, so Α. Yes. This is change in baseline in average 5 I'll move past that. 6 daily use of artificial tears. The next one is conjunctival staining. 7 Do you see that? 7 Would you agree that that does not directly measure MR. MILLS: Objection. Form. 8 increased basal tear production? 8 THE WITNESS: Well, there are some issues A. Well, it's a very important outcome for 10 with this graph that maybe we can talk about. It 10 dry eyes because it's a measure of devitalized 11 does say that, yes. 11 conjunctiva at the ocular surface. 12 BY MR. KANE: And so, although there may be a 12 Okay. And is a change in baseline in 13 correlation with basal tear production, it is not 14 average daily use of artificial tears a direct 14 a -- it is not a direct measure of basal 15 measure of increased tear production? 15 tear production. 16 16 Not a direct measure, no. Okay. And then we've already talked at 17 Q. Okay. Okay. Is it a -- well, is it a 17 length about Schirmer tear with anesthesia and 18 stretch again? 18 Schirmer tear without anesthesia. So we can move 19 19 past that. A. Well, it's a less of a stretch because 20 there are a lot more things that cause blurred 20 Blurred vision, we've talked about.

21 vision than there are that cause people to use their

24 generally speaking, if -- if you have a group of

25 people who have lower tear production, they will

Again, it's not a direct measure but,

22 tears more often.

21

Dryness. Would you agrees dryness is not

A. Well, first of all, dryness here, as I

22 a measure of increased basal tear production?

24 understand it reading "Materials and Methods"
25 section is a patient's subjective self-assessment of

1 how dry their eyes feel. And, again, I think there

- 2 is a correlation between that and basal
- 3 tear production in this type of patient, but it is
- 4 not a direct measure of basal tear production.
- Q. Next listed here is a sandy, gritty 6 feeling.
- 7 Do you see that?
- Α.
- And would you agree that a sandy, gritty
- 10 feeling is not a direct measure of increased basal
- 11 tear production?
- 12 It would be my same answer. This is,
- 13 again, a subjective self-assessment of sandy and
- 14 gritty feeling in the patient's eye. And although
- 15 it's an important symptom and it tends to correlate
- 16 with basal tear secretion in this type of patient,
- 17 it is not a direct measurement of basal tear
- 18 secretion.
- Q. Next is itching.
- 20 Do you see that?
- 21 I do.
- 22 And would you agree that itching is not a
- 23 direct measurement of increased basal tear
- 24 secretion?
- 25 A. So similar answer. I think this is a

- Page 84 1 common symptoms in patients with various dry eye
- 2 conditions as well as some other ocular conditions.
- 3 And that although they do tend to correlate with
- 4 basal tear secretion in this type of patient, they
- 5 are not a direct measurement of basal tear
- secretion.
  - Q. Next is pain.
- Do you see that?
  - I do. Α.
- 10 Q. And do you agree that pain is not a direct measurement of increased basal tear secretion?
- 12 So pain, I would say, is also an important
- symptom in this type of patient, although it can be
- seen with many other types of ocular conditions. In
- my experience, this is -- this does correlation well
- with basal tear secretion but it is not a direct
- 17 measurement.
- 18 And this is one of the parameters where
- 19 the .1 percent performed numerically better than the
- 20 .05 percent.
- 21 Q. Okay. Next is the physician's subjective
- assessment of global response to treatment.
- 23 Do you see that?
- 24 I do. Α.
- 25 And would you agree that that measure is

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- 1 common symptom in patients with dry eye and other
- 2 ocular surface conditions, including blepharitis,
- 3 B-L-E-P-H-A-R-I-T-I-S.
- And in this type of patient, it does tend
- 5 to correlate with basal tear secretion, but it is
- 6 not a direct measurement of basal tear secretion.
- 7 Next is photophobia.
  - Do you see that?
- Yes.

8

- 10 And would you agree that photophobia is
- 11 not a direct measurement of increased basal
- 12 tear production?
- I would say that photophobia is a less
- 14 common symptom seen in some dry eye patients and
- 15 also seen in many, many other types of conditions.
- 16 And that although this in type of patient there may
- 17 be some correlation between photophobia and basal
- 18 tear secretion, it is not a direct measurement of
- 19 basal tear secretion.
- 20 Q. Next is burning and stinging.
- 21 Do you see that?
- 22 I do.
- 23 Would you agree that burning and stinging
- 24 is not a direct measurement of basal tear secretion?
- I would say that these are important and

- Page 85 1 not a direct measure of increased basal tear
- 2 secretion?
- A. So this -- now we're shifting to the
- physician's assessment. And although it says
- "subjective," it is the physician's overall
- impression as defined in more detail in the
- 7 "Materials and Methods" of the patient's response
- 8 overall to treatment.
- And in my experience this would correlate
- 10 fairly well with basal tear secretion in this
- patient population with this type of problem, but it
- 12 is not a direct measurement of basal tear secretion.
- I would point out again this is another
- area of where the .1 percent had some numerical
- 15 superiority.
- 16
  - 0. And we've talked already about artificial tear use. We can skip that.
- 18 The next then in the listing is Ocular
- 19 Surface Disease Index.
- 20 Do you see that?
- 21 I do. Α.
- And would you agree that Ocular Surface
- 23 Disease Index is not a direct measurement of basal
- 24 tear secretion?
  - A. The Ocular Surface Disease Index, as I

Page 86 1 understand it, is a patient questionnaire with a

- 2 variety of questions relevant to patients with
- 3 dry eye symptoms. And although in my experience it
- 4 does correlate well with basal tear secretion in
- 5 this patient population, it is not a direct
- 6 measurement of -- of basal tear secretion.
- Q. And then finally, subjective facial 8 expression rating scale.
- Do you see that?
- 10 A. I do.
- Do you agree that the subjective facial
- 12 rating scale is not a direct measurement of
- 13 increased basal tear secretion?
- 14 So the subjective facial rating schedule
- 15 is basically a patient self-rating of whether
- 16 they're happy or sad according to their symptoms
- 17 related to dry eye. And although there is some
- 18 correlation, in my experience, between this type of
- 19 self-evaluation and basal tear secretion, I would
- 20 not say that it is a direct measurement of basal
- 21 tear secretion.
- 22 Q. If we look at -- take a quick look at
- 23 Exhibit 2008.
- I'm not sure what that is.
- 25 It's the FDA label for Restasis.
  - Page 87

- Okay. Α.
- If we look at what we had seen previously Q. 3 on page 5.
- Do you see that?
- Α. Okay. I'm on page 5.
- Okay. Isn't it true that the FDA relied
- 7 only on the Schirmer wetting of greater than 10
- 8 millimeters in describing the studies that they base
- 9 their approval upon?
- 10 MR. MILLS: Objection. Form.
- 11 THE WITNESS: You're asking me to get
- 12 inside the mind of the FDA which I can't -- cannot
- 13 do with this one paragraph. This is a piece of
- 14 information that they cite. And, again, I want to
- 15 be careful not to get into information that is not
- 16 within the scope of a POSA at the priority date.
- 17 However, there are some exhibits, other
- 18 exhibits in evidence, where they talked about the
- 19 failure of Restasis to be approved in 1999 and the
- 20 relative success of the vehicle. And, you know,
- 21 ultimately they got approved in 2002, presumably --
- 22 again, as a POSA in 2003 looking at this, I would
- 23 say well presumably, the FDA was impressed by this
- 24 10-millimeter increase even though only a small
- 25 minority of Restasis-treated patients got it and an

- Page 88 1 even smaller minority of vehicle patients -- treated
- 2 patients got it.
- But, you know, what I do know is that the
- 4 FDA looks at a large body of information when they
- 5 make a decision on approval, and it's generally not
- 6 just one thing. They look at a variety of
- 7 parameters to determine whether a drug is safe and
- 8 effective.
- And although I cannot get inside of the
- 10 mind of the FDA and I'm not relying on any materials
- 11 that would not have been available through a POSA at
- 12 that time, I would be surprised if that were the
- 13 only piece of information that they considered.
- 14 Although they cite it, so I presume it was a
- 15 material aspect that they considered.
- 16 BY MR. KANE:
- 17 Okay. We've just gone through this sort
- 18 of laundry list in paragraph 58 of other efficacy
  - measures.

22

- 20 Does the FDA mention any of those efficacy
- 21 measures on page 5 of 2008?
  - MR. MILLS: Objection. Form.
- THE WITNESS: Well, you're asking me is it
- 24 on the label, and this is a very brief document.
- 25 So in this particular document -- I can
- Page 89 1 read as well as you can -- it doesn't state anything
- 2 about those, at least on this page 5. If you'd like
- 3 me to go through the rest of it, I will.
- 4 BY MR. KANE:
- No, that's fine. Thank you.
- Again, I don't think that's the sum total
- of what the FDA reviewed.
- If we go to paragraph 67 of your 0.
- declaration, Dr. Calman.
- 10 Uh-huh. Α.
  - Q. In this section sort of 67 through 71 --
- 12 Α.

11

13

16

- -- you attempt to infer from values from 0.
- 14 Sall Figure 2.
- 15 Do you see that?
  - A. Well, that's not quite right.
- 17 Well, that -- for instance, paragraph 68,
- 18 above the graph there, you say: "These putative
  - conversions are meant to be used in inferring
- 20 differences from baseline"?
- 21 Rather than -- yes. "These putative
- 22 conversions are meant to be used in inferring
- 23 differences from baseline, rather than interpreted
- 24 as literal conversions (which would ultimately 25 require that Allergan provide the raw data)."

1 That's what it says.

- Q. Okay. And have you ever done this sort of 3 inferring differences in analyzing scientific data
- 4 before?
- A. Sure.
- Q. Have you published any papers where you've 6 7 done such a thing?
- No, not that I can recall. I may have.
- 9 Many of my papers were published many years ago.
- 10 Scientists interpret data all the time.
- I'm talking about specifically this sort
- 12 of interpretation where you're taking a bar chart
- 13 and concluding and changing it into these
- 14 conversions to infer differences from baseline.
- Doctors and scientists convert things all
- 16 the time, even as simple things as converting
- 17 different units and different scales. You know, for
- 18 example, the Stevenson paper used a zero to 3 scale
- 19 for corneal staining, and the Sall paper used a zero
- 20 to 5 scale.
- 21 People are constantly coming up with new
- 22 classification schemes and scales and gradings and
- 23 cutoffs for all kinds of parameters throughout
- 24 medicine and science. So this is a common issue
- 25 that we run into.
  - Page 91 And the attempt -- again, I wanted -- I
- 2 qualified it in the text. I'm not saying this is a
- 3 literal conversion. This is an attempt to
- 4 understand what these arbitrary units mean,
- 5 especially since it's sort of a strange way and
- 6 uncommon way to, you know, to treat Schirmer data.
- So they're reporting certain changes.
- 8 Their -- I think most of the reporting was done in
- 9 the form of change analysis. I'm trying to
- 10 understand what do these changes mean, how big are
- 11 they, and are they clinically material.
- 12 Of course, if I had the raw data which we
- 13 asked for repeatedly, it would have been much easier
- 15 Q. Have you ever seen any papers where 16 there's been a conversion -- putative conversion

14 to use the raw data but we couldn't get it.

- 17 published like this?
- I'm sure I have. I can't give you chapter
- 19 and verse. I haven't seen -- I haven't for
- 20 Schirmer's because I don't believe -- I can't recall
- 21 any papers that I've seen other than Sall and
- 22 Stevenson where categorized Schirmer's were
- 23 reported.
- 24 Q. Okay.
- But in other areas of science, sure.

- Page 92 Q. Can you identify any of those papers
- 2 today?

