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**IMA** Institute for Mathematics  
and its Applications

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Codes, Systems and Graphical Models**

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Partially supported by the [National Security Agency](#)**August 2-13, 1999****Organizers:****G. David Forney, Jr.**

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Note: The registration for this summer workshop has been closed due to an overwhelming response.

The invention of turbo codes and other capacity-approaching codes has led to an exciting cross-fertilization of ideas between researchers from different backgrounds.

The aim of the workshop is to bring together mathematicians, computer scientists, and electrical engineers in the area of coding theory, systems theory and symbolic dynamics so that the techniques from one area can be applied to problems in the other area. The two weeks of the workshop will be subdivided into two main focus areas:

**Week 1:**

Codes on Graphs and Iterative Decoding

**Week 2:**

Connections Among Coding Theory, System Theory and Symbolic Dynamics

**Week 1****CODES ON GRAPHS AND ITERATIVE DECODING**

Belief propagation in Bayesian networks has been extensively studied in artificial intelligence since the work of Pearl a decade ago, and turbo codes have recently become a subject of much research in coding theory. In the past year or two it has been recognized that the iterative decoding algorithm used for turbo codes and other capacity-approaching schemes are instances of belief propagation. This has led to an explosion of work devoted to understanding and exploiting this connection. A related problem is that of representing a given code by a graph, such as a Bayesian network. A central impetus of much of this work is to understand why iterative algorithms work so well empirically on graphs with cycles, where practically no theoretical results are known. Experts in the dynamics of algorithms have also begun to be drawn into this work. The major focus of week 1 of the IMA workshop will be to bring together researchers in these various fields to better understand these emerging connections. This will be a natural follow-on to a special session on this subject at the upcoming 1998 MTNS conference (Mathematical Theory of Networks and Systems, among the most mathematical of the systems theory conferences).

**Topics for week 1 include:** Codes defined on graphs, iterative decoding algorithms, factor graphs, turbo codes, connections with Bayesian networks.

## Week 2

### **CONNECTIONS AMONG CODING THEORY, SYSTEM THEORY AND SYMBOLIC DYNAMICS**

Coding Theory, System Theory and Symbolic Dynamics have much in common as evidenced by the following list of research topics that play a prominent role in each:

1. Construction of various types of finite- and finite-dimensional state representations of sequence spaces.
2. Investigation of fundamental structural properties of sequence spaces, such as observability and controllability.
3. Construction of input/output systems, i.e. mappings (or encoders) between sequence spaces.
4. Understanding the special role that algebraic structure (in particular, linearity and duality) plays in 1,2 and 3.

Yet these subjects have developed somewhat independently, and each has its own language and points of view. Until recently there has been very little communication among researchers in these subjects. A main purpose of week 2 of the IMA workshop is to further the communication among researchers and stimulate connections among these subjects. Week 2 will aim to continue a successful series of interdisciplinary meetings that has included an IEEE Information Theory Workshop on Coding, Systems and Symbolic Dynamics in 1993 (Mansfield, MA), a special invited session at the IEEE Conference on Decision and Control in 1995 (New Orleans), and two special sessions at the MTNS in 1998 (Padova).

**Topics for week 2 include:** Behavioral system theory, input/output mappings between spaces of sequences, state space representations, group codes, trellis codes, multi-dimensional systems and codes.

The organizers plan a number of invited tutorial lectures specifically for interspecialty communication. Leading workers in each field will also be invited to present surveys of current research, with less emphasis on solved problems than on open ones. Finally, there will be both invited and contributed papers presenting recent research results.

We expect the attendees to represent electrical engineering, mathematics and computer science departments in both academia and industry. As coding theory is the glue that holds the two weeks together, we expect that it will mostly be a subset of the coding theory participants who will attend both weeks.

#### WORKSHOP SCHEDULE

**Week 1: August 2-6, 1999** Monday Tuesday Wednesday Thursday Friday

**Week 2: August 9-13, 1999** Monday Tuesday Wednesday Thursday Friday

All talks are in Lecture Hall EE/CS 3-180 unless otherwise noted.

#### **WEEK 1: CODES ON GRAPHS AND ITERATIVE DECODING** **August 2-6, 1999**

##### **SCHEDULE for MONDAY, AUGUST 2**

###### **HISTORY AND TUTORIALS Day** **G. David Forney, Jr. (chair)**

|                     |   |  |
|---------------------|---|--|
| 8:30 am             | Registration and Coffee   | Reception Room EE/CS 3-176   |
| 9:10 am             | <b>Willard Miller, Fred Dulles, and G. David Forney</b>         | Introduction and Welcome   |
| 9:30 - 10:30 am     | <b>R. Michael Tanner</b><br>University of California-Santa Cruz | Error-Correcting Codes and Graph-based Algorithms:<br>Origins, Successes, the Current Quests |
| 10:30 am            | Coffee Break  | Reception Room EE/CS 3-176   |
| 11:00 am - 12:00 pm | <b>Stephen B. Wicker</b><br>Cornell University                  | Markov Chains, Error Control, and the Advent of Turbo Coding                                 |
| 12:00 pm            | Lunch   |  |
| 2:00-3:00 pm        | <b>Frank R. Kschischang</b><br>University of Toronto            | Factor Graphs and the Sum-Product Algorithm  |
| 4:00 pm             | IMA Tea   | IMA East, 400 Lind Hall<br>A variety of appetizers and beverages will be served.             |

##### **SCHEDULE for TUESDAY, AUGUST 3**

###### **LOW DENSITY PARITY CHECK CODES DAY** **R. Michael Tanner (chair)**

|         |        |                            |
|---------|--------|----------------------------|
| 9:15 am | Coffee | Reception Room EE/CS 3-176 |
|---------|--------|----------------------------|

|                            |  |   |
|----------------------------|--|---|
| <b>9:30-10:30 am</b>       | <b>David J.C. MacKay</b><br>Cambridge University   | Sparse Graph Codes  |
| <b>10:30 am</b>            | Coffee Break   | Reception Room EE/CS 3-176                                  |
| <b>11:00 am - 12:00 pm</b> | <b>Robert J. McEliece</b><br>California Institute of Technology                                    | Some Simple Codes that Are Good in Both Theory and Practice |
| <b>12:00 pm</b>            | Lunch  |   |
| <b>2:00 - 3:00 pm</b>      | <b>Thomas J. Richardson</b><br>(Lucent Bell Labs)<br><b>Ruediger Urbanke</b><br>(Lucent Bell Labs) | Analysis and Design of Iterative Decoding Systems           |
| <b>3:00 pm</b>             | Coffee Break   | Reception Room EE/CS 3-176                                  |

**Contributed Talks and Informal Discussions**

|                |  |   |
|----------------|--|---|
| <b>3:30 pm</b> | <b>Amin Shokrollahi</b><br>Bell Labs                             | Capacity Achieving Low-density Erasure Codes              |
| <b>4:00 pm</b> | <b>Gilles Zemor</b><br>ENST, Paris                               | Iterative Decoding of Cycle Codes of Graphs               |
| <b>4:30 pm</b> | <b>Dakshi Agrawal</b><br>University of Illinois-Urbana Champaign | On the Phase Trajectories of the Turbo Decoding Algorithm |

**SCHEDULE for WEDNESDAY, AUGUST 4****INFERENCE DAY**  
**Brendan J. Frey (chair)**

|                            |   |  |
|----------------------------|---|--|
| <b>9:15 am</b>             | Coffee  | Reception Room EE/CS 3-176                                   |
| <b>9:30 - 10:30 am</b>     | <b>Tommi Jaakkola</b><br>Massachusetts Institute of Technology  | Variational Methods for Inference                            |
| <b>10:30 am</b>            | Coffee Break  | Reception Room EE/CS 3-176                                   |
| <b>11:00 am - 12:00 pm</b> | <b>Radford M. Neal</b><br>University of Toronto   | Sparse Matrix Methods and Probabilistic Inference Algorithms |
| <b>12:00 pm</b>            | Lunch   |  |
| <b>2:00 - 3:00 pm</b>      | <b>Brendan J. Frey</b><br>University of Waterloo<br><b>Yair Weiss</b><br>University of California at Berkeley | The Sum-Product Algorithm in Gaussian Networks with Cycles   |
| <b>3:00 am</b>             | Coffee Break  | Reception Room EE/CS 3-176                                   |

**Contributed Talks and Informal Discussions**

|                |   |   |
|----------------|---|---|
| <b>3:30 pm</b> | <b>John B. Anderson</b><br>University of Lund                       | Properties of the Tailbiting BCJR Decoder   |
| <b>4:00 pm</b> | <b>Amir Baniaslami</b><br>Carleton University                       | Tanner Graphs for Group Block Codes and Lattices: Construction and Complexity                             |
| <b>4:30 pm</b> | <b>Heeralal Janwa and Oscar Moreno</b><br>University of Puerto Rico | New Constructions of Ramanujan Graphs and Good Expander Graphs from Codes, Exponential Sums and Sequences |

**SCHEDULE for THURSDAY, AUGUST 5****Robert J. McEliece (chair)**

|                            |  |  |
|----------------------------|--|--|
| <b>9:15 am</b>             | Coffee   | Reception Room EE/CS 3-176   |
| <b>9:30 - 10:30 am</b>     | <b>Randall E. Bryant</b><br>Carnegie Mellon University   | Symbolic Boolean Manipulation with Ordered Binary Decision Diagrams  |
| <b>10:30 am</b>            | Coffee Break   | Reception Room EE/CS 3-176   |
| <b>11:00 am - 12:00 pm</b> | <b>John Lafferty</b><br>Carnegie Mellon University       | Trellises, Decision Diagrams, and Factor Graphs  |
| <b>12:00 pm</b>            | Lunch  |  |
| <b>2:00 - 3:00 pm</b>      | <b>James L. Massey</b><br>ETH Zurich and Lund University | Linear Systems over Fields and Rings, Linear Complexity, and Fourier Transforms  |
| <b>3:00 am</b>             | Coffee Break   | Reception Room EE/CS 3-176   |
| <b>6:00 pm</b>             | <b>Workshop Dinner</b>                                   | <b>Bona Vietnamese Restaurant</b><br>Located near the IMA and the Day's Inn at 802 Washington Avenue, the south side of Washington very near the |

intersection of Washington  
and Oak St.  
Phone: 612-331-5011

**SCHEDULE for FRIDAY, AUGUST 6**  
**CODING THEORY DAY Alexander Vardy (chair)**

|                  |  |   |
|------------------|--|---|
| 8:45 am          | Coffee   | Reception Room EE/CS 3-176  |
| 9:00 - 10:00 am  | <b>G. David Forney, Jr.</b><br>Massachusetts Institute of Technology | Codes and Systems on<br>Graphs: Generalized State<br>Realizations           |
| 10:00 am         | Coffee Break   | Reception Room EE/CS 3-176  |
| 10:15 - 11:15 am | <b>Ralf Koetter</b><br>University of Illinois at Urbana-Champaign    | Factor Graphs, Trellis<br>Formations, and Generalized<br>State Realizations |
| 11:15 am         | Coffee Break   | Reception Room EE/CS 3-176  |
| 11:30 am         | <b>Hans-Andrea Loeliger</b><br>Endora Tech AG, Switzerland           | Decoding and Equalization:<br>Iterative Algorithms and<br>Analog Networks   |

**Week 1: August 2-6, 1999** Monday Tuesday Wednesday Thursday Friday

**Week 2: August 9-13, 1999** Monday Tuesday Wednesday Thursday Friday

All talks are in Lecture Hall EE/CS 3-180 unless otherwise noted.

**WEEK 2: CONNECTIONS AMONG CODING THEORY, SYSTEM  
THEORY AND SYMBOLIC DYNAMICS**  
**August 9-13, 1999**

**SCHEDULE for MONDAY, AUGUST 9**

|   |  |  |
|---|--|--|
| 8:30 am   | Registration and Coffee  | Reception Room EE/CS 3-176                         |
| 9:10 am   | <b>Willard Miller, Fred Dulus,<br/>Joachim Rosenthal, and Brian Marcus</b> | Introduction and Welcome                           |
| <b>Automata and Systems</b><br><b>Jorn Justesen (Chair)</b> |  |  |
|   |  |  |
| 9:30 am   | <b>Roger W. Brockett</b><br>Harvard University                             | Dynamical Systems and their<br>Associated Automata |
| 10:30 am  | Coffee Break   | Reception Room EE/CS 3-176                         |
| 11:00 am - 12:00 pm   | <b>Dominique Perrin</b><br>Université de Marne-la-Vallée                   | Symbolic Dynamics and Automata                     |

**Algebra and Geometry Applied to Systems**  
**Ethan Coven (Chair)**

|         |  |   |
|---------|--|---|
| 1:30 pm | <b>Paul A. Fuhrmann</b><br>Ben Gurion University | A Polynomial Module Approach to<br>Linear Systems Theory                            |
| 2:30 pm | <b>Clyde Martin</b><br>Texas Tech University     | Linear Systems as Vector Bundles<br>on Spheres                                      |
| 3:30 pm | Coffee Break                                     | Reception Room EE/CS 3-176  |
| 4:00 pm | <b>M.S. Ravi</b><br>Eastern Carolina University  | An Algebraic Geometric Point of<br>View to Linear Systems Theory                    |
| 5:00 pm | IMA Tea  | IMA East, 400 Lind Hall<br>A variety of appetizers and<br>beverages will be served. |

**SCHEDULE for TUESDAY, AUGUST 10**

|  |  |   |
|--|--|---|
| 8:45 am  | Coffee   | Reception Room EE/CS 3-176  |
| <b>Convolutional Codes</b><br><b>Karl Petersen (Chair)</b> |  |   |
|  |  |   |
| 9:00 am  | <b>Rolf Johannesson</b><br>University of Lund  | Woven Convolutional Codes:<br>Encoder Properties and Error<br>Exponents |
| 10:00 am   | <b>Roxana Smarandache</b><br>University of Notre Dame  | Construction of Convolutional<br>Codes with Large Free Distance         |
| 11:00 am   | Coffee Break   | Reception Room EE/CS 3-176  |
| 11:30 am   | <b>Fabio Fagnani</b><br>Politecnico di Torino<br>Joint talk with Sandro Zampieri<br>Università di Padova | On Convolutional Codes over Rings                                       |

**Contributed Talks**  
**Joachim Rosenthal (Chair)**

All talks will be 25 minutes long, including questions.

|         |  |  |
|---------|--|--|
| 2:00 pm | <b>Thomas Mittelholzer</b><br>IBM Zurich Research Laboratory | Duals over Artinian Rings and the MacWilliams Identity                           |
| 2:30 pm | <b>Sergio R. Lopez-Permouth</b><br>Ohio University           | Finite Fields, Permutations and Trellis  |
| 3:00 pm | Coffee Break   | Reception Room EE/CS 3-176   |
| 3:30 pm | <b>Danrun Huang</b><br>St. Cloud State                       | Period Three, Chaos, and the Golden Mean Shift                                   |
| 4:00 pm | <b>Dharmendra S. Modha</b><br>IBM Almaden Research Center    | Art of Constructing Low-complexity Encoders/Decoders for Constrained Block Codes |
| 4:30 pm | <b>Natasha Jonoska</b><br>University of South Florida        | On Encoding in DNA Words   |

**SCHEDULE for WEDNESDAY, AUGUST 11**

|         |        |                            |
|---------|--------|----------------------------|
| 8:45 am | Coffee | Reception Room EE/CS 3-176 |
|---------|--------|----------------------------|

**Multidimensional Systems**  
**Jon Hall (Chair)**

|          |   |   |
|----------|---|---|
| 9:00 am  | <b>Klaus Schmidt</b><br>University of Vienna                  | Multi-dimensional Symbolic Dynamical Systems              |
| 10:00 am | <b>Paul H. Siegel</b><br>University of California-San Diego   | Capacity of Constrained Systems in One and Two Dimensions |
| 11:00 am | Coffee Break  | Reception Room EE/CS 3-176                                |
| 11:30 am | <b>Paul A. Weiner</b><br>Saint Mary's University of Minnesota | Multidimensional Convolutional Codes                      |

**Systems Theory**  
**Roy Adler (Chair)**

|         |  |   |
|---------|--|---|
| 2:00 pm | <b>Jan C. Willems</b><br>University of Groningen | Systems, States and their Representations |
| 3:00 pm | Coffee Break                                     | Reception Room EE/CS 3-176                |
| 3:30 pm | <b>Sanjoy Mitter</b><br>MIT                      | Path Space View of Probabilistic Systems  |

**SCHEDULE for THURSDAY, AUGUST 12**

|         |        |                            |
|---------|--------|----------------------------|
| 8:45 am | Coffee | Reception Room EE/CS 3-176 |
|---------|--------|----------------------------|

**Symbolic Dynamics and Applications**  
**Uwe Helmke (Chair)**

|          |   |   |
|----------|---|---|
| 9:00 am  | <b>M. Michael Boyle</b><br>University of Maryland     | Applications of Symbolic Dynamics to the Structure Theory of Nonnegative Matrices |
| 10:00 am | <b>Natasha Jonoska</b><br>University of South Florida | Multiplicities of SFT Covers  |
| 11:30 am | <b>Selim Tuncel</b><br>University of Washington       | Codings of Markov Chains and Weighted Graphs                                      |

**Contributed Talks**  
**Brian Marcus (Chair)**

|                |   |   |
|----------------|---|---|
| 2:00 pm        | <b>Marie-Pierre Béal</b><br>Université de Marne-la-Vallée | A Finite State Version of the Kraft-McMillan Theorem  |
| 2:30 pm        | <b>Olivier Carton</b><br>Université de Marne-la-Vallée    | Asynchronous Sliding Block Maps   |
| 3:00 pm        | Coffee Break  | Reception Room EE/CS 3-176  |
| 3:30 pm        | <b>Christiane Frougny</b><br>LIAFA                        | Deterministic Synchronization of Bounded Delay 2-tape Finite Automata   |
| 4:00-4:30 pm   | <b>Michael E. O'Sullivan</b><br>University College Cork   | The Key Equation for One-point Codes  |
| 4:30 - 5:00 pm | <b>Fernando Guzmán</b><br>Binghamton University           | Ambiguity in Codes  |
| 6:00 pm        | <b>Workshop Dinner</b>                                    | <b>Campus club</b><br>Located on the 4th floor of Coffman Student Union and serves a wide-ranging buffet. Coffman Union is located on the opposite side of Washington Avenue from the IMA and slightly to the west. |

**SCHEDULE for FRIDAY, AUGUST 13**

|  |  |  |
|--|--|--|
| <b>8:45 am</b>   | Coffee   | Reception Room EE/CS 3-176                         |
| <b>Decoding and Interpolation</b><br><b>Zhe-Xian Wan (Chair)</b> |  |  |
| <b>9:00 am</b>   | <b>Margreet Kuijper</b><br>University of Melbourne                 | Algorithms for Decoding and<br>Interpolation       |
| <b>10:00 am</b>  | <b>Patrick Fitzpatrick</b><br>National University of Ireland, Cork | Realization and Interpolation via<br>Gröbner Bases |
| <b>11:00 am</b>  | Coffee Break   | Reception Room EE/CS 3-176                         |
| <b>11:30 am</b>  | <b>Brian M. Allen</b><br>University of Notre Dame                  | Linear Systems Decoding of<br>Convolutional Codes  |

**Informal Contributed Talks**

Lind Hall 409 with an option to switch to Lecture Hall EE/CS 3-180 contingent on the participants size

|                |   |   |
|----------------|---|---|
| <b>2:00 pm</b> | <b>Karl Petersen</b><br>University of North Carolina    | Good Measures for Bad Codes<br>between SFT's    |
| <b>2:30 pm</b> | <b>Ethan Coven</b><br>Wesleyan University               | The Symbolic Dynamics of Tiling<br>the Integers |
| <b>3:00 pm</b> | Coffee Break  | IMA East Lind Hall room 400                     |
| <b>3:15 pm</b> | <b>Kimberly Johnson</b><br>University of North Carolina | Automata, and Pumping Lemmas<br>for Beta-shifts |
| <b>3:45 pm</b> | <b>Paul Trow</b><br>University of Memphis               | Mappings between Group Shifts                   |

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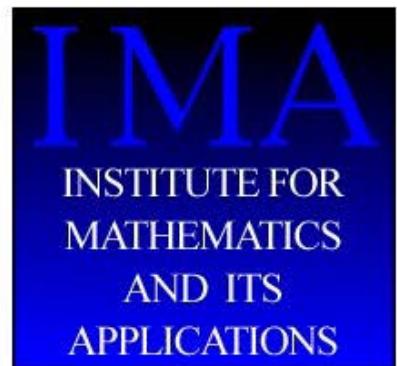
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**Gallager Codes - Recent Results**  
**August 3, 1999**

**A talk that was presented by David J.C. MacKay, an IMA Visitor from the Cambridge University**

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# Gallager codes

## - recent results

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David MacKay

Dept. of Physics  
Univ. of Cambridge

Radford Neal  
Matthew Davy

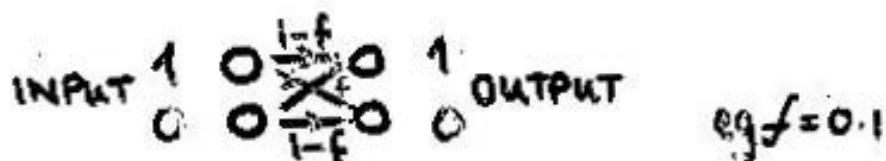
- How good regular Gallager codes are.
- Enhancing Gallager codes.
- Open questions.
- Gallager codes for high rate, short block applications.



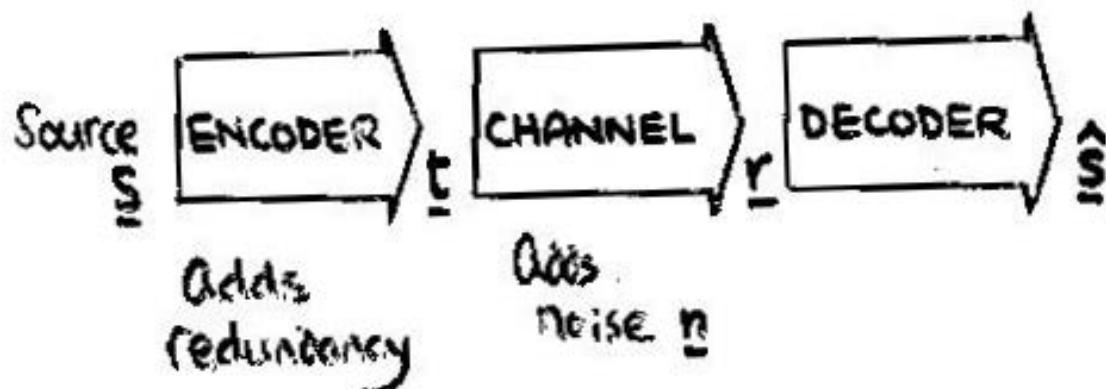
# INFORMATION THEORY + CODING THEORY

Aim: error-free communication  
nearly over noisy channels

e.g. binary symmetric channel



Method:



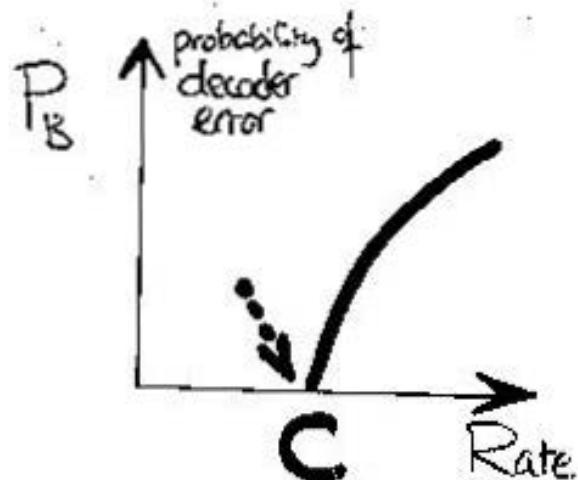
Good news: Can reduce error probability  $\epsilon$

Bad news: Redundancy means rate R is reduced

# Code families

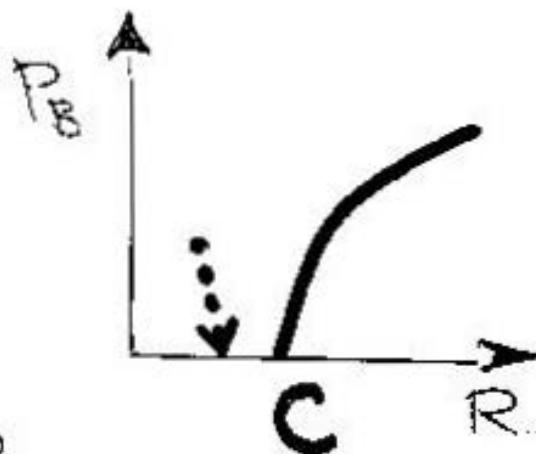
Very Good codes

can achieve  
 $P_B \rightarrow 0$   
as  $R \rightarrow C$



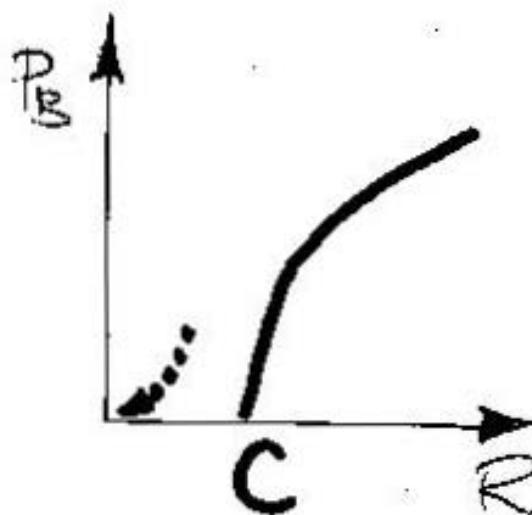
Good codes

Can achieve  
 $P_B \rightarrow 0$   
at some  $R > 0$



Bad Codes

only achieve  
 $P_B \rightarrow 0$   
as  $R \rightarrow 0$



Codes have Good distance

if  $\frac{d}{Z}$  → const.  
as  $N \rightarrow \infty$

Codes have Very Good distance

if  $\frac{d}{Z} \rightarrow \frac{d_{cv}}{Z}$

$$\pi_2\left(\frac{d_{cv}}{Z}\right) = 1 - R$$

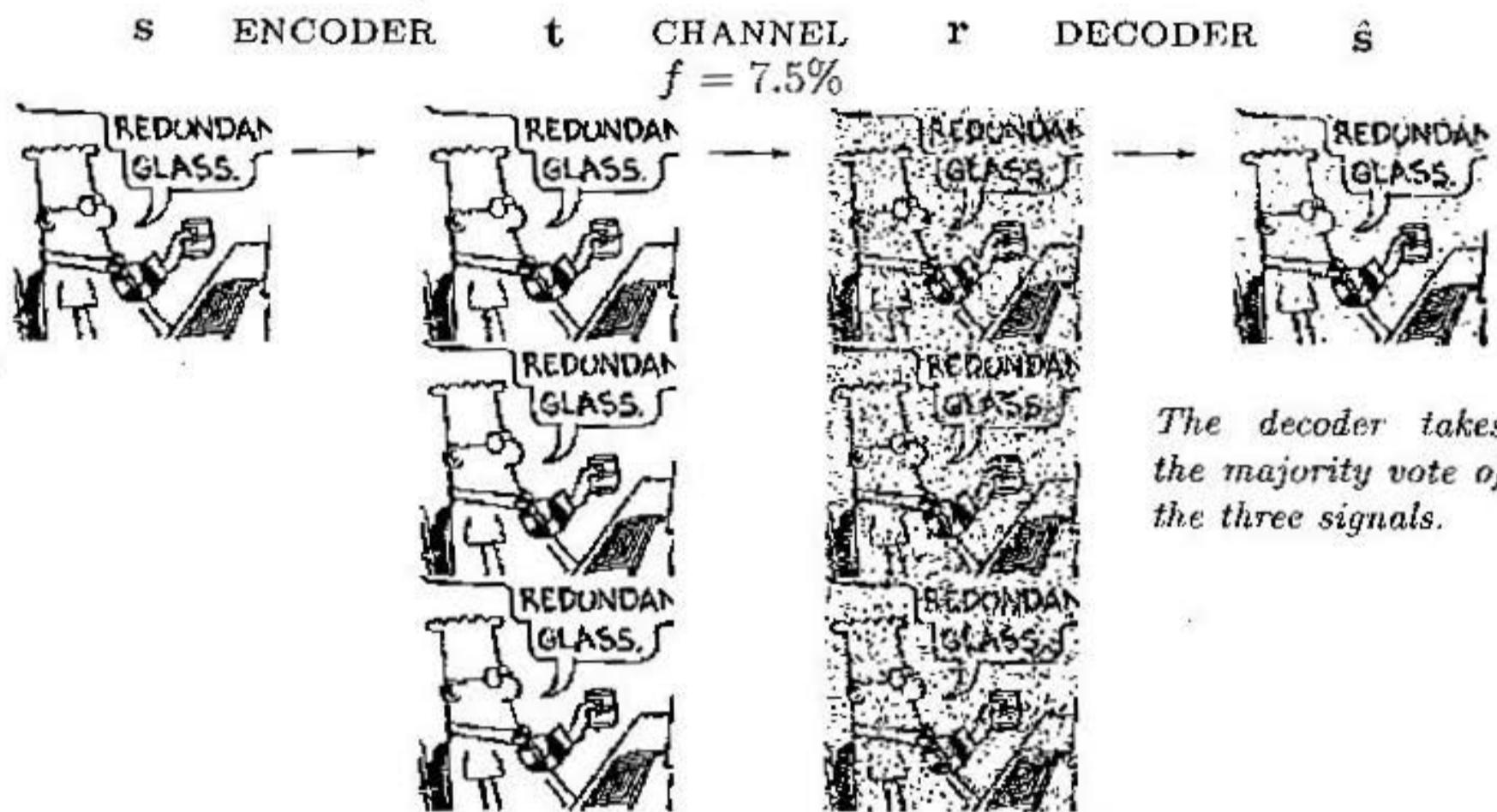
Codes have Bad distance

if  $\frac{d}{Z} \rightarrow 0$

& very bad distance

if  $d = \text{const.}$

## Repetition Code "R3"



Good news: only 1.6% of decoded bits are in error

Bad news: rate of communication reduced to 1/3

# Hamming (7,4) code

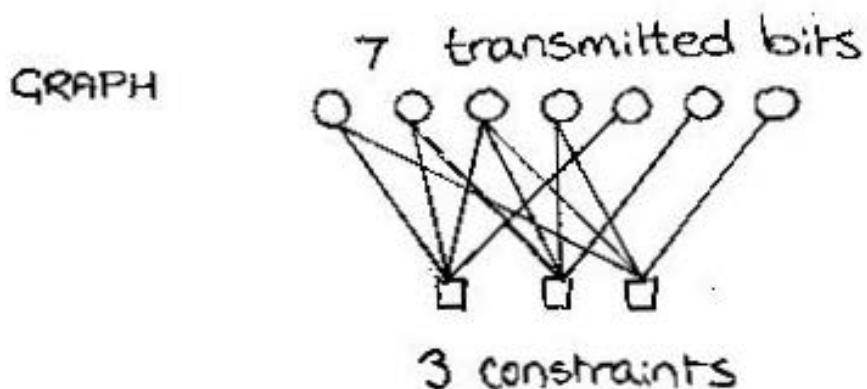
Encodes  $K=4$  source bits  
into  $N=7$  transmitted bits.

PARITY CHECK MATRIX

$$H = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

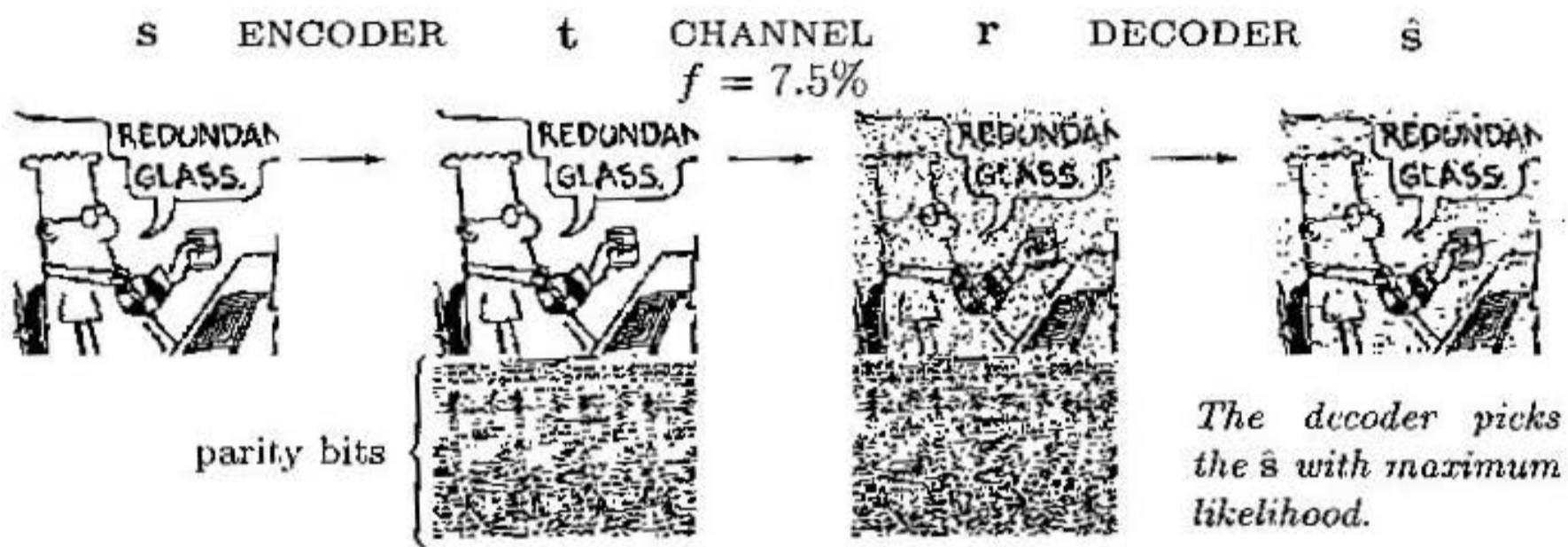
$\leftarrow K=4 \rightarrow$   
Source bits       $\overbrace{\hspace{2cm}}$       M=3 parity bits

↑  
M=3 constraints  
↓



# THE Hamming (7,4) code in action

| s    | t        | s    | t        | s    | t        | s    | t        |
|------|----------|------|----------|------|----------|------|----------|
| 0000 | 0000 000 | 0100 | 0100 110 | 1000 | 1000 101 | 1100 | 1100 011 |
| 0001 | 0001 011 | 0101 | 0101 101 | 1001 | 1001 110 | 1101 | 1101 000 |
| 0010 | 0010 111 | 0110 | 0110 001 | 1010 | 1010 010 | 1110 | 1110 100 |
| 0011 | 0011 100 | 0111 | 0111 010 | 1011 | 1011 001 | 1111 | 1111 111 |



4% of decoded bits are in error

rate of communication is 4/7

# Decoding the Hamming code

received = transmitted + noise

$$\underline{r} = \underline{t} + \underline{n} \pmod{2}$$

$$\underline{H} \underline{r} = \underline{H} \underline{t} + \underline{H} \underline{n} \pmod{2}$$

$$\begin{array}{c} | \\ \underline{H} \underline{t} = 0 \end{array}$$

for all valid  
transmissions

The "Syndrome"  
of the received  
signal,

$$\underline{z} \equiv \underline{H} \underline{r} \pmod{2}$$

$\Rightarrow$  want to solve for  $\underline{H} \underline{n} = \underline{z} \pmod{2}$   
the sparsest  $\underline{n}$  such that