1

Page 90

- I haven't thought about it. I haven't
- 4 been asked to opine on it. It's not something I've
- 5 given any thought to. You know, there's so many --
- 6 I'll probably think of 10 examples when I leave
- 7 but...
- If you look at -- if we go back to paragraph 67, please. 9
- 10 Α. Okay.
- 11 Q. There's a description there. It says:
- 12 "Sall Figure 2 demonstrates the average change in
- 13 Schirmer score experienced at Month 3 was actually
- 14 very small for both CsA groups with patients in the
- 15 0.05 percent CsA group experiencing a plus 0.09
- 16 change in Schirmer score" -- "categorized score" --
- excuse me -- "versus minus 0.10 for patients in the
- 0.1 percent CsA group."
  - Do you see that?
- 20 I see where it says that.
- 21 Okay. And did you determine those
- 22 numbers?

19

- A. Well, I cite to Bloch actually. I can
- 24 eyeball it, but that's not a -- I wouldn't up come
- 25 up with a precise number like that for my modeling.
- Page 93 1 I was relying on Bloch, who has a methodology to
- 2 determine that more precisely.
- Q. And what do you understand Dr. Bloch did
- 4 to determine those numbers?
- 6 mouth. But my understanding is it involved
- magnifying the graph and measuring the height of the

A. I would be hesitant to put words in his

- bars and standard deviation or standard error bars.
- Q. And is that something that you have done 10 in your analysis of peer-reviewed papers that you've
- 11 reviewed previously?
- 12 A. I don't know if I've actually magnified
- 13 them. I probably have from time to time just
- informally for myself to get a better idea of the
- 15 numeric data when the data were not directly cited.
- 16 Okay. And you think that you could
  - measure to two decimal points using a magnifying glass and a ruler, I guess, of some type?
- 19 Well, that's a very broad statement. I
- 20 think that would depend on a lot of factors.
- 21 Q. Okay. Well, let's look at Sall --
- 22 Incomplete.
- 23 Can we look at Sall Figure 2?
- Do you think you could measure those 24
- 25 values to two decimal points?

- It's not a question I was asked to opine 2 on; it's a question I've thought about. And so the
- 3 best answer I can give you is maybe. If I were 4 really to analyze that, I would probably, you know,
- 5 need to do some additional research and -- you know,
- 6 so I can't give you an answer other than maybe.
- Okay. Do you know how accurate graphs in 8 the publications are?
- Well, that's a very broad and very
- 10 nonspecific question, so I can't give you a specific
- 11 answer to such a vague question.
- 12 Would you base a conclusion based strictly
- 13 on measuring a graph in a publication?
- A. Again, it's a very vague and broad 14
- 15 question. It's an incomplete hypothetical.
- 16 And so in some situations, you might.
- 17 course, all of this could be avoided if Allergan
- 18 would produce the actual data.
- 19 Okay. You relied on Dr. Bloch's
- 20 measurements in this case, correct?
  - For that particular thing, yes.
- Yeah. So -- and that underlies your 22 Q.
- 23 opinion, correct?

21

- Well, to the extent that I relied on it in
- 25 that particular part of my -- my declaration, yes.

  - Page 95 So, in this case, you believe that
- 2 Dr. Bloch's two decimal places is sufficiently
- 3 accurate that you can rely upon it?
- Well, hang on. First of all, I'm not sure
- 5 where you're getting two significant digits, so I'm
- 6 not sure I can accept the premise of your question.
- 7 Well --
  - Α. Which paragraph are we on?
- 9 Paragraph 67. 0.
- So when you say -- okay. So the .09 has
- 11 only one significant digit. .10 has two significant 12 digits.

8

- 13 These are questions that I think you
- 14 should be asking Dr. Bloch. Dr. Bloch is one of the
- 15 most eminent biostatisticians in the world, is my
- 16 understanding.
- 17 And so you're asking me to question his
- 18 methodology. I think I'm the wrong person to ask
- 19 those questions. I rely on him because of his
- 20 expertise and stature, and if he says that he can
- 21 determine these to that degree of precision and
- 22 accuracy, I do not have reason to question him.
- And because of that, you felt comfortable
- 24 relying on that in your analysis?
  - You know, I'm not -- again, to the extent

- 1 that -- that we're even talking about those
- 2 particular numbers in this particular part of my
- 3 declaration, and, you know, frankly, I don't know
- 4 why we're quibbling over this because even if there
- 5 were a small error because of either the journal
- 6 making an error or the -- or Dr. Bloch not being
- 7 able to estimate with a certain degree of precision,
- 8 my point in this is these differences are small. If
- 9 you told me he was off by 50 percent, it wouldn't
- 10 change my conclusion that these differences are

15

21

22

10

21

- 12 I don't care if it's one, two, or three
- 13 significant digits. It wouldn't materially affect
- 14 my conclusions.
  - Q. Okay.
- 16 A. And what I can provide that Dr. Bloch
- 17 doesn't provide is the clinical context. And I can
- say that a difference of 1 or 2 or even 3
- millimeters is not a big difference in Schirmer
- scores clinically. A difference of 10 is.
  - Q. Uh-huh.
  - Α. And that's what I say in my declaration.
- Okay. And if we -- let's compare your 0.
- 24 table on paragraph 68 to Sall Figure 2.
  - And we see in Sall Figure 2 there's going
    - Page 97

Page 96

- 1 to be a value of 3 assigned to all scores between 7 2 and 10 millimeters.
  - Do you see that?
    - A. I do.
- Okay. And then we compare your table.
- 6 You have a score of 3 assigned to 7. You have a
- 7 score of 3.25 assigned to 8. You have a score of
- 8 3.5 assigned to 9. And you have a score of 3.75
- 9 assigned to 10.
  - Do you see that?
- Yeah. But the context, again -- I have to
- 12 remind you -- is what I said at the outset of this
- analysis, is it's -- we read it into the record
- already -- that these putative conversions -- "These
- putative conversions are meant to be used in
- inferring differences" -- underlined "differences,"
- 17 I'm underlining it in my -- what I'm saying now --
- "from baseline, rather that are interpreted as
- 19 literal conversions (which would ultimately require
- 20 that Allergan provide the raw data)."
  - Q. Uh-huh.
- And Figure 2 is a different plot. I'm not
- 23 saying that a Schirmer score of 2 is literally
- 24 3 millimeters.
  - I'm saying that if you're in that range of

Page 100

- 2 what does that equate to in terms of millimeters of
- 1 around 2 and you have a plus .1 putative difference,
- 3 change from baseline? And that's what I'm focused
- And so I don't care if you arbitrarily
- 6 adjust these and quibble a little bit over whether
- 7 it's -- whether a score of 2 is 3 millimeters or 4
- 8 millimeters or 5 millimeters. It doesn't really
- 9 materially affect my analysis because what I'm
- 10 looking for is the difference between the baseline
- 11 and post-treatment.
- 12 But you agree that the values you've
- 13 assigned are different than the values in Sall
- 14 Figure 2?
- 15 MR. MILLS: Objection. Foundation.
- 16 Mischaracterization.

2 these population groups.

10 primary data, but they didn't.

20 counsel or the -- or the PTAB.

25 data and we didn't get it.

11 BY MR. KANE:

16 Argumentative.

21 BY MR. KANE:

12

15

17

22

- 17 THE WITNESS: Totally mischaracterizes
- 18 what I said. I'm just going to defer to my previous
- 19 answer.
- 20 These are -- the ranges were set up by
- 21 this group of doctors, this group of investigators.
- 22 What I'm trying to do is say how do these
- 23 differences in arbitrary categorized units as a mean
- 24 of a population translate to changes in actual
- 25 Schirmer data in millimeters, which is how we do the

4 whether they're going from 3 millimeters to, you

6 millimeters to 4.5 or 5 millimeters to 5.5. We're

8 point of this investigation. I wouldn't have done

13 times. You understand that Mylan asked for that

14 data from the patent office, don't you?

7 still talking about small changes. That's the whole

9 this in the first place if Allergan had supplied the

And, Doctor, you've said that multiple

MR. MILLS: Objection. Foundation.

THE WITNESS: I understand that I

So I don't know exactly who did what or

18 requested it from WSGR counsel. And I'm not privy

24 who said what. What I know is that I asked for the

19 to the conversations between them and opposing

5 know, 3.5 millimeters. I don't care if it's 4

So, in other words, we can quibble over

- Q. Okay. And I'll just tell you that the
- 2 PTAB denied Mylan's request for the data. They
- 3 didn't feel that you needed it.
  - MR. MILLS: Objection. Foundation.
- 5 Mischaracterization.
- THE WITNESS: Well, I'm not going to
- 7 respond to that. It wasn't a question.
- 8 BY MR. KANE:
- I thought it might be interesting for you Q.
- 10 to know.
- So let's try to kind of bear this out a
- 12 little bit. Let's look at your chart again on 68.
- 13 So if you had a patient that went from a
- 14 Schirmer score of 7 to 8, let's say. All right?
- 15 Α. Yes.
- 16 MR. MILLS: Objection. Form.
- 17 BY MR. KANE:
- 18 Okay. They, in Sall Figure 2, would be a
- 19 3, Category 3 patient, correct?
- 20 Α.
- 21 And they would be a Category 3 patient at Q.
- 22 7?
- 23 Yes. Α.
- 24 And they would be a Category 3 patient at
- 25 8?

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- 1 test, on average as a means of these -- of data in Yes. 1 Α.
  - 2 And they would be a Category 3 patient at Q.

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- 3 9?
- Α. Yes.
- Q. And a Category 3 patient at 10?
  - Yes. Α.
- So they could actually have a 2- or
- 8 3-millimeter increase in their Schirmer wetting
- score, and they would still be the same
- 10 categorized -- have the same categorized Schirmer
- 11 score, right?
- 12 Unfortunately, that is correct. That is
- 13 the way they, unfortunately, decided to set this up.
  - Q. Okay.
- A. I think it's important to make a 15
- 16 distinction between individual patients and large 17 groups of patients.
  - Obviously in a large group of patients,
- 19 the mean is going to be more -- if you do this
- 20 categorization on a single patient, you're going to
- 21 have a large disconnect between the actual values in
- 22 millimeters and the categories. If you're looking

24 tend to decrease the lack of correlation between the

- 23 at means of large numbers of patients, that will
- 25 categorized and the raw Schirmer's, although it's

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1 still an issue.

- Q. Did you attempt to quantify the impact on 3 individual patients -- on the fact that this was
- 4 done on a means of patients in this case?
  - I'm not sure I understand the question.
- Well, I understood what you were trying to
- 7 say, that if you look at means of large numbers of
- 8 patients, you tend to decrease the lack of
- 9 correlation, right?
- 10 Α. In general, yes.
- 11 Okay. What impact did the number of
- 12 patients in this study have on that lack of
- 13 correlation?
- 14 MR. MILLS: Objection. Form.
- THE WITNESS: Yeah, I don't know of a
- 16 way -- the method that I would be aware of to
- 17 determine that would be to compare the actual raw
- 18 data for individual patients with the actual means.
- 19 And to do that data analysis, it could be done if
- 20 you had each individual patient's data, both raw and
- 21 categorized.
- 22 BY MR. KANE:
- Q. Okay. So you can't do it based on the 24 data in Sall Figure 2?
- 25 Unfortunately, no. A.