# LOW DENSITY PARITY CHECK CODES

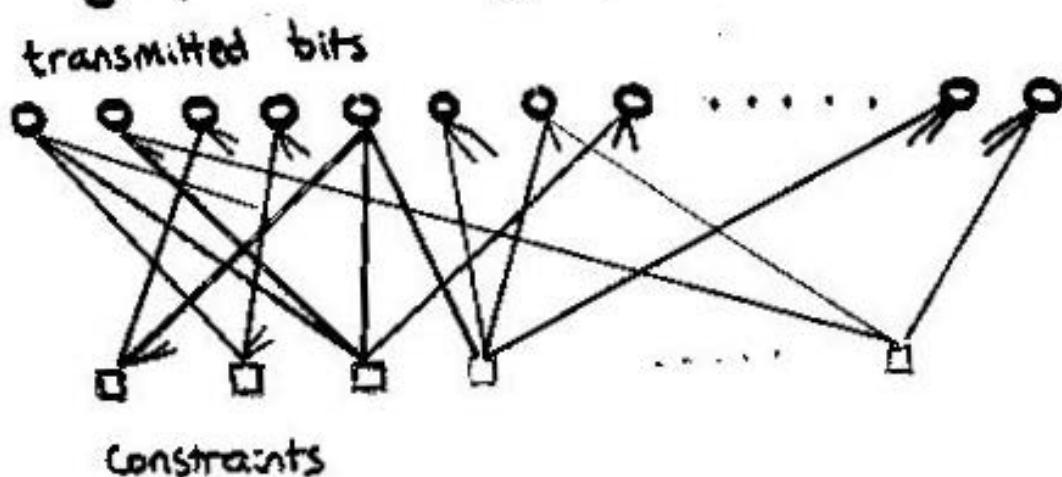
GALLAGER 1962

- Parity check matrix  $\underline{H}$  is very sparse

$$\mathbf{H} = \left[ \begin{array}{cccccc|c} & 1 & 1 & 1 & 1 & 1 & 1 \\ & 1 & 1 & 1 & 1 & 1 & 1 \\ & 1 & 1 & 1 & 1 & 1 & 1 \\ & 1 & 1 & 1 & 1 & 1 & 1 \\ & 1 & 1 & 1 & 1 & 1 & 1 \\ & 1 & 1 & 1 & 1 & 1 & 1 \\ & 1 & 1 & 1 & 1 & 1 & 1 \\ \hline 1 & & & & & & \\ 1 & & & & & & \\ 1 & & & & & & \\ 1 & & & & & & \\ 1 & & & & & & \\ 1 & & & & & & \\ 1 & & & & & & \\ \hline \end{array} \right] \quad \begin{matrix} \uparrow \\ M \\ \downarrow \end{matrix}$$

e.g., every column has weight 3

- The graph is very sparse



## THEORY

Gallager codes with fixed  $t \geq 3$   
are good, and have  
good DISTANCE.

Gallager 1962  
MacKay 1999

15  
27

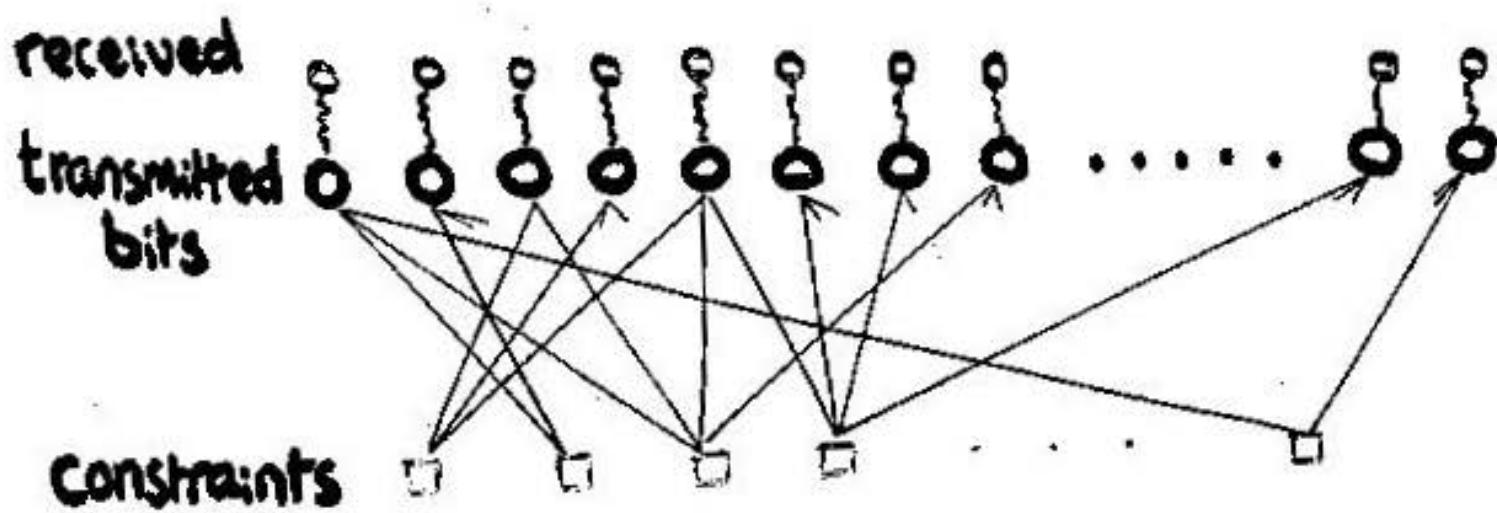
As  $t$  increases\*, Gallager codes  
become VERY GOOD\*, and have  
VERY GOOD DISTANCE.

\* $\left(\frac{t}{M}\right)$  still vanishing

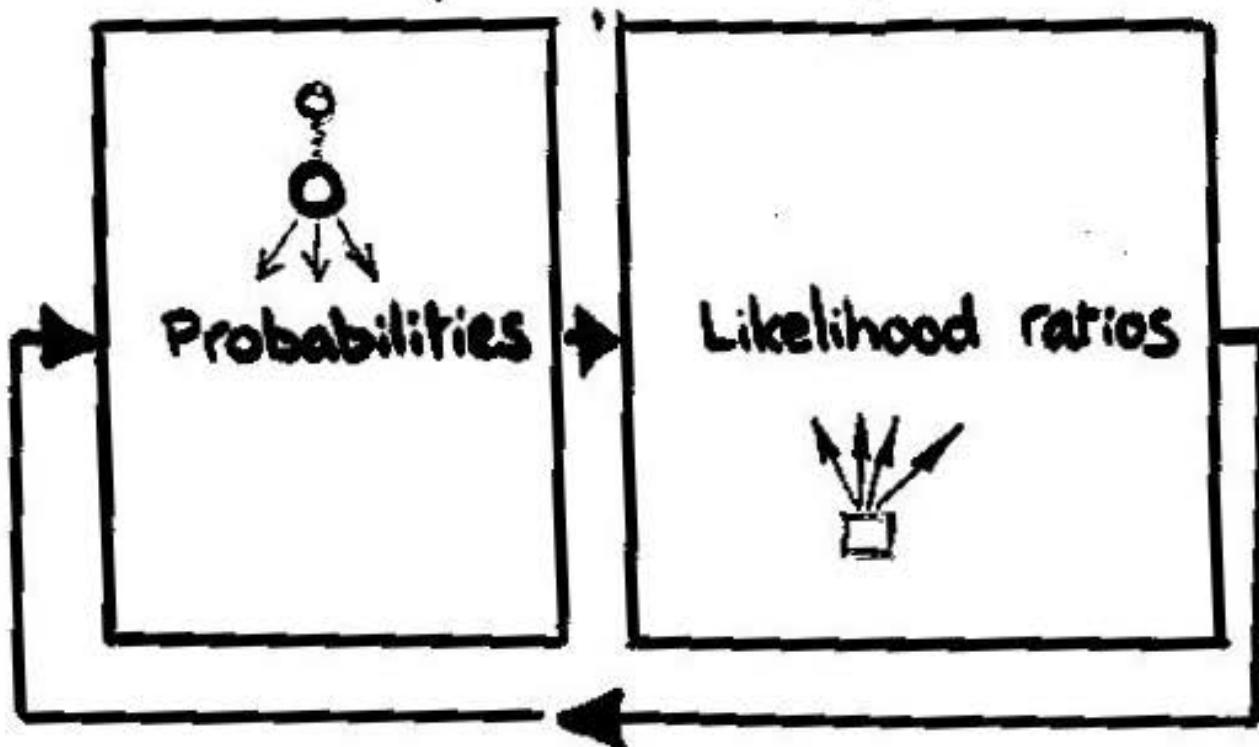
\*for a wide range of  
channels with and without  
memory. MacKay 1999

# How to Solve the Decoding Problem

(DIFFICULT)



• sum-product algorithm



cycle  
3 5  
1 3

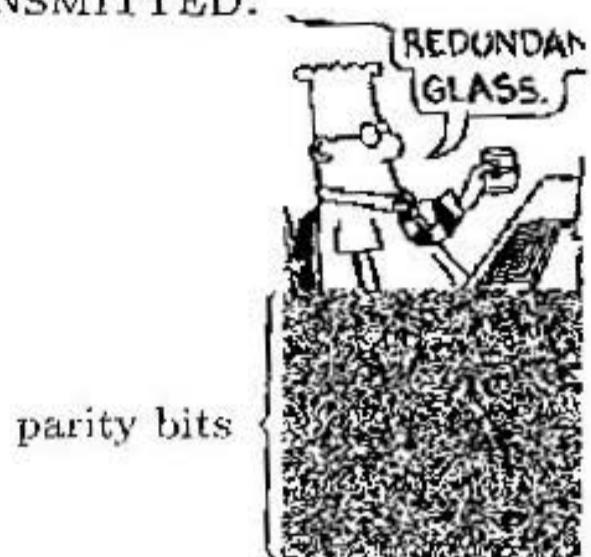
## THE ENCODER

We demonstrate a large code that encodes  $K = 10000$  source bits into  $N \approx 20000$  transmitted bits.

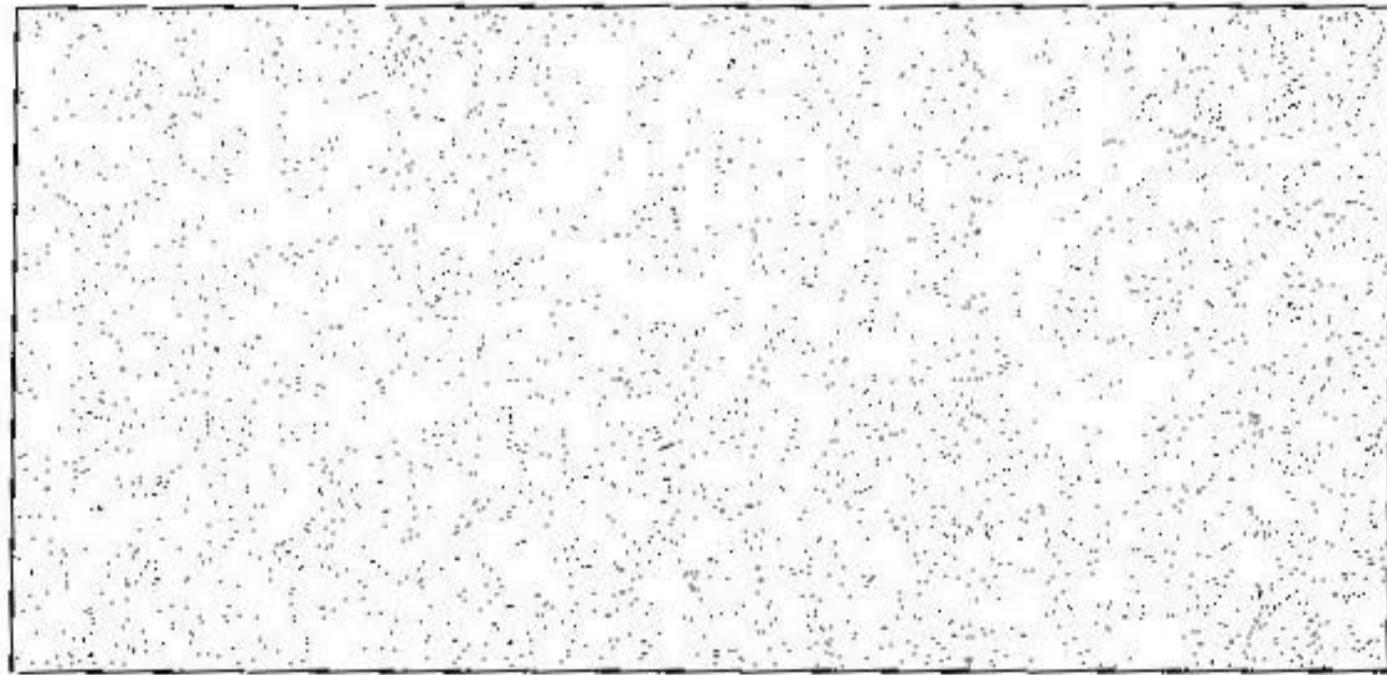
Each parity bit depends on about 5000 source bits.

The encoder is derived from a very sparse  $10000 \times 20000$  matrix  $\mathbf{H}$  with three 1s per column.

TRANSMITTED:

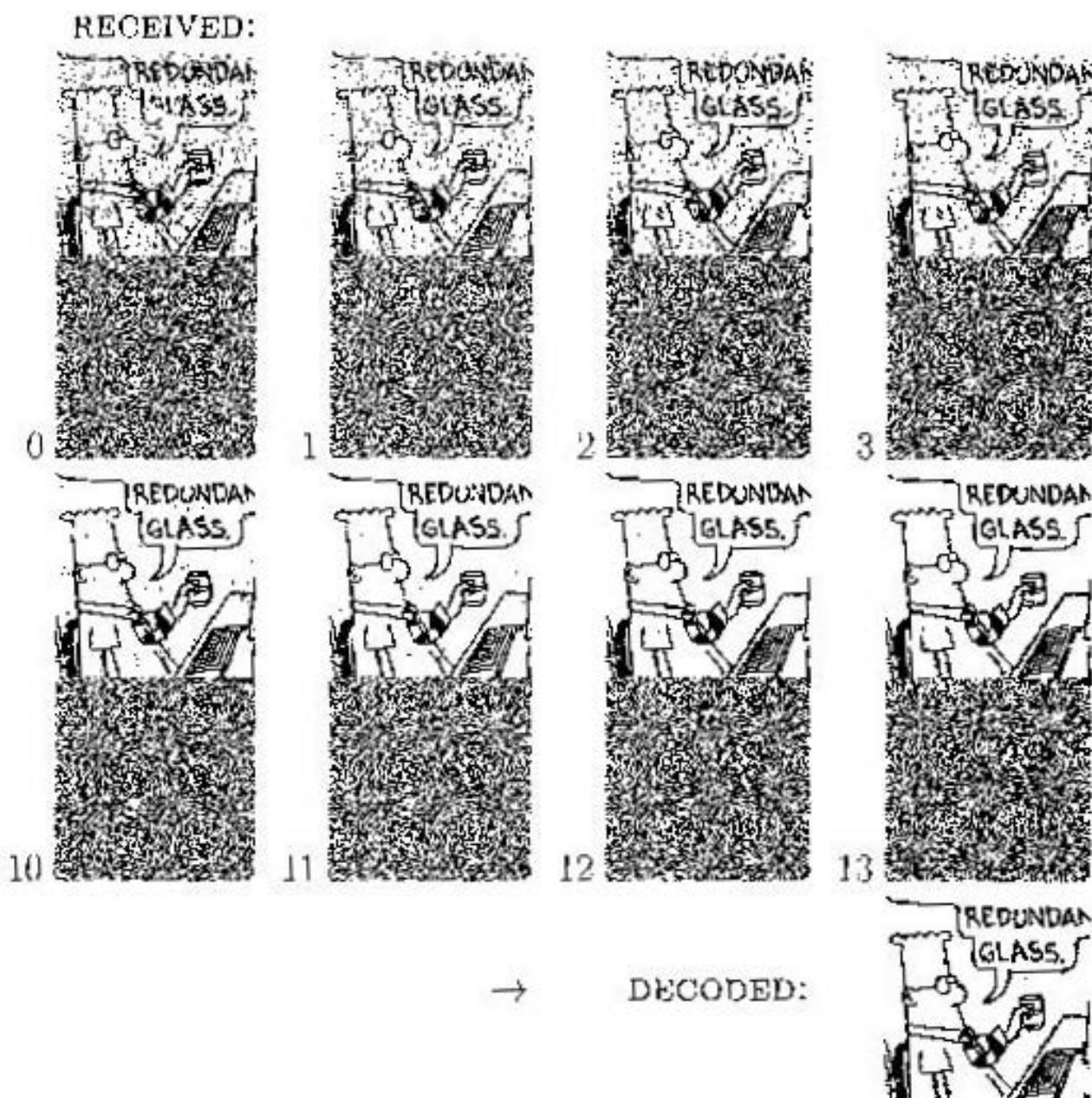


$\mathbf{H} =$



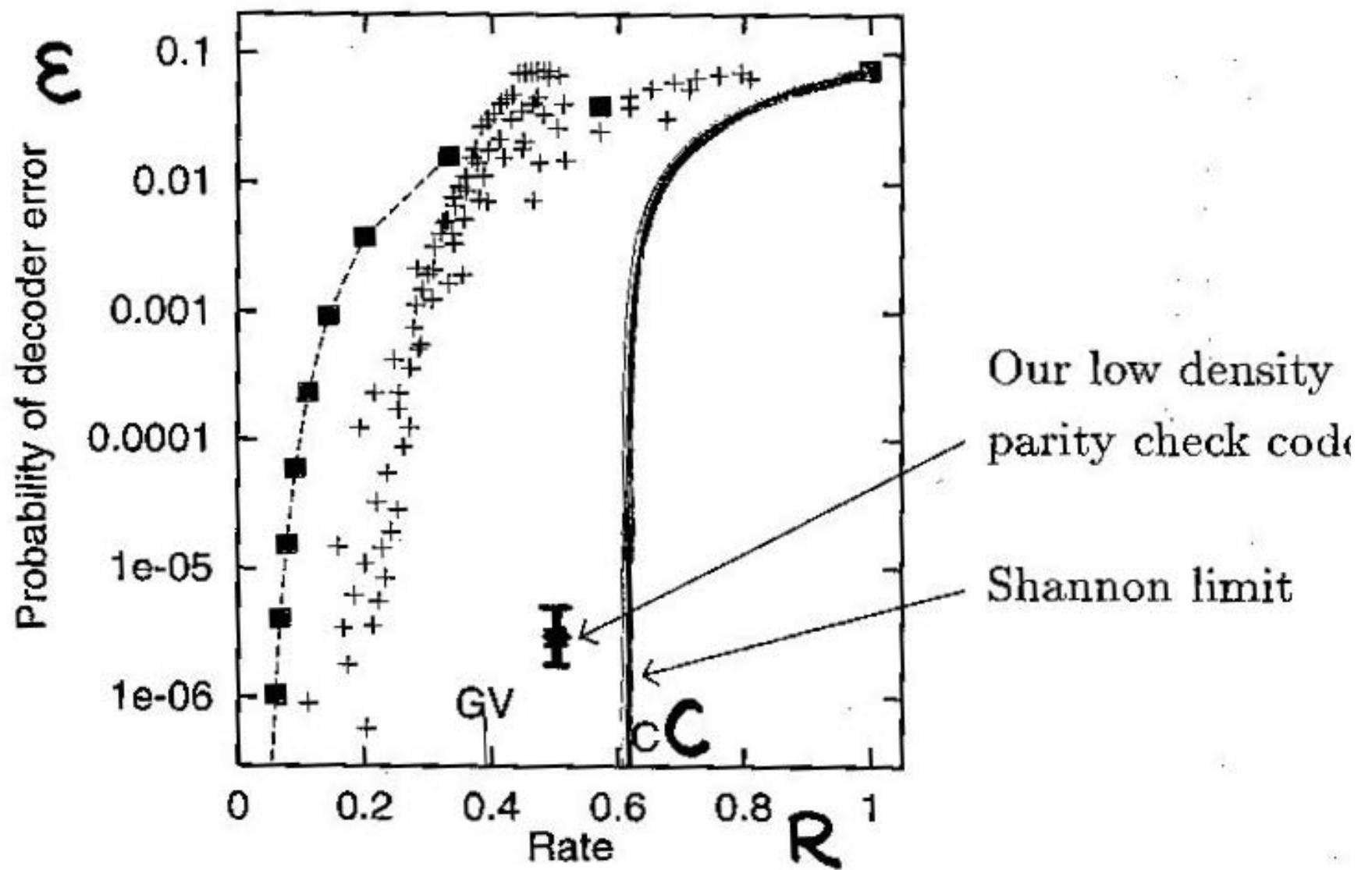
## Iterative decoding

After the transmission is sent over a channel with noise level  $f = 7.5\%$ :



This final decoding is error free.

In the case of an unusually noisy transmission, the decoding algorithm fails to find a valid decoding. For this code and a channel with  $f = 7.5\%$ , such failures happen about once in every 100,000 transmissions.

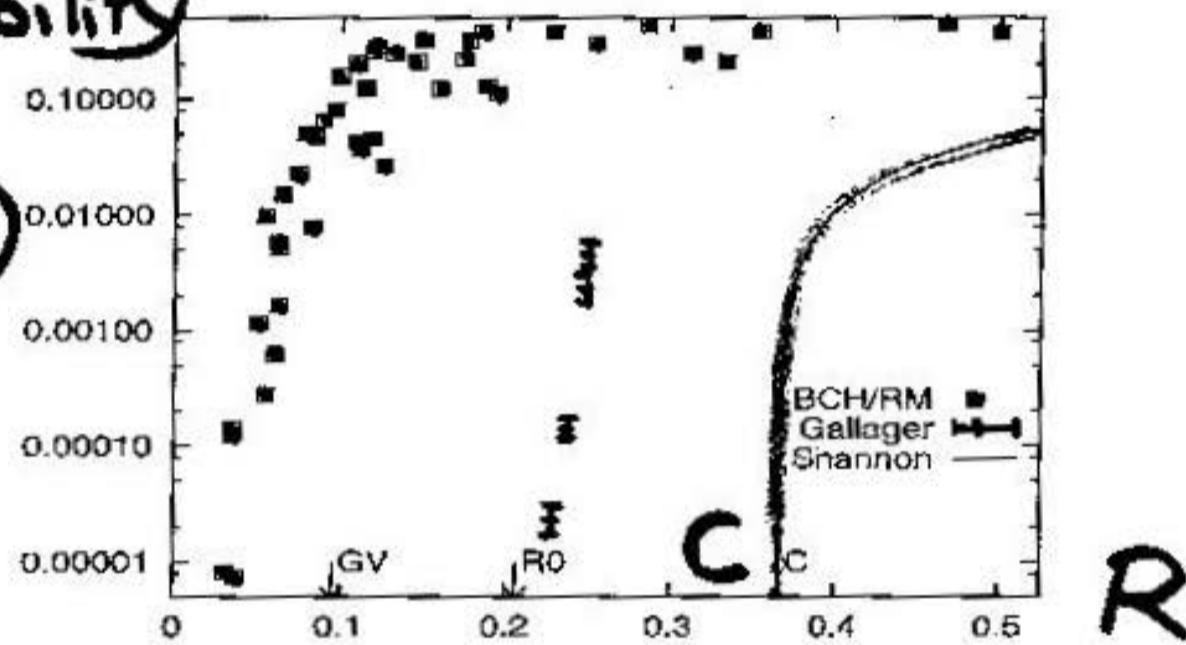


Block lengths  $N \approx 13,000$

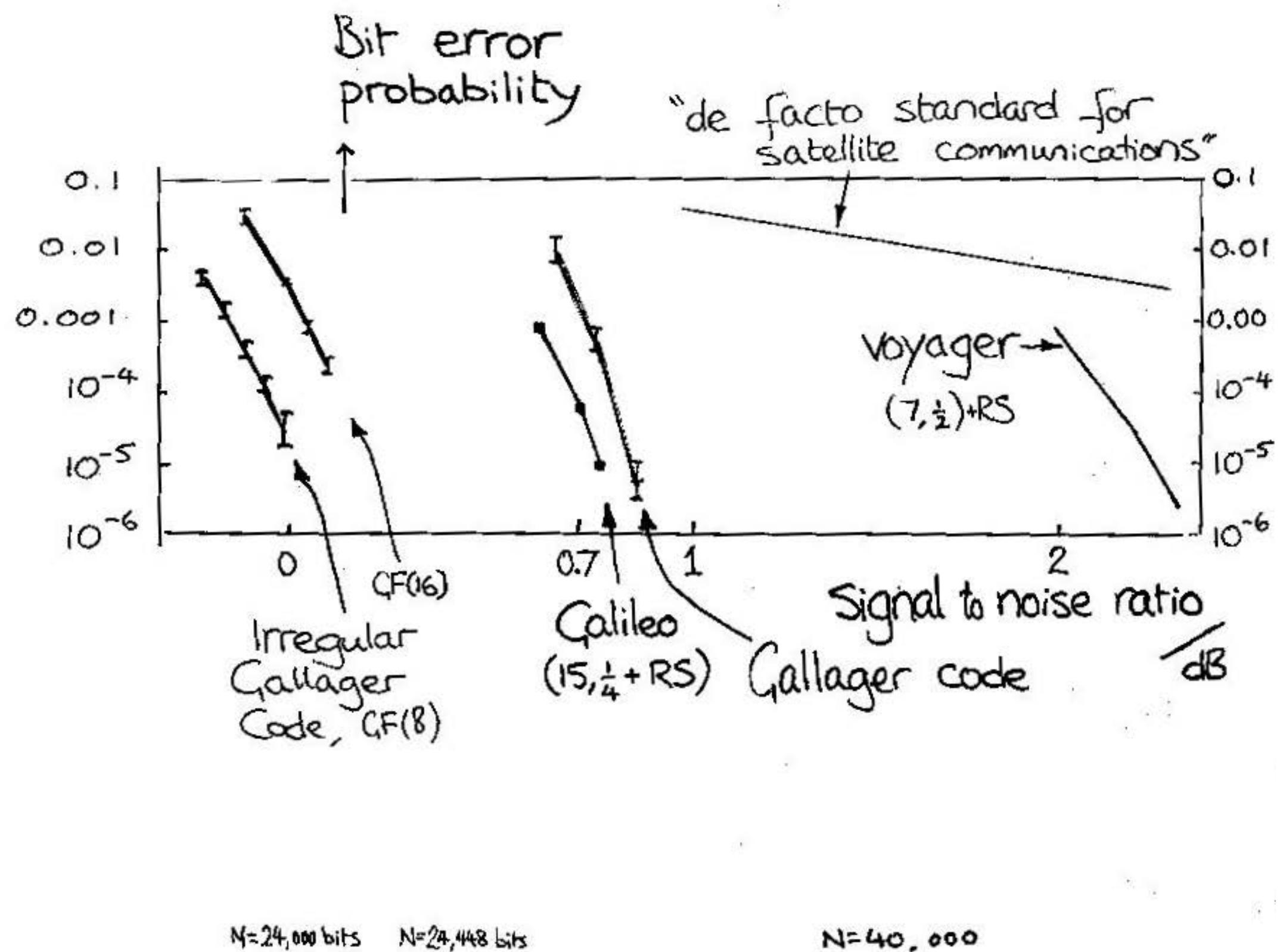
Decoder  
error  
probability  
(log  
scale)

CHAPTER 7 - ERROR CORRECTING CODES & REAL CHANNELS

B.S.C.  $f_n = 0.16$



R



# ERRORS MADE BY ~~GALLAGER~~<sup>Gallager</sup> CODES

Each iteration the best guess is checked - if  $\hat{H}^n = \underline{z}$ , halt.

Two potential failure modes:

1) Undetected errors

- when decoder halts in a nearby codeword.

2) Detected errors

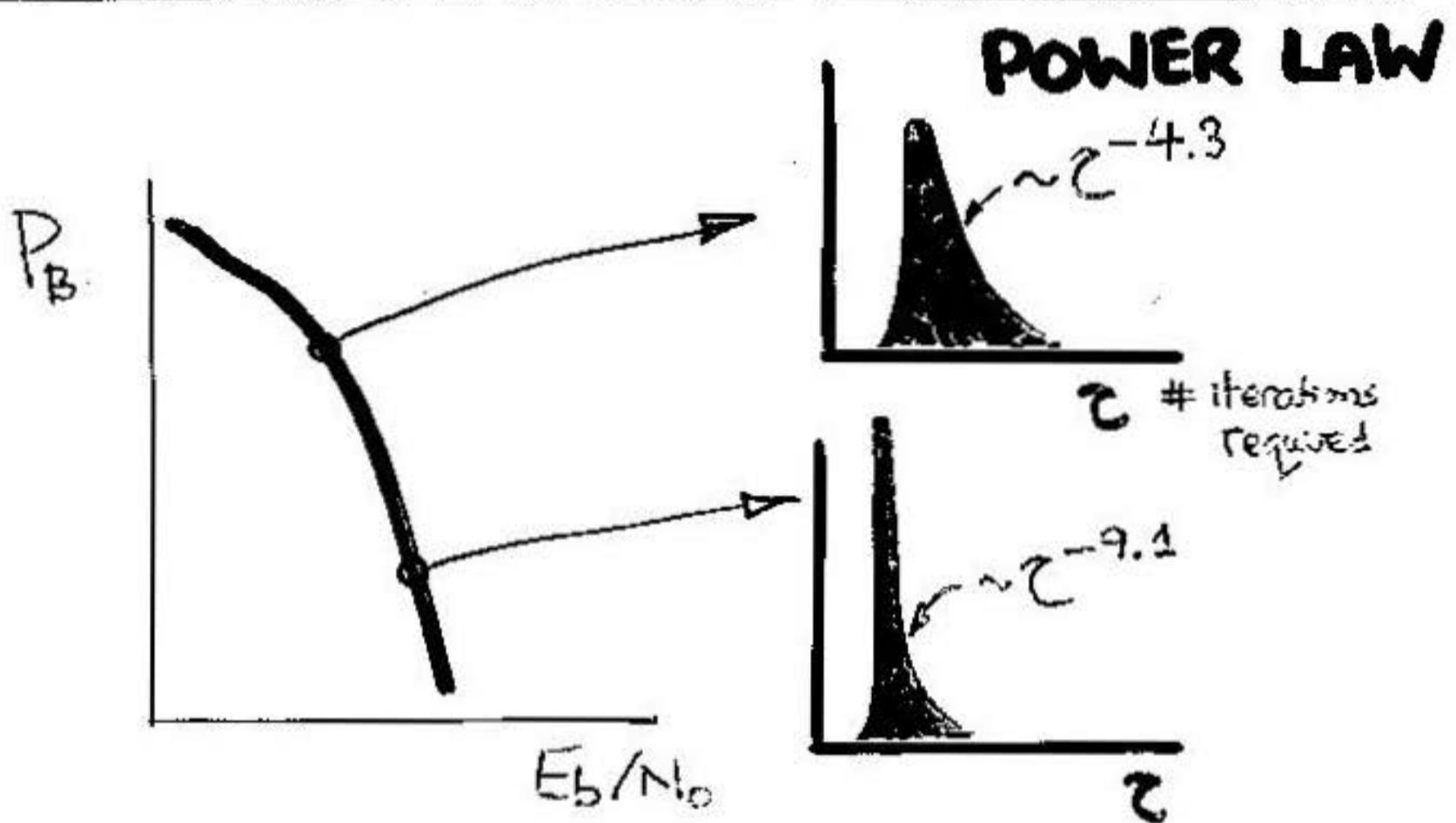
- decoder reaches some max. number of iterations.

ALL\* ERRORS ARE DETECTED ERRORS.

~~GALLAGER~~<sup>Gallager</sup> codes do not have low-weight codewords.

\* in  $10^3$  blocks of experiments, for all codes with  $N > 400$ ,  $R \in (\frac{1}{4}, \frac{2}{3})$

# Decoding times of sparse graph codes



# DECODING TIMES HAVE A POWER-LAW DISTRIBUTION

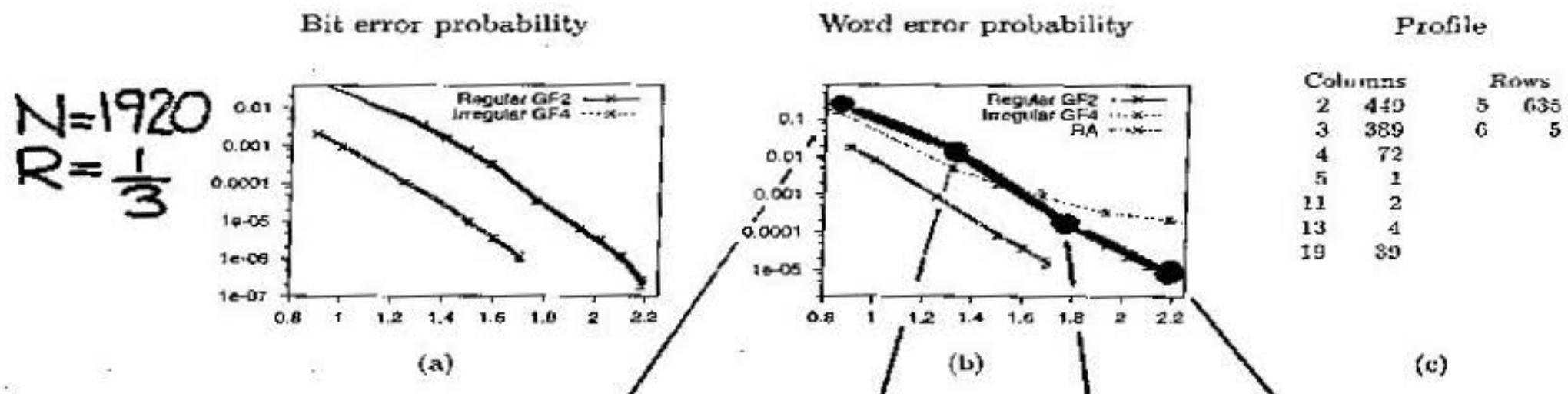


Figure 1. (a,b) Performance of Gallager codes with  $N = 1920$ ,  $R = 1/3$ , as a function of  $E_b/N_0$ . In (b) we also show the performance of a repeat-accumulate code with  $N = 3000$ . (c) The profile of the irregular code over GF(4).