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- Okay. And so you can't do it with respect 2 to your putative conversions that you've attempted
- 3 to infer differences here?
- MR. MILLS: Objection. Form.
- THE WITNESS: Well, you -- I'm not trying
- 6 to oversell this. I'm saying that this is an
- 7 attempt to determine if the changes are small or
- 8 large. And so I'm not trying to make any, you know,
- 9 much more precise statement than that. But I think
- 10 that this is useful in determining whether these are
- 11 small or large differences on these mean
- 12 populations. And in the absence of more fundamental
- 13 data, it's hard to get more specific than that.
- 14 But I would say that the largest increase
- 15 you can have and still remain within a category, the
- 16 boundary condition, in this range is 3 millimeters.
- 17 So, you know, you can't have a
- 18 4-millimeter change that doesn't result in a change
- 19 in category. And 3 millimeters or less, in my mind
- 20 as a clinician, is a small difference.
- 21 Especially -- you know, Dr. Sheppard
- 22 actually admitted this to -- the variability of
- 23 Schirmer testing. And this was also brought out in
- 24 the 1994 text, Smolin text, S-M-O-L-I-N, that I
- 25 cited talking about the known variability of

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- 1 Schirmer testing. And in my experience, the
- 2 variability is even worse with the Schirmer's with
- 3 anesthesia because, if you think about it, when you
- 4 put that eye drop in, it's hard to get all of that
- 5 anesthetic eye drop out. The anesthetic eye drop
- 6 itself sometimes causes some reflex tearing because
- 7 it stings.
- And so that's why I've been careful all
- 9 along to say to a first approximation, Schirmer's
- 10 with anesthesia reflects basal tearing because it's
- 11 an imperfect test. And in my experience, it's more
- 12 variable than the Schirmer's without. Because if
- 13 you've got that eye drop left over in the eye,
- 14 that's going to give you a few millimeters right
- 15 there. You know, if you've got reflexive tearing
- 16 because the patient's getting stinging from the
- 17 aesthetic, that's going to potentially give you more
- 18 millimeters there. Those can vary from day to day,
- 19 you know.
- It's -- it's -- so, you know, it's an
- 21 imperfect test as it is, and you then put categories
- 22 in and it makes it even more difficult to
- 23 understand.
- 24 BY MR. KANE:
- 25 Q. You stated paragraph -- at the bottom of

Page 105

- 1 68, for instance, you say a change in categorized
- 2 score of approximately .25 would equate to
- 3 1 millimeter.
  - Do you see that?
- 5 Α. Correct.
- And how --
- 7 Well, no, it says 1.6 actually. Sorry.
  - The whole sentence says: "A change in
- 9 categorized score of approximately 0.40 would be
- 8/5ths of the 0.25 required to increase
- 11 1 millimeter, or 1.6 millimeter." That's what it
- 12 says.

- Q. I was asking about the next section.
- I'm sorry. The next sentence says: "And
- 15 a change in categorized score of approximately 0.25
- would equate to 1 millimeter."
- 17 Again, I'm not being literal here. I'm
- just trying to get an idea of the magnitude of the 19 change in the population.
- But so we're clear on this. An individual 21 patient can't get a change in categorized score of .25, right?
- 23 A. Strictly speaking, no.
- And you didn't do any analysis to 24
- 25 determine what a change in average score on this

1

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Page 106 1 patient population of .025 would mean, correct?

2 MR. MILLS: Objection. Form.

THE WITNESS: I disagree. I did the

- 4 best -- you seem to be criticizing or quibbling with
- 5 me for doing the best I can with the limited data
- 6 set to try to put these into clinical context, and I
- 7 resent that. I don't think it's appropriate.
- If I had more data, I would have done a
- 9 more complex analysis, but those data were not
- 10 provided by whomever.
- So, in my experience, the -- the best that
- 12 we can infer from this data set is that, on average,
- 13 a change of .25 in categorized Schirmer score in a
- 14 population, on average, would equate to 1 millimeter
- 15 on average approximately.
- 16 You know what? If we got the raw data and
- 17 we did the analysis on the individual patients,
- 18 maybe it's not 1 millimeter. Maybe it's a half a
- 19 millimeter. Maybe it's 2 millimeters. It's not
- 20 8 millimeters. It's not 5 millimeters. It's hard
- 21 to see how it would even be 3 millimeters.
- 22 BY MR. KANE:
- Q. But you didn't do that analysis because 24 you can't do the analysis?
- Well, you're blaming me for the lack of

Page 107 1 available data to do a more full analysis, and I

2 don't think that's fair.

Q. Okay. Would you feel comfortable standing

### 4 up and presenting this analysis at a medical 5 conference?

- A. If I had to present this data at a medical 7 conference, I would be deeply apologetic at the fact
- 8 that whoever was providing the data to me had given
- 9 me an incomplete data set.
- 10 And if for some reason I were presenting
- 11 this -- let's say I was presenting some publication
- 12 from a foreign country where I couldn't get any
- 13 underlying data from the study participants. And I
- 14 said, "This is the best I can do to translate this
- 15 into raw Schirmer scores which you and I use in
- 16 clinical practice. Please understand the
- 17 limitations of this. This is not meant to be a
- 18 literal conversion, and it has its limitations
- 19 because we don't have the raw data set." But, yeah,
- 20 I'm comfortable saying that what study shows is
- 21 small changes in Schirmer scores.
- If we look at the paragraph 69, there's --
- 23 again, there's a lot of values in here. For
- 24 instance, Schirmer score under the "Baseline" column
- 25 on the top section, 1.94 to 2.11.

Do you see that?

- I do. Α.
- And where did you get those numbers?
- That was from Sall, I believe. Look at
- 5 the -- let's see. Table -- let's see. That's
- 6 actually in page 635 under "Schirmer tear test
- 7 reporting baseline."
- Okay. And then what about the 2. -- if 9 you go to Month 3, baseline plus .09? So that is
- 10 just an addition?
  - Α.
  - Q. Based on Dr. Bloch's measurements?
- 13 Α.
  - And then Month 6, baseline plus 0.39, was
- 15 an addition based on Dr. Bloch's measurements?
- 16 A. Correct. I mean, obviously, I looked at
- 17 the figure to verify that there was not a gross
- error, but yes.
- 19 0. If you want to go back, Dr. Calman, and
- look at paragraph 77 of Dr. Amiji's report.
  - 77? Α.
- 22 0. Yes. Dr. Amiji.
- 23 THE WITNESS: And I note that the time now
- 24 is 12:02.
  - MR. KANE: Do you want to take a lunch

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Page 108

- 1 break?
- THE WITNESS: I don't know if lunch has
- 3 been brought in. I just note the time.
- MR. MILLS: I expect that it has.
- 5 BY MR. KANE:
- You recall earlier we looked at a sentence
- 7 in here where Dr. Amiji concluded that 0.05 percent
- 8 treatment has an average change in Schirmer score of
- 9 more than one standard deviation higher (better)
- 10 than the CsA 0.1 percent treatment.

#### Do you recall that?

- 12 A. I also recall that I wasn't sure exactly
- 13 where he got that number.
  - Q. Okay.
- 15 A. And I would also point out, I think that
- 16 probably everybody knows that one standard deviation
- 17 does not imply statistical significance.
  - And --0.

25 a bunch of things here.

- A. He did write that.
- He did write that. And despite his
- 21 statement to that effect, you look at the Sall
- 22 Figure 2 and conclude, based on your analysis, that
- 23 it is not a clinically significant change, correct?
- A. Well, hang on a second. You're conflating 24

	ANDREW F. CALMAN, M.1	٠,	PH.D 07/12/20Pages 110113
1	Page 110	1	Page 112
1	Where's the statement that you're talking	1	000
2	about with the one standard deviation?	2	
3	Q. Bottom of page 33 in paragraph 77.	3	
4	A. All right. Let me just read your question	4	
5	here.	5	
6	Well, so, first of all, I think you're	6	
7	taking that one sentence out of context.	7	
8	Allergan itself did the analysis and did	8	
9	not report a statistically significant difference	9	
10	between .05 and .1 at any time point with regard to	10	
11	any of the types of Schirmer testing.	11	
12	And Dr. Bloch, in his own analysis, did	12	
13	not find such a statistically difference either. So	13	
14	one standard deviation is sort of, you know, neither	14	
15	here nor there. And even if you just take the raw	15	
16	values with all the caveats that I have stated	16	
17	repeatedly I attempted to do in the absence of the raw data, you come up with a difference, on average,	17	
18	of a 1-millimeter increase for the .1 percent and	18	
19 20	1.6-millimeter for the .05 percent at Month 6.	19 20	
21	These are very small increases.	21	
22	And as a cross-check on this, I note that	22	
23	although we don't have the complete raw data set,	23	
24	Dr the FDA did indicate only 15 percent of the	24	
25	.05 percent CsA group achieved a 10-millimeter	25	
1	Page 111 increase.	1	JULY 12, 2017 AFTERNOON SESSION 12:49 P.M.
2	So obviously there are some patients who	2	000
3	have more of an increase than others. There may be	3	BY MR. KANE:
4	even some who had a decrease. But, on average,	4	Q. Okay. Let's turn to paragraph 73 of your
5	these are small increases with a small difference in	5	declaration, Dr. Calman.
6	the means between them. 1 millimeter or 1.6	6	And the first sentence there refers to the
7	millimeter. I can't even get a reproducible	7	Restasis label that we've discussed earlier,
8	Schirmer test from day to day that's within 1	8	Exhibit 2008. And as we've seen, it mentions an
9	millimeter, and Dr. Sheppard admitted as much in his	9	increase in Schirmer wetting of 10 millimeters or
10	deposition.	10	greater.
11	Q. And so and the 1 millimeter and the	11	Do you recall that?
12	1.6 millimeter are based on your analysis of these	12	A. I want to look and see if did you say
13	inferred values, correct?	13	2008?
14	A. Correct. And if you think about it,	14	Q. Exhibit 2008, yes.
15	again, as I said, if it were more than 3-millimeter	15	A. Oh, okay.
16	difference on average, then on average you'd have at	16	I do recall that.
17	least one full category change.  So, you know, we can quibble over whether	17	Q. And then the second sentence of paragraph 73, you're stating that, in your view, a Schirmer
19	it's really 1, or maybe it's 1 1/2, or maybe it's 2,	18 19	tear test with anesthesia, increase of greater than
20	but it sure isn't 7 or 10.	20	10 millimeters, is clinically meaningful and
21	MR. MILLS: Are we ready for lunch?	21	material, right?
22	MR. KANE: Let's see.	22	A. Well, I didn't say without anesthesia or
23	Yeah. We can take lunch now.	23	with anesthesia. But I would agree that, in
24	(Whereupon the luncheon recess was taken	24	general, an increase in Schirmer test of
25	at 12:05 p.m.)	25	10 millimeters is clinically meaningful and material

1 in an individual patient, yes.

Q. Okay. I mean, with respect to the FDA

- 3 label where it says "STT," do you understand that to
- 4 be with or without anesthesia?
- 5 A. Let me go back. I believe that was on
- 6 page 5.
  - Q. Correct.
- 8 A. It does not state.
- 9 Q. Would it make a difference if it was with 10 or without anesthesia?
- 11 A. Well, theoretically, it might. I'm trying
- 12 to envision a situation where all the -- you know,
- 13 in theory, if it were all reflex only and you had a
- $14\,\,$  patient with very low basal Schirmer score and a
- 15 theoretical drug increased only the reflexive
- 16 aspects of tearing with all the caveats we discussed
- 17 earlier regarding testing methodology and
- 18 oversimplification, in that situation, the patient
- 19 still might be symptomatic from dryness. But I
- 20 think that would be rather unusual.
- 21 So, you know, again, to a first
- 22 approximation, in general, if you had an increase in
- 23 Schirmer score of that magnitude, whether with or
- 24 without anesthesia, it would likely correlate with
- 25 material improvement of the patient's condition.
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- Q. Okay. And that's what you say in paragraph 73, is that it's generally clinically
- 3 meaningful and material?
- A. In fewer words with less nuance, but yes.
- 5 Q. All right. And then at the end of
- 6 paragraph 73, you say -- and can read the whole
- 7 paragraph, if you need to. But you say: "Based
- 8 upon my review of those declarations, exhibits they
- 9 rely upon, I have seen no clinical evidence that the
- 9 rely upon, I have seen no clinical evidence that the
- 10 0.5 percent formulation works better or works
- 11 differently than the 0.1 percent" -- "0.05." I
- 12 might have said that wrong. I keep saying that
- 13 wrong. Let me start over.
- 14 You say: "I have seen no clinical
- 15 evidence that the 0.05 percent formulation works
- 16 better or works differently than the 0.1 percent CsA
- 17 formulation evaluated in Sall."