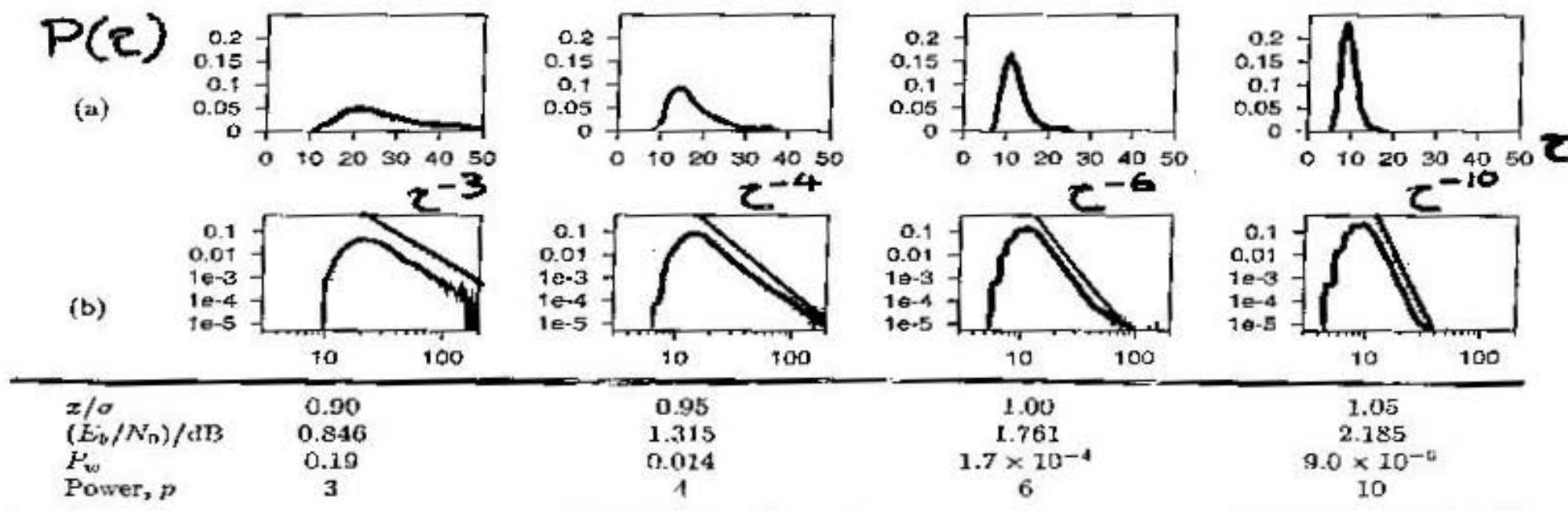
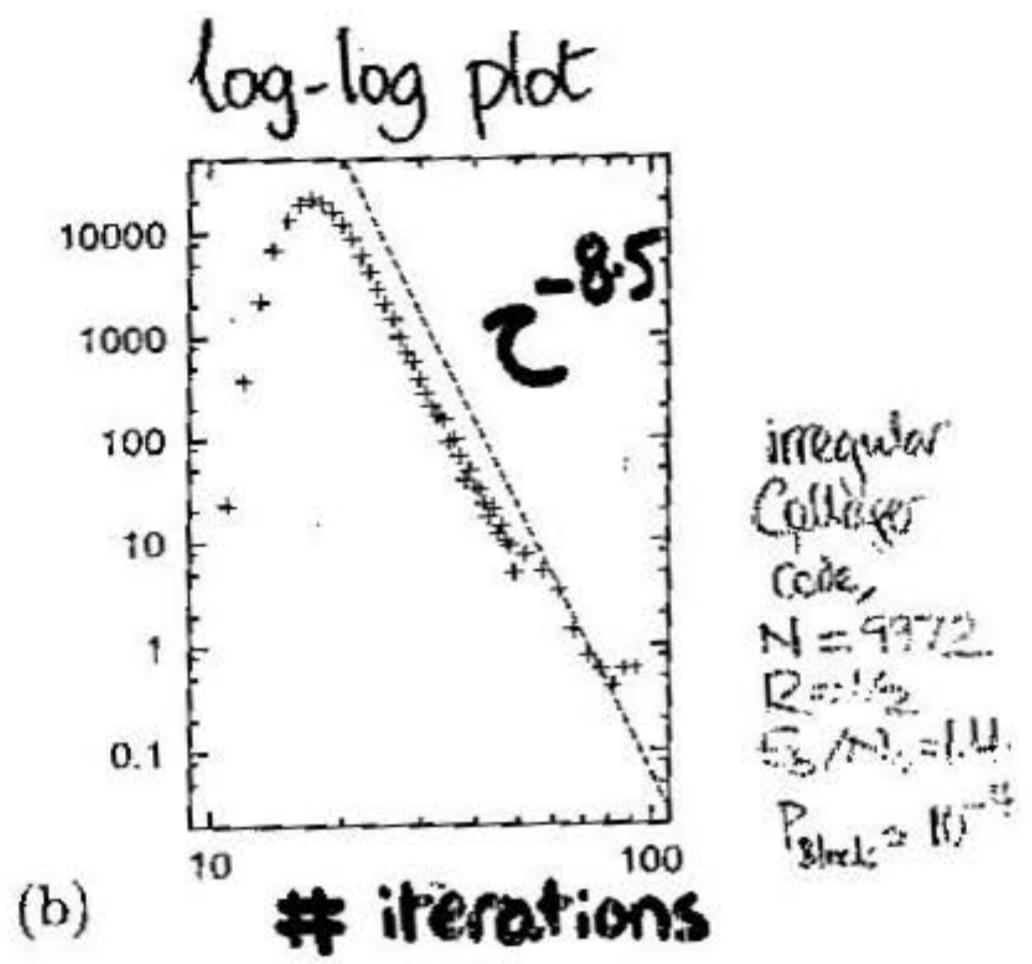
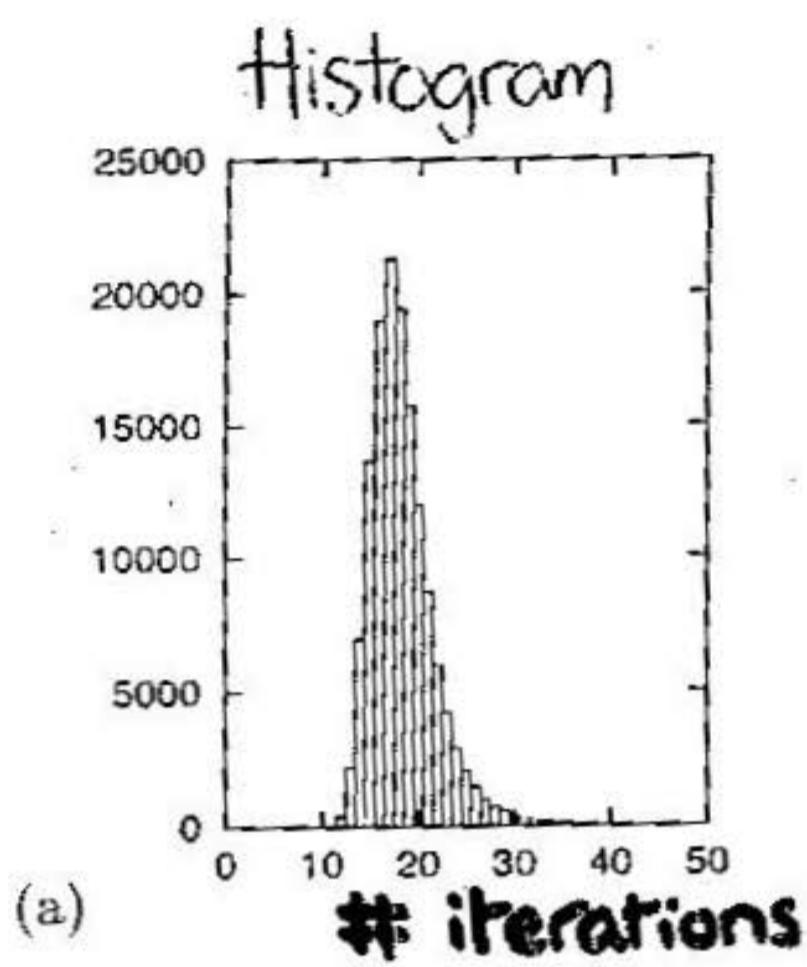


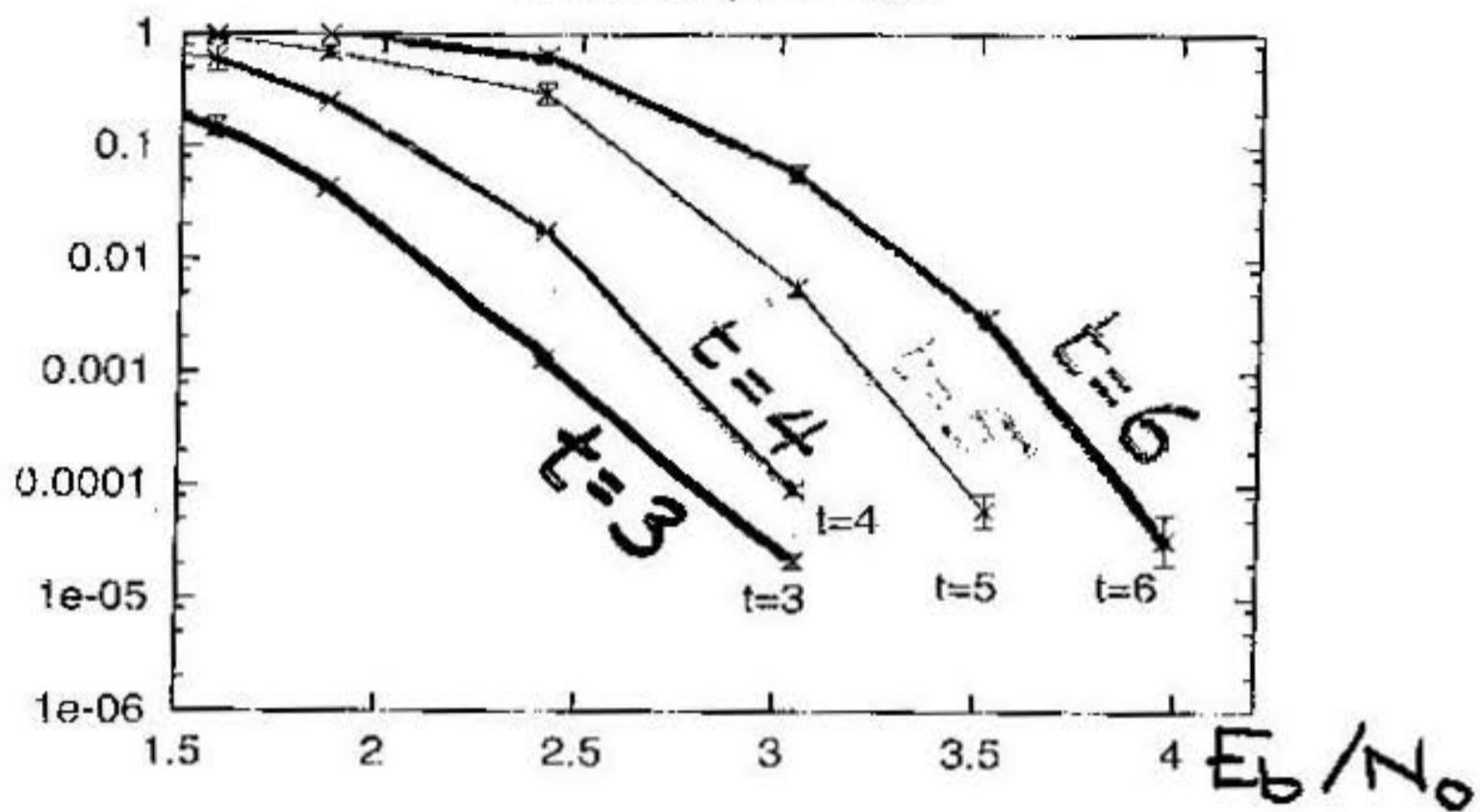
Figure 2. Histograms showing the frequency distribution of decoding times for the binary Gallager code from figure 1: (a) linear plot; (b) log-log plot. The graphs show the number of iterations taken to reach a valid decoding; the value of  $P_w$  gives the frequency with which no valid decoding was reached after 1000 iterations. The power  $p$  which gives a good fit of the power law distribution  $P(\tau) \propto \tau^{-p}$  (for large  $\tau$ ) is also shown.



Block  
error  
prob.

# Varying column weight t

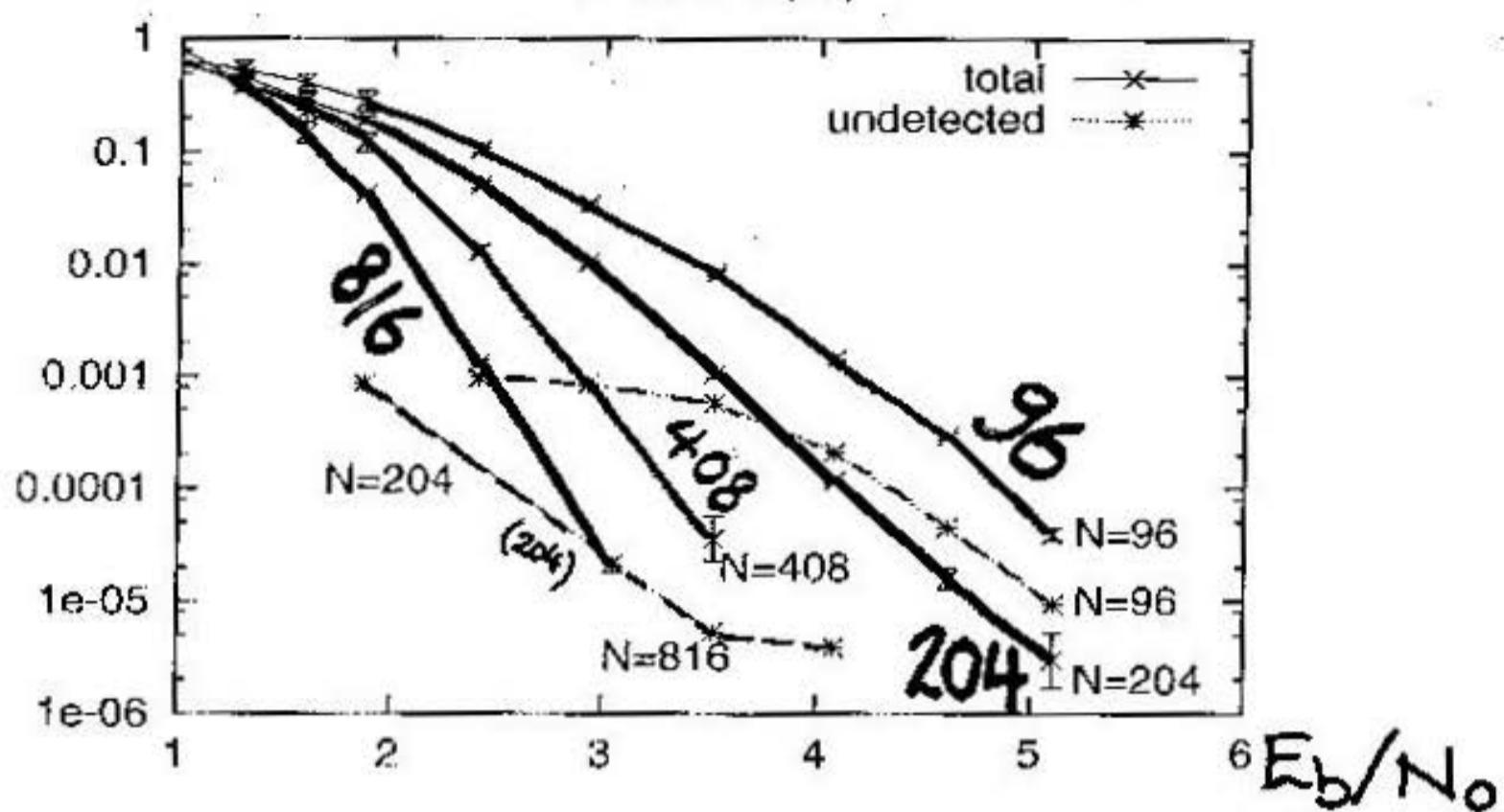
N=816, R=1/2: vary t



Block  
error  
prob.

## varying block length $N$

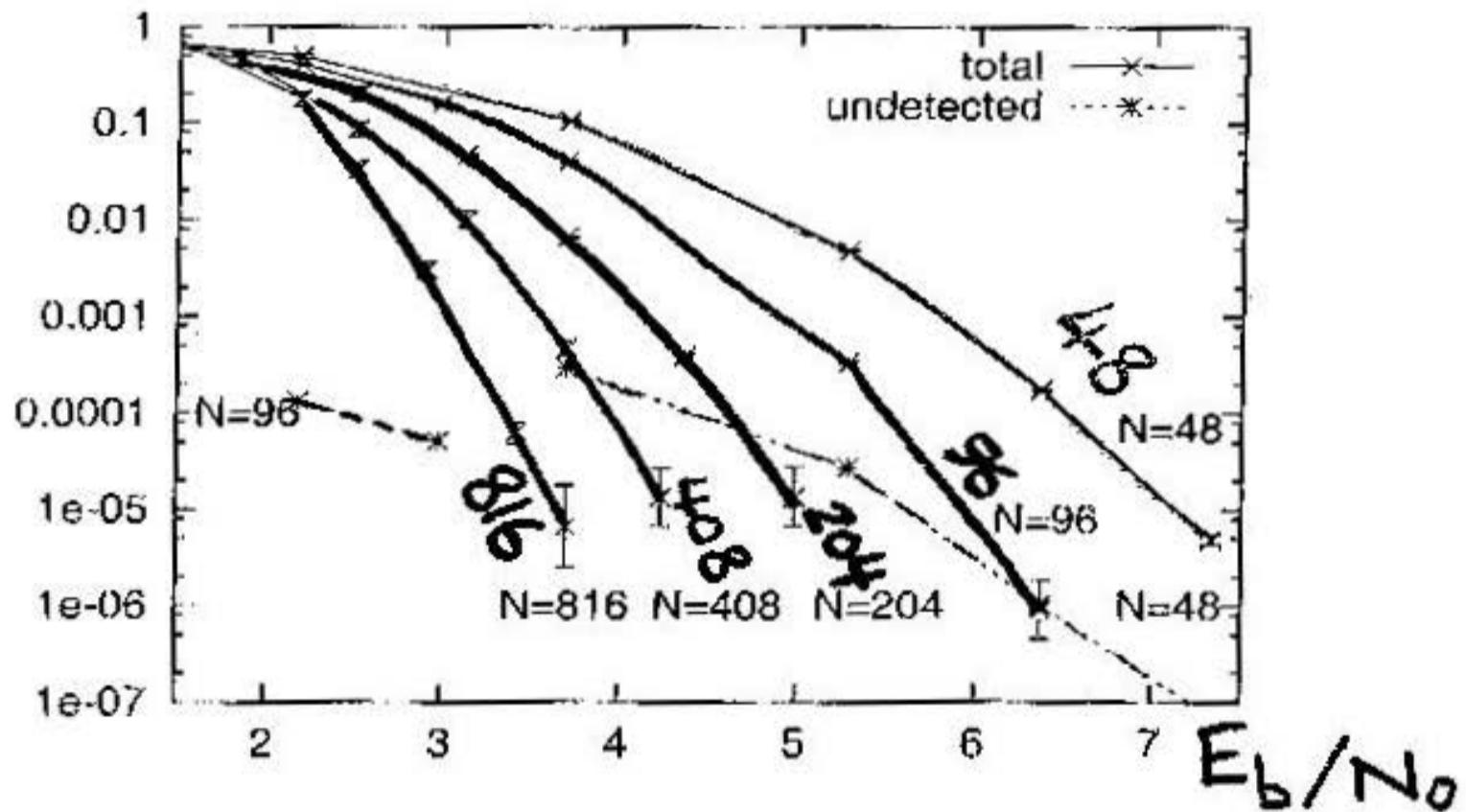
$R=1/2 t=3 (33)$



Block  
error  
prob.

## varying block length $N$

$R=1/3 t=4$



## CRITERIA FOR COMPARING CODES

- o  $E_b / N_0$  for given  $P(\text{block error})$   
or  
Distance from Shannon limit
- o Whether block errors are undetected errors.
- o Encoding complexity (Time, space)
- o Decoding complexity
- o Ease of construction

"Gallager codes better than Turbo codes"

What does better mean?

| SITUATION AT <del>PRESENT</del> 1995                          | Standard<br>Turbo<br>Code | Standard<br>Gallager<br>Code |
|---|---------------------------|------------------------------|
| Definition  |                           |                              |
| Closest to Shannon limit $E_b/N_0$ for given total error rate | T                         | C                            |
| TOTAL = DETECTED ERROR RATE + UNDETECTED ERROR RATE           |                           |                              |
| Smallest undetected error rate                                |                           | C                            |
| Largest minimum distance                                      |                           | C                            |
| Faster decoding   |                           | C                            |
| Faster encoding   | T                         | C                            |
| More flexible choice of N,R                                   |                           | C                            |
| Can prove Shannon-esque properties                            |                           | C                            |
| Patent-free   |                           | C                            |

# ENCODING

$$\underline{s} \in \{0,1\}^K \rightarrow \underline{t}$$
$$H\underline{t} = \underline{0}$$

$$\begin{array}{c} \leftarrow Z \rightarrow \\ \leftarrow K \rightarrow M \rightarrow \\ \boxed{\text{matrix}} \end{array} = H$$

↓ Gaussian Elimination  
 $O(N^3)$

$$\boxed{\text{matrix}} = H'$$

$$[ H\underline{t} = \underline{0} \iff H'\underline{t} = \underline{0} ]$$

$$\begin{array}{c} \boxed{\underline{s}} \quad \boxed{-P\underline{s}} \\ \boxed{P} \quad \boxed{\text{matrix}} \end{array}$$

$O(N^2)$   
if  $P$  dense

If

$H =$

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| 1 | 0 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 0 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 0 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 0 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 0 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 0 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |



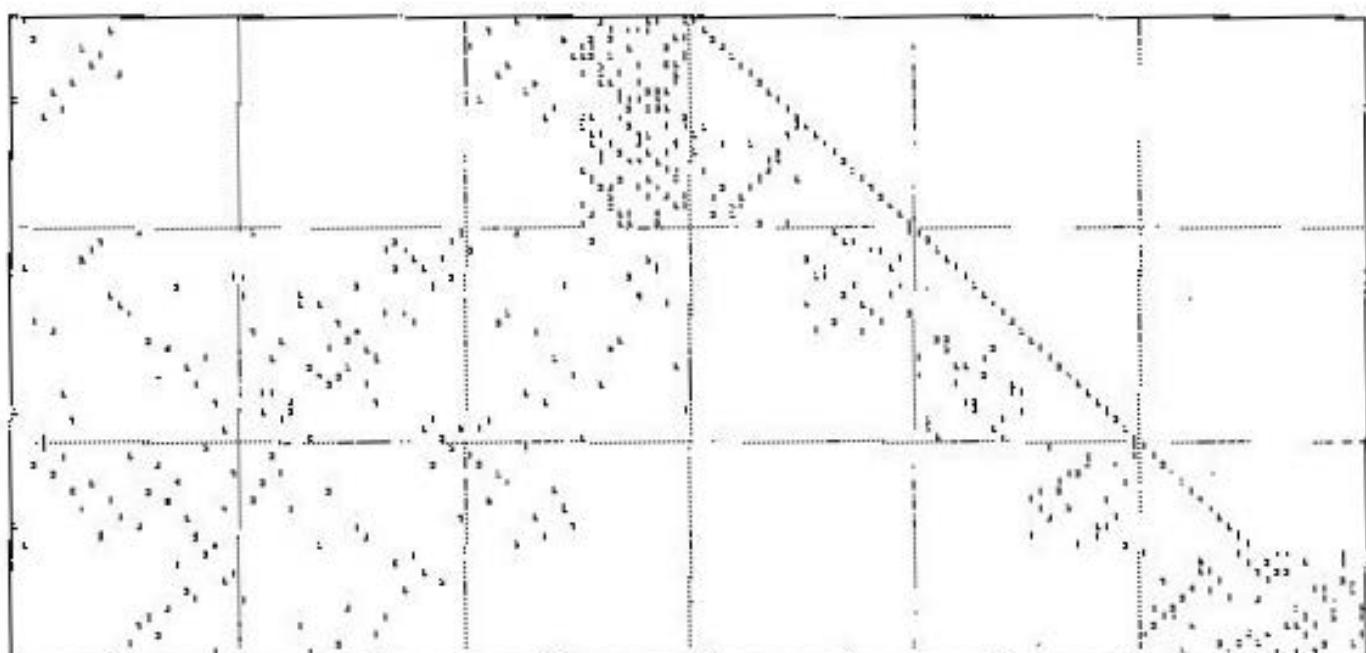
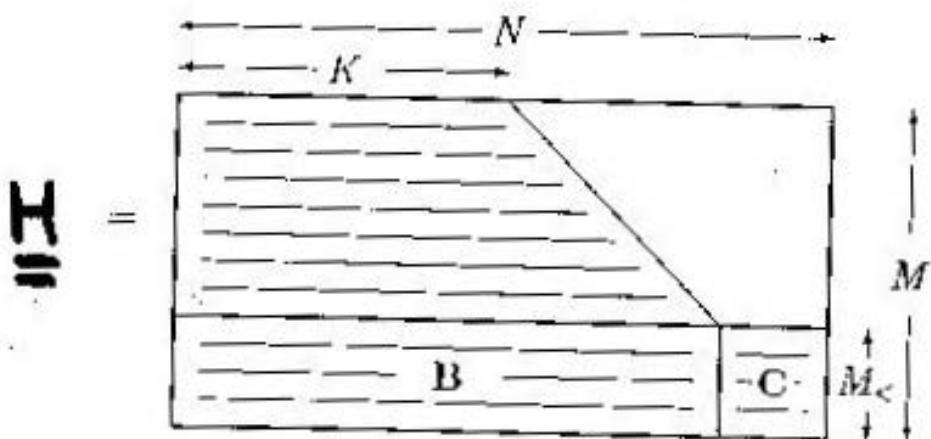
SPARSE

then encoding is  
fast -  $O(N)$

But such codes are bad.

(Many low-weight codewords)

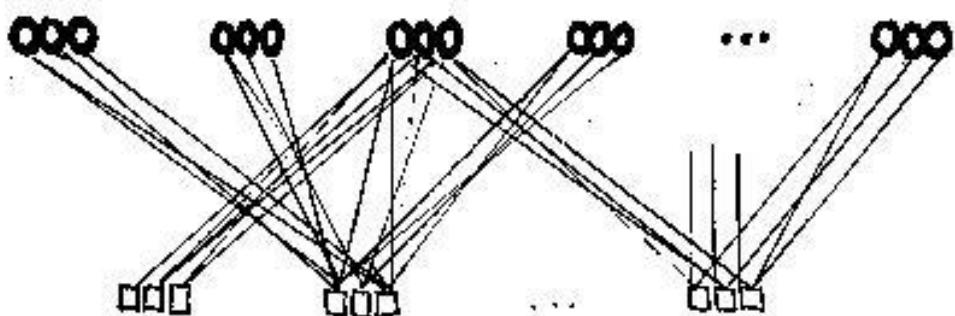
# FAST-ENCODING GALLAGER CODES



FAST-ENCODING GALLAGER CODE (Super-Poisson)

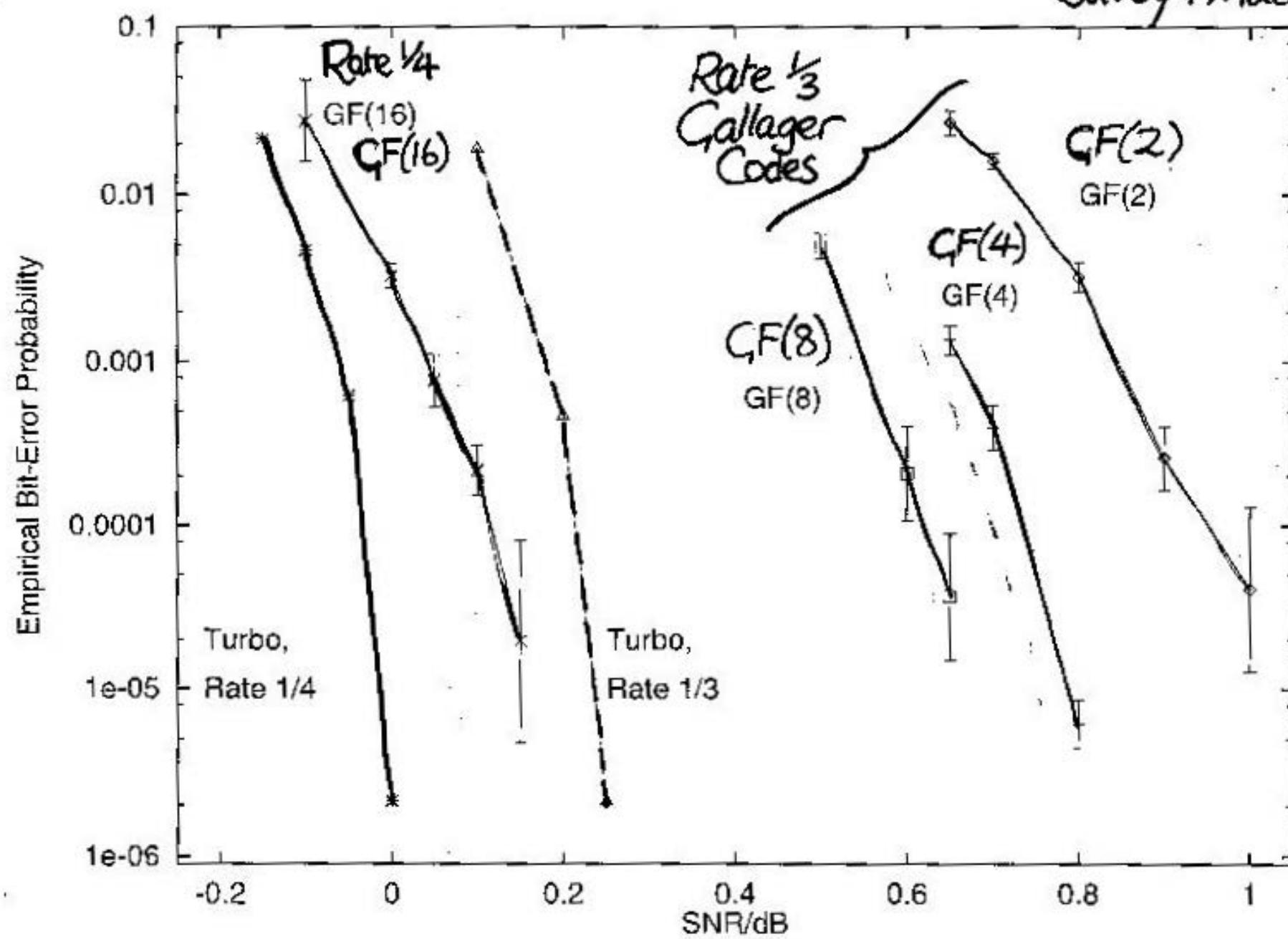
# IMPROVING GALLAGER CODES I

- Clump bits together and track correlations during decoding



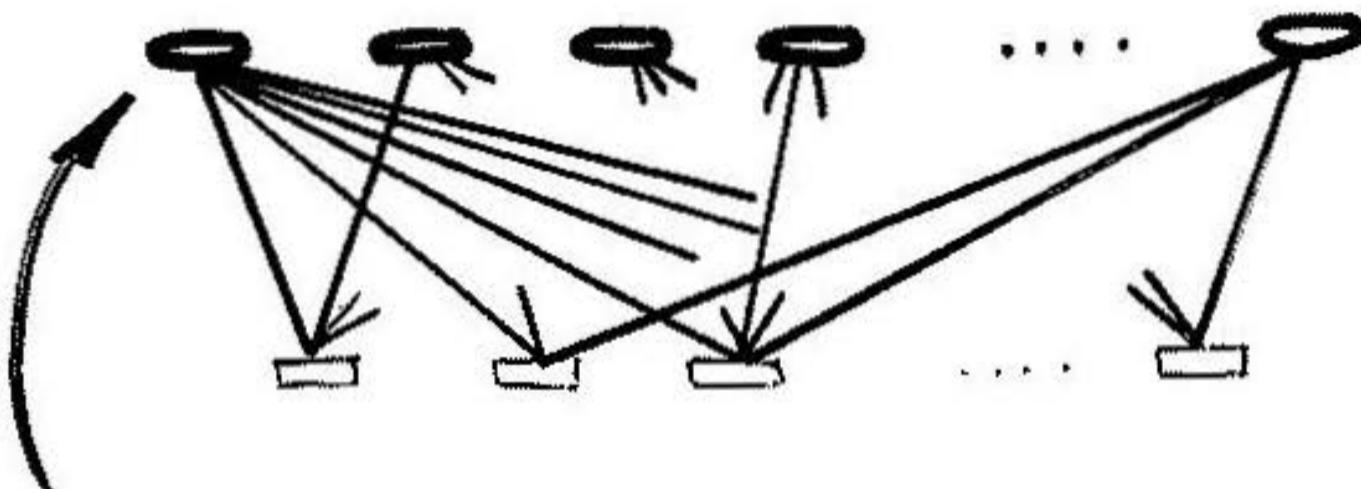
(Davey and Mackay)

Davey + Mackay '98



# IMPROVING GALLAGER CODES II

- Make graph irregular  
(Luby, Mitzenmacher, Shokrollahi, &  
Spielman  $\leftarrow d$ ;  
Dawey and Mackay)



a privileged  
bit participates  
in many constraints

1999: Richardson, Shokrollahi & Urbanke

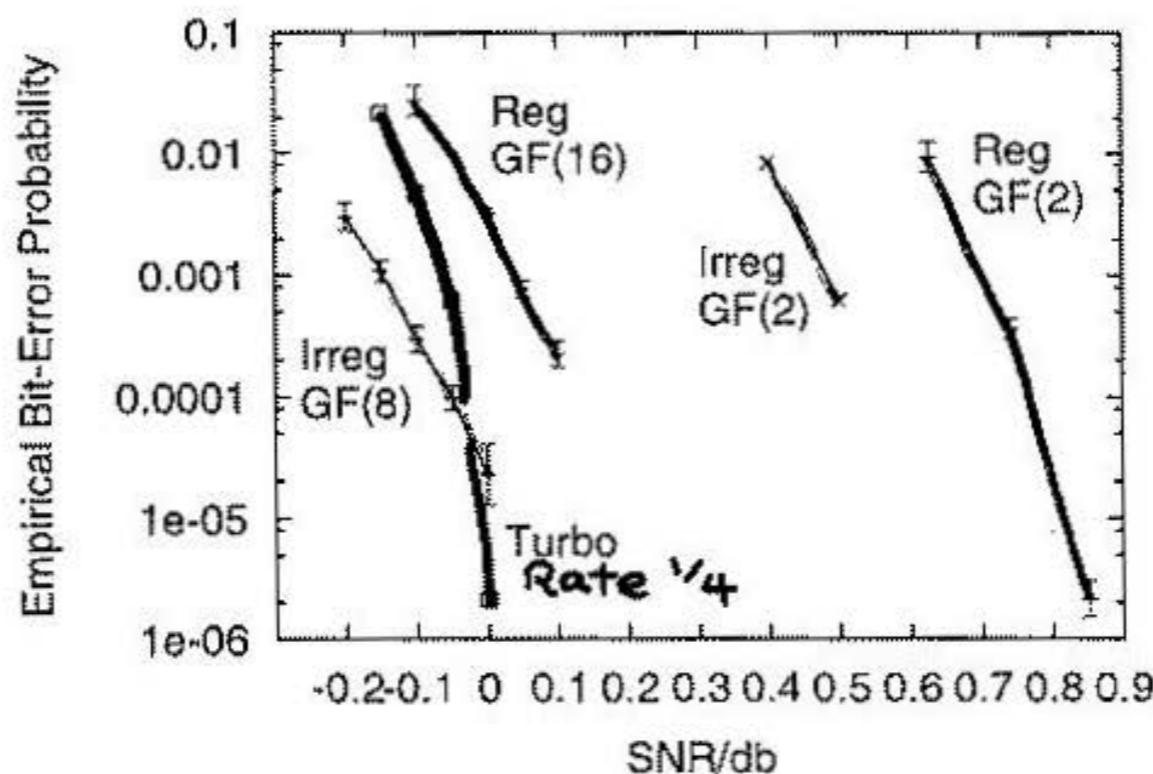


Figure 1: Empirical results for Gaussian Channel, Rate 1/4 Left–Right : Irregular LDPC,  $GF(8)$  blocklength 24000 bits; JPL Turbo, blocklength 65536 bits; Regular LDPC,  $GF(16)$ , blocklength 24448 bits; Irregular LDPC ,  $GF(2)$ , blocklength 64000 bits; Regular LDPC,  $GF(2)$ , blocklength 40000 bits. (Reproduced from [1].)