18

19

- Do you see that?
- A. I do see that.
- 20 Q. And is that -- is it fair to say that that
- 21 underlies your entire opinion that there are no
- 22 unexpected results between the 0.05 percent
- 23 formulation and the 0.1 percent formulation?
- 24 MR. MILLS: Objection. Form.
- 25 THE WITNESS: Well, first of all, to be

- Page 116
- 1 clear, I mean what I'm saying here is not that there
- 2 is no individual piece of data that looks like
- 3 there's a difference in favor of .05, you know, for
- 4 that matter in favor of .1. But looking at my
- 5 review of the declaration and the exhibits and
- 6 thinking about that totality of data, I've seen no
- 7 clinical evidence that, in general, that .05 works
- 8 better or works differently.
  - I think that is an important basis of my
- 10 conclusions. I'm not sure I would agree that it
- 11 underlies the totality of my conclusions.
- 12 BY MR. KANE:

18

19

- 13 Q. Okay. And at the end of paragraph 74, you
- 14 cite: "There is, in fact, no evidence that the
- 15 0.05 percent CsA formulation increased
- 16 tear production, more than the 0.1 percent CsA
  - 7 formulation," correct?
    - A. That's what I wrote.
      - Q. And that's your understanding?
- 20 A. Well, yeah, you know, again, taking into
- 21 account all the other arguments in my declaration
  - and the underlying data and other materials.
- 23 Q. If there were evidence that the
- 24 0.05 percent CsA formulation increased
- 25 tear production more than 0.1 percent CsA

### Page 117

- 1 formulation, would that change your opinion?
- A. Well, it would depend. I mean, if you showed me that it increased tear production a little
- 4 bit more, you know, I don't think that would change
- 4 DIC More, you know, I don't diffix that would change
- 5 my overall conclusions.
- 6 If you showed me that it increased it but
- 7 it was -- the increase was not statistically
- 8 significant, that probably would not change my
- 9 opinion.
- 10 If you showed me that it did increase it
- 11 by a little bit but not an amount that I would
- 12 consider clinically material, that would probably
- 13 not change my opinion.
- 14 If the increase were by a methodology that
- 15 was flawed, that would probably not change my
- 16 opinion.
- 17 But if you came to me with a
- 18 well-controlled study with good data that showed
- 19 that, say, the mean, hypothetically, you know, the
- 20 mean increase in Schirmer was 7 or 8 millimeters
- 21 more than the .05, than the .1, and that that was
- 22 statistically significant and that that was
- 23 reproducible and that the study was well designed
- 24 and well controlled, I would certainly have to give
- 25 that some weight and rethink my conclusions.

Page 118 But to my knowledge, such a hypothetical

- 2 study does not exist.
- Can you look back at 2008 again for me?
  - So this is the label?
- Yes. So there again it refers to STT
- 6 increases of greater than 10 millimeters, correct?
- And we've talked about that, that you view 9 that as clinically meaningful and material, right?
- In that individual patient who gets the 10 11 10-millimeter increase, yes.
- 12 And as the FDA describes the Phase 3
- 13 studies, it says that 15 percent of the patient
- 14 population achieve that score using the Restasis
- 15 formulation, correct?
- 16 A. Well, 15 percent of "a patient
- 17 population," and we don't know what that patient
- 18 population is and whether it's the same as the one
- 19 in Sall. I can only go by what it says here.
- 20 Okay. But it's referring to -- well, 21 okay.
- 22 It's referring, though, to the randomized
- 23 multicenter studies, correct?
- MR. MILLS: Objection. Form.
- 25 THE WITNESS: So I have to say that the
  - Page 119
- 1 reason I'm trying to -- you know, again, confining
- 2 to this only what a POSA would have known at the
- 3 time. But the way the label is worded, it does say:
- 4 "Patients whose tear production is presumed to be
- 5 suppressed due to ocular inflammation associated
- 6 with KCS."
- So I guess my question is: Is this the
- 8 whole study group or is it some subgroup? And I
- 9 don't know from this document.
- 10 So in some particular group or subgroup of 11 patients, they're asserting that, you know,
- 12 15 percent of them had this 10-millimeter response.
- 13 And there's just not enough detail to go -- to
- 14 understand it more throughly.
- 15 BY MR. KANE:

19

- 16 And they also say that Restasis
- 17 demonstrated statistically significant increases in
- 18 Schirmer wetting scores.
  - Do you see that?
- 20 Where are we seeing that? Α.
- 21 In the first sentence.
- 22 Versus vehicle at six months.
- 23 Versus vehicle, right.
- And so if there's a patient population 24
- 25 that has a statistically significant increase in

Page 120

# 1 Schirmer wetting score of 10-millimeter or greater,

### 2 would that change your opinion?

- MR. MILLS: Objection. Form. Incomplete 4 hypothetical.
- THE WITNESS: I'm not sure I understand
- 6 the question. It is incomplete and there are other
- 7 problems as well.
- Part of the problem is that you have to
- 9 look at the totality of the study. If the study
- 10 were designed at the outset to look specifically at
- 11 this 10-millimeter increased parameter and that were
- 12 not some retrospective relook at the data or
- 13 reanalysis of the data, then I would give it more
- 14 weight.

22

- 15 If the increase in Schirmer score was 16 superior and lots of other parameters that I would
- expect to be correlated with that were also
- 18 superior, I would give it more weight.
- 19 Frankly, if it affected more than
- 15 percent of the patients, I would give it more 21 weight because 15 percent is a pretty small number.
  - So it really is very situation-specific.
- You know, the problem is that if you take
- 24 a big data set and you do a reanalysis, you can --
- 25 it would be an exaggeration to say that you can

#### Page 121

- 1 prove anything you want, but you could prove a lot
- 2 of things that might turn out not to be true if the 3 study were repeated.
- Again, it comes back to this notion of the
- 5 Bonferroni correction, which I didn't explain in
- 6 detail, but it's an attempt to say that a P of less
- 7 than .05 is a useful cutoff, but if you measure a
- 8 lot of measure -- a lot of parameters, some of them
- 9 are going to be positive at a P .05 level by random
- 10 chance alone. In fact, about 1 out of 20.
- 11 So what these corrections -- and there are
- 12 a variety of them. Bonferroni, I think, was the
- 13 first. What they do is they, at the simplest level,
- 14 you simply divide the .05 threshold by the number of
- 15 parameters you're testing.
  - So if I'm doing 20 blood tests on you, I
- 17 would consider something to be -- a blood test may
- 18 be not the best criterion. If I'm doing 20 -- if
- 19 I'm evaluating a patient for 20 different parameters
- 20 and comparing two subgroups, two treatments in two
- 21 different population subgroups, on average, one of
- 22 them is going to appear to be positive at a .05
- 23 level. So what I should do is divide that .05 by
- 24 some factor.
  - Well, Bonferroni, if I recall correctly,

31

1 is to just divide it by the 20, which is the number 2 of parameters.

So, in that situation, it would have to be 4 significant P less than .0025, which is 1/20th of

5 .05.

And there are others because that's

7 thought to be somewhat too conservative.

But my point remains that you know, if 9 you're doing these sort of post hoc analyses, you

10 have to either very good collateral evidence of the

11 validity of the conclusion or reproducibility when

12 you say, "Oh, well, it looks like we did a

13 reanalysis of our study and this popped out." Now

14 that is a study where that is the thing we're going

15 to measure. We're going to decide that at the

16 outset, and that's our treatment goal.

17 Or the stats have to show just an

18 extremely strong correlation or some kind of

19 combination of those.

So I can't just give you a one size fits

21 all answer to that question.

22 BY MR. KANE:

Q. Okay. Well, Restasis got approved by the 24 FDA, right?

25 A. Correct.

Page 124 1 approximately 15 percent of the -- of the patients

2 versus 5 percent of the vehicle-treated patients?

MR. MILLS: Objection. Form.

THE WITNESS: That's not exactly what it

5 says, but it's close. And it's close to my

6 understanding.

7 BY MR. KANE:

Okay. And you're not taking an issue with 9 what the FDA did, right?

A. Well, I think in order to answer that

11 question, I would have to be provided with more --

12 more information, including the NDA and the FDA

13 correspondence, because I -- don't think they're

14 infallible.

15 Q. Okay. I think we talked about this 16 earlier. You didn't look at any of the public FDA 17 files in connection with the IPR declaration that

18 you've submitted here, correct?

19 A. Not in connection with the IPR

declaration, no.

21 Q. Okay. I want to turn to paragraph 78 of

22 your declaration, Dr. Calman. And this is a

23 discussion of Dr. Attar's presentation of PK data.

Do you see that?

25 A. Yes.

Page 125

Page 123 And this is how they described the results 2 on the label, correct?

Well, I'm sure there's another document

4 that has a much more detailed description because

5 that's the way the FDA operates. But there is this 6 paragraph on the label.

And so -- and you understand that -- you 8 agree the FDA has expertise in approving drugs?

A. Well, that's a pretty broad blanket

10 statement. One would hope so. I don't think they

11 do a perfect job.

12 Okay. And you understand the FDA has

13 statisticians who analyze clinical results to

14 determine whether or not the trials have shown

15 safety in efficacy of the drugs?

16 A. I do understand that they employ

17 statisticians.

Q. Have you ever worked with the

19 statisticians at the FDA?

20 A. Not that I recall.

Okay. And so is it your understanding 0.

22 that the -- that the FDA concluded that Restasis had

23 demonstrated statistically significant increases in

24 Schirmer wetting of greater than 10 millimeters

25 versus vehicle and that that effect had been seen in

And you see there you've got some bold

2 text that says -- well, the sentence says:

3 "However, this presentation is misleading, because

4 each formulation delivered CsA to the corneal

5 conjunctiva well above" -- I think I said that wrong

-- "well above the threshold required for

7 therapeutic efficacy."

Do you see that?

A. I see that.

10 Okay. And then if you turn to -- well,

11 okay. So let's -- in that context, let's look at a

12 document we previously marked as Exhibit 1058.

Do you recall reviewing this document as

part of your work in this matter, Dr. Calman?

15 Α.

٥.

16 Okay. And you'd agree that this document

17 is relating to the use of cyclosporin as an

18 immunosuppressant in organ transplantation?

A. Generally speaking -- generally speaking, 19

20 that's true.

21 Okay. And you understand that these organ transplant patients are not generally treated with

23 topical cyclosporin?

> Α. That is my understanding.

Q. Okay. And the paper here is describing

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2

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12

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Page 126 1 therapeutic ranges of CsA in the blood streams of

- 2 patients?
- 3 A. Yes
- 4 Q. And, in fact, if you look -- looks like --
- 5 excuse me. Table 2 on page 651.
- 6 A. Yes.
- 7 Q. You see there that it's titled
- 8 "Therapeutic ranges for cyclosporin stratified
- 9 according to transplanted organ, immunosuppressive
- 10 regime, induction/maintenance therapy and
- 11 immunoassay technique."
- 12 Do you see that?
- 13 A. I do.
- 14 Q. And you see there they've got then for
- 15 kidney triple therapy, heart triple therapy, liver
- 16 triple therapy, and liver double therapy categories,
- 17 right?
- 18 A. Yes.
- 19 Q. And for the different therapies, there are
- 20 actually different therapeutic ranges shown, aren't
- 21 there?
- 22 A. Somewhat.
- 23 Q. Okay.
- 24 A. They're not dramatically different.
- 25 Q. But they are different and they are

- A. Correct.
  - Q. And you cite pages 652 to 653?
- 3 A. Well, I believe there may be some other
- 4 pages that have relevant data too. For example --

Page 128

- 5 well, yeah, 652 and 653, yeah. There may be issues
- $\ensuremath{\mathrm{G}}$  on some other pages, but, yeah, that was what I was
- 7 primarily looking at.
- 8 Q. And I believe it's the section at the top 9 of the left-hand column on page 653 is where you
- 10 have a quote.
  - A. Yeah
    - Q. Well, actually, it starts at 652.
- 13 And you state there that the intraocular
- $14\,$  concentrations of 50 to 300 nanograms per ML were
- 15 large enough to control uveitis?
  - A. That's what it says.
  - Q. And where do you -- where exactly did you
- 18 find that in there in the paper, Doctor?
  - A. Top of page 653.
- Q. It says -- the sentence that -- let me
- 21 just read the sentence. It says: "The therapeutic
- 22 range for organ transplantation is 200 to 600
- 23 nanograms per milliliter serum, but intraocular
- 24 level speculated to be needed for control of uveitis
- 25 is 50 to 300 nanograms per ML."

Page 127

## 1 measured in the bloodstream?

- 2 A. They are somewhat different, and they are
- 3 measured in the bloodstream.
- 4 Q. Okay. And they're not being measured in
- 5 any targeted issues, are they?
- 6 A. The data that we've talked about so far
- 7 are not. I'm not sure if there are any -- some of
- 8 the references stated in here may refer to targeted
- 9 issue. But this particular one was looking at blood
- 10 levels.
- 11 Q. Okay. Hand you a document previously
- 12 marked as Exhibit 1011, Dr. Calman.
- 13 A. Correct.
- 14 Q. Are you familiar with this document?
- 15 A. Yes.
- 16 Q. This is an article by Dr. Kaswan?
- 17 A. Yes
- 18 Q. And if we look at paragraph 79, this is
- 19 the -- one of the references that you cite --
- 20 A. Correct.
- 21 Q. -- as being -- well, cite for the
- 22 proposition that the values shown in the Attar PK
- 23 study are higher than those that had been identified
- 24 in the literature prior to September 15, 2003, as
- 25 therapeutically effective, right?

- Page 129
  Is that the sentence that we're looking
- 2 at?

1

- A. I see that, yes.
- Q. And that's what you were referring to
- 5 where this quote comes from in paragraph 69?
  - A. Yes.
- 7 Q. And it says there that -- well, first of
- 8 all, it's talking about intraocular level.
  - What do you understand that to be?
- 10 A. Well, uveitis is an inflammation of the --
- 11 typically of the iris and ciliary -- sometimes
- 12 ciliary bodies, C-I-L-I-A-R-Y. Sometimes posterior
- 13 structures as well but usually the iris.
- 14 And so typically the target tissue would
- 15 be the aqueous humour, which is why that specifies
- 16 nanograms per ML rather than nanograms per gram
- io impostanto per un racifer citar italogranto per
- 17 because the aqueous humour is liquid.
- 18 Q. And what is the aqueous humour? Where is
- 19 that located?
- 20 A. Well, the aqueous humour is located
- 21 posterior to the cornea. It's the fluid that fills
- 22 the front part of the eye.
  - Q. So it's a fluid inside the eye?
- 24 A. Yes.
- Q. Okay. And it says there that it's

Page 130 Page 132 1 speculated that the level to control uveitis is 50 1 you've just mentioned, correct? 2 to 350 milligrams per ML in the aqueous humour. A. I disagree. The aqueous humour is a Is that how you're interpreting that? 3 tissue. Q. Is the aqueous humour where the And that's how you interpret that to mean? 5 inflammation is? Well, the aqueous humor is where the It says what it says. Α. 7 inflammation is manifest, and it is the tissue that Okay. And then there's a -- and you said, 8 I believe, uveitis is a condition affecting the 8 bathes the iris and ciliary body. And, in fact, 9 iris? 9 it's, in part, secreted by the ciliary body. 10 But in terms -- I think I can anticipate A. Well, it's a condition -- so, strictly 11 speaking, uveitis is inflammation of the uvea, 11 what I think you're asking, is they didn't state a 12 U-V-E-A. And the uveal tract, U-V-E-A-L, is 12 level in the iris or the choroid or the ciliary 13 comprised of the iris; the ciliary body, 13 body. 14 C-I-L-I-A-R-Y; and the choroid, C-H-O-R-O-I-D. So, 14 Q. Right. Thank you. You anticipated my 15 strictly speaking, uveitis can be inflammation of 15 question. 16 any or all of those layers. 16 And we -- it cites to -- has a Note 6 17 Typically, it's if affecting the posterior 17 there. 18 portion of the eye, we would not typically actually 18 Do you see that? 19 use the term "uveitis." We would call it 19 Α. I do. 20 choroiditis, C-H-O-R-O-I-D-I-T-I-S, or 20 And that is Nussenblatt? 21 chorioretinitis, C-H-O-R-I-O-R-E-T-I-N-I-T-I-S. 21 Nussenblatt, et al., Archives of A. Or if all of the uveal tract is involved, 22 Ophthalmology. 23 we would call it panuveitis. 23 Okay. Now we are going to have to mark So typically when people use the term one. This will be 2077. 25 "uveitis" colloquially, they mean either iritis or 25 Page 131 Page 133 1 iridocyclitis, which is I-R-I-D-O-C-Y-C-L-I-T-I-S, (Whereupon Exhibit 2077 was marked for 1 2 which means inflammation of the iris and ciliary identification.) 3 body. MR. MILLS: So my objection is that 4 Exhibit 1011 was submitted with Dr. Amiji's So this is an inflammation inside the eye 5 where -- usually manifested by inflammation, 5 declaration with the petitioners in these IPRs and 6 including white blood cells and protein exudation, 6 that Allergan's submission of Exhibit 2077 at this 7 E-X-U-D-A-T-I-O-N, in the anterior chamber in the 7 point in the proceeding after it already has 8 aqueous humour. 8 submitted its patent in response and its responsive And so the treatment typically requires 9 declarations is belated. 10 relatively heavy doses of anti-inflammatory topical 10 BY MR. KANE: 11 drugs, sometimes supplemented with systemic drugs. 11 Q. Dr. Calman, did -- if you look back to 12 Kaswan 6 there. This refers to an article by 12 It's typically a pretty heavy-duty inflammation. 13 It's a semi-occular emergency or urgency. 13 Nussenblatt, Dinning, Fujikawa in the A-R-C-H. I'm 14 Q. And Exhibit 1011, is there any mention --14 not sure what that stands for, A-R-C-H. 15 1011 is which one? Α. 15 A. Archives of Ophthalmology. A-R-C-H-O-P-H-T-H-A-L-M-O-L. 103:1559, 16 Q. The Kaswan paper. 16 Q. 17 Α. Okav. 17 1995. The sentence that we were just looking at. 18 Do you see that? 19 So it's talking -- that, as we just discussed, is 19 A. You're asking me? 20 talking about the levels of cyclosporin --20 I'm asking if you see that on 6, yes. 21 speculated levels of cyclosporin in the intraocular 21 You're asking me if I see the citation, 22 fluid, correct? 22 Archives of Ophthalmology, or are you asking if I

In the aqueous humour.

25 levels of cyclosporin in any of the tissues that

Okay. And it's not talking about the

Α.

24

23 see it on this -- or on Kaswan?

A.

Q. I'm asking first if you see it on Kaswan.

Okay. This is -- does what I marked as 2 Exhibit 2077 appear to be that article?

- Α.
- Is this an article you reviewed?
- I am sure I read it when it first -- well,
- 6 actually, it was 1985, so I probably did not read it
- 7 when it first came out, and I have not read it
- 8 recently.
- 9 So if we're going to talk about it, I'd
- 10 like a few minutes to read it.
- 11 Okay. Why don't you do that.
- 12 (Witness reviewing document.)
- 13 THE WITNESS: I've read it. Thank you.
- 14 BY MR. KANE:
- Having read the paper, does it refresh
- 16 your recollection as to having previously read this
- 17 paper?
- 18 I don't think I read this paper prior to Α.
- 19 this.
- 20 Q. Okay. If we turn to page 1562, the middle
- 21 column, the first sentence there says: "As we have
- 22 noted, topical therapy" --
- 23 Α. Wait. Where are we?
- Middle column, about halfway down. "As we
- 25 have noted" in that paragraph.

- 1 Yeah. Α.
- "As we have noted, topical therapy seemed
- 3 predictably effective only if serum cyclosporin
- 4 levels entered what is considered the therapeutic
- 5 range of 50 to 300 nanograms per ML."
- Do you see that?
- I do see that.
- 8 And when it says "serum" there, you
- 9 understand that means levels in the blood?
- 10
- 11 Q. Okay. And I believe that's the only
- 12 reference in this paper to 50 to 300 nanograms per
- 13 ML.
- Well, let me look and see. But there's
- 15 more to the paper than that, too, that's relevant
- 16 here
- 17 Yeah, that is a reference to 50 to 300.
- 18 But also relevant is the fact that when they
- 19 injected the -- within the intravitreal injection
- 20 group, as seen on Table 2 on page 1561, you can see
- 21 that there -- they looked at the -- the level -- the
- 22 intraocular concentration of cyclosporin after a
- 23 single injection or after an injection.
- And in Table 1, they looked at the 24
- 25 efficacy of the intravitreal injection. And what

- Page 136
- 1 they found in Table 1 was that the 80-microgram
- 2 injection was not effective and that the
- 3 500-milligram, which I think is probably a
- 4 microgram, injection was effective.
- In fact, let's go back to materials and
- 6 methods. It would almost be impossible for it to be
- milligrams.
- In any case, with the lower dosage as seen
- 9 in Table 2 -- and, again, it may be a typo -- here
- 10 it says 800 micrograms. But, in any case, with the
- 11 higher dosage of intravitreal injection, they were
- 12 seeing cyclosporin levels -- mean cyclosporin levels
- 13 between 160 and 580 nanograms per gram, depending on
- 14 the time after injection. And with lower
- 15 concentration of cyclosporin intravitreal injection,
- they were seeing intravitreal -- intraocular levels
- 17 of 30 to 80 nanograms per gram.
- 18 And so, again, the one with the higher
- 19 level worked with levels in tissue, in vitreous
- tissue, in the 160 to 580 range, which is roughly
- comparable to all these others ranges we've been
- 22 discussing with regard to serum levels. And the
- 23 lower dose, in the 30 to 80 range, was relatively
- 24 ineffective for EAU, which stands for experimental
- 25 autoimmune uveitis, which is a model of severe
- Page 135

7

14

17

20

- Page 137 1 chorioretinitis in rats. Again, another pretty
- 2 big-time, high-powered anti-inflammatory disease.
- So reading this, that's my take on this,
- 4 that we're -- again, we can quibble is it 50 to 300
- 5 or is it 160 to 580 or is it 100 to 400. All of
- 6 these are in the same ballpark.
  - Q. Okay. So --
- You know, one of the conclusions we
- 9 haven't talked about in this paper was that some of
- 10 the rats got better because rats being small, the
- 11 dosage administered topically actually resulted in
- high blood levels of cyclosporin. That's one of the
- 13 conclusions of the paper.
  - Q. Okay. So a couple of things.
- If we look at Table 2, the results there 15 16 are being reported in milligrams per milliliter.

#### Do you see that?

- 18 Yeah. I think that's wrong too. There's
  - just no way you can get those kinds of levels.
    - Q. Okav.
- 21 It wouldn't dissolve. We know that Α.
- 22 cyclosporin has very low solubility in aqueous
- 23 solution, and the vitreous humour is an aqueous
- 24 solution with some collagen strands. It's not an
- 25 oily tissue. There's just no way.