| Col. Weight | 2     | 3     | 7     | 9     | 11    | 15    | 19    | 23    | 29    | 43    |
|-------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| fraction    | 0.700 | 0.191 | 0.037 | 0.024 | 0.013 | 0.017 | 0.008 | 0.005 | 0.003 | 0.002 |

Table 4.1: Parameters of good irregular code for  $GF(8)$ , rate 0.25

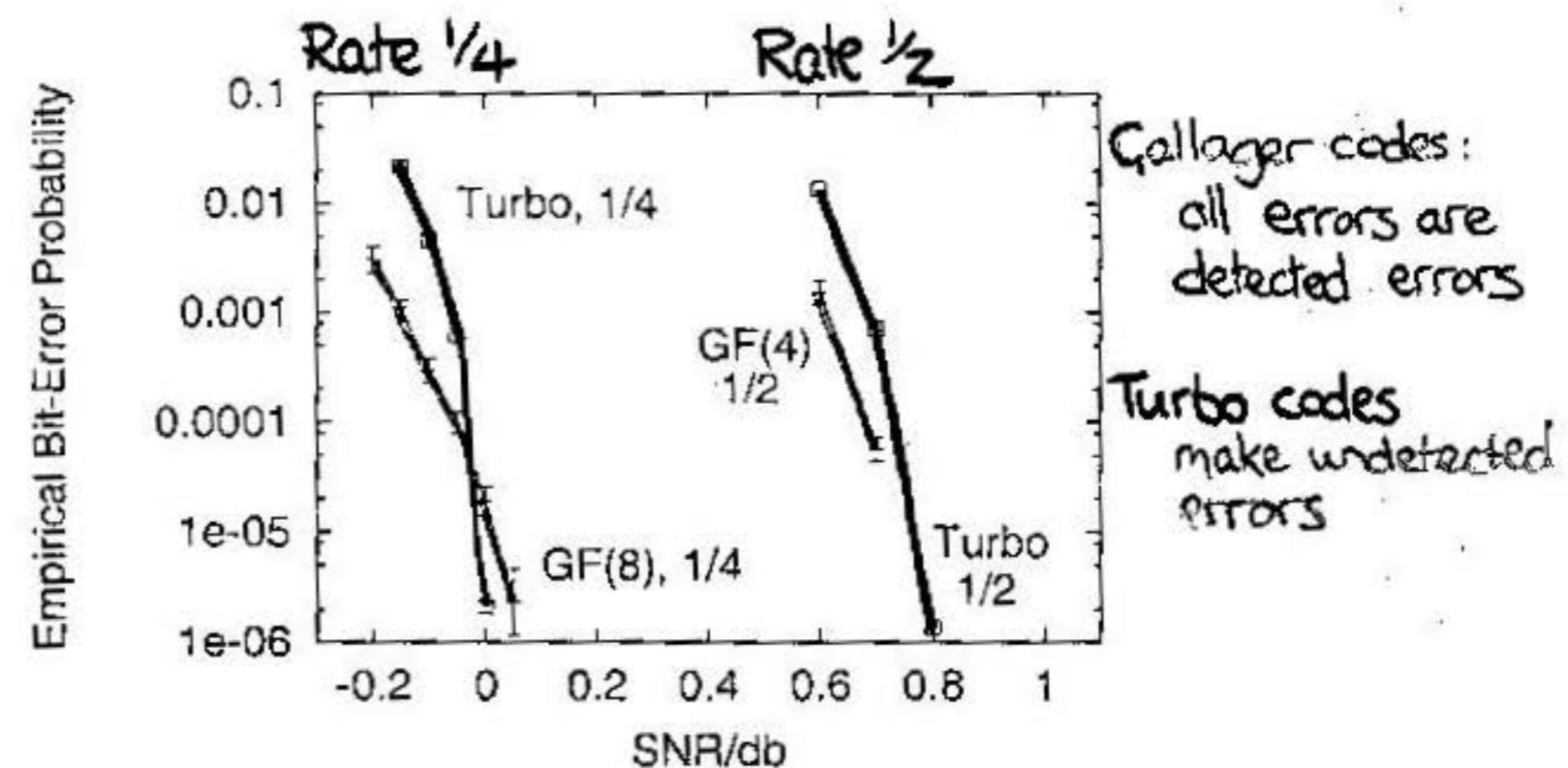
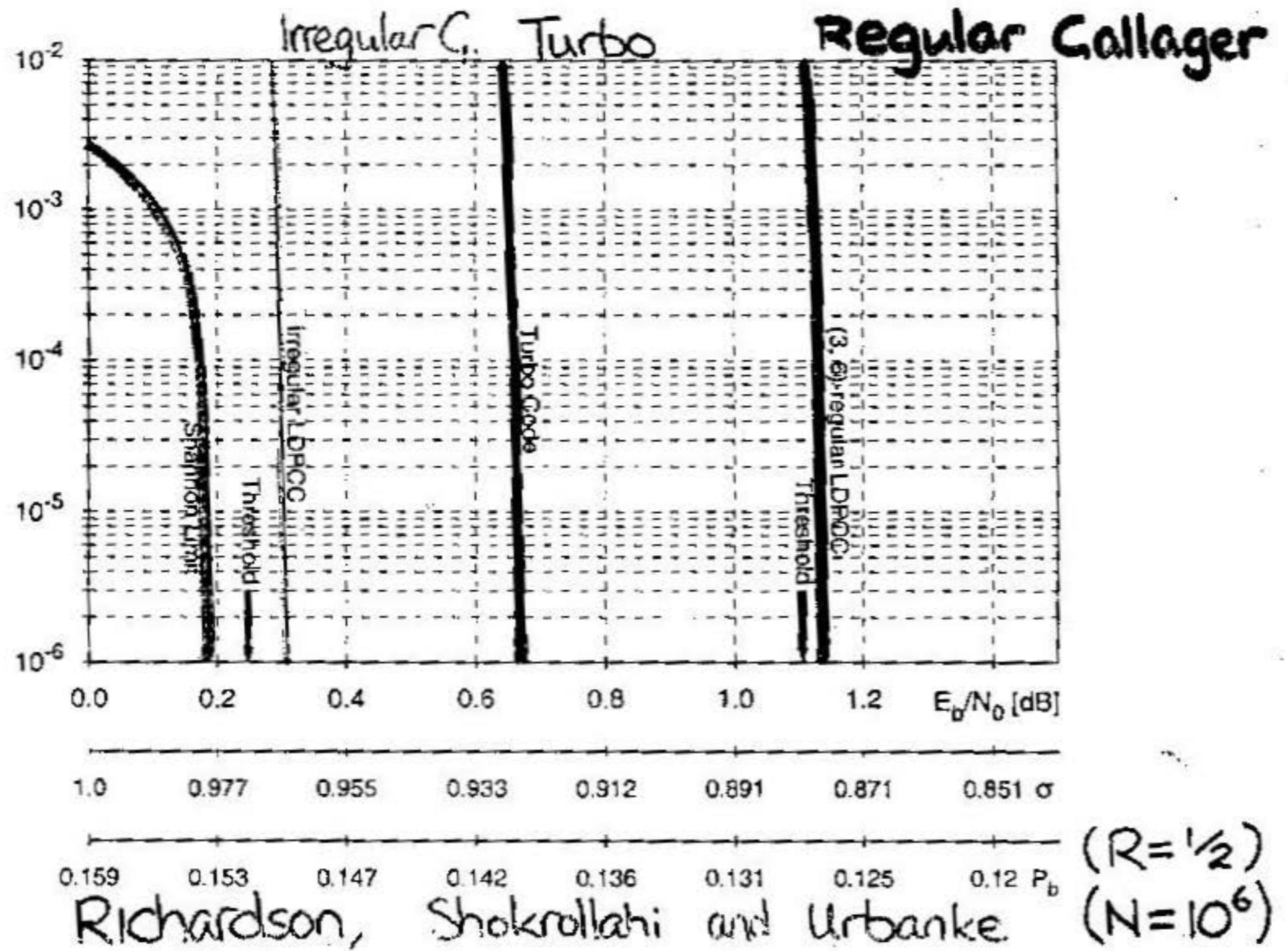
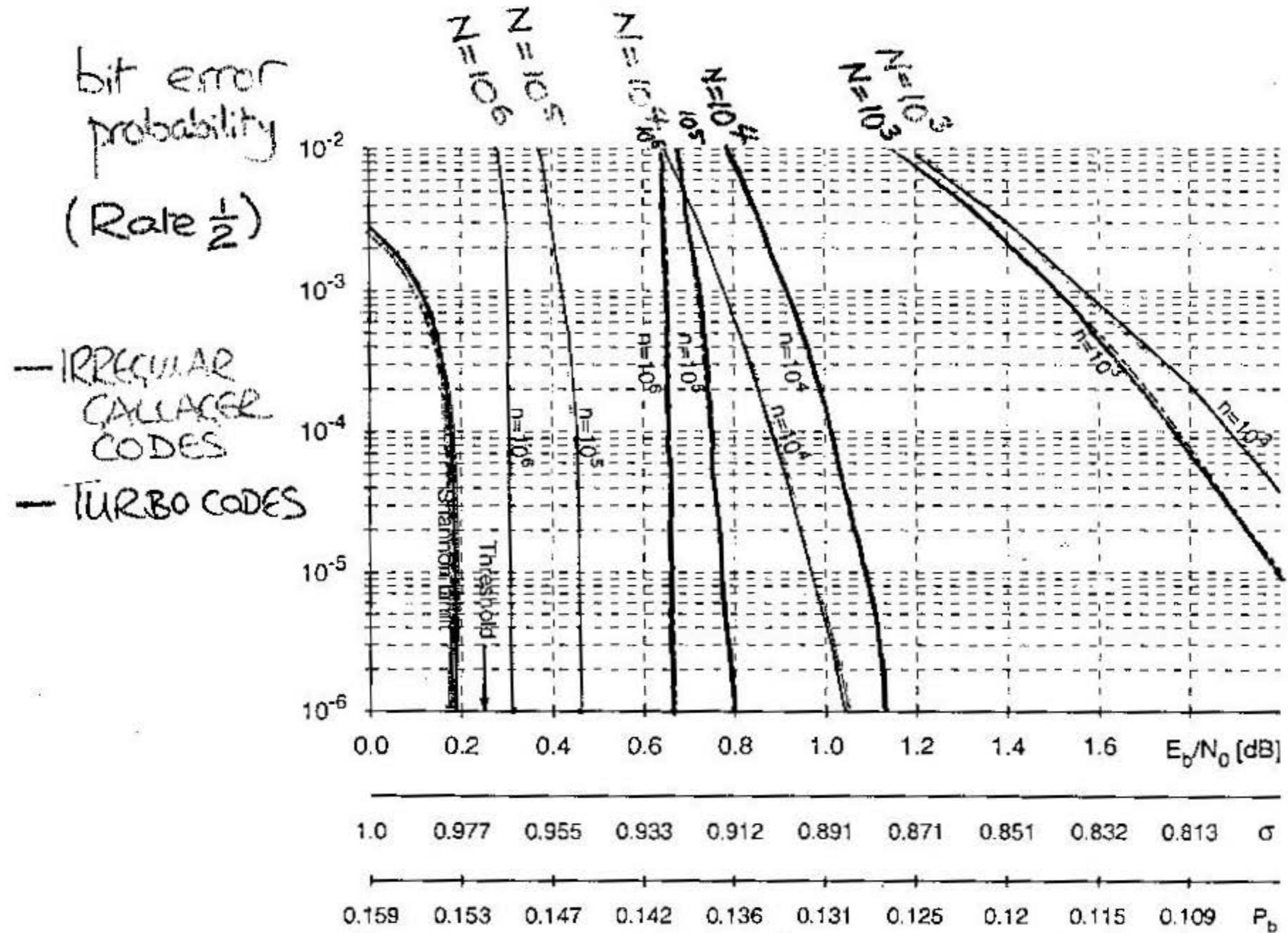
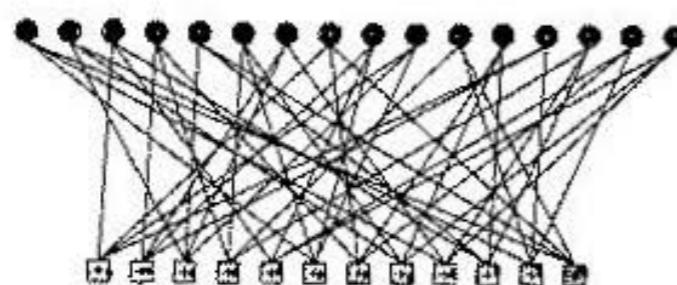


Figure 4-2: Current state of the art in error correction. Left to right: Rate 1/4 irregular LDPC over  $GF(8)$  blocklength 24000 bits; Rate 1/4 JPL turbo code [8] blocklength 65536; Rate 1/2

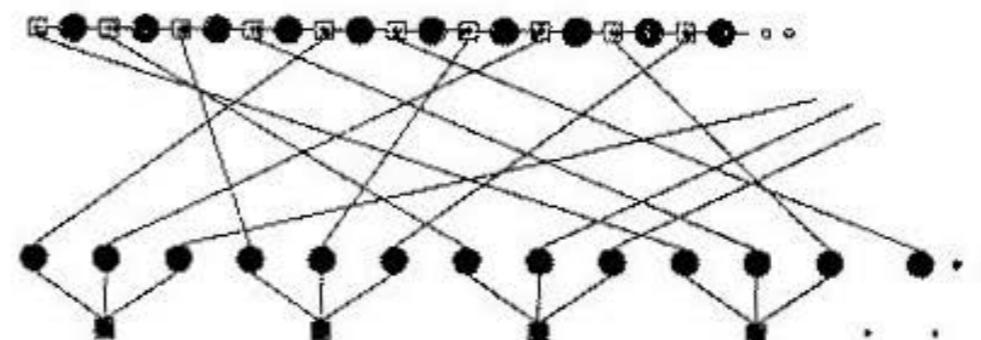




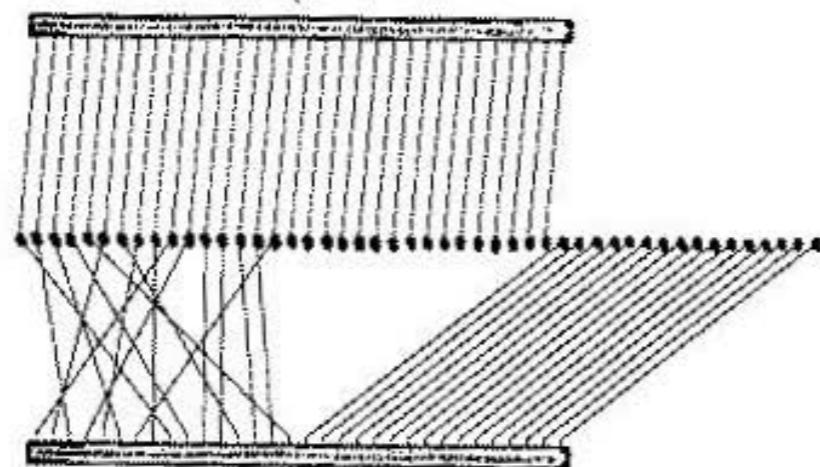
Richardson, Shokrollahi and Urbanke



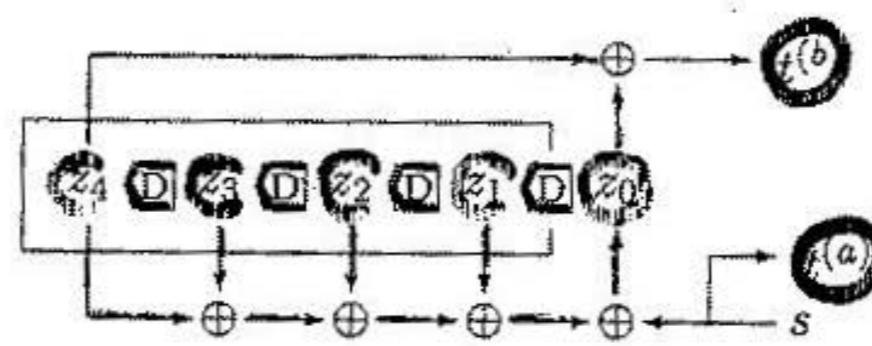
(a) Gallager code



(b) Repeat-accumulate code



(c1) Turbo code

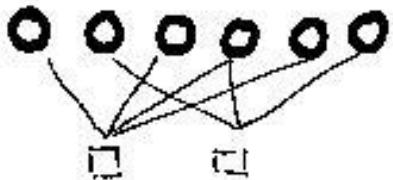


(c2)  $(21/37)_8$  recursive convolutional code

**Figure 1.** Graphs of three sparse graph codes.

## Where to go from Regular Galloper Codes

- Clump bits, & } checks <sup>clump</sup>
- Make irregular
- Change type of constraints
- Add state-variables
- Algebraic constructions



## DIFFERENCE-SET CYCLIC CODES

|          |   |    |    |     |      |      |
|----------|---|----|----|-----|------|------|
| <i>N</i> | 7 | 21 | 73 | 273 | 1057 | 4161 |
| <i>M</i> | 4 | 10 | 28 | 82  | 244  | 730  |
| <i>K</i> | 3 | 11 | 45 | 191 | 813  | 3431 |
| <i>d</i> | 4 | 6  | 10 | 18  | 34   | 66   |
| <i>k</i> | 3 | 5  | 9  | 17  | 33   | 65   |

# THE FUTURE OF GALLAGER CODES ?



Gallager codes that satisfy  
more than  $M$  low weight constraints.

eg Difference-set cyclic codes

•  $N = 273 \quad M = 82$

has  $H = \begin{bmatrix} \dots & \dots & \dots \\ \text{weight} \\ 17 \\ \dots & \dots & \dots \end{bmatrix}_{273}^{\uparrow}$   
 $\downarrow$   
 $= 273 \rightarrow$

•  $N = 73 \quad M = 28$

has  $73 \times 73$  checks of  
weight 9.