And I'm just seeing lots of typos in this

- 2 paper. You know, is it 500? So we've got
- 3 800 micrograms for the intravitreal injection which
- 4 is plausible. But then in "Materials and Methods,"
- 5 it says 500 milligrams, which is not plausible. You
- 6 couldn't get that much to dissolve. There's no way
- 7 you're going to get 500 milligrams of cyclosporin
- 8 into a rat eye unless it's a hunk of insoluble, you
- 9 know, solid.
- 10 So I think these are typos.
- Q. I think in your answer earlier, though,
- 12 you had mentioned -- you used the term "showing
- 13 nanograms per gram" in Table 2?
- 14 A. Well, it would be nanograms per ML. Gram
- 15 is the usual way you measure it in a solid tissue.
- 16 And a milliliter, which a milliliter of
- 17 water weighs exactly 1 gram. A milliliter is how
- 18 you measure it in blood or aqueous.
- 19 Vitreous is typically kind of a gel, so it
- 20 sort of has properties in between a liquid and a
- 21 solid. So you could actually plausibly measure in
- 22 vitreous either in nanograms per ML or nanograms per
- 23 gram. The difference is essentially immaterial.
- The "milligrams per ML" is clearly a typo.
- 25 Okay. All right.
- Page 139
- There's no way physically possible to have 2 a 580 milligrams per ML concentration of cyclosporin
- 3 in vitreous. It just cannot physically be done. We
- 4 know it's a very insoluble compound in aqueous
- 5 solution.
- But -- and based on your previous answer, 7 Table 2, then, is reporting the concentrations in
- 8 the aqueous rather than a concentration in a solid?
- A. Well, no. I think you're conflating
- 10 aqueous as in aqueous humour and aqueous as in not
- 11 oily.
- 12 So, anatomically, you know, there's the
- 13 aqueous humour in the front part of the eye.
- 14 There's the vitreous humour in the back part of the
- 15 eye. They do communicate. The vitreous has a
- 16 larger volume, and it is a more gelatinous, like a
- 17 gel, at least in a young individual or animal
- 18 because it's got a lot of collagen fibers, it's got
- 19 a lot of high molecular weight dissolved molecules.
- 20 But, you know, it can be measured either in
- 21 milliliters or grams.
- The -- you know, because a gram of water
- 23 weighs -- a milliliter of water weighs a gram, a
- 24 milliliter of a -- you know, an animal liquid or
- 25 gelatinous tissue weighs, you know, maybe a little

- Page 140
- 1 more than a gram but not a lot. So it's not a
- 2 material difference.
- My interpretation of this is what they're
- 4 actually measuring here is nanograms per ML.
  - Right. But it's not nanograms per gram? I just went through that. It could be
- 7 nanograms per gram. It's essentially the same. It
- may be off by a few percent. You're asking me --
- essentially the question you're asking me is how
- much does a milliliter of rat vitreous weigh. Well,
- it probably weighs a little bit more than a gram.
- 12 Q. Well, would you express a concentration of
- 13 cyclosporin in, say, the iris in nanograms per 14 milliliter?
- 15 A. Well, again, as I said previously,
- 16 typically in a solid tissue, you'd measure in
  - nanograms per gram.
- In a liquid tissue, like blood or aqueous
- 19 humour, you'd measure nanograms per ML.
- In a vitreous, it's kind of a half solid,
- 21 half liquid. It's a gel. So you could measure it
- either way. You could weigh the tissue or you could
- measure its volume either way.
- The results are not going to be very
- 25 different because a milliliter of a liquid or
  - Page 141
- 1 gelatinous animal tissue is not going to weigh a
- huge amount more than that a milliliter of water.
  - Q. Okav.
  - A. There will be a slight difference, but
- 5 it's not going to be a material difference.
  - Okay. Back to my page 1562, please.
- 7 1562. Α.
  - 0. Last page.
- There they're reporting that the topical
- 10 therapy seems predictably effective only if the
- 11 serum cyclosporin levels entered what is considered
- 12 to be therapeutic range of 50 to 300 nanograms per
- 13 ML.

14

21

- Do you see that?
- 15 I do see that.
- 16 And if we look back to Kaswan, you see at
- the top of page 653, it says that the intraocular
- level speculated to be needed for control of uveitis
- is 350 nanograms per ML. And it cites to the
- Nussenblatt paper we've been looking at, right? 20
  - I see that.
- Isn't it true that Nussenblatt is talking
- 23 about serum levels, not levels in intraocular
- 24 levels?
  - A. Nussenblatt talks about both. I see your

- 1 point, that the 50 to 300 refers to one of several
- 2 conclusions of Nussenblatt, which is that when --
- 3 that the topical cyclosporin did not produce high
- 4 intraocular levels on its own due to poor --
- 5 presumably poor transcorneal permeability. And,
- 6 therefore, the anti-inflammatory effect of the
- 7 topical cyclosporin drops in olive oil, I believe
- 8 they used, was attributable to systemic absorption
- 9 through the lacrimal system because rats are small.
- 10 And so the effectiveness correlated with
- 11 serum levels rather than, you know, strictly
- 12 speaking, intraocular levels because they did not
- 13 measure levels in the solid tissue of the eye.
- 14 But the other arm of the study -- another
- 15 arm of the study where they looked at these
- 16 intravitreal injections did show a correlation
- 17 between clinical effectiveness and intravitreal
- 18 concentrations in the 160 to 580 nanograms per
- 19 either ML or gram range. And that is looking at an
- 20 intraocular tissue.
- So, you know, those are two of the data
- 22 points from this study. So we can quibble over
- 23 whether it's 50 to 300 or whether it's 160 to 580 or
- 24 whether it's 100 to 400, but, you know, all of these
- 25 are in comparable ranges and -- and that's my point.
- But the ranges reported, for instance, in 2 Nussenblatt, 50 to 300, are in serum, not in the
- 3 solid tissue?
- A. Well, I think I really just answered that
- 5 in my last paragraph. So, again, the range in
- 6 ocular tissue in a similar assay in the same paper
- 7 was 160 to 580 --
  - Q. Is that a solid tissue or --
- MR. MILLS: Let's try not to talk over
- 10 each other and interrupt. I don't think that
- 11 Dr. Calman was finished with his answer.
- 12 THE WITNESS: The vitreous is a semisolid
- 13 tissue. As I've discussed, it's a gel. It has
- 14 characteristics of both liquid and solid.
- 15 BY MR. KANE:
- 16 Okay. And all of these papers that we've
- 17 just looked at, I believe -- excuse me --
- 18 Nussenblatt and Kaswan relate to uveitis?
- 19 A. Nussenblatt relates to experimental
- 20 autoimmune uveitis.
- 21 And Kaswan, I don't know that it
- 22 specifically -- let's see. They speculate that it
- 23 might be possible to treat intraocular diseases such
- 24 as immune mediated uveitis with topical application.
- 25 But this particular study was not looking at a

- Page 144 1 disease or disease model; it was really a
- 2 pharmacokinetic study.
- Q. And if you look at Kaswan at 653, it also
- 4 said -- in the right-hand column, there's a
- 5 paragraph that starts "Topical CsA"?
  - Α. Yes.
- And it says: "In dogs with KCS, topical Q.
- 8 CsA ameliorated the chronic keratitis and increased
- the average Schirmer test by 9 millimeters per
- 10 minute."

12

17

19

- Do you see that?
- I see that.
- 13 Is there any indication there of what
- 14 levels increase Schirmer test scores?
- 15 Α. What levels of?
- 16
  - You mean topical -- you mean tissue A.
- 18 levels?
  - Yes.
- I haven't pulled that particular paper,
- 21 No. 17, I don't believe. It's another Kaswan paper
- 22 from 1987.
- I don't know how to answer the question
- 24 other than I don't see anything in that paragraph
- 25 about specific tissue levels.

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- Okay. Q.
- This paper is about tissue levels, A.
- 3 obviously.
- Q. And does Nussenblatt say anything about
- 5 the required concentration of CsA in intraocular
- 6 tissues needed to treat dry eye syndrome?
- It's sort of a nonsensical question
- 8 because dry eye is an ocular surface condition, not
- an intraocular condition.
- 10 Okay. Does Kaswan say anything about the 11 required CsA concentration in ocular tissues for
- 12 treatment of dry eye syndrome?
- Well, it does certainly talk about the
- very high levels of cyclosporin achieved in certain
- tissues that are relevant for dry eye, including a
- lacrimal gland and cornea. But it -- and it does
- 17 mention in the first paragraph of the paper that --
- 18 it does talk about recently systemically
- 19 administered CsA has been found to be beneficial in
- Sjogren's syndrome, S-J-O-G-R-E-N-'-S, which is a
- subset of aqueous-deficient dry eye. And so it does
- talk about that explicitly.
  - It also in the very next sentence mentions
- 24 keratoconjunctivitis sicca, which is KCS.
  - And then in the very next paragraph, it

1 talks about the efficacy of topically applied CsA

- 2 for external ocular disorders.
- So I think this paper is actually very 4 much responsive to an inquiry as to tissue levels of
- 5 cyclosporin in relevant tissues in rabbits, which
- 6 are typical species that's used for these
- 7 investigations, with a -- with a view towards
- 8 ameliorating Sjogren's syndrome and KCS, among other
- 9 things.
- 10 I had a question. My question was about Q. 11 Kaswan, but that's --
- 12 Well, I'm on Kaswan.
- 13 No, you're looking at Nussenblatt.
- 14 No, I'm looking at Kaswan.
- 15 Q. Oh, sorry.
- 16 A. I can start up --
- 17 No, that's fine. I misrepresented. Okay.
- 18 My question, though, was whether Kaswan
- 19 says anything about the required concentration of
- 20 CsA in ocular tissue for treatment of dry eye
- 21 syndrome.
- 22 MR. MILLS: Objection. Form.
- THE WITNESS: Yeah, you're trying to
- 24 combine a whole bunch of different things. This --
- 25 this paper talks about, in its introduction, the
- 1 applicability of cyclosporin to KCS and Sjogren's 2 syndrome. And it talks about tissue levels, and it
- 3 also talks about minimal therapeutic levels of --
- 4 they quote 50 to 300 quoting -- citing Nussenblatt.
- So I -- you know, I think parts of the
- 6 paper are quite relevant, particularly the average
- 7 level of cyclosporin of 2850 -- 2,850 nanograms per
- 8 gram, and the very high levels in the cornea, well
- 9 over a thousand nanograms per gram for the first few
- 10 days after administration.
- 11 So, you know, it doesn't -- it wasn't
- 12 designed to specifically answer your question
- 13 because it's not in a disease model of KCS, but it
- 14 is looking at tissue levels and tissues of interest.
- 15 And it's explicitly designed for the purpose of
- 16 understanding how topical CsA would be useful in KCS
- 17 as well as other disorders.
- 18 BY MR. KANE:
- 19 Q. But it doesn't answer the question that I 20 asked, right?
- 21 MR. MILLS: Objection. Form.
- THE WITNESS: So it is not a study of a
- 23 dry eye disease state. It is a pharmacokinetic
- 24 study that tells you what kind of levels you get.
- 25 And the levels you get in the tissues relevant to

- 1 dry eye are extremely high.
- 2 BY MR. KANE:
- Does Nussenblatt say anything about the 4 required concentration of CsA in ocular tissues for 5 treatment of dry eye syndrome?

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- Nussenblatt was designed to look at 7 experimental autoimmune uveitis. So it's not -- it
- wasn't designed to look at dry eye and it doesn't
- talk about dry eye, although some of the conclusions
- from it may be relevant. But it doesn't explicitly
- discuss dry eye.
- 12 Q. And if we look at Oellerich, same 13 question. Does Oellerich provide any information 14 about the required level of CsA in ocular tissues 15 for treatment of dry eye?
- 16 A. Well, Oellerich states that the levels 17 required for effective treatment of rejection in a
- 18 wide variety of tissues are similar. And it
- discloses ranges, which I've roughly summarized as
- roughly 100 to 400 nanograms per ML or, as they
- express it, micrograms per liter, which is the same
- 22 thing. They did not look specifically in the eyes
- 23 or at dry eyes.
  - But, again, this is not -- it's not a high
- 25 school student but a POSA, a person that would
- Page 147
  - 1 understand that if a wide variety of tissues are
    - 2 achieving adequate levels to control disease with 3 these types of levels in the blood, that these are
    - 4 likely to be applicable to other types of tissue as
    - 5 well. There was not a wide range of difference
    - 6 between these different -- you know, different
    - 7 levels.

12

- So unless you posit there is some --
- 9 something unique about a particular tissue that
- 10 would render these levels irrelevant, I think they
- 11 can be generalized to some degree.
  - Q. Is it your testimony that you can take serum levels and apply those to solid tissue levels?
- A. Well, I think that you can't directly
- 15 apply them. But I think that what you can say is,
- for a variety of different tissues that were looked
- 17 at, there was no indication that there was a big
- 18 difference in the required levels for different
- solid tissue. And what that suggests is that the
- levels are not either being -- either dramatically
- 21 higher nor dramatically lower in the tissues than
- 22 they are in the bloodstream that supplies them.
  - Q. How do you reach that conclusion?
  - A. Well, for example, let's say that the
- 25 liver had an active transport mechanism that tended

23

24

1 to concentrate cyclosporin in tissue. You would

- 2 expect to be able to prevent liver transplant
- 3 rejection with a very high -- I mean, with a very
- 4 low level of serum cyclosporin because the liver was
- 5 actively concentrating it in the tissue.
- I'm just saying hypothetically; I'm not 7 saying this is the case.
- Let's say the kidney has an active
- 9 transport mechanism that pumps cyclosporin out of
- 10 the cells. Then you would expect that you would
- 11 need higher serum concentrations of cyclosporin to
- 12 see the same effect as far as preventing rejection.
- Well, that's just not what they found.
- 14 And this is a very large data set from all over the
- 15 world. And the remarkable thing about this data
- 16 set, in my opinion, is its consistency both from
- 17 center to center and from tissue to tissue.
- 18 So, you know, that, to me, indicates that
- 19 it's not something particular to any particular type
- 20 of tissue. Nor have I read anything to suggest that
- 21 that is -- that there is such a concentration --
- 22 concentrating or active transport mechanism in
- 23 either direction in any particular tissue type.
- Furthermore, you know, the Nussenblatt
- 25 study indicates that the same range of serum
  - Page 151
- 1 cyclosporin is -- for an intraocular condition is
- 2 similar to that for these other solid tissues.
- 3 Suggesting that the eye doesn't behave any
- 4 differently than any other organ.
- Q. Well, Dr. Calman, I mean, what other solid 6 tissue are you referring to? In Oellerich, they're
- 7 talking about serum levels, right?
- Well, they're talking about -- they're not
- 9 just talking about -- they're talking about serum
- 10 levels but -- again, I don't want to have to go
- 11 through my whole same explanation. But they're
- 12 looking at kidney liver, heart. Let me see what
- 13 else.
- 14 I think I've explained it. I'm sorry if
- 15 it wasn't clear. But I went through a whole long
- 16 explanation of the relationship between tissue
- 17 levels and serum levels. And so...
- 18 But my question is -- let me just try to
- 19 ask it.
- 20 So all the levels that we've looked at,
- 21 for instance, in Table 2 of Oellerich, are serum
- 22 levels, right?
- A. Yes. But they're serum levels with
- 24 relationship to preventing transplantation in
- 25 different types of disease. So if you look at the

Page 152

- 1 last column, you've got kidney, liver, heart, LU.
- 2 Let's look at the key here.
- So there's a variety of different tissues
- 4 here: Kidney, heart, liver, lung, pancreas. And
- 5 then pediatric kidney, you know, pediatric liver.
- So -- so that -- I just don't want to have
- 7 repeat the whole sentence. It's in the transcript.
- 8 I've explained how similar serum levels are required
- 9 for efficacy in a variety of solid tissues, which
- 10 indicates that -- including the eye as based on
- 11 Nussenblatt, which indicates there is not something
- 12 magical about the eye or any other tissue that tends
- 13 to concentrate or reduce the concentration of
- 14 cyclosporin in tissue with regard -- compared to the 15 blood.
  - And, furthermore, in the Nussenblatt
- 17 study, we actually do have a comparison. You know,
- 18 we do have an actual tissue level of cyclosporin in
- the vitreous which is very comparable. Instead of,
- you know, 100 to 400, it's 160 to 580. You know,
- 21 we're in that same ballpark.
- 22 You know, I'm convinced as a scientist
- 23 reading the totality of this data that those are the
- 24 kinds of tissue levels that are adequate for
- 25 efficacy in a variety of tissues.

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- MR. MILLS: Is it okay if we take a break?
- MR. KANE: Sure.
  - (Off the record at 2:00 p.m. and back on
  - the record at 2:18 p.m.)
- 5 BY MR. KANE:

16

1

- Okay. So looking at your last answer
- 7 there, you say: "I'm convinced as a scientist
- 8 reading the totality of this data that those kinds
- 9 of issue levels are adequate for efficacy in a
- 10 variety of issues."
  - Do you agree with me that Oellerich
- 12 doesn't provide an indication of the -- let me start
- 13 over.

11

18

22

- 14 Oellerich doesn't say anything about --
- 15 Oellerich. A.
- 16 Oellerich does not say anything about
- 17 increasing tear production in dry eye patients?
  - Not explicitly, no.
- 19 Okay. Do you agree with me that
- 20 Nussenblatt doesn't say anything about increasing
- 21 tear production in dry eye patients?
  - Not explicitly per se, no.
- 23 And you would agree with me Kaswan does
- 24 not say anything about increasing tear production in
- 25 dry eye patients?

39

A. Not explicitly per se, no.

- 2 Q. And you would agree with me that Oellerich
- 3 does not identify the concentration of CSI in ocular
- 4 tissue necessary to increase tear production in
- 5 dry eye patients?
- 6 A. Well, again, I think I've mentioned --
- 7 made it clear how I'm using the information from
- 8 these various references to establish some
- 9 conclusions. But there -- you know, not in the
- 10 black and white of the four corners of this document
- 11 it doesn't explicitly address that, no.
- 12 Q. Okay. And you'd agree with me that
- 13 Nussenblatt doesn't identify the concentration of
- 14 CsA -- the concentration of CsA necessary in the
- 15 ocular tissues to increase tear production in
- 16 dry eye patients?
- 17 A. Only by implication, not in black and
- 18 white of the four corners of the document.
- 19 Q. And you agree with me Kaswan does not
- 20 identify the concentration of CsA necessary in
- 21 ocular tissues to increase tear production in
- 22 dry eye patients?
- 23 A. Only by implication, not in black and
- 24 white in the four corners of this document.
- 25 Q. Okay. Okay.
  - Page 155
    I think the other thing I would say about
- 2 Kaswan is that there is -- excuse me -- about
- 3 Nussenblatt is that it does provide at least some
- 4 indirect evidence that the therapeutic range in
- 5 local tissue as shown in Table 2 shows good
- 6 correlation with the level -- the levels needed in
- 7 serum to achieve or systemically to achieve
- 8 therapeutic effect for the same disease. Which
- 9 again suggests that there's not a major difference
- 10 in tissue levels of cyclosporin compared to serum
- 11 circulating levels.
- 12 Q. Just one second. Sorry.
- 13 Dr. Calman, you said earlier that you
- 14 don't consider yourself an expert in ophthalmic
- 15 formulations, correct?
- 16 MR. MILLS: Objection. Form.
- 17 THE WITNESS: That's not what I said. I
- 18 said what I said. Say it again if you'd like.
- 19 BY MR. KANE:
- 20 Q. Okay. Go ahead.
- 21 A. Well, I -- words to the effect that, you
- $22\,$  know, in my  $12\,$  years in research and basic research
- 23 and 27 years in clinical ophthalmology, my
- 24 knowledge, skills, experience, training, and
- 25 education have given me an understanding of

- Page 156
- 1 important aspects of drug formulation, including
- 2 topical drug formulations for ophthalmic disease.
- 3 And I routinely review information about various
- 4 topical ophthalmic products, including their
- 5 formulation and excipients.
- And so I do have a level of expertise in
- 7 the area, although it is true that I do not hold
- 8 myself out as an expert in formulation per se.
  - Q. Okay.
- 10 A. And we discussed earlier today some of the
- 11 various clinical research studies I was involved in
- 12 where excipients and formulation were key aspects of
- 13 the study design.
- 14 Q. Okay. Do you recall in Dr. Loftsson's
- 15 declaration, he offered opinions with respect to
- 16 what a person of ordinary skill would expect the
- 17 impact of increasing the amount of castor oil in the
- 18 emulsion to have on bioavailability based upon
  - thermodynamic principles?
- 20 MR. MILLS: Objection. Form.
  - THE WITNESS: If we're going to talk about
- 22 the Loftsson declaration, I'd like to see it,
- 23 please.

21

- 24 BY MR. KANE:
- 25 Q. Why don't we look at paragraph 76 of your
  - Page 157

about 1 declaration.

8

16

- Are you there?
  - A. Yes.
- Q. Do you see there in the first sentence
- 5 Dr. Loftsson asserts that the results of Allergan's
- 6 confidential internal pharmacokinetic --
- A. I'm sorry. Which paragraph are we in?
  - Q. 76.
- 9 Why don't you just read that paragraph to
- 10 yourself.
- 11 A. Yeah.
- 12 Q. Okay. And my question is simply: Are you
- 13 offering any opinions as to what a person of
- 14 ordinary skill would expect based on thermodynamic
- 15 principles as described by Dr. Loftsson?
  - MR. MILLS: Objection. Form.
- 17 THE WITNESS: I have a general idea of the
- 18 issues, and I am certainly deferring to Dr. Amiji as
- 19 being far more expert in that field. And I know
- 20 Dr. Loftsson is also very qualified in the area of
- 21 thermodynamics. But I believe I am qualified to
- 22 discuss these issues and as well to put them in
- 23 clinical context which neither of them is a
- 24 clinician.
- 25

M.D., PH.D. - 07/12/20Pages 158..161 ANDREW F. CALMAN, Page 160 Page 158 1 BY MR. KANE: 1 in -- with relation to transplantations. Q. But are you deferring to Dr. Amiji with Do you recall that? 3 respect to the thermodynamic principles associated A. I do. 4 with the interaction between the CsA and the oil and Q. And I believe at some point you talked 5 the water emulsion? 5 about that they were measuring concentrations for Let me just see what I did say in my preventing transplantation in different tissue? Α. 7 report. A. Preventing rejection. You know, my -- there are aspects of this Preventing rejection of transplantation. 9 that all the experts are in agreement on. And then Okay. And you were also asked questions 10 there are other aspects where I can provide a 10 regarding whether the Nussenblatt and Kaswan references explicitly disclose CsA concentrations 11 clinical context that's missing. 12 And so with regard to any details or any that were therapeutically effective for 13 equations regarding thermodynamic activity, I would 13 tear production. 14 defer to the formulators, doctors. But with regard Do you recall those questions? 15 to the relationship of the bioavailability to the 15 I do. 16 clinical efficacy, I'm a clinician and they're not. 16 And I believe that you used the word "by Q. Okay. 17 implication"? 17 18 A. And so that was the focus of my -- of 18 A. 19 my -- my declaration with regard to this issue as 19 Can you tell us what you mean when you say 20 well as the issues regarding comparison of disparate "by implication"? 21 studies, which we discussed and I think Dr. Amiji I lot of this, I think, I went through in Α. 22 also discussed. And Dr. Bloch as well. 22 my declaration. Okay. So just a couple of follow-ups, Basically, the Nussenblatt paper and the 0. 24 then. Kaswan paper that references it are discussing what 25 25 is believed in the field to be adequate Did you talk to counsel today on any of Page 161 Page 159 1 the breaks regarding the substance of your testimony 1 concentrations for uveitis, for example, which is an 2 today? 2 example of a severe ocular inflammatory condition. 3 And, again, the -- the vitreous concentrations that 3 Α. Okay. And you've brought some documents 4 were correlated with clinical efficacy in that 5 in we talked about earlier this morning. 5 Nussenblatt study were comparable to the serum Did you have any other notes or 6 levels of cyclosporin that correlated the efficacy 7 both in that same EUA model and in a variety of 7 handwritten annotations on those documents? Not on these documents, no. 8 different tissues with respect to transplant Α. 9 On the documents that you brought? 9 rejection. 0. 10 10 So I'm -- by implication, I'm using my 11 Q. They're just clean copies? 11 knowledge and skill as a scientist to interpret the 12 12 data in light of the other available information I Α. Yes. 13 MR. KANE: No further questions at this 13 would have as a POSA. 14 time. Q. Just a moment ago you referred to uveitis 15 MR. MILLS: Let's take a short break. 15 as "a severe ocular inflammation"? A. I did. It's often a vision-threatening 16 MR. KANE: Okay. 16

19 19 "high-powered inflammatory disease" with respect --000--20 to --20 EXAMINATION 21 BY MR. MILLS: 21 A. Let me give you an example. Q. Dr. Calman, I have just a couple of When we treat -- there are different types 23 of ocular disease that we treat, for example, with

23 questions for you.