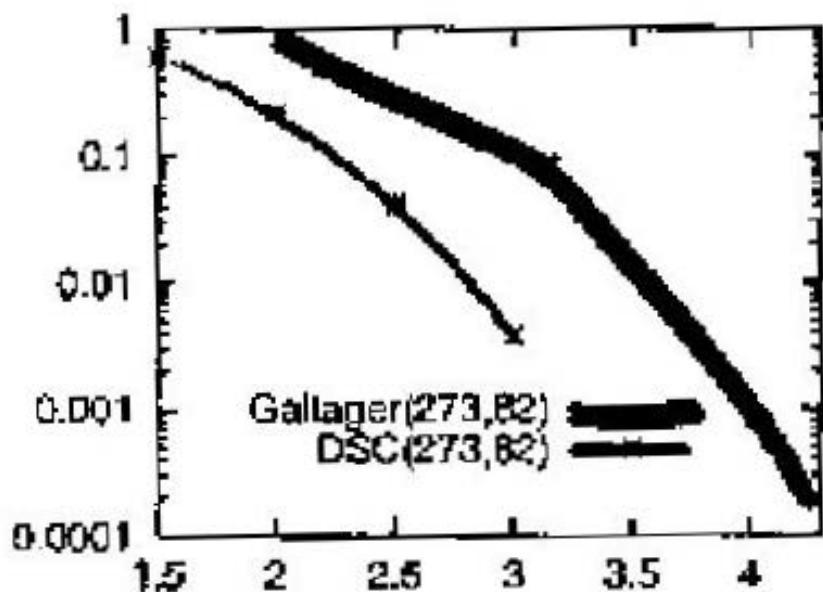
implemented on a chip by

Tanner et al

1980s

The Tanner Challenge:

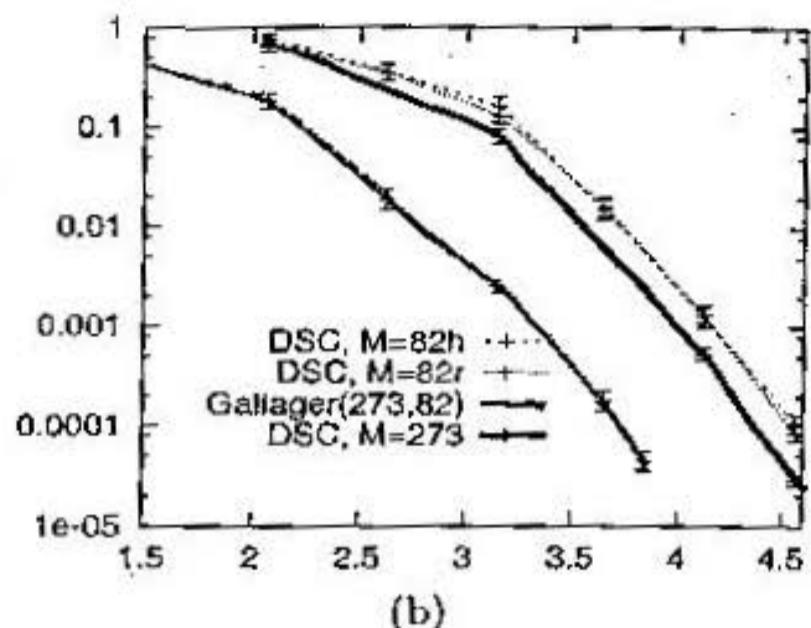
Find all such codes.



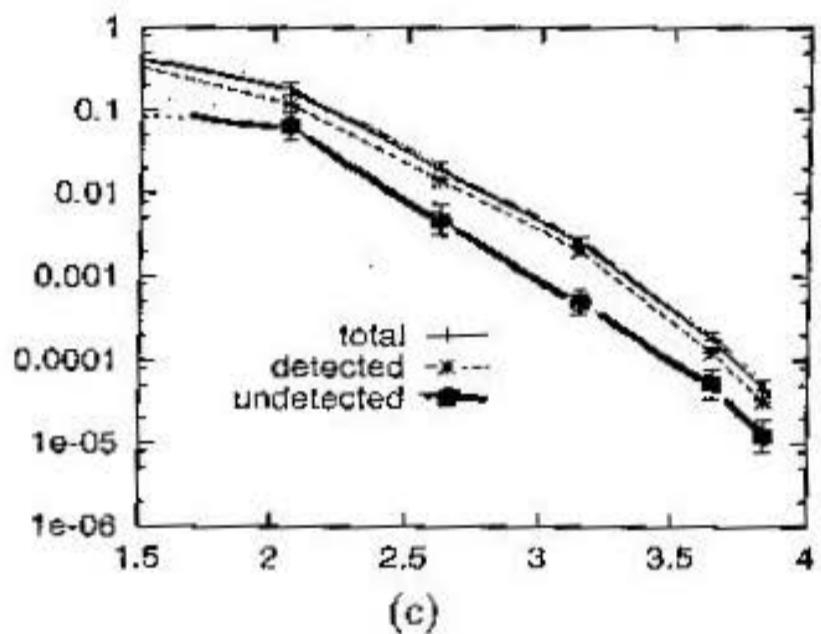
DIFFERENCE-SET CYCLIC CODES

|          |   |           |           |     |      |      |
|----------|---|-----------|-----------|-----|------|------|
| <i>N</i> | 7 | 21        | 73        | 273 | 1057 | 4161 |
| <i>M</i> | 4 | <b>10</b> | 28        | 82  | 244  | 730  |
| <i>K</i> | 3 | 11        | 45        | 191 | 813  | 3431 |
| <i>d</i> | 4 | 6         | <b>10</b> | 18  | 34   | 66   |
| <i>k</i> | 3 | 5         | <b>9</b>  | 17  | 33   | 65   |

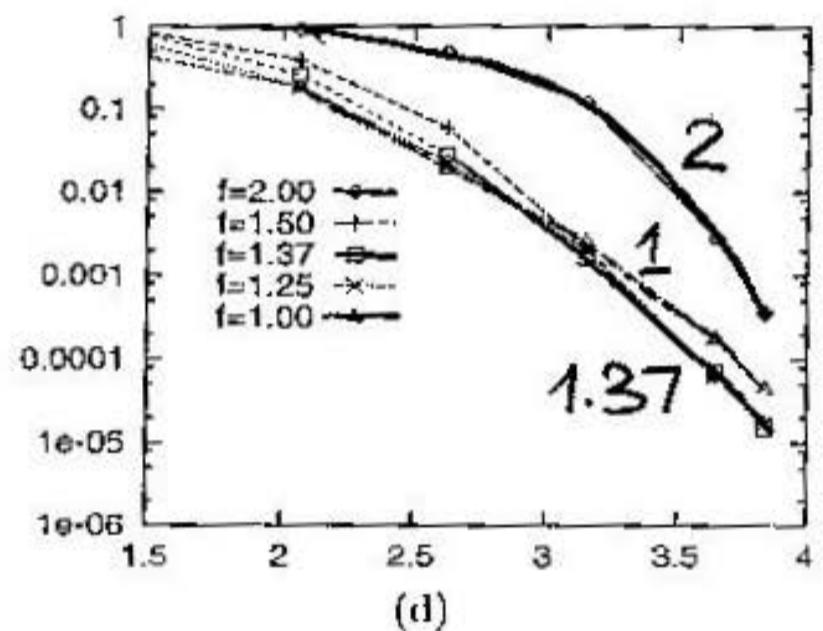
(a)



(b)



(c)



(d)

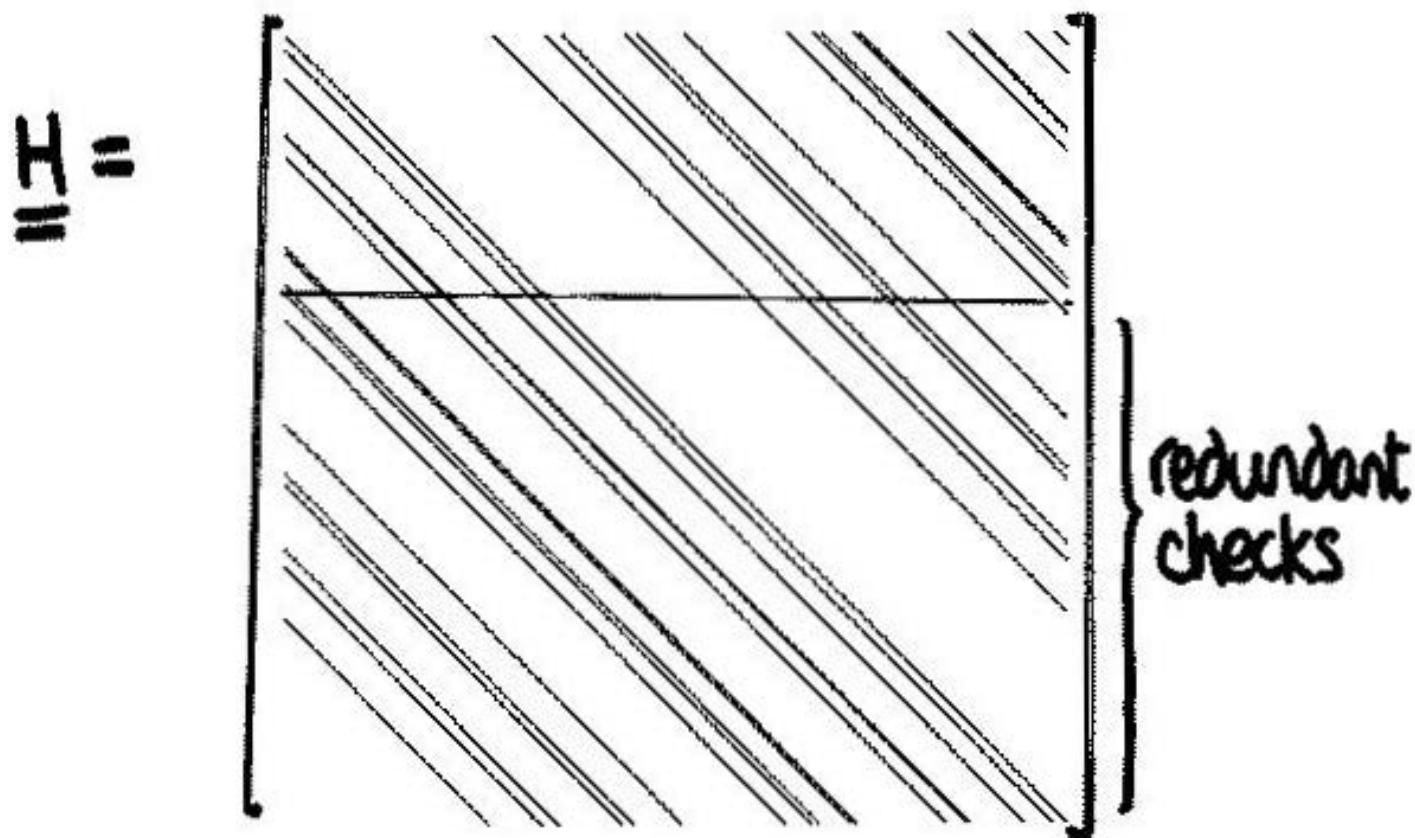
Figure 7. Difference-set cyclic codes — low-density parity-check codes satisfying many redundant constraints -- outperform equivalent Gallager codes. (a) The table shows the  $N$ ,  $M$ ,  $K$ , distance  $d$ , and row weight  $k$  of some difference-set cyclic codes, highlighting the codes that have large  $d/N$ , small  $k$ , and large  $N/M$ . All DSC codes satisfy  $N$  constraints of weight  $k$ . In the comparison the Gallager code had  $(j, k) = (4, 13)$ , and rate identical to the DSC code. Vertical axis: block error probability; horizontal axis:  $E_b/N_0$ /dB.

(c) The DSC code makes some undetected errors.

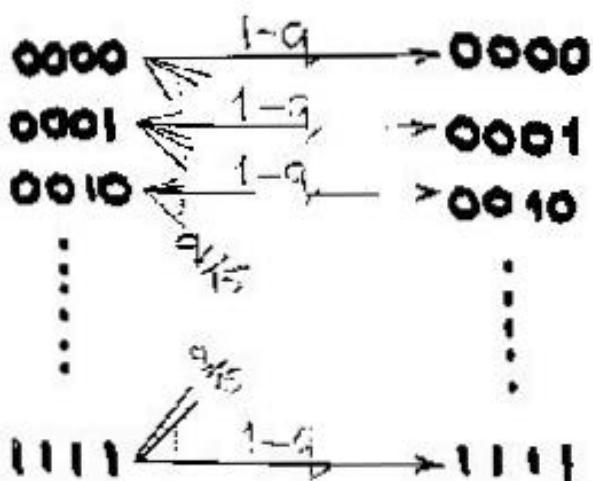
(d) The error rate of the DSC code can be slightly reduced by using a 'fudge factor' of 1.25 or 1.37 during the sum-product decoding.

# Difference-set cyclic code

$$N = 273 \quad M = 82$$

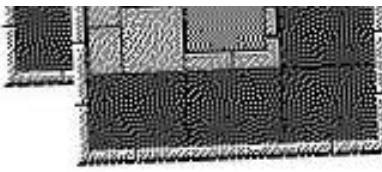


# 16-ary symmetric channel



$$R = 8/9$$

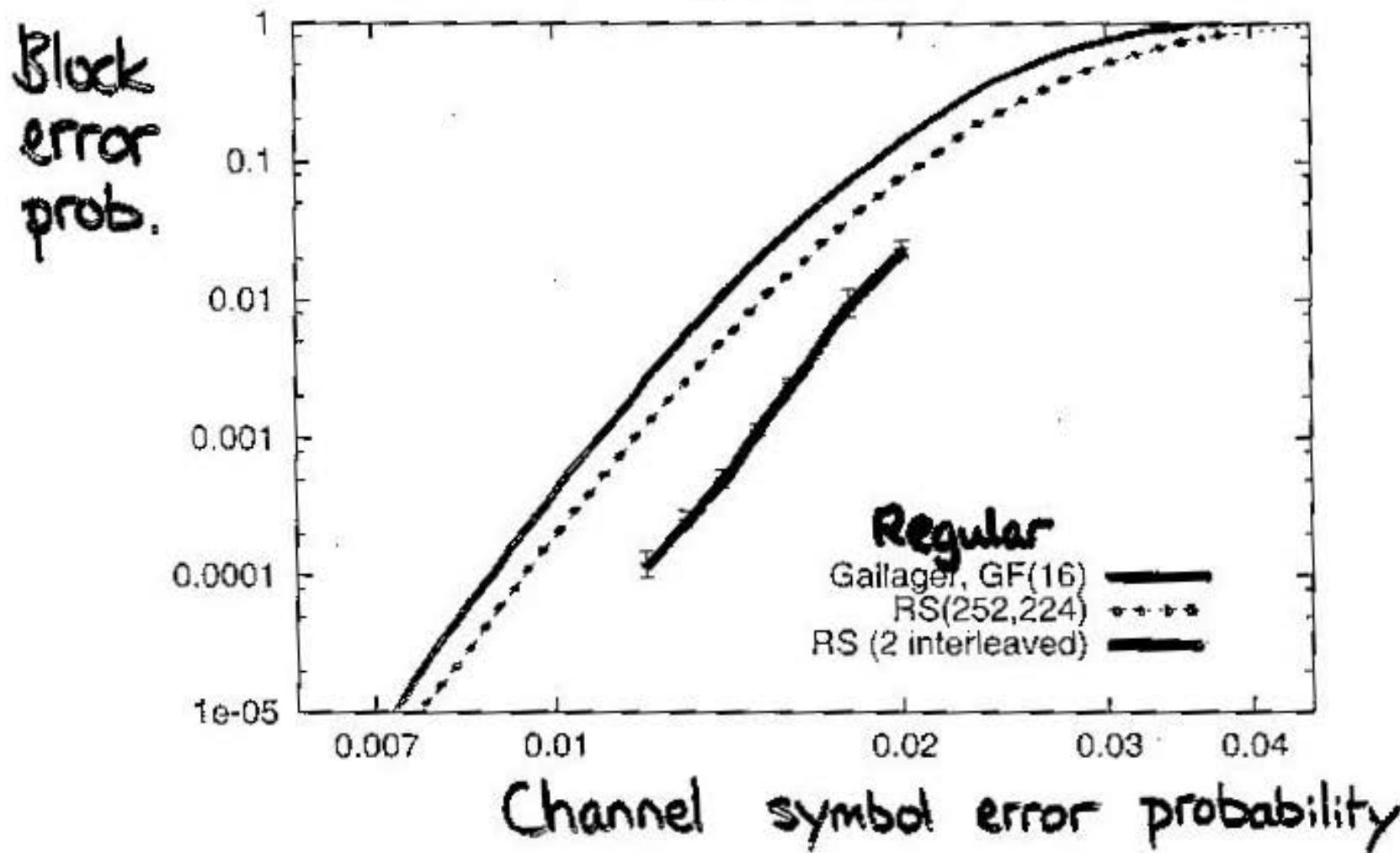
$$N = 999 \quad (\text{ie } 3996 \text{ bits})$$



## 16-ary Symmetric Channel

$N = 999 \times (4\text{bits})$

$$R = \frac{8}{9} = 0.89$$



$$\begin{aligned} N &= 999 \cdot 4 = 3996 \text{ bits} \\ M &= 1 \cdot 4 \\ R &= \frac{8}{9} \end{aligned}$$

$$\begin{aligned} \text{RS, } N &= 252 \cdot 8 \\ &= 2016 \text{ bits} \\ \text{Interleave 2} &\rightarrow 4032 \end{aligned}$$

---

Rate  $\frac{1}{2}$      $N \geq 10,000$

Gallager codes beat  
Turbo codes

---

How far can we push Gallager codes?

---

Rate 0.9     $N \geq 4096$

Gallager codes beat  
Reed-Solomon codes

(on the channels we looked at)

---

---

## Conclusions

---

For many applications,  
Gallager codes are best.

Hughes, Exh. 1037, p. 52