(Off the record at 2:29 p.m. and back on

Early in your testimony you were asked 24 25 some questions about cyclosporin A concentrations

the record at 2:36 p.m.)

17

18

41

17 inflammation.

Earlier I think you used the phrase

24 steroids, steroid drops typically. Some of them

25 require very high doses or high-frequency

Page 162 1 administration. Others require very low doses or

2 low-frequency administration.

3 So examples of clinical entities where you 4 might use a very high concentration would be an

5 autoimmune keratitis or a uveitis where oftentimes

6 we're having patients put in our most potent steroid

7 every one to two hours, sometimes around the clock.

8 In contrast, there are other conditions

9 where we may use steroids, either short-term or

10 long-term, where much lower concentration or

11 frequency is effective. Examples of that include

12 KCS and certain types of ocular allergy where very

13 low dose, such as a once or twice a day of

14 administration of our lowest potency steroid drop,

15 may be sufficient for clinical effect.

16 So that was my -- that was my -- just

17 trying -- again, my job here in part is to put all

18 of these things into clinical context.

19 Q. Earlier you were asked a series of

20 questions by counsel regarding various efficacy

21 measures reported in the Sall reference.

22 Do you recall that?

23 A. I do.

Q. You were asked a series of questions about which efficacy measures directly measure an increase

2 Page 164

And Dr. Bloch did his own statistical

 $2\,\,$  analysis to verify that there was no statistically

3 significant difference between the .05 and  $\,$ 

1 .1 percent cyclosporin at any time point.

With regard to materiality of differences,

6 I'm unable to assess that for the Schirmer's without

7 anesthesia because no numbers were provided. So I

8 don't know.

9 I'm just -- to put that -- again, put that

10 in clinical context, you can have a statistically

11 significant increase in some variable without having

12 it be material. And an example of that is where we  $\,$ 

13 do have some numbers in the Schirmer's with

14 anesthesia, not to recapitulate that entire

15 discussion, but these changes were small. These

16 changes were the most -- at the most, 0.4 for

17 Schirmer, quote/unquote, units corresponding to a

18 small increase in actual Schirmer score on the order

19 of 2 millimeters. Maybe it's 1, maybe it's 3, maybe

20 it's 2. None of those are, in my experience,

21 material.

22 Q. Earlier in your testimony I believe you

23 used the word "pivotal" at some point in referencing

24 the Phase 3 studies reported in Sall; is that

25 correct?

Page 163

1 in tear production.

Do you recall those questions?

A. Yes

3

Q. My question is whether any of the efficacy

5 measures in Sall -- as they were reported in Sall

6 demonstrate a significant or material increase in 7 tear production in the 0.05 percent CsA formulation

8 as compared to the 0.1 percent CsA formulation.

9 A. Well, what Sall tells us is, with respect 10 to the overall tear secretion capacity of the eye as

11 measured by Schirmer's without anesthesia, that

12 there were no significant differences between the

13 groups and that all of the groups, including

14 vehicle, had a statistically significant increase

15 over baseline at each time point, which included

16 Months 1, 3, 4, and 6.

With regard to Schirmer's with anesthesia, this was only performed at Months 3 and 6.

19 And, by the way, what I've just talked

20 about, those were categorized.
21 And with regard to Schirmer's with

22 anesthesia, which was also categorized, the raw

23 value not reported in Sall, there was no

24 statistically significant difference reported

25 between the .05 and the .1.

A. Yes.

Q. When you use the word "pivotal," what do you mean by that?

Page 165

4 A. Typically the FDA requires two large

5 well-controlled clinical trials in humans before

6 they will approve a new drug as safe and effective.

7 Now, there may be -- and there's a lot more to it

8 than that in terms of what the controls need to be

9 and so forth, but that's the big picture. There may

10 be exceptions, particularly for rare diseases, but

1 that's the general way that drugs get approved by

12 the FDA.

Q. When you used the word "pivotal," were you comparing the Phase 3 trials for Restasis to some

15 other Phase 3 trials and saying that the Restasis 16 trials were more impressive or something of that

17 nature?

18 A. Well, again now, "pivotal" is a term of

19  $\,$  art, and I have not researched the term. But as I  $\,$ 

20 understand it, pivotal trials are those Phase 3

21 trials typically sponsored by a drug manufacturer

22 and submitted to the FDA in support of approval of

23 the drug.

Q. Do you recall earlier being asked

25 questions regarding the claim construction that you

Page 168 Page 166 --000--1 understood to apply in the IPR proceedings? 2 A. Yes. EXAMINATION And do you recall being asked questions 3 BY MR. KANE: 4 regarding that that claim construction involved that Q. Dr. Calman, did you discuss with counsel 5 therapeutic efficacy could include palliative 5 the questions he was going to ask you during the 6 treatments? break? Α. Yes. Α. No. If that claim construction in a And one question which I should have asked 9 hypothetical excluded palliative treatments, would earlier but I didn't. In your list of exhibits, you 10 that change your opinions in this case? If you 10 list the deposition transcript of Dr. Sheppard. I think you cite that in your report Exhibit 57, 12 I think it would depend on your definition page 58. 13 of "palliative," and I think there's been a lot of 13 Α. I see that. 14 confusion about these terms: Palliative, 14 Okay. And my question is simply: Did you 15 therapeutic, and curative. 15 read any of the transcripts from Dr. Loftsson, 16 The one thing that I can say I think that 16 Dr. Schiffman, or Dr. Attar prior to preparing your 17 we probably would all agree on is that a treatment 17 opinions? 18 is only curative if the disease is still cured after Well, in preparation for the IPR, I read 18 A. 19 you withdraw it. 19 the transcript of Dr. Loftsson. I read the 20 So I'm not sure I -- I don't have this... 20 declarations of Dr. Schiffman and Dr. Attar. I did 21 And I think the other thing I've talked 21 not read any transcripts, deposition transcripts, 22 about previously is that there are steps in the 22 for Dr. Schiffman or Attar for the purpose of the 23 pathophysiologic change. So if you think about a 24 patient who has rheumatoid arthritis, Sjogren's 24 MR. KANE: Okay. No further questions. 25 syndrome, and KCS and complains of dry eye, a 25 MR. MILLS: This will be very brief. Page 167 Page 169 1 curative treatment would cure his, or her, 1 Sorry. 2 rheumatoid arthritis which, unfortunately, we don't MR. KANE: Okay. 3 have. 3 --000--You can treat the rheumatoid arthritis or EXAMINATION 5 you can treat the Sjogren's syndrome which is 5 BY MR. MILLS: 6 downstream. Or you can treat the KCS which is Dr. Calman, please take a look at 7 downstream from that. Or you can treat the ocular paragraph 58 of your declaration. 8 surface drying which is downstream from that, such Α. Okay. 9 as with artificial tears. And on page 38 --0. 10 So what's palliative and what's 10 -- the second to the last bullet from the 11 therapeutic, I don't see it as quite as much of a 11 0. 12 bright line as some of the opposing experts do. 12 bottom. As you understand the term "curative," is 13 Α. Okav. 14 Restasis a curative treatment? 14 ٥. In that paragraph, do you cite the 15 Well, no, because if you stop the 15 Schiffman deposition transcript? 16 treatment, the problems comes back and you have to 16 Okay. I do. So I guess I did read it. 17 restart. 17 I'm sorry. I thought I read it for -- the 18 Dr. Calman, who was responsible for the 18 reason I was careful how I answered your question 19 opinions expressed in your declaration? was I know I've read his depositions, and I thought 20 A. I am. 20 it was in preparation for the district court case. 21 Anyone else? 21 And, yeah, I did include a quote -- or not 0. 22 22 a quote, but I did -- this issue, we actually 23 MR. MILLS: Thank you, Dr. Calman. 23 discussed this issue, the fact that Figure 4 it

24 looks like Figure 3. We actually did discuss that

25 earlier and that is the reference, yes. I apologize

MR. KANE: I just have a couple of quick

25 follow-up questions.

# ANDREW F. CALMAN, M.D., PH.D. - 07/12/2017

,	Page 170	1	Page 172		
1	tor that confusion on my part.				
2	Q. Let me just ask you this: If you cited to		Reporter, License No. 12470, certify:		
3	a particular transcript in your declaration, does	3	. 1		
4	that indicate that you saw that transcript at some	4	named in the foregoing proceeding, to wit, ANDREW F.		
5	point?	5	CALMAN, M.D., PH.D., was by me duly sworn to testify to		
6	A. Yes.	6	the truth, the whole truth, and nothing but the truth;		
7	MR. MILLS: Okay. Thank you.	7	That said transcript was taken down in		
8	MR. KANE: No questions.	8	shorthand by me, on Wednesday, July 12, 2017, at		
	-	9	9:08 A.M., before the following adverse parties:		
9	THE REPORTER: I can have the final to you	10	MICHAEL J. KANE, ESQ., representing the Patent Owner;		
10	Friday. And will send you a rough tonight.	11	and JAD A. MILLS, ESQ., for the Respondent; and GARY		
11	MS. FRANCIS: Thanks.	12	SPEIER, ESQ., for all other Petitioners, and was		
12	MR. MILLS: Yes.	13	thereafter reduced to computerized transcription under		
13	THE REPORTER: Thank you.	14	my direction and is a true record of the testimony;		
14	(Whereupon, the deposition was	15	I certify that I have not been disqualified as		
15	adjourned at 2:49 p.m.)				
16	000				
17		17	Procedure.		
18		18	I further certify that I am not interested in		
		19	the event of the action.		
19		20			
20		21	DATED: July 14, 2017		
21		22	MADS		
22		23			
23		24	MEGAN F. ALVAREZ		
24			RPR, CSR 12470		
25		25			
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l .					
1	Page 171	1	Errata Sheet		
1 2		1 2	Errata Sheet		
2	I declare under penalty of perjury that the	2			
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2 3 4	I declare under penalty of perjury that the foregoing is true and correct. Subscribed at, California, this day of	2 3 4	NAME OF CASE: MYLAN PHARMACEUTICALS INC. v. ALLERGAN DATE OF DEPOSITION: 07/12/2017		
2 3 4 5	I declare under penalty of perjury that the foregoing is true and correct. Subscribed at	2 3 4 5	NAME OF CASE: MYLAN PHARMACEUTICALS INC. v. ALLERGAN DATE OF DEPOSITION: 07/12/2017 NAME OF WITNESS: ANDREW F. CALMAN, M.D., PH.D.		
2 3 4 5 6	I declare under penalty of perjury that the foregoing is true and correct. Subscribed at, California, this day of	2 3 4 5	NAME OF CASE: MYLAN PHARMACEUTICALS INC. v. ALLERGAN DATE OF DEPOSITION: 07/12/2017  NAME OF WITNESS: ANDREW F. CALMAN, M.D., PH.D.  Reason Codes:		
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